

OREGON GOVERNMENT ETHICS COMMISSION

MEETING MINUTES

October 3, 2019

9:00 a.m.

(File 1)

Vice-chair Nathan Sosa called the meeting to order at 9:05 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Charles Starr, Sean O'Day, Alison Kean, Dave Fiskum, and Dan Mason. Richard Burke joined later and Karly Edwards was excused. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Education Coordinator Diane Gould, Investigator Susan Myers, Investigator Lisa Christon, and Administrative Specialist Kathy Baier.

AGENDA ITEM 1, Comments from the Chair. Bersin introduced Lisa Christon, Investigator, and welcomed her to the OGEC staff.

AGENDA ITEM 2, Approval of minutes of the August 23, 2019 Commission meeting. Vice-chair Sosa called for the approval of the minutes of the August 23, 2019 commission meeting. The minutes were approved without correction.

CONSENT CALENDAR

Bersin reviewed the criteria for the Statement of Economic Interest Dismissals.

O'Day requested removal of items 20 and 21 due to a professional relationship with Scotts Mills.

Fiskum moved for the approval of the remaining Consent Calendar items 3-19 and 22-49, and the recommendation on each as follows:

Lobbyist Penalty Correspondence

AGENDA ITEM 3, Ed Bowles, 2019 Q2, Letter of Education

AGENDA ITEM 4, Camila Thorndike, 2019 Q2, Letter of Education

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 5, Larry Reeder, 2016 SEI, Letter of Education

AGENDA ITEM 6, Lucille Martin, 2018 SEI, Letter of Education

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AGENDA ITEM 7, Don Richardson, 2017 & 2018 SEI, Letter of Education

AGENDA ITEM 8, Robert Duncan, 2018 SEI, Letter of Education

AGENDA ITEM 9, Steven Baldwin, 2019 SEI, Letter of Education

AGENDA ITEM 10, Carl Cox, 2019 SEI, Letter of Education

AGENDA ITEM 11, Wes Koklich, 2019 SEI, Letter of Education

AGENDA ITEM 12, Richard Hayes, 2018 SEI, Letter of Education

Statement of Economic Interest Dismissals

AGENDA ITEM 13, 17-105SMS, Gail Vansickel, Baker City Planning Comm, 2013
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 14, 17-110SMS, Tim Parks, Enterprise City Council, 2013, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 15, 17-112SMS, Marisa Alvarez, Lowell City Council, 2013
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 16, 17-114SMS, Donalda Hill, Millersburg Planning Comm, 2013
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 17, 17-115SDG, Jake Burrough, Molalla Planning Comm, 2013, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 18, 17-116SDG, Angela Hanke, Nehalem City Council, 2013, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 19, 17-117SDG, Ray Corwin, Pilot Rock City Council, 2013, 2014, 2015
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 22, 17-126SMT, Mark Kujala, Warrenton City Council, 2013, 2015
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 23, 17-131SMT, Don Wysocki, Umatilla County PI Comm, 2013 - 2015
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 24, 18-019SMT, Brianna Burton, Union Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

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AGENDA ITEM 25, 18-021SMT, Stuart Dimick, Glendale Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 26, 18-022SMT, Tom Dotson, Bay City Council, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 27, 18-023SMT, John Dowdy, Stayton Planning Comm, 2014, 2015
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 28, 18-031SMT, Christa Guerrero, Milton-Freewater PI Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 29, 18-032SMT, Mark Hardie, Maywood Park Mayor, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 30, 18-033SMT, Nic Herriges, Tualatin Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 31, 18-034SMT, Mickey Hix, Jackson County Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 32, 18-035SMT, Bill Hood, Molalla Planning Commission, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 33, 18-037SMT, Steve Hunter, Eagle Point Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 34, 18-038SMT, Jerry Jones, Beaverton Urban Dev Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 35, 18-041SMT, Bea Leach, Redmond Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 36, 18-042SMT, Scott McKee, Sweet Home City Council, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 37, 18-043SMT, Kelly Niles, Columbia City Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 38, 18-044SMT, Paulette Nordin, Jefferson CO Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

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AGENDA ITEM 39, 18-053SMT, Tara Waterman, Nehalem Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 40, 18-070SMT, Jack Jaspersen, Weston Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 41, 18-071SMT, Adam Schwend, Tillamook Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 42, 18-072SMT, Jeremy Sievert, Multnomah County PI Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 43, 18-073SMT, Justin Throne, Malin Municipal Judge, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 44, 18-074SMT, Cynthia Walston, Troutdale Historic Landmarks Commission, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 45, 18-075SMT, Joanie Wigginton, Sublimity City Council, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 46, 18-076SMT, Richard Willis, Glendale Planning Comm, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 47, 18-077SMT, Jenni Word, Enterprise City Council, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 48, 18-086SMT, Kaliko Castille, Candidate House of Reps, 2014
Recommendation: Letter of Education in lieu of violation

AGENDA ITEM 49, 18-088SMT, Arthur Krueger, Candidate State Senator, 2014
Recommendation: Letter of Education in lieu of violation

Group voice vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye. Motion passed unanimously.

