**State of Oregon** 



# Reference Manual for Building Officials



# **Reference Manual for Building Officials**

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# Introduction

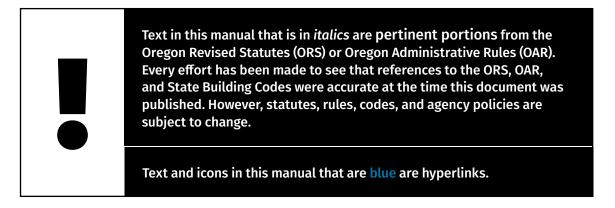
This manual has been prepared and published by the Oregon State Board of Examiners for Engineering & Land Surveying (OSBEELS) and the Oregon State Board of Architect Examiners (OSBAE).

The purpose of this manual is to aid Oregon Building Officials and the general public in understanding the laws governing the practices of architecture and engineering in Oregon and does not supersede any applicable statutes, rules, or regulations. Contact information for the Building Codes Division, Construction Contractors Board, Landscape Contractors Board, Landscape Architect Board, and the Oregon State Board of Geologist Examiners are also included under Chapter 7, **Contact Information**.

This information is provided as part of a continuing effort to safeguard the health, safety, and welfare of the public through proper enforcement of the legal requirements for design, supervision of design, construction observation, and construction inspection of buildings in Oregon.

For this manual, the term "building official" encompasses the global role of protecting the health, safety, and welfare of the public by the person charged by the jurisdiction with responsibility for administration and enforcement of the state building code.

OSBAE and OSBEELS assure the public that only those individuals who have met minimum professional standards of education, experience, and examination may plan, design, and supervise the erection of non-exempt structures.



### Reminders:

- This guide is not all inclusive, users should consult the actuals laws and statutes for the most accurate information.
- · Citations to ORS are to the 2023 Oregon Revised Statutes.

# The Practice of Architecture

### ORS 671.020 states:

- ... an individual or business entity that does not have a valid certificate of registration from the State Board of Architect Examiners may not:
- (a) Engage in the practice of architecture within this state;
- (b) Assume or use a title, form of address or other designation within this state that indicates or reasonably could be understood to indicate that the individual is an architect or that the individual or business entity engages in the practice of architecture; or
- (c) State, declare, represent or otherwise purport in any title, sign, card, device, advertisement or other indication of the individual's or the business entity's business or occupation that the individual is an architect or that the individual or business entity engages in the practice of architecture within this state...

### ORS 671.010(8) defines the practice of architecture as:

...engaging in the art and science of designing, in whole or in part, buildings and the space within and appurtenant to buildings and providing related services that include, but are not limited to, planning, developing design concepts, preparing technical submissions and other documents that define a building's form and function, coordinating construction work and the work of other consultants and providing construction phase services.

# OAR 806-010-0075 describes the practice of architecture as follows:

- (1) The "Practice of Architecture" is defined in ORS 671.010 and relates to the professional activities of the registered architect. These activities include all analysis, calculations, research, graphic presentation, literary expression, and advice related to the preparation of documents for the design and construction of buildings, structures, and their related environment whether interior or exterior.
- (2) The permission granted in ORS 671.065(2) that permits an architect who is not registered in Oregon to offer to render services does not allow that non-registered architect to engage in the Practice of Architecture.

# The Provision of Architectural Services by a Firm

Businesses that provide architectural services in Oregon must register with OSBAE as a firm.

ORS 671.041 states:

- (1) A business entity may apply to the State Board of Architect Examiners for a certificate of registration that authorizes the business entity to engage in the practice of architecture within this state as a registered architectural firm.
- (5) A business entity that provides services in this state that constitute the practice of architecture may provide the services only through, or under the responsible control of, an owner or employee of the business entity who is a registered architect.

ORS 671.010(4) defines a business entity as:

... a partnership, limited partnership, corporation, professional corporation, limited liability company, business trust, joint venture or other form of business organization the constituent parts of which share a common economic interest.

# The Practice of Engineering

ORS 672.020 states:

In order to safeguard life, health, and property, no person shall practice or offer to practice engineering in this state unless the person is registered and has a valid certificate to practice engineering ...

In Oregon, the "practice of engineering" means any professional services requiring:

- · Engineering education, training, and experience and
- Applying special knowledge of the mathematical, physical, and engineering sciences.

The following services may be offered by an Oregon registered engineer under ORS 672.005(1)(b):

- (A) Consultation;
- (B) Investigation;
- (C) Evaluation;
- (D) Planning;
- (E) Design; and
- (F) Services during construction, manufacture or fabrication for the purpose of ensuring compliance with specifications and design.

# Is an Architect, Engineer, or Both Required on a Project?

One of the first assessments that may be done by the building official when receiving construction documents for permitting purposes is a determination as to whether the project is required to be designed by an architect, an engineer, or both an architect and an engineer. Besides those in the Oregon Structural Specialty Code (OSSC), there are three important definitions that come from the architecture and engineering laws and rules. The first two are "exempt" and "non-exempt" buildings as defined in both the architecture and engineering laws. The third term, "significant structures," is found in the engineering laws. Additionally, the person who possesses a professional registration should act in the capacity of the "Registered Design Professional in Responsible Charge" as used in the OSSC (OSSC 107.3.4 defines "Design professional in responsible charge").

# **Exempt Buildings**

The architecture and engineering laws and rules provide an area where a person who is not registered as an architect or engineer may plan, design, and supervise the erection, enlargement, or alteration of a building. These buildings are considered exempt.

