

## DIVISION 47 - FOCUSED INVESTMENT PARTNERSHIP GRANTS

### 695-047-0010

#### Purpose

The Board shall provide grants, as funds are available, for Focused Investment Partnership initiatives that address Board-identified priorities of significance to the ~~state through either Implementation grants or Development grants. This Division supplements the OWEB Grant Program rules under OAR 695-005 and provides specific requirements for the Focused Investment Partnership Grants Program. In the event of any conflict between these requirements, and the requirements identified in OAR 695-005, the Focused Investment Partnership Grant Program requirements in this Division take precedence.~~

Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

#### History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

### 695-047-0020

#### Definitions

(1) "Focused Investment Partnership" means an OWEB investment that addresses a Board-identified priority of significance to the state, achieves ~~clear and measurable ecological outcomes, uses integrated and results-oriented approaches~~ as identified through a ~~Strategic Action Plan~~ strategic action plan, and is implemented by a ~~High-Performing Partnership~~ high-performing partnership.

~~(2) "Focused Investment Partnership Development" means an initiative with an existing partnership that is pursuing enhancement of that partnership, development of a strategic action plan and community engagement in support of the strategic action plan.~~

~~(3) "Focused Investment Partnership Implementation" means an initiative with an existing strategic action plan that is ready for implementation by a high-performing partnership.~~

(24) "Initiative" means the program that the partnership will pursue with Focused Investment Partnership funding.

~~(35) "Strategic Action Plan" is a document that describes the long-term, science-based conservation strategy of a partnership, providing context for the Conservation Actions. A Strategic Action Plan is required at time of application, and may cover a timeframe longer than the proposed FIP initiative. Plans will include all components identified by OWEB as a part of the application process.~~

~~(46) "High-Performing Partnership" means a collaborating group of organizations with an existing Strategic Action Plan and a governance structure that includes a formal decision-making process resulting in an effective performance history.~~

~~(57) "Core Partners" are identified in the Initiative grant application as signatories to the Focused Investment Partnership Agreement and are eligible to apply for project-level grants to provide capacity for partnership coordination and Work Plan implementation. the partners identified in the proposal that will bring substantial capacity to a partnership and will lead the implementation effort.~~

(6) "Conservation Actions" are specific ecological conservation or restoration interventions, treatments, projects, or other activities that will contribute to specific Conservation Output objectives.

(7) "Conservation Outputs" are specific products or yields resulting from Conservation Actions that can be quantified and measured over time.

(8) "Ecological Outcomes" are near-and-long-term results that are expected to emerge from

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Conservation Actions and resulting Conservation Outputs.

(9) "Theory of Change" is a way of explaining the hypothesized relationships between Conservation Actions and the Ecological Outcomes they are expected to produce.

(8) "Measurable Ecological Outcomes" means quantifiable long-term ecological effects benefits resulting from a series of conservation actions.

(109) "Expert Review Team" means a team of designated personnel with statewide knowledge and interdisciplinary expertise drawn from agencies represented on the Board and other entities as appropriate to evaluate Focused Investment Partnership Initiative Implementation proposals and Development applications based on evaluation criteria in OAR 695-047-0060.

(10) "Technical Review Team" means a team of designated personnel with regional knowledge and interdisciplinary expertise drawn from agencies represented on the Board and other entities to evaluate Focused Investment Partnership Implementation project-level grant applications.

(11) "Work Plan" means the proposed actions of the partnership in each biennium of the Initiative. Focused Investment Partnership Implementation Partnerships will submit to OWEB an updated Wwork Plan to OWEB work plan in advance of each new biennium.

*Statutory/Other Authority:* ORS 541.906

*Statutes/Other Implemented:* ORS 541.890-541.969

*History:*

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0030**

**Board-identified Priorities**

At least every five years, the Board shall approve ecological priorities of significance to the State to be addressed by Focused Investment Partnerships Initiatives. Ecological priorities shall be determined with public input and scientific rigor, and shall include maps, a Theory of Change, and narrative describing the desired ecological outcomes for eligible Focused Investment Partnership Initiative activities.

*Statutory/Other Authority:* ORS 541.906

*Statutes/Other Implemented:* ORS 541.890-541.969

*History:*

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0040**

**Eligibility**

(1) The Board shall only consider a Focused Investment Partnership Implementation Initiative proposal application that:

(a) Addresses a Board-identified priority of significance to the state; and

(b) Is to be implemented by a High-Performing Partnership, high-performing partnership.

(c) Demonstrates that 25% match is sought and shall be expended within the scope and geography of the Initiative application.

(2) The Board shall only consider a Focused Investment Partnership Development Initiative application that:

(a) Addresses a Board-identified priority of significance to the state; and

(b) Is to be implemented by an existing partnership.

