BEFORE THE TEACHER STANDARDS AND PRACTICES COMMISSION STATE OF OREGON

IN THE MATTER OF:) FINAL ORDER) ON REMAND)		
LAWRENCE A. LOCKETT			
) OAH Case No. 1403874		
)		

This matter came before the Teacher Standards and Practices Commission during its regularly scheduled meeting of June 20, 2018 following the Court of Appeals' reversal and remand of the final order issued on July 21, 2015. On remand, the Commission has considered the evidentiary record, as well as Respondent's exceptions filed on June 1, 2015. The Commission does not find Respondent's exceptions persuasive.

The Commission adopts ALJ Rackstraw's findings of fact and conclusions of law, including her credibility determination. The Commission also adopts ALJ Rackstraw's reasoning and recommended sanction as discussed below. The Commission has supplemented this final order to more fully explain how Respondent's conduct constitutes gross neglect of duty, and why revocation of his license is appropriate in this case.

HISTORY OF THE CASE

On April 23, 2014, the Teacher Standards and Practices Commission (TSPC or Commission) issued a Notice of Opportunity for Hearing, proposing to discipline Lawrence A. Lockett for gross neglect of duty based on the conduct alleged therein. On April 30, 2014, Mr. Lockett, through counsel, requested a contested case hearing. On September 26, 2014, TSPC referred the matter to the Office of Administrative Hearings (OAH).

On November 5, 2014, Senior Administrative Law Judge (ALJ) Jennifer H. Rackstraw of the OAH held a telephone prehearing conference. Attorney Blair Henningsgaard represented Mr. Lockett. Senior Assistant Attorney General Raul Ramirez represented TSPC.

On March 31 and April 1, 2015, ALJ Rackstraw held a hearing in Tualatin, Oregon. Mr. Henningsgaard represented Mr. Lockett. Mr. Ramirez represented TSPC. The following persons testified: Mr. Lockett; Peter Jeffrey ("Jeff") Mabry; Donna McDonald; Cindy Belleque; HS; Craig Hoppes; Astoria Police Sergeant Eric Halverson; Marilyn Knowlson; Terry Panowicz; and Julia Mabry. Jeffery VanLaanen, legal liaison for TSPC, and Cheryl Lockett, Mr. Lockett's wife, were present for the entire hearing, but neither provided testimony. Adrianne Delcotto, from the Oregon Department of Justice, observed the hearing on March 31, 2015.

The record closed at the conclusion of the hearing on April 1, 2015.

¹ The Proposed Order will identify this particular individual by her initials.

ISSUES

- 1. Whether Mr. Lockett committed gross neglect of duty, in violation of ORS 342.175(1)(b); OAR 584-020-0040(4)(m), (n), (o), and (s); OAR 584-020-0010(5); OAR 584-020-0025(3)(a); and 584-020-0035(3)(a).
 - 2. If Mr. Lockett committed gross neglect of duty, what is the appropriate sanction?

EVIDENTIARY RULINGS

TSPC offered Exhibits A1 through A8. Exhibits A1 through A8² were admitted into the record without objection. Exhibit A9 was admitted into the record over Mr. Lockett's objection to the hypothetical questions contained therein.

Mr. Lockett offered Exhibits R1 through R9,3 which were all admitted into the record without objection.

TSPC's Pleadings P1 through P6 and Respondent's Hearing Memorandum are also a part of the record.

CREDIBILITY DETERMINATION

One of the Commission's and the ALJ's chief responsibilities in this matter is to reconcile conflicting statements of the witnesses and determine which evidence is more likely correct. Such a determination requires that the Commission and ALJ assess the credibility of the various witnesses offering testimony.

While a witness is presumed to speak the truth, the presumption may be overcome "by the manner in which the witness testifies, by the character of the testimony of the witness, or by evidence affecting the character or motives of the witness, or by contradictory evidence." ORS 44.370. A determination of witness credibility may also be based on the inherent probability of the evidence, whether the evidence is corroborated, whether the evidence is contradicted by other testimony or evidence, whether there are internal inconsistencies, and "whether human experience demonstrates that the evidence is logically incredible." *Tew v. DMV*, 179 Or App 443, 449 (2002), *citing Lewis and Clark College v. Bureau of Labor*, 43 Or App 245, 256 (1979) *rev den* 288 Or 667 (1980) (Richardson, J., concurring in part, dissenting in part).

As to several material facts, Mr. Mabry's testimony differs significantly from that of HS. The disputed facts all relate to Mr. Mabry's relationship with HS, including the timeline of their physical/sexual relationship and whether they engaged in sexual conduct at Astoria High School.

² The Commission corrected a scrivener's error from the proposed order indicating that "Exhibits A1 through A7 were admitted into the record without objection."

³ Exhibit R9 was offered and admitted merely to establish the existence and date of the newspaper article, and not for the content contained therein.

HS testified at hearing that she began a physical/sexual relationship with Mr. Mabry prior to turning 18 years old and moving into the Mabry home. Mr. Mabry, however, testified at hearing that although HS was still a student when they began a sexual relationship, she had already turned 18. This partially contradicts his statement to TSPC Investigator Cristina Edgar on December 20, 2013, when he insisted that he did not have a sexual relationship with HS until after she graduated in June 2004. *See* Exhibit A8.

HS testified at hearing that she and Mr. Mabry first had sexual intercourse when he returned home unexpectedly from a wrestling tournament one evening in mid to late February 2004, while she was housesitting in the Mabry home. Both at hearing and during his interview with Investigator Edgar, Mr. Mabry denied having sexual intercourse when he came home from the wrestling tournament. However, this contradicts a Facebook message he sent to HS in August 2013 when, in response to her question as to when they were "first together," he replied "I think it was during the state tournament when I came home on a Friday night." *See* Exhibit A2 at 1. Mr. Mabry told Ms. Edgar in December 2013 that he believed HS was asking him when they were first alone at the Mabry home together, and not when they first got together sexually. *See* Exhibit A8. However, given Mr. Mabry's other inconsistent statements and the fact that he has significant motive to minimize his conduct, the Commission concurs with the ALJ's conclusion that his explanation is unpersuasive.

HS testified at hearing that when she was a student at Astoria High School, Mr. Mabry would occasionally touch and kiss her in his classroom and in the storage room between his classroom and another classroom. She reported the same to the Port Townsend and Astoria Police Departments. *See* Exhibits A3 at 8, A4 at 3. Mr. Mabry, on the other hand, has denied ever engaging in sexual/physical contact with HS at school.

At hearing, HS appeared forthright and her testimony was materially consistent with her previous statements to law enforcement. *See* Exhibits A3, A4. For the reasons already stated, Mr. Mabry's credibility, however, is suspect.

After weighing the conflicting evidence, the Commission concurs with the ALJ's conclusion that, more likely than not, Mr. Mabry is not a credible witness. Where his testimony conflicts with other, more reliable evidence, the Commission has accorded greater weight to the other evidence.