The following are exempt from the architecture and engineering laws:

- 1. Detached single family residential dwellings. ORS 671.030(2)(b)(A) and ORS 672.060(8)
- 2. Farm/agriculture buildings. ORS 455.315(2)
- 3. Structures used in connection with, or auxiliary to, single-family dwellings or farm buildings. These include but are not limited to three-car garages, barns, sheds, or shelters used for housing of domestic animals or livestock. ORS 671.030(2)(b)(B) and ORS 672.060
- 4. Any other building where the ground area is 4,000 square feet or less and the building is not more than 20 feet in height from the top surface of the lowest flooring to the highest overhead interior finish. The architecture rule OAR 806-010-0002 defines ground area and height limitations. The engineering rule OAR 820-040-0005 defines ground area and height limitations slightly differently as shown in brackets. [This exemption does not apply to registered professional engineers]. ORS 671.030(2)(b)(C) and ORS 672.060(7)
  - a. [As used in ORS 672.060(11) and 672.107(1)(a)(B),] "Ground Area" shall mean [is defined as] any projected or suspended occupied areas above the ground level in combination with areas in contact with the ground. Measurements in determining the ground area shall be taken from outside wall to outside wall and shall include the sum of the areas of all additions and the area of the original structure. The ground area of a building, or portion thereof, not provided with surrounding exterior walls shall be the usable area under the horizontal projection of the roof or floor above.
  - b. [As used in ORS 672.060(11) and 672.107(1)(a)(B),] "Height" shall be [is] measured from the top surface of the lowest flooring to the highest interior overhead finish of the structure in determining whether a building exceeds the 20 foot height limitation. A basement floor is considered the lowest flooring when usable (i.e., storage, garage, etc.).

- 5. Alterations or repairs to an existing exempt building when the resulting building's ground area remains less than 4,000 square feet and the interior finished height remains less than 20 feet.
- 6. Alterations or repairs to a building that was originally exempt by ground area or interior finished height if (A) The structural part of the building, including but not limited to the foundation walls, floors, roof, footings, bearing partitions, beams, columns and joists, is not involved; (B) The building code classification by use or occupancy of the building is not changed; and (C) The building code classification by type of construction of the building is not changed.

# **Commentary:**

If a building is not exempt by ground area size and interior finished height, then any alterations or repairs to that building is non-exempt work regardless of the square footage of the area being remodeled.

For a building to be considered exempt under the height and ground area limitations it must meet both limitations.

The definition of height in the architecture and engineering rules and laws is used to determine whether a registered design professional is required for the project. There is also a definition of height in the OSSC, but it is used to determine the need for fire sprinklers, building construction type, etc. The OSSC definition of height is not related to professional license requirements for the designs.

# **Non-Exempt Buildings**

New and existing buildings with a ground area greater than 4,000 square feet or having an interior finished height greater than 20 feet are considered non-exempt buildings and require the services of a registered architect acting as the registered design professional in responsible charge with the following exceptions as noted above: detached single family residential dwellings; farm/agriculture buildings, as defined in ORS 455.315(2); or structures used in connection with, or auxiliary to, single-family dwellings or farm buildings.

Alterations or repairs to a building where (A) The structural part of the building, including but not limited to the foundation walls, floors, roof, footings, bearing partitions, beams, columns and joists, is involved; (B) The building code classification by use or occupancy of the building is changed; or (C) The building code classification by type of construction of the building is changed.

If any of the design plans create a resulting building exceeding the exempt size limitation—ground area of more than 4,000 square feet, or interior finished height of more than 20 feet —it is considered a non-exempt building and the services of registered architect are required.

ORS 455.062(1) authorizes the Building Codes Division (BCD) to provide typical plans and specifications for metal and wood-framed, Group U structures of any size (defined as "buildings and structures of an accessory character and miscellaneous structures not classified in any specific occupancy...").

# **Commentary:**

Below are examples of determinations of non-exempt structures. The square foot of floor area measurement of the remodeled portion of a building is irrelevant in determining whether proposed designs for alteration of an existing building are exempt or non-exempt. Only square feet of ground area is relevant. Describing a building that has 8,000 square feet of floor space is insufficient for deciding exempt or non-exempt status without knowing the ground area and height. Nowhere in statute or rule is square foot of floor area addressed/referenced.

- Case A: An 8,000-square-foot ground area building will be remodeled. The scope of work includes structural modifications. It is a non- exempt project because the square footage of the existing ground area is considered when determining whether the building meets the ground area limitations of an exempt or non-exempt structure. The comprehensive design and plans must be produced by a registered architect or a registered engineer.
- Case B: A single family residence is being remodeled. There will be no structural modifications, but the occupancy classification will change from a single-family residence to a coffee house. Even if this is a remodel involving interior space planning and renovations with no structural modifications, one might believe the resulting building is still an exempt building, but it is not because of the change in occupancy classification. If the remodel had not changed the occupancy classification, then this single family residence would remain an exempt building.
- Case C: A 2,000-square-foot ground area office building will be remodeled by adding a second story to the existing building. The renovations will cause the building to exceed 20 feet of interior finished height. Due to the increased height of the structure this is now considered a non-exempt building project and the comprehensive design and plans must be produced by a registered architect or a registered engineer.

# **Significant Structures**

Registered structural engineers or registered architects qualified by experience, training and knowledge in this area of work are the only professionals allowed to prepare drawings, specifications, computations, or other structural engineering services involving the primary frame and lateral force resisting system or load resisting system of a structure, including its elements or parts, on projects defined as significant structures.

The engineering law ORS 672.107 defines significant structures as:

- (i) Hazardous facilities and special occupancy structures;
- (ii) Essential facilities\* that have a ground area of more than 4,000 square feet or are more than 20 feet in height;
- (iii) Structures that the Director of the Department of Consumer and Business Services determines to have irregular features; and
- (iv) Buildings that are customarily occupied by human beings and are more than four stories or 45 feet above average ground level.
- \* For additional details regarding defining an "essential facility" please see Table 1604.5 and Risk Category IV within the OSSC.

The engineering rule, OAR 820-040-0020 also provides,

"Structural Engineering" means that branch of professional engineering that provides analysis, design, evaluation or review of structures or their elements, parts or systems for support of external forces such as gravity, lateral loading, temperature, seismic influence, etc.

(1) Structural engineering services for significant structures, as referred to in ORS 672.002 to 672.325, shall mean structural engineering for the primary structural frame or load resisting system and its elements or parts. The primary frame shall be that portion of the structure, which provides the overall stability of the structure. Elements, components, or parts of the structure, which are not part of the primary frame do not require the services

of a professional structural engineer.

(2) A "Structural Engineer" is a registered professional engineer who passes a structural engineering examination recognized by the Board and meets the other necessary qualifications for registration under ORS 672.002 to 672.325.