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Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0050**

**Focused Investment Partnership Implementation Proposal and Focused Investment Partnership Development Initiative Application Requirements**

~~(1) Focused Investment Partnership Implementation Initiative proposals applications shall:~~

~~(a) Be submitted on the most current format using the process prescribed by the Board OWEB; and~~

~~(b) Demonstrate that 25% match is sought, and shall be expended within the scope and geography of the Initiative application.~~

~~(2) Focused Investment Partnership Development Initiative applications shall:~~

~~(a) Be submitted on the most current form using the process prescribed by the Board; and~~

~~(b) Demonstrate that 25% match is sought.~~

Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0060**

**Evaluation Criteria**

(1) Focused Investment Partnership Initiative Implementation proposals applications shall be evaluated on:

~~(a) The extent to which the initiative addresses a Board identified priority;~~

~~(ab) The capacity to partner and engage the community, and catalyze additional investments applied to activities within the initiative geography;~~

~~(b) The extent to which the partnership demonstrates an established governance structure and a shared history of collaboratively implementing and adaptively managing Conservation Actions and adaptively managing to achieve Conservation Outputs;~~

~~(c) The composition of the partnership is appropriate to achieve Ecological Outcomes within the Initiative;~~

~~(bc) The performance history and composition of the partnership;~~

~~(de) The extent to which the partnership's Theory of Change supports the proposed Initiative and aligns with a Board identified ecological priority; The extent to which the Partnership's Theory of Change supports the proposed Initiative;~~

~~(e) The extent to which the Conservation Actions and Conservation Outputs described in the proposed approach w/ Work Plan will make progress toward measurable Ecological Outcomes;~~

~~(fe) The extent to which the partnership demonstrates a process to track Conservation Outcomes Outputs that are aligned with Ecological Outcomes ability to track progress towards proposed outcomes; and~~

~~(g) The extent to which the allocation of funds across proposed grant types will support the achievement~~

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of the proposed Ecological Outcomes and catalyze additional investments.

~~(2) Focused Investment Partnership Development applications shall be evaluated on:~~

~~(a) The extent to which the initiative addresses a Board-identified priority;~~

~~(b) The capacity to partner, engage the community, and catalyze additional investments within the initiative geography; and~~

~~(c) The performance history and composition of the partnership.~~

Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0070**

**Focused Investment Partnership Initiative Expert Review Process**

~~The Board For Focused Investment Partnership Initiative applications, OWEB shall convene an Expert Review Team. The Expert Review Team shall to expert review teams to evaluate, and rank, and provide a funding recommendation for Focused Investment Partnership Initiative applications based on the information provided and Implementation proposals and Development applications according to criteria described in OAR 695-047-0060. Expert review review teams shall evaluate each application based on the information provided and deliver recommendations evaluations and rankings to OWEB staff. The results of the expert review process, including evaluations, shall be provided to applicants and the Board.~~

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Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0080**

**Focused Investment Partnership Initiative Funding Recommendation Process**

~~(1) For Focused Investment Partnership Implementation Initiative applications:~~

~~(1a) OWEB staff shall review the recommendations the results from each the Expert Review Team expert review team and make a funding recommendation, based on the Board-adopted spending plan line item for Focused Investment Partnership Initiatives, to a Board subcommittee. The results from the Expert Review Team and OWEB staff funding recommendations shall be provided to applicants and the Board.~~

~~(2b) The Board subcommittee shall interview Core Partners proposing an Implementation Initiative.~~

~~(3e) The Board committee shall make a funding recommendation to the Board based on the results of the Expert Review Team, the OWEB staff funding recommendations, the interview of the core partners, and the evaluation criteria described in OAR 695-047-0060. The Board subcommittee shall review the results of the Expert Review Team, OWEB staff funding recommendations, and the results of the interview of the Core Partners, and based on the evaluation criteria described in POAR 695-047-0060, make a funding recommendation to the Board.~~

~~(2) For Focused Investment Partnership Development Initiatives, OWEB staff shall review the recommendations from each expert review team and make a funding recommendation to the Board. This recommendation shall also be provided to applicants.~~

Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0090**

**Board Funding Decision**

~~(1) For Focused Investment Partnership **Implementation** Initiatives,~~

~~(a) The Board may fund an Initiative in whole or in part.~~

~~(b) The Board shall award funds on a biennial basis. Subject to Board evaluation and future appropriations, the Board seeks investment for up to six years for each Initiative. A biennial award will not exceed \$4 million and the full six year award will not exceed \$12 million. Prior to soliciting for Initiatives, the Board shall determine the maximum amount for biennial awards.~~

~~(2) For Focused Investment Partnership Development Initiatives the Board may fund an Initiative in whole or in part.~~

Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0100**

**Focused Investment Partnership ~~Implementation~~ Initiative Partnership Agreement**

(1) For Focused Investment Partnership ~~Implementation~~ Initiatives, a partnership agreement shall be executed between OWEB and core partners that shall stipulate the terms of the Initiative.

(2) The agreement shall include at a minimum: points of contact, purpose of initiative, scope of initiative, process for submitting project-level grant applications, review process, funding conditions, roles and responsibilities of signatories, and methodology to address any potential changes in composition of the core partnership.

(3) Only organizations that are signatory to the partnership agreement are eligible to apply for project level grants.