FINDINGS OF FACT

Mr. Lockett's Professional Background

(1) TSPC has licensed Mr. Lockett as an educator since 1974. In 2000, he received his first administrator license. He currently holds a Continuing Administrator License (which expires on March 7, 2018) and a Standard Teaching License (which expires on March 7, 2017). (Test. of Lockett; Ex. R1 at 1-10; Pleading P1 at 1.)

⁴ At the time of the hearing, Mr. Mabry was also facing revocation of his teaching license. (Test. of Mr. Mabry.)

- (2) Mr. Lockett's teaching experience includes one year of teaching social science at McMinnville Junior High School, eight years of teaching social science at South Albany High School, and eight years of teaching multiple subjects at Stayton High School. (Ex. R1 at 20.) His administrative experience includes five years as the director of activities/athletics and dean of discipline at Stayton High School, three years as an assistant principal at Stayton High School, and one year as a vice principal at Lebanon High School. (Test. of Lockett; Ex. R1 at 12, 20.)
- (3) In September 2000, Mr. Lockett became the principal of Astoria High School (Astoria HS). He held that position until he retired in 2012. (Test. of Lockett; *see* Ex. R1 at 37-39, 44, 47-53, 60-61, 64-67.) As the principal, his duties included the general management of the school, the observation and direct supervision of teachers and staff, the supervision of students, and oversight regarding fiscal matters. In addition, during the 2003-2004 school year, he had responsibilities with regard to a school remodeling project. (Test. of Lockett.) His responsibilities for managing staff included the duty to investigate staff complaints. (Test. of Hoppes.)
- (4) While serving as the principal of Astoria HS, Mr. Lockett's performance evaluations were overwhelmingly positive. (*See* Ex. R1 at 123-147.) However, a performance review for the 2010-2011 school year contained, among other things, the following recommendation from Astoria School District Superintendent Craig Hoppes:

I encourage Mr. Lockett to work closer with me on communicating of information that comes out of the high school that has an impact on my work with the community and school board. I would appreciate hearing pertinent information before it is released to the press and to the community. Most items are communicated properly, but there are a couple of instances [where] this occurred this past school year that are a concern.

(Ex. R1 at 125.)

- (5) In 2013, Mr. Lockett served as the interim superintendent for the Jewell School District, a position that required licensure. From the fall of 2013 to 2014, he served as the academic manager at the Tongue Point Job Corps Center, a position that did not require licensure or certification. (Test. of Lockett; Ex. A9 at 1.)
- (6) Mr. Lockett is very highly regarded as an administrator. (See Exs. R1 at 23-35, 155-157; R6 at 1-6; test. of Hoppes.)

Astoria School District Policies

(7) The Astoria School District (District) has a written policy concerning the reporting of suspected child abuse. The original policy was adopted in 1990, and then revised in 2003, 2010, and 2011. (See Ex. R2 at 1-5.) The record contains no evidence of the specific policy that was in effect during the 2003-2004 school year. (See Evidentiary Record.)

- (8) Since June 2010, the District has had a written policy titled "Reporting Requirements Regarding Sexual Contact with Students." (Ex. R4 at 1-2.) The record contains no evidence of a specific policy that was in effect during the 2003-2004 school year. (See Evidentiary Record.)
- (9) The District has a written policy concerning sexual harassment of students. The original policy was adopted in 1994, and then revised in August 2003. (Ex. R3 at 11-12.) The specific policy that was in effect during the 2003-2004 school year states, in part:

Building principals, the compliance officer and the superintendent have responsibility for investigations concerning sexual harassment. The investigator(s) shall be a neutral party having had no involvement in the complaint presented.

Step 1[:] Any sexual harassment information (complaints, rumors, etc.) shall be presented to the building principal, compliance officer or superintendent. All such information shall be reduced to writing and will include the specific nature of the sexual harassment and corresponding dates.

Step 2[:] The district official receiving the information or complaint shall promptly initiate an investigation. He/She will arrange such meetings as may be necessary to discuss the issue with all concerned parties within five working days after receipt of the information or complaint. All findings of the investigation, including the response of the alleged harassed, shall be reduced to writing. The district official(s) conducting the investigation shall notify the complainant in writing when the investigation is concluded. The parties will have an opportunity to submit evidence and a list of witnesses.

The date and details of notification to the complainant, together with any other documentation related to the sexual harassment incident, including disciplinary action taken or recommended, shall be forwarded to the superintendent[.]

(*Id.* at 11.)

(10) In 2004, the District had no policy prohibiting a student from living in the home of a teacher. Mr. Lockett's nephew lived in Mr. Lockett's home for some period of time while the nephew was a student. (Test. of Lockett.) Superintendent Hoppes is aware of two instances, both of which are unrelated to the present matter, where a student has lived with a teacher. (Test. of Hoppes.)

Astoria HS – 2003-2004 School Year

(11) During the 2003-2004 school year, Mr. Lockett was the principal of Astoria HS. (Test. of Lockett.) Donna McDonald and Cindy Belleque were instructional assistants. (Test. of McDonald, Belleque.) Terry Panowicz was a school counselor and Marilynn Knowlson was the

head of the counseling department. (Test. of Panowicz, Knowlson.) Jeff Mabry taught general and/or biological science. (Test. of Mr. Mabry, Lockett.)

- (12) Mr. Lockett had weekly management meetings with Ms. Knowlson, the vice-principal, the office manager, the athletic director, and the activities director. In those meetings, they would discuss various issues, including specific students. (Test. of Lockett, Knowlson.) It was important to the school management team to make students feel connected to the school, as they believed that such a connection led to decreased dropout rates. (Test. of Lockett.)
- (13) HS began attending Astoria HS midway through her junior year. She was a senior during the 2003-2004 school year. She was 17 years old during the fall semester of her senior year. She turned 18 on March 3, 2004. (Test. of HS.) She was a "loner" with very few friends. (*Id.*, test. of Lockett; Ex. A3 at 7.) Her parents had problems with alcohol and she had a difficult home life. (Test. of Lockett, Mr. Mabry.) Because she had issues with grades and attendance, and she was socially isolated, the school management team considered her to be "at risk" for dropping out of school. (Test. of Lockett.) For a student labeled "at risk," there is an increased likelihood that the student is vulnerable and looking for trusting adults. (Test. of Hoppes.) Kids with HS's characteristics (socially isolated, poor home life, school difficulties, *etc.*) tend to be at higher risk for drug and alcohol abuse and physical danger and abuse. (Test. of Lockett.)
- (14) Mr. Lockett had one or more conversations with school counselors regarding HS during the 2003-2004 school year. They discussed, for example, HS's attendance, grades, and her transition into the school population and a peer group. (Test. of Lockett.)
- (15) During the 2003-2004 school year, in addition to teaching science classes, Mr. Mabry was also an assistant wrestling coach. Mr. Lockett was his direct supervisor. (Test. of Lockett, Mr. Mabry.) Mr. Mabry was known to be good at connecting with kids that other people could not. (Test. of Knowlson.)
- (16) During the 2003-2004 school year, Mr. Mabry was married to Julia Mabry and they had two young daughters. Mr. and Mrs. Mabry occasionally socialized with certain members of the Astoria HS faculty and staff. (Test. of Ms. Mabry, Mr. Mabry.)
- (17) HS met Mr. Mabry in 2003, during the fall semester of her senior year. Mr. Mabry was not one of HS's teachers. Mr. Mabry would make efforts to greet HS and talk with her in the school halls. Sometime during the latter part of 2003, HS and Mr. Mabry began communicating with each other online via Yahoo Messenger. (Test. of HS, Mr. Mabry; Ex. A4 at 2-3.) HS shared details of her personal life with Mr. Mabry, including the fact that she was sexually abused as a young child and that her parents were alcoholics. (Ex. A4 at 3; test. of Mr. Mabry.)
- (18) Mr. Mabry's communications with HS eventually became flirtatious. (Test. of HS.) At some point during the 2003-2004 school year, HS became Mr. Mabry's teaching assistant (TA). She would make copies, grade papers, and work at his computer while he taught. After class, she would spend time with him in the classroom, often during lunchtime. (Test. of Mr. Mabry, HS.)