# Professional Stamp and Seal Requirements for Architects and Engineers

The terms "stamp" and "seal," as used in this manual and in the engineering laws and rules, are used interchangeably. The architect laws and rules refer to the term "stamp."

# **Professional Stamp (Architect)**

Every registered architect is required by law to obtain a stamp bearing the architect's name only, together with the city and state in which the architect's principal office is located. The stamp must bear the legend, "REGISTERED ARCHITECT, STATE OF OREGON." The stamp may, but need not, include the architect's registration number. The following is a sample of the design and the lettering of the stamp:



A registered architect's preliminary drawings not intended to be used for permitting or construction documents are not required by law to be stamped and signed. Only technical submissions must be stamped and signed.

ORS 671.010(13) defines a technical submission as:

... a plan, design, drawing, blueprint or other document that an architect prepares in connection with the practice of architecture and submits to a regulatory authority to comply with the state building code, a municipal building code or related rules, ordinances, resolutions or other regulatory requirements.

### ORS 671.025 states:

- (1) Any person applying for a license or permit required under the laws of this state or under the ordinances of any jurisdiction in this state within which the person proposes to erect, construct, enlarge, alter, repair, move, improve, remove or convert a building shall submit an original or reproduction of the technical submissions for the work proposed. The technical submissions must:
  - (a) Bear the stamp and signature of a registered architect if the services of a registered architect are required under ORS 671.010 to 671.220; and
  - (b) Be sufficiently clear to indicate the nature and extent of the work proposed and that the work proposed conforms with the requirements of ORS 671.010 to 671.220, the state building code and any other applicable laws and ordinances.

Registered architects are required to place their stamp and signature on all technical submissions relating to architectural work that they perform, whether the building or structure in question is exempt or non-exempt.

### OAR 806-010-0045 states:

- (3)... A registered architect may stamp and sign technical submissions only if the technical submissions were: (i) prepared by the architect; (ii) prepared by persons under the architect's responsible control; or (iii) prepared by another architect registered in the same jurisdiction if the stamping and signing architect has confirmed the other architect's work and either has coordinated the preparation of the work or has integrated the work into their own technical submissions.
- (5) The stamp with the registrant's manual or digital signature must appear on all technical submissions including the title page of specifications and on every sheet of the drawings intended for permit or construction, whether or not the project is exempt under ORS 671.030, and must be the stamp of an Oregon registered architect with responsible control of the project. If the specifications and drawings bear the name of an Oregon registered architectural firm, the registrant stamping the documents must also possess written legal authority to accept responsibility for the specifications and drawings on behalf of the firm. The originals may be reproduced for permit and construction purposes.

### OAR 806-010-0115 requires that:

Modifications to construction documents for buildings/structures are an integral part of the practice of architecture; and as such, modifications which become part of change orders and/or addenda to alter those documents must bear the stamp and signature, as required, of the registered architect responsible for the modifications.

# **Commentary:**

The architect must exercise the requisite professional judgment about, and make the decisions upon, all matters embodied within the technical submissions they have stamped and signed. According to ORS 671.020(3): the stamp and signature constitute

... the registered architect's attestation that the registered architect has responsible control over the content of the technical submission.

### OAR 806-010-0045 states:

- (6) By stamping and signing a technical submission, the architect represents that the architect was in responsible control over the content of such technical submissions during their preparation and has applied the required professional standard of care.
- (7) An architect may not stamp and sign, or countersign, or allow their stamp or signature to be affixed to any technical submissions or reports not prepared by them or under their responsible control.

Architects who are provided with a pre-existing set of construction documents may not simply review, seal, and sign them (OAR 806-010-0045(7)).

An architect may not stamp and sign, or countersign, or allow their stamp or signature to be affixed to any technical submissions or reports not prepared by them or under their responsible control.

All technical submissions issued by an architectural firm, corporation, or partnership are required by law to bear the name of the registered architectural firm, in addition to the stamp and signature of the responsible architect (ORS 671.041(6)).

Any technical submission that a registered architectural firm submits to comply with a requirement under the laws of this state, or an ordinance or other law of a jurisdiction in this state, must bear the stamp of a registered architect who has responsible control of the technical submission and must display the name of the registered architectural firm.

# **Professional Seal (Engineer)**

Each registered professional engineer shall, upon registration, obtain a seal of the design authorized by OSBEELS. Every final document, or the cover or closing page of a bound document–including but not limited to calculations, specifications, designs, reports, narratives, and maps issued by a registrant–shall bear the seal and be signed by the registrant. ORS 672.020 states:

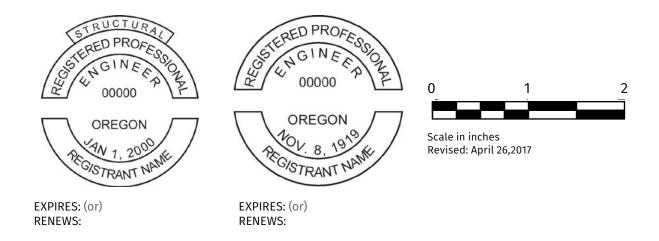
The signature and stamp of a registrant constitute a certification that the document was prepared by the registrant or under the supervision and control of the registrant.

"Final document" is defined by OSBEELS in OAR 820-025-0015.

(1) All final documents identified in ORS 672.020(2), 672.025(2), and 672.028(2) must bear the seal and signature of the registrant under whose supervision and control they were prepared.

(2) Documents that are not final documents must be marked as "preliminary", "not for construction", "review copy", "draft copy, subject to change", or with some similar wording to indicate that the documents are not intended to represent the final work product of the registrant. Documents submitted to a client, customer, public entity, or any other person, are final documents and must bear the seal and signature of the registrant under whose supervision and control they were prepared, unless such document is clearly marked as not a final document.

The following is a facsimile of the design and the lettering of the seals:



### **Commentary:**

OSBEELS has determined the following with respect to seals and signatures:

- Registrants' signature shall either be handwritten in permanent ink or digital per OAR 820-025-0005.
- · The term "renews" may be substituted for the term "expires" at the discretion of the registrant.
- It is not acceptable to use an out-of-state engineer's seal on Oregon projects unless a temporary permit has been issued by OSBEELS with approved verbiage as currently issued by OSBEELS.