~~(4) Projects in the defined geographic area of the Initiative, and focused on the programs and actions identified in the Initiative's proposal, are ineligible for the grant types listed in OAR 695-047-0110(8) that are offered outside of the Focused Investment Partnership program.~~

Statutory/Other Authority: ORS 541.906

Statutes/Other Implemented: ORS 541.890-541.969

History:

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

**695-047-0110**

**Focused Investment Partnership ~~Implementation~~ Initiative Project-level Grant Process**

(1) The core partners of a Focused Investment ~~Implementation~~ Initiative shall select projects to implement the Initiative.

(2) Project applications shall be submitted on the most current formats prescribed by OWEB, forms on a schedule determined by the partnership and OWEB staff.

~~(3) Consistent with OAR 695-005-0030(2), pProject applications shall include a matching contribution from other non-Board program funds or in-kind services, notwithstanding OAR 695-005-0030(3). The~~

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~~matching contribution may include sources of funding from other projects in the Initiative that are consistent with the activities described in the partnership's Initiative application and expended within the geography of the Initiative.~~

(4) Following consultation with the partnership about expertise that is relevant to the ~~Implementation~~ Initiative's focus, a ~~Technical Review Team~~ shall be convened by OWEB. ~~Technical review team members shall have appropriate expertise in the Focused Investment Partnership Initiative subject matter and geography.~~

(5) The ~~Technical Review Team~~ shall meet to evaluate project-level applications according to the project's compatibility with the Initiative's proposal and relevant evaluation criteria established in OAR Chapter 695. Representatives of the partnership shall be provided an opportunity to meet with the ~~Technical Review Team~~ during the project evaluation to provide context for proposed projects.

(6) OWEB staff shall provide the ~~Technical Review Team~~ evaluations to the applicant. Applicants and staff shall address ~~any revisions to the project recommended by the Technical Review Team~~ comments through ~~an amendment~~ edits to the project-level grant application or other agreed upon process.

~~(7) Notwithstanding 695-005-0060(2) and (8,) initiatives require 25% match, reported at the Initiative-level for the biennium. Each project within the initiative also requires match. Prior to disbursement of Board funds for a project the grantee must provide proof that the matching contribution has been secured for the project. Initiatives that do not meet the required 25% match by the end of the biennium will not be awarded subsequent Implementation Initiative funding.~~

~~(7)~~ Grant types for Focused Investment ~~Implementation~~ Initiatives are Restoration (OAR 695-010), ~~Stakeholder~~ Engagement (OAR 695-015), Monitoring (OAR 695-025), Technical Assistance (OAR 695-030), Land Acquisition (OAR 695-045), and Water ~~Lease and Transfer~~ Acquisition (OAR 695-046).

*Statutory/Other Authority:* ORS 541.906

*Statutes/Other Implemented:* ORS 541.890-541.969

*History:*

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

#### **695-047-0120**

##### **Distribution of Funds**

~~(1) Focused Investment Partnership Development Initiative funds shall be distributed through grant agreements executed in accordance with OAR 695-005-0050 and 695-005-0060.~~

~~(2) Focused Investment Partnership ~~Implementation~~ Initiative funds shall be distributed through project-level grant agreements through a process described in the partnership agreement described in OAR 695-047-0100.~~

*Statutory/Other Authority:* ORS 541.906

*Statutes/Other Implemented:* ORS 541.890-541.969

*History:*

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

#### **695-047-0130**

##### **Reporting**

~~(1) Reporting for Focused Investment Partnership Development Initiatives shall be in accordance with OAR chapter 695, division 005.~~

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~~(2) Focused Investment Partnership Implementation Initiatives shall report to OWEB staff through a collaboratively established process and timeline.~~

~~(13) Reporting for project-level grants shall be determined in each project grant agreement.~~

~~(24) At the end of each biennium, the Focused Investment Implementation Initiative partnerships shall, in collaboration with OWEB staff, report the following to the Board:~~

~~(a) Demonstrated 25% secured match for the Initiative for the biennium plus any additional investments generated by the Initiative.~~

~~(a) Initiative progress for the biennium;~~

~~(b) Additional investments generated through the Initiative beyond match used for project-level applications;~~

~~(c) Updated Work Plan and budget proposed for the next biennium; and~~

~~(d) Any proposed changes to the geography, scope, or Core Partners of the Initiative.~~

*Statutory/Other Authority:* ORS 541.906

*Statutes/Other Implemented:* ORS 541.890-541.969

*History:*

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

#### **695-047-0140**

##### **Waiver and Periodic Review of Rules**

The Director may waive the requirements of Division 47 unless required by statute, when doing so will result in more efficient or effective implementation of the Board's Focused Investment Partnership grant program. Any waiver must be in writing and included in the grant file to which the waiver applies. Any waiver of the requirements of Division 47 will be reported to the Board at the next Board meeting. The administrative rules for Focused Investment Partnership grants shall be periodically reviewed by the Board and revised as necessary and appropriate.

*Statutory/Other Authority:* ORS 541.906

*Statutes/Other Implemented:* ORS 541.890-541.969

*History:*

OWEB 1-2018, adopt filed 02/02/2018, effective 02/02/2018

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