- (19) For some period of time during the 2003-2004 school year, HS was taking swimming lessons at a public pool. Mr. Mabry would occasionally meet up with her there. (Test. of HS, Mr. Mabry.) On more than one of those occasions, HS and Mr. Mabry engaged in sexual activity, short of sexual intercourse, in Mr. Mabry's vehicle. (Test. of HS; Exs. A3 at 7, A4 at 3.)
- (20) Mr. Mabry would occasionally touch and kiss HS in the storage room located between his classroom and another classroom. On at least one occasion, he kissed and/or touched her in the back corner of his classroom. (Test. of HS; Exs. A3 at 8, A4 at 3.)
- (21) Ms. Mabry understood that Mr. Mabry was mentoring HS. Ms. Mabry also understood that Mr. Mabry gave HS some swimming lessons in approximately November and December 2003. Mr. Mabry talked about HS quite a bit at home. (Test. of Ms. Mabry.) HS babysat for the Mabry children a few times. (Test. of HS, Ms. Mabry, Mr. Mabry.) HS never attended school or social functions with Mr. and Ms. Mabry and their children.⁵ (Test. of Ms. Mabry, HS.)
- (22) In approximately January 2004, Mr. Mabry broke his leg/ankle. (Test. of Mabry; Ex. A8.) On February 4, 2004, Ms. Mabry and the two Mabry children went to Germany for an extended trip so that Ms. Mabry could assist her sister who had given birth to twins. (Test. of Ms. Mabry, Mr. Mabry.) There was never any question that Ms. Mabry was taking the Mabry children with her on the trip. (Test. of Ms. Mabry.)
- (23) In approximately mid to late February 2004, HS was housesitting at the Mabry home and taking care of the Mabry's dog while Mr. Mabry was at a wrestling tournament. Mr. Mabry unexpectedly returned home one evening while HS was at the home, and they had sexual intercourse for the first time. HS was 17 years old. (Test. of HS; Ex. A3 at 7-8, A4 at 4.)
- (24) A couple of weeks after Ms. Mabry and the children arrived in Germany, Mr. Mabry asked Ms. Mabry if HS could stay in the Mabry home while Ms. Mabry and the children were away. (Test. of Ms. Mabry, Mr. Mabry.) He told Ms. Mabry that HS needed a place to stay because she was having issues with her parents and that he needed assistance getting around with his broken leg. Ms. Mabry was concerned that such a living arrangement would not look good to other people and she asked Mr. Mabry to check with Mr. Lockett. Mr. Mabry gave Ms. Mabry the impression at that time that either he had already spoken with Mr. Lockett about the arrangement, or that he intended to do so. (Test. of Ms. Mabry.)
- (25) Sometime prior to March 3, 2004, Mr. Mabry and HS went to Mr. Lockett's office and explained that they wanted HS to move into the Mabry home while Mr. Mabry's family was

⁵ Although Ms. Knowlson and Ms. Panowicz testified at hearing that they observed the entire Mabry family and HS at sport and other school functions together, the preponderance of the evidence establishes that HS was only with the Mabry family incidentally on such occasions. When Mr. Mabry specifically brought HS to school functions, he did so without Ms. Mabry and the children accompanying them. (Test. of HS, Ms. Mabry.)

away so that HS could assist Mr. Mabry with household tasks.⁶ (Test. of HS.) They explained that HS wanted to move out of her home and that Ms. Mabry had agreed that HS could stay in the Mabry home until she graduated. (Test. of Mr. Mabry.) Mr. Lockett appeared agreeable to the arrangement.⁷ (Test. HS, Mr. Mabry.)

- (26) On March 3, 2004, HS turned 18 years old. On or shortly after that date, she moved into the Mabry household. She and Mr. Mabry continued their sexual relationship. HS accompanied Mr. Mabry to a couple of school functions (e.g. pizza parties) that included other faculty members. She did not disclose the nature of her relationship with Mr. Mabry to anyone during this time period, but she worried that other people would find out about it and that Mr. Mabry would get into trouble. (Test. of HS; Ex. A3 at 8.)
- (27) During the 2003-2004 school year, the lights in the classrooms at Astoria HS were motion sensitive, and they would turn off by themselves. (Test. of Mr. Mabry, Lockett.) Mr. Mabry's classroom had lots of windows and received a great deal of natural light. Even with the lights off in his classroom, there was generally ample light. (Test. of Mr. Mabry; *see* Ex. R8 at 1, 4-5.)
- (28) Ms. McDonald was assigned to work in the resource room, which was across the hall from Mr. Mabry's classroom. She observed HS in Mr. Mabry's classroom "a lot." (Test. of McDonald.) Ms. McDonald sometimes had a need to go into Mr. Mabry's classroom. On one occasion, during school hours, she unlocked the classroom door and entered the classroom. She observed that the lights were off, HS was sitting in Mr. Mabry's desk chair, and Mr. Mabry was sitting on top of his desk facing HS. In her opinion, Mr. Mabry and HS seemed surprised to see her walk into the classroom. On another occasion, Ms. McDonald walked into the classroom and observed HS and Mr. Mabry walking out of the supply/storage room together. She never observed any physical contact between HS and Mr. Mabry. (*Id.*)
- (29) Ms. Belleque also worked across the hall from Mr. Mabry's classroom. On one occasion, she unlocked the locked door to his classroom, and upon entering the room, she observed Mr. Mabry and HS at his desk. She did not observe any physical contact between HS and Mr. Mabry. (Test. of Belleque.)
- (30) Sometime between January and June 2004, Ms. McDonald, Ms. Belleque, and another staff person went to Mr. Lockett's office and reported that they were uncomfortable with some things they had observed relating to Mr. Mabry and HS. They specifically mentioned their concerns about Mr. Mabry and HS being alone in Mr. Mabry's classroom with the lights off and the door locked. They expressed that they felt such a situation was inappropriate for a student and a teacher. (Test. of McDonald, Belleque.)
- (31) After Ms. McDonald, Ms. Belleque, and the other staff person reported their concerns to Mr. Lockett, Ms. Belleque observed that Mr. Mabry and HS continued to spend time alone in

⁶ Although Mr. Mabry testified at hearing that HS was not with him during this meeting, HS's credible testimony establishes, more likely than not, that she was present.