When possible, it is most appropriate for each registrant to prepare separate drawings and calculations indicating exactly what that registrant has designed and for what they are is taking responsibility.

# **Supervision (Documents)**

### **Architect**

In accordance with ORS 671.020(3), all work bearing the stamp and signature of an architect must have been prepared under the architect's responsible control.

ORS 671.020(11) defines responsible control as:

a degree of control over an operation that is consistent with the scope of a registered architect's professional knowledge and the application of a registered architect's professional standard of care.

OAR 806-010-0045(6) states:

By stamping and signing a technical submission, the architect represents that the architect was in responsible control over the content of such technical submissions during their preparation and has applied the required professional standard of care.

# **Engineer**

ORS 672.002 (10) supervision and control. Definitions for ORS 672.002 to 672.325 discuss supervision and control of engineering work as follows:

OAR 820-005-0080 "supervision and control" states that

Supervision and control, as used in ORS 672.002(10), means establishing the nature of, directing and guiding the preparation of, and approving the work product and accepting responsibility for the work product, as evidenced by performing the following:

- (a) Spending time directly supervising the work to assure that the person working under the licensee is familiar with the significant details of the work;
- (b) Providing oversight, inspection, observation and direction regarding the work being performed;
- (c) Providing adequate training for persons rendering services and working on projects under the licensee;
- (d) Maintaining readily accessible contact with the person providing services or performing work by direct proximity or by frequent communication about the services provided or the work performed. Communications between the licensee and persons under the licensee's supervision and control include face-to-face communications, electronic mail, and telephone communications and similar, other communications that are immediate and responsive; and
- (e) Applying the licensee's seal and signature to a document.

# **Commentary:**

All drawings and the title page of specifications and calculations for nonexempt buildings must be stamped or sealed and signed by a registered architect or professional engineer, and each individual document must bear the stamp or seal of the professional responsible for its preparation. It is common for a set of construction documents to include individual drawings and specifications prepared and stamped or sealed by the appropriate professionals.

If the technical submissions for an exempt structure are prepared by an architect, the documents must be stamped and signed according to ORS 671.025 and OAR 806-010-0045(3). OAR 806-010-0045(3) states:

All technical submissions which are required by public authorities for building permits or regulatory approvals, or are intended for construction purposes, including all addenda and other changes to such submissions, shall be stamped and signed by the architect.

A registered architect may stamp and sign technical submissions only if the technical submissions were: (i) prepared by the architect; (ii) prepared by persons under the architect's responsible control; or (iii) prepared by another architect registered in the same jurisdiction if the stamping and signing architect has confirmed the other architect's work and either has coordinated the preparation of the work or has integrated the work into their own technical submissions.

An architect shall maintain documentation of technical submissions for at least ten (10) years from the date of submittal and make records available to the Board upon request. Records must be adequate to demonstrate the architect's responsible control over the technical submissions, whether prepared entirely by the architect or by integrating the work of others.

# **Observation (Construction)**

### Architect

OAR 806-010-0050 identifies construction phase services as used in the definition of the practice of architecture in ORS 671.010(8) as:

- (1) Construction phase services that constitute the practice of architecture include but are not limited to:
- (a) Clarification and modification of technical submissions during the construction phase; and
- (b) Observing the construction site through substantial completion to determine that the work is proceeding generally in accordance with the technical submission.

According to OAR 806-010-0050(2):

With the exception of exempt projects, construction phase services that constitute the practice of architecture must be performed by an architect, or under an architect's responsible control.

Oregon registered architects are charged with providing observation of all non exempt work bearing their stamp and signature. If an architect will not be performing the required observation, they must provide notification as stated below in the commentary section.

# **Engineer**

The engineering statutes and rules do not require construction observation by engineers.

# **Commentary:**

OAR 806-010-0050(3) states:

If the architect that stamped technical submissions to be used for construction of a non exempt project, or the architect's firm, will not provide construction phase services described in OAR 806-010-0050(1), the architect must so advise the governmental authority that issued a permit for construction and the Board in writing within 10 days of when the architect becomes aware that they will not provide the construction phase services. This written notice must also include the project address and project owner's name.

Notification of changes in architect of record can be provided using the **Observation Change Notification Form** found on the **OSBAE website** under "Registrants."

Under circumstances where the health, safety, and welfare of the public may be endangered, architects and architectural firms must inform the employer or client of the possible consequences and notify the appropriate building officials and the Board according to OAR 806-020-0020(1).

# The Implications of Design Build

Within the construction industry the phrase "design build" is used most often when a construction contractor is offering architectural or engineering services as appurtenant to construction services. The laws and regulations regarding the practices of architecture and engineering still apply. OAR 806-010-0078 and OAR 820-010-0715 establish the conditions under which a construction contractor may offer architectural or engineering services. It is clear under these rules that the architectural and engineering services themselves are to be provided by architects and engineers respectively, not by the contractor. For the building official, this means that all construction documents or technical submissions submitted for permit for non-exempt buildings must be stamped/sealed and signed by the registered architect or professional engineer.

# **Appurtenances**

1. What are considered appurtenances to a building/structure?

Engineering rule OAR 820-040-0005(4) defines "appurtenances" as used in ORS 672.060(10) to mean a separate structure that:

... is to be used for a single family residential dwelling or farm building or is a structure used in connection with or auxiliary to a single family residential dwelling or farm building, including but not limited to a three-car garage, barn or shed or a shelter used for the housing of domestic animals or livestock.

Architect Rule OAR 806-010-0001(1) defines the term "appurtenant" as:

- ... those systems, equipment and/or elements, whether interior or exterior, that are necessary to the overall function of a building.
- 2. Does the design of appurtenances for non-exempt buildings/structures have to be done by a registered design professional?

Yes. The appurtenances would require the services of an engineer and/or architect.

# **Exempt vs. Non-Exempt Structures**

3. How do I measure the square footage of the ground area to determine whether the structure is exempt or non-exempt?

OAR 806-010-0002 and OAR 820-040-0005(1) determine the ground area by measuring:

... from outside wall to outside wall and shall include the sum of the areas of all additions and the area of the original structure.