⁷ At hearing, HS testified, "I don't recall that he [Mr. Lockett] made a big deal out of it."

his classroom under the same circumstances. Ms. Belleque also heard rumors that HS was living with Mr. Mabry. On more than one occasion, she observed HS and Mr. Mabry arriving at school together on Mr. Mabry's motorcycle. Because she continued to observe the same classroom behavior involving HS and Mr. Mabry, she went to Mr. Lockett a second time (alone) and conveyed that she continued to have concerns about the situation. (Test. of Belleque.)

- (32) Mr. Lockett considered Mr. Mabry to be "a very good family man." (Test. of Lockett.) Mr. Lockett did not conceive of the possibility that Mr. Mabry would engage in a sexual relationship outside of his marriage. (*Id.*)
- (33) Neither Ms. Knowlson nor Ms. Panowicz ever received a complaint regarding Mr. Mabry and HS. (Test. of Knowlson, Panowicz.) Ms. Knowlson observed that Mr. Mabry appeared to be a mentor to HS, and she knew that HS babysat for the Mabry family on occasion. (Test. of Knowlson.)
- (34) Ms. Panowicz knew that HS had some "home issues," and that HS did some babysitting for the Mabry family. Ms. Panowicz observed that HS and Mr. Mabry "seemed to have a positive relationship." (Test. of Panowicz.) She was not concerned about their relationship and she did not believe that anything inappropriate was occurring. She knew that HS was living in the Mabry home, but she believed that Ms. Mabry and the children were also in the home at the time. (Id.)
- (35) On at least one occasion, Ms. Panowicz observed Mr. Mabry bring HS to school on the back of his motorcycle. She believed that such conduct had an appearance of impropriety, and she told Alan Garvin, a colleague and friend of Mr. Mabry, to talk to Mr. Mabry about it. (Test. of Panowicz.)
- (36) HS left Oregon on or around June 6, 2004. Her sexual relationship with Mr. Mabry ended at that time. From June 6, 2004 to September 17, 2004, she served as a volunteer deckhand on a ship docked out of Pennsylvania. (Ex. A1 at 1.)
- (37) Ms. Mabry and the children returned home to Astoria in mid-June 2004. Ms. Mabry believed that it was reasonably well known among the school faculty that she and the children were away in Germany for an extended period of time. (Test. of Ms. Mabry.)
- (38) Ms. Mabry was not aware that Mr. Mabry had engaged in a sexual relationship with HS until September 2013. Mr. and Mrs. Mabry divorced in October 2013. (Test. of Ms. Mabry.)

Law Enforcement and TSPC Involvement

(39) In August 2013, HS and Mr. Mabry had the following exchanges via Facebook:

⁸ Ms. Panowicz testified at hearing that the living arrangement "wasn't a secret," and "everyone knew about it." However, she further testified as to her belief that Ms. Mabry and the children were in the home while HS was living there. (Test. of Panowicz.)

HS: Do you remember what month you broke your ankle? Back in '04?

Mabry: I believe it was January. Why?

HS: Just trying to piece together my memories from that year. I hope it's OK to ask you this here, do you remember when we were first together? I can't remember if your leg was busted at that time or not.

Mabry: Already broken before you moved in. I think it was during the state tournament when I came home on Friday night. You had moved in that weekend, or you were "house sitting" the dog and all because I thought I was going to be gone the whole weekend but came home and went back the next day.

HS: Was that before my birthday or after?

HS: I'm just surprised that I can't remember my 18th birthday.

Mabry: When is your birthday? The state tournament was probably the 2nd or third week in February.

(Ex. A2 at 1-2.)

(40) In approximately August 2013, HS disclosed to her marriage counselor that she had been sexually involved with Mr. Mabry when she was 17 years old. (Exs. A3 at 2, test. of HS.) In turn, the counselor, a mandatory reporter of child abuse, reported the information to law enforcement on August 29, 2013. (Ex. A3 at 2-3.) On September 5, 2013, HS and her counselor met with Detective J. Greenspane of the Port Townsend Police Department. (*Id.* at 5-10.) In his Investigative Report, Detective Greenspane summarized the meeting, in part, as follows:

[HS] said that in Astoria, she did not have a lot of friends and remembers going to school and being closer to the teachers than kids her own age. She said that over time, she became really close with one of the teachers. * * *. [She] said she eventually became a teacher's aide for Mabry and he started showing her a lot of attention that she was lacking from any other source. She said that she met his wife and kids and she believed he portrayed her as the troubled kid whom he was helping.

[She] said that as they communicated more frequently, they would do so via instant messaging over the internet[.]

[She] said she started meeting him outside of school in public locations like the swimming pool. [HS] said she has vague memories of getting into the back of his Volkswagen bus and having sexual encounters with him short of actual intercourse. [She] said that Mabry broke his ankle around February of 2004 and this was during a time that his wife and kids were going to be going on vacation to Germany. She said that they were concerned about leaving him and [she] was not sure how it came up but the idea came up that she could move in with them and help with housework and such while they were away. [She] said that Larry Lockett was the school principal at the time and when the living arrangements were brought up with him, he approved it. [She] did not believe he was aware of a sexual relationship between Mabry and her[.]

[She] said she did move in with Mabry and it was towards the end of February of 2004 when Mabry came home from a wrestling tournament early. She said this was the first night they slept together. She said she was 17 years old at the time. [She] said they continued having a consensual sexual relationship but she was always concerned that others would find out[.] She said that Mabry never seemed concerned about this * * * [and] he would be physical with her at the school by touching her and this made her extremely nervous[.]

[She] said she left in June after she graduated high school [and] * * * the day she left is when the sexual relationship between she and Mabry stopped[.]

[She] told me that she went to see her family in the area in the summer of 2012 [and] * * * she went to see him * * *[but] they did not have a sexual encounter at her request.

[She] told me that she saw Mabry again on July 26, 2013 * * * [and] Mabry was very "handsy" with her and tried kissing her and talking her into having a sexual encounter. [She] said she resisted this and did not have a sexual encounter with him.

(*Id.* at 7-8.)

- (41) On September 17, 2013, Oregon State Police Detective Matt Beeson and Astoria Police Department Sergeant Eric Halverson interviewed HS. (Ex. A4 at 1-18; test. of Halverson.) In their incident reports, Detective Beeson and Sergeant Halvorson noted that HS reported, in part, the following:
 - She came to Astoria HS in 2003, in approximately the middle of the school year. She was behind in school, and she did not really have any friends in her age group. Mr. Mabry would engage her in conversations, and she eventually became his biology class teaching assistant.
 - She and Mr. Mabry began communicating with one another, after school hours, through a messenger-type chat program.