OSBAE rules state that "Ground Area" shall be measured to include the total area within all surrounding exterior walls and includes areas not provided with surrounding walls under the horizontal projections of a roof or floor above. Measurements extend to the outside face of the exterior walls and the edge of horizontal projections.

This, in combination with the square footage of any projected or suspended usable area above ground, will give you the building ground area limitation set forth in ORS 671.030(2)(b)(C) and ORS 672.060(11).

4. If a building is not a detached single family residential dwelling, auxiliary structure to same, or farm building and has a ground area greater than 4,000 square feet but is not more than 20 feet in height (or vice versa), is it an exempt structure?

No. A building may not exceed either the ground area limitation or the height limitation to be exempt under the architectural and engineering laws. If either limitation is exceeded, then the structure is not exempt and an architect is required.

5. Can a non-registrant prepare the drawings if an addition is proposed to an exempt structure having an initial ground area of less than 4,000 square feet and is not a detached single family residential dwelling, auxiliary structure to same, or farm building, bringing the total ground area of the completed structure to greater than 4,000 square feet regardless of height?

No. The total ground area of the resulting completed structure (the addition plus the existing building) must be considered when applying the ground area and height rule in determining whether the building is exempt or non-exempt.

6. May an unregistered individual prepare drawings and specifications for interior space planning of nonexempt structures? (interior space planning is presumed to be defined as use of space, activities planning, and workflow planning within those spaces)?

Yes.

7. Who may issue change orders and addenda to construction documents or technical submissions for non-exempt structures?

Change orders, additional drawings, and/or addenda that alter construction documents for non-exempt structures must bear the stamp, and signature, as required, of the registered architect or engineer responsible for the modifications.

8. May anyone other than an architect or engineer prepare drawings for submission to building officials? Yes, but only when the building falls into the exempt status.

# **Significant Structures**

Can a registered architect perform any design work on a significant structure (ORS 672.107)?

Yes, portions of the structure, as long as the architect is qualified by experience, training and knowledge. However, the primary structural frame for a significant structure must be designed by a structural engineer (ORS 672.107).

# **Stamping**

12. If a designer or owner prepares drawings for a non-exempt building and applies for a building permit, should the building official suggest that they contact an architect or engineer to have the drawings and specifications reviewed and stamped?

No. Such action on the part of an architect or engineer would be contrary to the law and would put the professional's license in jeopardy. An Oregon registered architect or professional engineer may stamp and sign only that which was prepared under their direct control and supervision. The building official may deny the permit and advise the applicant that the drawings and specifications are required to be prepared by a registered architect and/or engineer. The building official may notify either OSBAE or OSBEELS as appropriate.

# 13. Are wet signatures required on drawings?

Architect: OAR 806-010-0045(4)(a)

The term "signature" or "signed" as used in ORS Chapter 671 means the following:

(a) A handwritten or digital representation of a handwritten identification that represents the act of the architect putting the architect's name on a document to attest to its validity. The handwritten or digital representation must be: (A) An original written by hand; (B) A scanned image of an original, handwritten identification; or (C) A digital identification that is an electronic authentication process attached to or logically associated with an electronic document.

<u>Engineer</u>: The signature of the registrant must be wet inked or digital; however, the seal can be produced by a rubber stamp, embossing seal, or computer program. In the case of a digital signature, the signature must be under the sole control of the registrant per OAR 820-025-0010.

# 14. What are the requirements for architects and engineers to stamp construction documents/technical submissions?

Architects and engineers may only stamp the drawings and specifications/technical submissions that are within their area of competence and prepared under their direct supervision and control (ORS 672.002(10)). If, during the building official's review, it appears that the registered architect or professional engineer may be working outside their area of competence in any portion of the documents, the building official may notify either OSBAE or OSBEELS as appropriate.

# 15. Is the seal of the Certified Professional in Erosion and Sediment Control (CPESC) or the seal of the Certified Professional in Storm Water Quality (CPSWQ) an acceptable certification for a construction document?

There is a violation of law if the documents are sealed only by the CPESC or CPSWQ and the work involved engineering or the practice of architecture and the individual is not a registered professional engineer or architect qualified to perform this work.

# 16. Can a set of drawings be stamped by a registered design professional from another state?

<u>Architect</u>: No. Only Oregon registered architects have the authority to practice architecture and provide architectural services in Oregon. A person registered as an architect in another state must first obtain registration in Oregon to practice architecture or solicit architecture work in the state.

<u>Engineer</u>: No. Only Oregon registered engineers have the authority to practice engineering in Oregon. A person registered as an engineer in another state must obtain registration in Oregon in order to practice in this state. An individual who holds registration in another jurisdiction and has an application for registration currently under review by the Board may request a temporary permit per ORS 672.109.

# 17. What does the term "Foreign Architect" mean and can these individuals stamp technical submissions?

A "Foreign architect" means a person that has a valid license or registration from a jurisdiction other than this state that authorizes the person to engage in the practice of architecture within the jurisdiction that issued the license or registration.

As noted in ORS 671.020(2) An individual who does not have the certificate of registration may use a designation that indicates that the individual is an architect or engages in the practice of architecture if the individual:

- (a) Is a foreign architect;
- (b) Has a written agreement of affiliation with a registered architect or registered architectural firm under which the registered architect or registered architectural firm has responsible control over all aspects of the architectural services the individual provides; and
- (c) Uses the designation "foreign architect, in consultation with" followed by the name of a registered architect and the registered architect's registered architectural firm, if any, on all technical submissions, advertisements or other communications that purport to identify the foreign architect's profession, occupation or business within this state.

All documents used for construction and permit purposes require the stamp and signature of an Oregon registered architect. A foreign architect is not allowed to stamp technical submissions.

# 18. If the construction documents/technical submissions are for non-exempt buildings, should they bear the stamp and signature of an Oregon registered architect or seal and signature of a professional engineer?

All drawings and the title page of specifications and calculations for non-exempt buildings must be stamped and signed by the Oregon registered architect or sealed by the professional engineer who had supervision and control over the documents.

# 19. If the construction documents/technical submissions for exempt buildings are prepared by an Oregon licensed architect or engineer, should they bear the stamp and signature of an Oregon registered architect or the seal and signature of a professional engineer?

Yes. All construction documents and the title page of specifications and calculations prepared by an architect or engineer for exempt buildings, must be stamped/sealed and signed by the Oregon registered architect and/or professional engineer who had supervision and control of the documents.

# 20. Can shop drawings be accepted in lieu of construction documents?

Drawings and descriptions of components or systems supplied by subcontractors or manufacturers for inclusion in the project or building are considered shop drawings. Shop drawings may not be accepted in lieu of construction documents, unless stamped by the registered architect or engineer under whose direction they were prepared. Unstamped documents may only be considered as support documents.

# 21. Do construction documents/technical submissions need to be stamped/sealed and signed when submitted for a building permit?

Construction documents submitted for permitting are considered final and ready for construction and therefore need to be stamped/sealed and signed. Design documents used only for preliminary discussions with the building department are not considered final documents and must be marked as "preliminary" or "preliminary not for construction" or with other similar wording to indicate that the documents are not intended to represent the final work product of the registered architect or professional engineer.

# 22. Can drawings of fire suppression sprinkler systems be sealed by individuals who are certified by the National Institute for Certification in Engineering Technologies (NICET)?

No, fire suppression sprinkler systems relate to life and safety issues and therefore the construction drawings for sprinkler systems must be designed and sealed by an engineer or architect qualified by experience and knowledge in this area of work.

# 23. Does a fire protection system for a non-exempt structure need to be designed and stamped/ sealed by a registered professional?

Yes. Fire protection designs must bear the stamp or seal and be signed by the registered architect or professional engineer who prepared the documents. However, OAR 918-261-0015 exempts certain electricians from this requirement when designing the electrical portion of these systems.

# 24. Are stamped construction documents considered expired after the registered design professional's license expires?

The expiration date on the original drawing set simply certifies that the registrant's license was current when the documents were signed, not when the documents expire. However, building permits issued by jurisdictions may expire and new construction documents may need to be submitted.

# 25. What is the difference between an electronic signature and a digital signature?

The term electronic signature may include scanned images of handwritten signatures. The term digital signature describes a technological system used for an electronic document that provides significant added security, authentication, and/or encryption. A digital signature cannot be seen on the hard copy of a design. It is a security authentication system that can be verified for plans submitted electronically.

Architect: Both types of signatures are acceptable in lieu of a wet hand signature.

<u>Engineer</u>: A digital signature is acceptable as an alternative to a handwritten signature in permanent ink if the digital signature meets the requirements in OAR 820-025-0010 and OAR 820-025-0001.

# 26. Can any registered design professional provide electrical drawings for complex structures?

No. Although, OAR 820-020-0020 allows a registered professional engineer to practice in any field in which they are qualified by education or experience in the specific technical fields of engineering or land surveying involved, OAR 918-311-0040(4)(c)(G) requires:

... identification of the employer, identification and signature of person who prepared the plan, license number if the person is an electrical supervisor and professional registration number if the person is an architect or registered professional electrical engineer.

It is not within the authority of OSBEELS to waive this requirement.

# **Other Topics**

### 28. Is a professional engineer required to provide observation during construction?

There are no laws specifically requiring engineering observation during construction.

29. When an unregistered individual prepares construction documents for a non-exempt structure while not under the supervision and responsible charge of a registered architect or engineer, may the individual obtain a review and written certification of adequacy from an Oregon registered architect or engineer and thereby obtain a building permit?

No. The written certification cannot be accepted for permit issuance in lieu of construction documents which have not been prepared, stamped/sealed and signed by an Oregon registered architect or engineer in responsible control. (ORS 671.020 & ORS 672.020(2)).

# 30. May an applicant or the owner of plans for one and two family dwellings, stamped or sealed and signed by an engineer or architect, bypass the plan review process?

ORS 455.628 states:

Plan review exemption. (1) The Department of Consumer and Business Services or a municipality administering and enforcing a building inspection program under ORS 455.148 or 455.150 may not require a plan review for one and two family dwellings that are of conventional light frame construction, as defined by the department by rule, if:

(a)The plans for the dwelling are designed and stamped by a professional engineer registered under ORS 672.002 to 672.325 or an architect registered under ORS 671.060; and

(b)The engineer or architect is certified by the Director of the Department of Consumer and Business Services under ORS 455.720 as being qualified to examine one and two family dwelling plans.

(2) The department or municipality is exempt from liability for any damages arising from the nonperformance of a plan review pursuant to this section. [2003 c.367 §4; 2005 c.758 §21a; 2015 c.576 §15]

Note: 455.628 was added to and made a part of ORS chapter 455 by legislative action but was not added to any smaller series therein. See **Preface to Oregon Revised Statutes** for further explanation.

### 31. How do I address unprofessional work?

The complaint processes are outlined in the following section.

# 32. Is a professional engineering license required for the design of mechanical, fire sprinkler, and plumbing systems? Can an architect do this work too?

The design of mechanical, fire sprinkler, and plumbing systems is considered engineering.

# 33. What are considered "redlines" and who can make them on construction documents?

The building official can approve submitted construction documents that include notes of items required by code identified by the building official as "redlines"; however, the registered architect or professional engineer must be made aware of those so that the original construction documents can be made to reflect those requirements.

# 34. May a building official make design changes to an architect's or engineer's construction documents when the building official has the architect's or engineer's approval?

No. The architect or engineer is responsible for the design changes to their construction documents.

# 35. What is the difference between design documents and shop drawings for fire protection system designs?

The design documents must show the basic elements of the system, identify applicable codes used in the design, ensure conformance with those codes, and be stamped/sealed by a registered professional. Shop drawings can be produced by technicians, designers, or contractors. However, shop drawings must be reviewed and approved by the registered design professional in Responsible Charge prior to submittal to a jurisdiction. This process is described in OSSC 107.2.2, "Fire Protection system shop drawings." For further information on the process, please visit the **OSBEELS website**.