- She disclosed to Mr. Mabry that she had been a victim of sexual abuse as a young child.
- Her friendship with Mr. Mabry developed into a physical relationship approximately two months after meeting him.
- When she was taking swimming lessons, Mr. Mabry would meet her at the Astoria swimming pool, and then they would have sexual contact, short of intercourse, in his van.
- She had concerns that someone would discover their relationship, but Mr. Mabry seemed unconcerned about it.
- Teaching staff at Astoria HS knew she and Mr. Mabry were "friends," but she does not believe any of them suspected that she and Mr. Mabry had a relationship that went beyond that of a student and a teacher. (*Id.* at 3.)
- While she was Mr. Mabry's teaching assistant, they had physical encounters, including kissing and touching, in the storage closet of his classroom. On one occasion, after students left the classroom, Mr. Mabry picked her up, placed her on a counter in the room, and began kissing her and touching her thighs. Although she was concerned that someone would walk in and discover them, Mr. Mabry seemed unconcerned about it.
- During the 2004 wrestling season, Mr. Mabry broke his ankle around the same time his wife and kids were due to leave the country for three months. It was decided that she would stay at the Mabry residence while they were gone to help care for Mr. Mabry in exchange for him helping her with school work issues.
- She and Mr. Mabry met with Mr. Lockett regarding the plan for her to stay at the Mabry home. Mr. Lockett approved of the plan.
- She first had sexual intercourse with Mr. Mabry when he came home from a wrestling tournament early in the second or third week of February 2004. She was 17 years old at the time. She turned 18 years old on March 3, 2004.
- During the time period while she was living at the Mabry residence, she and Mr. Mabry would sometimes go out in public together. On one occasion, before going out to a restaurant, Mr. Mabry told her to be careful not to engage in any conduct that would lead people to believe they had a relationship beyond that of a student and teacher.
- She accompanied Mr. Mabry to weekly staff dinners at a pizza place that included other teachers. Mr. Mabry would take her on motorcycle rides. Another teacher, Alan Garvin, sometimes went on the rides.
- (Ex. A4 at 3.) The statute of limitations prevented any criminal charges against Mr. Mabry for his sexual contact with HS. (Test. of Halverson; Ex. A4 at 8.)
- (42) On or about September 30, 2013, Superintendent Hoppes received a police report regarding the HS/Mabry matter. (Test. of Hoppes.) By letter to TSPC dated October 7, 2013, Superintendent Hoppes stated, in part:

This correspondence is to report a possible violation involving a licensed administrator.

Based on information I recently received from the Astoria Police Department, [Mr.] Lockett, a former administrator in the Astoria School District, apparently was aware that a 17[-]year-old female student moved in with a male teacher during the school year, while his wife and children were out of the country. The occurrence took place in 2004. We have since been made aware that the teacher allegedly had an inappropriate sexual relationship with the student during this time. According to the police report, Mr. Lockett was fully aware of the living arrangements as it was reported to him by the teacher. Astoria School District does not have any documentation showing [that] Mr. Lockett attempted to communicate with the student's parents or Children[']s Services regarding the living arrangements[.]

(Ex. A5 at 1; test. of Hoppes.)

- (43) If Mr. Hoppes heard that a student was living alone with a teacher, it would raise red flags for him and he would investigate the matter. In such a scenario, it would be relevant to Mr. Hoppes that the teacher was male and the student female. (Test. of Hoppes.)
- (44) On October 16, 2013, the *Daily Astorian* newspaper published an article titled "Woman tells police details of prior sexual conduct with Astoria educator." (Ex. R9 at 1-3.) The article named Mr. Mabry as the educator alleged to have engaged in a sexual relationship with the woman. The article did not mention HS by name. (*Id.*)
- (45) Sometime after October 16, 2013, Superintendent Hoppes spoke with Ms. Belleque and summarized their conversation in writing. According to that summary, Ms. Belleque reported the following to him:
 - Mr. Mabry would have the lights out in his classroom and lock the door while inside with a student. She did not see anything inappropriate occurring between the student and Mr. Mabry, other than that they were alone under those circumstances.
 - On at least two occasions in the early spring of 2004, she told Mr. Lockett that she had concerns regarding a possible inappropriate situation occurring in Mr. Mabry's classroom.
 - She went to Mr. Lockett the second time because the situation did not stop after her first conversation with him. The situation also did not stop after her second conversation with him.
 - She also spoke about her concerns with Alan Garvin, but she does not remember his response.

(Ex. A6 at 1.)

⁹ Although Exhibits A6 and A7 list October 8, 2013 as the date that Superintendent Hoppes spoke with Ms. Belleque and Ms. McDonald, the preponderance of evidence indicates that he spoke with the women after the *Daily Astorian* article was published on October 16, 2013.

- (46) Sometime after October 16, 2013, Superintendent Hoppes also spoke with Ms. McDonald and summarized their conversation in writing. According to that summary, Ms. McDonald reported the following to him:
 - She worked across the hall from Mr. Mabry's classroom.
 - During lunch and tutorials in the afternoon, Mr. Mabry would have the classroom lights off and the door locked while inside with a student. The student was often sitting at Mr. Mabry's desk while he sat on the desk and faced her.
 - On two occasions in the early spring of 2004, she told Mr. Lockett that she had concerns regarding a possible inappropriate situation in Mr. Mabry's classroom.
 - The situation continued after her two conversations with Mr. Lockett.

(Ex. A7 at 1.)

- (47) In general, a school principal has discretion as to the manner of handling verbal complaints/concerns from school faculty or staff. If Superintendent Hoppes had been the principal who had received the reports from the three instructional assistants, he would have documented their concerns, as well as any investigation he conducted and the relevant findings. (Test. of Hoppes.)
- (48) On November 2, 2013, TSPC Investigator Cristina Edgar interviewed Mr. Lockett via telephone. (Ex. A9.) During the interview, Ms. Edgar asked Mr. Lockett if Mr. Mabry and HS ever approached him "about a living arrangement" or "anything else." (*Id.* at 2.) Mr. Lockett responded as follows:
 - No. No. I have a very...very vague recollection that occurred only after conversations that I tried to...tried to remember what was going on with this thing. A very, very vague, vague recollection about * * * Mr. Mabry hiring her as a nanny. But I...I don't recall any...any other conversation. And I don't know if I manufactured that memory through conversations that occurred after[]wards.
- (*Id.*) Ms. Edgar also asked Mr. Lockett what course of action he would have taken if Mr. Mabry had come to him and suggested that HS "move in with him for a few months while his ankle was broken." (*Id.*) Mr. Lockett responded as follows:
 - Well...I...I probably would have laughed, ahh...would have been my official response. That he, he couldn't possibly be serious. Umm...My second response would have probably been umm...you know you probably should look at other options. Might want to consider other things that might work for you.

(*Id*.)

(49) During the interview, when Ms. Edgar asked Mr. Lockett whether he remembered anyone approaching him regarding concerns about Mr. Mabry and HS, Mr. Lockett replied, "I do not remember anyone approaching me. * * * * *. I don't recall anybody coming to me and having that conversation." (*Id.* at 2-3.) Ms. Edgar and Mr. Lockett then had the following exchange:

Edgar: What [the witnesses] claimed is that they came to you and reported to you that [Mr.] Mabry during lunch and tutorial time * * * would close and lock his door and turn the light off. And would be in there alone with a female student.

Lockett: [T]hat would be an absolute huge, red flag. And I would absolutely have responded to that. I have no recollection of that. [I] know how I would respond to that situation. I cannot imagine that conversation happening. That would be huge for me.

* * * * *

I know exactly how I would have responded. If I had heard that a teacher was locking his door or her door, with another student in there and turning the lights off. I'd know exactly how I would respond. That would have been highly inappropriate. It would upset me tremendously. [I] would have responded to that immediately. And I cannot imagine having been told that and not responding immediately.

(Id. at 3.) Mr. Lockett also told Ms. Edgar the following:

I had to * * * search back in the memory banks long and hard to try to even figure out any conversations or remember anything about this incident. And * * * my recollections are * * * vague. But * * * I take great pride in protecting my kids. If I had suspected that something was going on I would have reacted immediately. [I] just can't imagine how this slipped through the network.

(Id.)

- (50) On December 20, 2013, Mr. Mabry participated in an interview with Ms. Edgar. (Ex. A8.) During the interview, Mr. Mabry reported the following:
 - HS was not his student.
 - HS told him that her parents were alcoholics and that she wanted to move out of her parents' home once she turned 18 years old.
 - He broke his leg/ankle in January 2004.
 - His wife and kids initially planned to be in Germany in April and May 2004.
 However, they ended up leaving for Germany in February and stayed until June 2004.

- Sometime in February 2004, after his wife and kids were gone, HS was house-sitting at the Mabry home while he was at a wrestling tournament. He came home unexpected for a night because of leg pain. He and HS did not have sexual contact or intercourse that night.
- HS moved into the Mabry home in early March 2004, after she turned 18.
- It was no secret in the school community that HS was living in the Mabry home. He had even talked to the principal about it.
- HS slept in his daughter's room until the final week she stayed in the Mabry home. During that final week, she slept in his bed with him.
- He and HS did not have sexual contact until after HS graduated and she was 18 years old. The sexual relationship was "mutual" and consensual."
- He never had sexual contact with HS at the school.
- He never locked the classroom door or intentionally turned off the classroom lights while alone in the classroom with HS.
- When HS asked him when they were "first together" via Facebook, he intended for his response (*i.e.* "I think it was during the state tournament when I came home on a Friday night.")¹⁰ to refer to the first time they were alone together in the Mabry home. He did not intend his response to refer to the first time they had sexual intercourse.

(Id.)

CONCLUSIONS OF LAW

- 1. Mr. Lockett committed gross neglect of duty, in violation of ORS 342.175(1)(b) and OAR 584-020-0040(4)(n), as it incorporates OAR 584-020-0010(5) and 584-020-0025(3)(a).
 - 2. Revocation of Mr. Lockett's educator license is the appropriate sanction.

OPINION

TSPC has proposed to revoke Mr. Lockett's educator license for gross neglect of duty, in violation of 342.175(1)(b); OAR 584-020-0040(4)(m), (n), (o), and (s); OAR 584-020-0010(5); OAR 584-020-0025(3)(a); and 584-020-0035(3)(a). TSPC must prove its allegations by a preponderance of the evidence, and it must also establish that the proposed sanction is authorized under the Commission's statutes and rules. ORS 183.450(2) ("The burden of presenting evidence to support a fact or position in a contested case rests on the proponent of the fact or position"); Harris v. SAIF, 292 Or 683, 690 (1982) (general rule regarding allocation of burden of proof is that the burden is on the proponent of the fact or position); Metcalf v. AFSD, 65 Or App 761, 765 (1983) (in the absence of legislation specifying a different standard, the standard of proof in an administrative hearing is preponderance of the evidence). Proof by a preponderance of the evidence means that the fact finder is persuaded that the facts asserted are more likely than not true. Riley Hill General Contractor v. Tandy Corp., 303 Or 390, 402 (1987).

¹⁰ See Exhibit A2 at 1.

OAR 584-020-0040(4)(n) provides that "[s]ubstantial deviation from professional standards of competency set forth in OAR 584-020-0010 through 584-020-0030" may be admissible as evidence of gross neglect of duty.

OAR 584-020-0010 is titled "The Competent Educator" and section (5) therein states that an educator must demonstrate a commitment to "[u]se professional judgment." OAR 584-020-0025(3)(a) provides that a competent administrator must demonstrate:

Leadership skills in managing the school, its students, staff, and programs as required by lawful and reasonable district policies, rules, and regulations, state and federal laws and regulations, and other programs as assigned, and assures that staff is informed of these requirements[.]

The issue is whether Mr. Lockett failed to demonstrate professional judgment and leadership skills with regard to the situation involving Mr. Mabry and HS. Determinations regarding the competency of an educator's performance are viewed "in light of all the facts and circumstances surrounding the educator's performance as a whole." OAR 584-020-0000(3).

It is necessary to first determine what Mr. Lockett knew and when he knew it. Such a determination is significantly complicated by the fact that the relevant events occurred 11 years ago, and that Mr. Lockett's recollections have shifted somewhat since TSPC began its investigation. When Mr. Lockett first spoke to a TSPC investigator in November 2013, he informed the investigator that his recollections concerning the situation were vague and that he had to "search back in the memory banks long and hard to try to even figure out any conversations or remember anything about this incident." Exhibit A9 at 3. He informed the investigator that he had a vague memory of Mr. Mabry employing HS as a nanny back in 2004, but that he may have manufactured the memory. He also told the investigator that he did not have a discussion with Mr. Mabry and HS regarding the plan for HS to live in the Mabry home. When the investigator asked him what he would have done if Mr. Mabry had come to him and suggested that HS "move in with him for a few months while his ankle was broken," Mr. Lockett responded that he would have initially laughed at Mr. Mabry, and then he would have told him to consider other options. *Id.* at 2. During the interview, Mr. Lockett did not inform the investigator of any actions he took with regard to HS living in the Mabry household in 2004.

In contrast, at the hearing, Mr. Lockett provided rather detailed testimony about certain steps he took late in the school year in 2004, once he learned that Ms. Mabry was not in the Mabry home while HS was residing there. For example, he testified that he had conversations about the situation with school counselors Knowlson and Panowicz, the district superintendent, and the school's student resource officer. His detailed recollections at hearing are in sharp contrast to his almost complete inability to remember any relevant events when speaking with the TSPC investigator in November 2013. This calls into question the reliability of those newer recollections, especially given that Mr. Lockett has made two references (once during the 2013 interview and once at hearing) to the fact that he may have manufactured some false memories with regard to this matter.