### 36. Can a supervising electrician design, plan, or lay out complex electrical installations?

Authorization under ORS 479.860 allows supervising electricians who hold a supervising electrician's license to design, plan, or lay out complex electrical installations for persons who will purchase their installation services. ORS 479.860 does not authorize an electrical supervisor to prepare complex electrical installation drawings for contractors, architects, or developers where those persons will not be purchasing the electrical installation services that the drawings call for from the supervising electrician's employer-contractor.

37. Can non registrant individuals and design businesses use the terms architect, architectural or architecture to describe themselves or their building design products and services?

No. OAR 806-010-0037 specifically states:

(1) Except as provided in ORS 671.010 and ORS 671.020(2), no individual or firm may use the title "Architect" or any modification or derivative such as "architectural" or "architecture" in its name or description of its business activity in a manner that indicates or implies that the individual or firm engages in the practice of architecture, or offers to engage in the practice of architecture in Oregon.

# 5 The Complaint Process

The mission of both OSBAE and OSBEELS is to protect the public health, safety, and welfare by assuring that only qualified individuals are permitted to practice architecture or engineering, and that those who are licensed maintain a high standard of practice and comply with applicable statutes, rules, and regulations. Below are the processes for each regulatory board:

# Oregon State Board of Architect Examiners (OSBAE) Complaint Process

The authority of the Board is limited to investigating and enforcing only those Oregon laws and administrative rules concerned with the practice of architecture. The first step is to be sure the architect is actively registered to practice architecture in Oregon. You can check by using the online "Architect/Frim Search" feature on the website at oregon.gov/osbae or by contacting the Architect Board office at 503-763-0662.

The Board does not have jurisdiction over fee disputes, other contractual issues, or civil matters. The Board regularly addresses issues such as professional misconduct, negligence, competence, using the "Architect" title without an Oregon registration, and practicing architecture without an Oregon registration.

# 1. How do I file a complaint with OSBAE?

You may contact the Board's Investigator or visit the "File a Complaint" section of the website for information and the form to be used to file a complaint. You may also simply send a letter to the Board.

# 2. What information should I provide in completing the complaint form?

When filing a complaint with OSBAE, include sufficient information for the Board to begin an investigation into the accusations. Provide as much information as possible, such as all contact information for you and the architect or designer, any contracts between the parties, the address/location of the building project, the project owner's name and contact information, the construction company name, the status of the project, the size and type of the building, building permit data, and why you believe a violation exists.

# 3. What is the investigation process?

OSBAE investigates all complaints submitted, and may ask for further information. During a formal investigation, the parties involved are contacted and the Board obtains necessary evidence and gathers the available facts for review and analysis to determine if any rule or law violations exist. The Board then determines appropriate action to take based on the circumstances of each case.

# 4. What authority does OSBAE have?

OSBAE has the authority to discipline individuals and firms. This discipline includes written reprimands, suspensions, revocations, and penalty assessments. However, the Board has no authority to award civil damages.

### 5. How long does it take to resolve a complaint filed with OSBAE?

The Board makes every effort to resolve complaints as soon as possible. Every case is different, however, and it takes time to conduct a thorough investigation into the facts and accusations. OSBAE cannot resolve a case without obtaining facts and evidence. Each case has a separate set of facts and circumstances. The Board meets approximately six times a year and resolves complaints at each meeting. In addition, any disciplinary action proposed by the Board is subject to due process laws, which give any individual who disputes the facts the right to a contested case hearing before an administrative law judge.

# Oregon State Board of Examiners for Engineering & Land Surveying (OSBEELS) Complaint Process

The OSBEELS is authorized to enforce State of Oregon laws and rules related to the practice of professional engineering, land surveying, photogrammetric mapping and water right examination in Oregon. Laws and rules under the OSBEELS jurisdiction include Oregon Revised Statutes (ORS) 672.002 to 672.325, ORS 209.250, and Oregon Administrative Rules (OAR) Chapter 820. The Board carefully investigates any complaints or information relating to violations of the previously mentioned laws and rules under its jurisdiction.

Helpful resources developed by the OSBEELS that are available to the public includes: our agency's **Lookup a License tool**, the **Law Enforcement Committee (LEC) process flow chart**, and OSBEELS-specific **ORSs** and **OARs**. Below you will find a summary of the Board's complaint and investigation processes.

### **Filing a Complaint**

In accordance with OAR 820-015-0010, subsections 1 and 2, anyone may submit a complaint against a licensed or unlicensed person. Complaints must be in writing and include evidence to document all alleged law or rule violations under the OSBEELS's jurisdiction. Complaints may be submitted to the Board office via the **online complaint portal**. OSBEELS also accepts anonymous complaints; however, complainants may be requested to provide testimony for the case, if necessary. In addition, OSBEELS can initiate its own investigations, including those involving continuing professional development (CPD) and unlicensed practices.

Investigators for the OSBEELS Regulations Department are tasked to receive and track complaints. Once the Board office receives a complaint, staff investigators prepare a report for preliminary review by the OSBEELS's LEC during a public meeting to determine whether an investigation into the alleged violations is warranted.

### **The Investigation Process**

When a formal case investigation is opened following a preliminary review, the case's respondent is provided a copy of the complaint and is requested to respond to the allegations. Staff investigators organize all relevant information regarding the investigation and compile an investigative reportfor review by the Board's LEC in a public meeting. Following their review, the LEC may take a number of next steps with regards to the case, including:

- Request staff to conduct additional investigation activities,
- Make a referral to an expert reviewer(s),
- · Close the investigation without further action, or
- Issue a Notice of Intent (NOI) to sanction the subject of the complaint in some manner.

If the LEC determines there is sufficient evidence and legal grounds to support a violation of statute or rule, it will direct the investigator to prepare a Notice of Intent (NOI). A NOI, which is governed by the Oregon Administrative Procedures Act under ORS Chapter 183, is a formal document sent to the respondent that sets forth the facts of the case, the alleged violations of statute and rule, and the proposed disciplinary action. This document also informs respondents of their administrative hearing rights and opportunity to request an informal conference with the LEC. Within the NOI, respondents are informed of their ability to: accept the proposed sanctions, request an administrative hearing, or request an informal conference with the LEC.

More information about the OSBEELS's law enforcement processes and related resources can be found on the **OSBEELS website**.

# 6 Landscape Architects and Geologists

# **Landscape Architects**

Landscape Architects are registered under ORS 671.310 to 671.459 by the Oregon State Landscape Architect Board (OSLAB). Landscape architecture means the performance of, or offer to perform, professional services that have the dominant purpose of landscape preservation, development and enhancement, including but not limited to reconnaissance, research, planning, landscape and site design, the preparation of related drawings, construction documents and specifications, and responsible construction observation.

Registered Landscape Architects do not generally design non-exempt structures, but their work can include the location, arrangement, and design of objects and features that are incidental and necessary for landscape preservation, development and enhancement. A Registered Landscape Architect might be needed on a project to design and oversee the location and construction of various project elements including but not necessarily limited to:

- Site layout design (e.g., parking, accessibility, zoning requirements, grading and drainage including stormwater facilities and erosion control, landscaping, location of structural elements within the site, and overall site aesthetics).
- Site circulation and exiting (e.g., trails, walkways, travel distances, parking, signage, and other pedestrian or vehicular access requirements)
- Barrier free design and accessibility requirements. (e.g., play structures, site structures, site access, and facility access)
- Life safety considerations (e.g., requirements for recreational facilities, playground structures, shade structures, flood control, water distribution for potable and landscape uses, and fencing).
- Plant material analysis. (e.g., plant material for condition, hardiness, form, color, water usage, health, antiallergy selection, and accessibility.)
- Interior and exterior landscape design (e.g., planting design and irrigation design)
- · Finish materials for hardscape elements (e.g., durability, function, aesthetics, and safety)
- Environmental impact analysis (e.g., water conservation, land use consideration, natural and cultural resource analysis, aesthetic analysis, restoration and reclamation, plant selection, sound attenuation, quality of life, and impact on natural and built surroundings)
- Planning and design of outdoor assembly and recreation areas (e.g., sports fields, parks, trails, shelters, spectator spaces, playgrounds)
- · Overall project coordination.

As with architects and engineers, Registered Landscape Architects must stamp and sign all final documents, maps, plans, designs, contract documents, and reports developed by or under their direct supervision.

For more information about regulation of the practice of landscape architecture in Oregon, see **oregon.gov/landarch**.

# **Geologists**

The State of Oregon also regulates the public practice of geology through the Oregon State Board of Geologist Examiners (OSBGE). OSBGE licenses Registered Geologists (RG), including Certified Engineering Geologists (CEG) as a specialty, through ORS 672.505 to ORS 672.991 and OAR 809.

Geologic work submitted to building officials completed by a third party must generally be completed by a RG or CEG if that specialty is required. An RG performs geological work, such as consultation, investigation, interpretation, surveys, evaluation, planning, mapping and inspection of geological work, that is related to public welfare or safeguarding of life, health, property and the environment. A CEG is a RG specially trained, experienced and certified by OSBGE to apply geologic data, principles and interpretation to naturally occurring materials so that geologic factors affecting planning, design, construction and maintenance of civil engineering works are properly recognized and utilized.

Since CEGs are design professionals, building officials are most likely to encounter reports or other work related to proposed buildings that have been stamped and signed by geologists with this specialty certification. CEGs provide assistance to homeowners, developers, design engineers, contractors, and public works agencies in a diversity of situations, including but not necessarily limited to:

- · Site development, including investigation, planning and inspection of cuts, fills, and grading in soil and rock;
- · Investigation of landslides, slope stability, poor soil conditions, and development of mitigation approaches;
- Assessment of regional and local seismicity and earthquake hazards and the characteristics and activity of nearby faults;
- Characterization of geologic conditions for design of foundation systems, and underground openings and tunnels;
- Investigation of the factors governing coastal and stream erosion and recommendations for mitigation;
- Evaluation of cost estimation for damage and repair following natural disasters;
- Advice on requirements governing land use-related geological issues and coordination with permitting from the applicable local, state, and federal governmental permitting agencies.

The regulated practices of geology and engineering overlap in some areas, particularly between the practices of Engineering Geology and Geotechnical Engineering. This overlap has been recognized and acknowledged by both OSBGE and OSBEELS through a Memorandum of Understanding. Together, both Boards have established a Joint Compliance Committee to review and discuss complaints where work in the practice overlap is in question. If the practice falls within the overlap, the lead board (i.e., the board whose rules or statutes were potentially violated and has the authority to sanction) will interpret ethics, evaluate qualifications and enact any disciplinary action.

For more information about regulalation of the public practice of geology in Oregon, see the OSBGE website at **oregon.gov/osbge.** 

# 7 Contact Information

### **Construction Contractors Board**

201 High St SE Ste 600, Salem, OR 97301 P.O. Box 14140, Salem OR 97309

Phone: 503-378-4621

E-mail: ccb.info@ccb.oregon.gov

Website: oregon.gov/ccb

# **Landscape Contractors Board**

2111 Front St NE Ste 2-101, Salem, OR 97301

Phone: 503-967-6291

E-mail: lcb.info@lcb.oregon.gov

Website: oregon.gov/lcb

# **Landscape Architect Board**

1500 Liberty St. SE, Suite 210, Salem, OR 97302

Phone: 503-589-0093

E-mail: oslab.info@bgelab.oregon.gov

Website: oregon.gov/landarch

# **Oregon State Board of Geologist Examiners**

1500 Liberty St. SE, Suite 210, Salem, OR 97302

Phone: 503-566-2837

E-mail: osbge.info@bgelab.oregon.gov

Website: oregon.gov/osbge

# Oregon State Board of Examiners for Engineering & Land Surveying

670 Hawthorne Avenue SE Ste. 220, Salem OR 97301

Phone: 503-362-2666 Fax: 503-362-5454

Email: osbeels.info@osbeels.oregon.gov

Website: oregon.gov/osbeels

# **Oregon State Board of Architect Examiners**

205 Liberty Street NE Ste. A, Salem OR 97301

Phone: 503-763-0662

Email: architectboard@osbae.oregon.gov

Website: oregon.gov/osbae

# **Oregon Building Codes Division**

1535 Edgewater Street NW, Salem OR 97304

Phone: 503-378-4133 Fax: 503-378-2322 Website: **oregon.gov/bcd**