During the November 2013 interview, Mr. Lockett also told the investigator that he did not recall anyone reporting concerns to him regarding Mr. Mabry and HS. When the investigator told Mr. Lockett that certain individuals claimed that in 2004 they reported to him that Mr. Mabry was observed alone in the classroom with a female student with the lights turned off and the door locked, Mr. Lockett stated that if he had received such a report, it would have been "an absolute huge, red flag" to which he would have absolutely responded. *Id.* at 3. He went on to state that he would have responded to such a report immediately and that he could not "imagine having been told that and not responding immediately." *Id.*

At hearing, Mr. Lockett testified that even though he does not recall Ms. Belleque and Ms. McDonald reporting any concerns to him involving HS and Mr. Mabry in 2004,¹¹ he does not dispute the veracity of their statements. And, with no specific recollection of receiving reports from Ms. Belleque, Ms. McDonald, or a third staff person, or of any steps taken (or not taken) afterwards, Mr. Lockett testified at hearing that he would have considered their concerns about Mr. Mabry and HS unwarranted, given that he knew it was typical at the school for the lights to be off, that he knew the classroom doors locked from the outside, that he knew that Mr. Mabry was serving as a mentor for HS and that HS had performed babysitting for Mr. Mabry's kids, and that he believed Mr. Mabry to be a "very good family man." Testimony of Lockett. Mr. Lockett's testimony indicates that he would have been able to dispense with the staff members' complaints without any investigation or further action based on things he already knew and believed to be true about the physical building, Mr. Mabry, and HS. This assertion contradicts his previous statements to the TSPC investigator, wherein he insisted that upon receiving complaints of the type described, he would have acted immediately because it would have been a "huge, red flag" for him. See Exhibit A9 at 3.

Mr. Lockett testified at hearing that he could not recall how or when he first knew that HS had moved into the Mabry home, but that he did learn of the situation during some portion of 2004. However, he testified that he believed HS was residing there "with the family" and that the situation did not raise any concerns for him. Testimony of Lockett. At hearing, he asserted that he learned late in the 2003-2004 school year that Ms. Mabry was actually in Germany, but that instead of investigating whether the Mabry children had also gone to Germany, he simply assumed that the children remained at the home and that HS was helping care for them.

The record supports, more likely than not, the following relevant facts:

During the 2003-2004 school year, Mr. Lockett knew HS, then a senior, to be a socially isolated person, with grade and attendance issues, and a poor home life. Mr. Lockett knew Mr. Mabry, then a science teacher and wrestling coach, to be a married man with two children. Mr. Lockett, as well as other faculty and staff members, believed that Mr. Mabry was serving as a mentor to HS.

Unbeknownst to Mr. Lockett, sometime during the fall semester of the 2003-2004 school year, Mr. Mabry and HS developed an intimate relationship that grew to include sexual contact

¹¹ He testified that any prior recollections he had of speaking to Ms. McDonald in the office and Ms. Belleque in the sports hall about the situation were memories he had falsely, and unintentionally, created.

that sometimes occurred in Mr. Mabry's classroom and science storage room. That relationship continued until June 2004.

Sometime prior to March 3, 2004, Mr. Mabry and HS informed Mr. Lockett of a plan for HS to move into the Mabry home while Mr. Mabry's family was away so that HS could assist Mr. Mabry, who had a broken leg at the time, with household tasks. There is no evidence that Mr. Lockett took any action to dissuade them from entering into that living arrangement, that he attempted to discuss the arrangement with HS's parents or school counselors, or that he reported the arrangement to anyone.

On March 3, 2004, HS turned 18 years old. On or shortly after that date, she moved into the Mabry household, and she and Mr. Mabry continued their sexual relationship. During this time period, HS accompanied Mr. Mabry to some school social functions that included other faculty members, and she sometimes rode to school with Mr. Mabry on the back of his motorcycle.

Sometime between January and June 2004, Ms. McDonald, Ms. Belleque, and another staff person went to Mr. Lockett's office and expressed that they were uncomfortable with some things they had observed relating to Mr. Mabry and HS. They specifically mentioned their concerns about Mr. Mabry and HS being alone in Mr. Mabry's classroom with the lights off and the door locked. There is no evidence that Mr. Lockett took any actions to investigate or address the staff members' concerns. There is no evidence that he spoke to Mr. Mabry, HS, or anyone else about the situation.

Ms. Belleque subsequently heard rumors that HS was living with Mr. Mabry, and she observed HS and Mr. Mabry arriving at school together on Mr. Mabry's motorcycle. Because she continued to observe the same classroom behavior involving HS and Mr. Mabry, she went to Mr. Lockett a second time and conveyed that she continued to have concerns about the situation. There is no evidence that Mr. Lockett took any actions to investigate or address Ms. Belleque's continuing concerns. There is also no evidence that he spoke to Mr. Mabry, HS, or anyone else about the situation.

During all relevant times, the Astoria School District had a policy addressing sexual harassment, including sexual harassment of students. Policy GBN/JBA stated in part as follows:

"The Board is committed to the elimination of sexual harassment in district schools and activities. Sexual harassment is strictly prohibited and shall not be tolerated. This includes sexual harassment of students or staff by other students, staff, Board members or third parties." ¹²

Policy GBN/JBA required all reports of behavior that might violated the policy to be investigated, and employees were required to report potential misconduct to the "building principal, compliance officer, or superintendent." Related policy JBA/GBN-AR provided (among others) building principals to be responsible for investigating reports concerning sexual harassment under Policy

¹² Ex R3, p 5

¹³ *Id*.

GBN/JBA.¹⁴ Upon receiving information of potential sexual harassment, the "district official receiving the information" was responsible for "promptly" initiating an investigation.¹⁵

TSPC contends that, as the principal of Astoria HS, Mr. Lockett had a duty to investigate the concerns brought to him by the three staff members, and that his failure to perform any investigation demonstrates a serious lack of professional judgment. TSPC further contends that Mr. Lockett's failure to take any actions after learning that HS planned to move in with Mr. Mabry while his family was gone shows a similar lack of judgement.

At hearing, Appellant emphasized the fact that he had no reason to know or believe that Mr. Mabry and HS were engaging in a sexual relationship during the 2003-2004 school year. The relevant point, however, is that Mr. Lockett received seemingly reliable information from multiple staff members that Mr. Mabry might be engaging in a boundary violation with a female student. ¹⁶ Coupled with that information was Mr. Lockett's knowledge that HS was an "at risk" student with scant social support and a difficult home life. And, at some point before, around the time of, or after receiving the staff complaints, Mr. Lockett had knowledge that HS had moved into Mr. Mabry's home. ¹⁷ Yet, instead of following up on the information provided to him from the three instructional assistants, the preponderance of the evidence indicates that Mr. Lockett simply deemed any concerns about HS and Mr. Mabry to be unwarranted. Even when one of the staff members returned to him some time later to voice her continuing concerns about HS and Mr. Mabry, the record shows, more likely than not, that Mr. Lockett took no investigatory actions and simply determined, based on his own knowledge and beliefs, that the concerns were meritless.

Mr. Lockett's decision to dismiss the staff members' complaints without any investigation was a violation of applicable district policy discussed above, and demonstrates an extreme lack of professional judgment and leadership and shows serious and material inattention to his responsibilities as principal of Astoria HS. TSPC has therefore proven that Mr. Lockett committed gross neglect of duty under OAR 584-020-0040(4)(n), as it incorporates OAR 584-020-0010(5) and 584-020-0025(3)(a). Thus, TSPC has established that Mr. Lockett violated ORS 342.175(1)(b).¹⁸

¹⁴ *Id.* at 11

¹⁵ *Id.*, (The Commission has supplemented its reasoning by adding discussion of applicable district policies during the relevant time period).

¹⁶ OAR 584-020-0035(1)(c)(D) states that an ethical educator must "[m]aintain an appropriate professional student-teacher relationship by * * * [h]onoring appropriate adult boundaries with students in conduct and conversations at all times."

¹⁷ The record is unclear as to whether and why Mr. Lockett, and some other faculty and staff members, believed that Ms. Mabry and the Mabry children were in the home while HS was living there. Given that Mr. Lockett seemed to readily make assumptions about Mr. Mabry's good faith and moral character, he may have simply assumed that Ms. Mabry was present (as he testified that he assumed the children remained in the home after learning that Ms. Mabry was actually in Germany). Given Mr. Lockett's questionable recollections as to certain material facts, it is difficult to know what he actually knew back in 2004, and what he currently believes he knew back in 2004.

¹⁸ The Commission has supplemented the reasoning in this paragraph to refer to the district policies that Mr. Lockett failed to follow.

C. Substantial deviation from professional standards of ethics

Third, TSPC contends that Mr. Lockett committed gross neglect of duty in violation of OAR 584-020-0040(4)(o), as it incorporates OAR 584-020-0035(3)(a).

OAR 584-020-0040(4)(o) provides that "[s]ubstantial deviation from professional standards of ethics set forth in OAR 584-020-0035" may be admissible as evidence of gross neglect of duty.

OAR 584-020-0035(3)(a) provides:

The ethical educator, in fulfilling obligations to the profession, will:

(a) Maintain the dignity of the profession by respecting and obeying the law, exemplifying personal integrity and honesty[.]

The ALJ concluded that TSPC had not established a violation of the rule above. Because the sanction imposed in this order does not depend on finding a violation of the rule cited above, the Commission omits further discussion.

D. Failure to report child abuse

Finally, TSPC contends that Mr. Lockett committed gross neglect of duty under OAR 584-020-0040(4)(s), which provides that "[f]ailing to report child abuse pursuant to ORS 419B.010" may be admissible as evidence of gross neglect of duty.

ORS 419B.010(1) states, in relevant part:

Any public or private official having reasonable cause to believe that any child with whom the official comes in contact has suffered abuse or that any person with whom the official comes in contact has abused a child shall immediately report or cause a report to be made in the manner required in ORS 419B.015[.]

Under ORS 419B.005(1)(a)(D), "abuse" includes "[s]exual abuse, as described in ORS chapter 163." ORS 163.415(1) provides, in part, that a person commits sexual abuse in the third degree if:

(a) The person subjects another person to sexual contact¹⁹ and:

* * * * *

¹⁹ ORS 163.305(6) defines "sexual contact" as "any touching of the sexual or other intimate parts of a person or causing such person to touch the sexual or other intimate parts of the actor for the purpose of arousing or gratifying the sexual desire of either party."

(B) The victim is incapable of consent by reason of being under 18 years of age[.]

The ALJ concluded that the Commission failed to established gross neglect of duty for failure to report child abuse. The Commission does not dispute this conclusion, and does not rely on it to determine the sanction in this case. The Commission therefore omits further discussion on this issue.

2. Sanction

TSPC has proven that Mr. Lockett engaged in gross neglect of duty under OAR 584-020-0040(4)(n), in violation of ORS 342.175(1)(b). OAR 584-020-0045 sets forth various factors that TSPC may consider in determining the appropriateness of a sanction, and provides:

The Commission may consider one or more of the following factors, as it deems appropriate, in its determination of what sanction or sanctions, if any, should be imposed upon a finding that an educator has violated any standard set forth in OAR 584-020-0040:

- (1) If the misconduct or violation is an isolated occurrence, part of a continuing pattern, or one of a series of incidents;
- (2) The likelihood of a recurrence of the misconduct or violation;
- (3) The educator's past performance;
- (4) The extent, severity, and imminence of any danger to students, other educators, or the public;
- (5) If the misconduct was open and notorious or had negative effects on the public image of the school;
- (6) The educator's state of mind at the time of the misconduct and afterwards;
- (7) The danger that students will imitate the educator's behavior or use it as a model;
- (8) The age and level of maturity of the students served by the educator;
- (9) Any extenuating circumstances or other factors bearing on the appropriate nature of a disciplinary sanction; or
- (10) To deter similar misconduct by the educator or other educators.

TSPC has proposed revocation of Mr. Lockett's license. The ALJ considered the factors outlined above, stating the following:

"Factors weighing in favor of a lesser sanction than revocation include that the violation involved a single situation (*i.e.* the relationship between Mr. Mabry and HS) and that Mr. Lockett has had an otherwise exemplary career as a school administrator.

However, multiple factors weigh in favor of revocation. Mr. Lockett's extreme lack of professional judgment and leadership when confronted with credible information that suggested a potential boundary violation between Mr. Mabry and a minor student is deeply concerning. Because Mr. Lockett does not seem to have accepted responsibility for exercising poor judgment with regard to that matter, it is uncertain whether he might repeat his conduct if faced with a similar situation in the future. And, while it is unknown precisely what may have occurred if Mr. Lockett had followed up on instructional staffs' complaints and investigated HS's living situation more closely, it is possible that such actions may have had an effect on whether boundary violations, and ultimately criminal sexual conduct, continued between HS and Mr. Mabry on school property. Once it came to the public's attention that Mr. Mabry had engaged in serious boundary violations and a criminal sexual relationship with HS, Astoria HS was arguably cast in an unfavorable light. A harsh sanction for the proven violation may serve as a reminder to other TSPC licensees to accord potential boundary violation matters the significance they deserve.

In sum, revocation of Mr. Lockett's license is within TSPC's statutory range of discretion, and I conclude, based on the factors considered above, that it is appropriate under the circumstances of this case."

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The Commission concludes that revocation is the appropriate sanction in this case. Mr. Lockett received multiple reports regarding HS and Mabry, but did not act on them despite clear written directives outlining his responsibilities as a building principal. Revocation is also appropriate because the case involved student safety/danger, which the Commission always considers a high priority. Here, harm to HS could have been prevented if Mr. Lockett had investigated. With revocation as a sanction, Mr. Lockett will be allowed under ORS 342.175(4)(a) to apply for reinstatement after one year from the date of the revocation.

ORDER

For the foregoing reasons, Larry Lockett's license is hereby revoked.

IT IS SO ORDERED THIS _____ day of June, 2018.

TEACHER STANDARDS AND PRACTICES COMMISSION

NOTICE OF APPEAL OR RIGHTS

YOU ARE ENTITLED TO JUDICIAL REVIEW OF THIS ORDER. JUDICIAL REVIEW MAY BE OBTAINED BY FILING A PETITION FOR REVIEW WITHIN 60 DAYS FROM THE SERVICE OF THIS ORDER. JUDICIAL REVIEW IS PURSUANT TO THE PROVISIONS OF ORS 183.482 TO THE OREGON COURT OF APPEALS.