End of Consent Calendar

Statement of Economic Interest Dismissals

AGENDA ITEM 20, 17-121SDG, Erin McRae, Scotts Mills City Council, 2013 2014 2015
Recommendation: Letter of Education in lieu of violation.

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Fiskum moved to issue a Letter of Education in lieu of violation. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, abstained; Sosa, aye. Motion passed 5 – 0 with one abstention.

AGENDA ITEM 21, 17-122SDG, Katie Munson, Scotts Mills City Council, 2013 Recommendation: Letter of Education in lieu of violation.

Fiskum moved to issue a Letter of Education in lieu of violation. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, abstained; Sosa, aye. Motion passed 5 – 0 with one abstention.

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 50, 2017 & 2018 John Loshbaugh, SEI, \$210

Recommendation: \$50 for second violation

Kean moved that the Commission issue a Letter of Education for 2017 & \$50 for 2018. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye. Motion passed unanimously.

(File 2)

The Commission convened into executive session at 9:13 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and ORS 192.685(1), and possible own motion preliminary reviews pursuant to ORS 244.260(2)(d).

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review

(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

OTHER ITEMS

Reports of Preliminary Reviews
(removed from consent calendar)

AGENDA ITEM 73, 19-167ESM, Matthew Posey, member, Board of Directors, Dallas School District. Myers summarized the case. Posey was present with Counsel Paul Dakopolos. They addressed the Commission. Kean moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye. Motion passed unanimously.

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Own Motion Preliminary Reviews

(File 3)

AGENDA ITEM 81, Possible Own Motion Preliminary Review, exempt from Public Record. Starr moved that the Commission conduct a preliminary review to determine if there is cause to investigate. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye. Motion passed unanimously.

Reports of Preliminary Reviews (removed from consent calendar)

(File 4)

AGENDA ITEM 74, 19-184XSM, Doug Morten, Council President

AGENDA ITEM 75, 19-185XSM, Keith Locke, Councilor

AGENDA ITEM 76, 19-186XSM, Ginny Carlson, Councilor

AGENDA ITEM 77, 19-187XSM, Rick Scholl, Councilor

AGENDA ITEM 78, 19-188XSM, Stephen Topaz, Councilor

City Council, City of St. Helens. Myers summarized the cases. Respondents did not address the Commission. Commission generally discussed the cases.

Item 74, O'Day moved that the Commission find that there is a substantial, objective basis for believing that Doug Morten may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously. (Burke joined the Commission and the discussion at 10:30 a.m.)

Item 75, O'Day moved that the Commission find that there is a substantial, objective basis for believing that Keith Locke may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

Item 76, O'Day moved that the Commission find that there is a substantial, objective basis for believing that Ginny Carlson may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

Item 77, O'Day moved that the Commission find that there is a substantial, objective basis for believing that Rick Scholl may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

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Item 78, O'Day moved that the Commission find that there is a substantial, objective basis for believing that Stephen Topaz may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

AGENDA ITEM 79, 19-189ESM, Brandi Marie Aston, former Fiscal Services and Administrative Manager for Linn County Public Health Dept. Christon summarized the case. Aston did not address the Commission. Burke moved that the Commission find that there is a substantial, objective basis for believing that Brandi Marie Aston may have violated ORS 244.040 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

AGENDA ITEM 80, 19-190ESM, Valarie Applegate, former Transportation Services Representative for Oregon Driver and Motor Vehicle Services, Oregon Dept of Transportation. Christon summarized the case. Applegate did not address the Commission. Kean moved that the Commission find that there is a substantial, objective basis for believing that Valarie Applegate may have violated ORS 244.040 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

Own Motion Preliminary Reviews

(File 5)

AGENDA ITEM 82, Possible Own Motion Preliminary Review, exempt from Public Record. Kean moved that the Commission conduct a preliminary review to determine if there is cause to investigate. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Burke, aye; Sosa, aye. Motion passed unanimously.

OTHER ITEMS

None.

The Commission reconvened into regular open session at 11:45 a.m.

STIPULATED FINAL ORDERS

(File 6)

AGENDA ITEM 51, 18-155EHW, John Lindsey, Linn County Commissioner. Gould summarized the case. Lindsey did not address the Commission. Fiskum moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as

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follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 52, 19-002EHW, S. Bruce Conner, Cruise Industry Marketing Director, Port of Astoria. Myers summarized the case. Conner did not address the Commission. Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Burke was excused from the room. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 53, 19-057XDG, Lisa King, Member
AGENDA ITEM 54, 19-058XSM, Doug Gunderson, Member
AGENDA ITEM 55, 19-059XSM, Nanci Norris, Member
AGENDA ITEM 56, 19-060XSM, Sarah Laoisa, Member
AGENDA ITEM 57, 19-061XSM, Lori Cheek, Member
Board of Directors, Harney County School District #3 (Burns). Gould summarized the cases. Respondents did not address the Commission.

Lisa King, Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

Doug Gunderson, Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

Nanci Norris, Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

Sarah Laoisa, Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

Lori Cheek, Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

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AGENDA ITEM 58, 19-100XSM, Michael McKoen, Board of Directors, Basin Ambulance Service District. Myers summarized the case. McKoen did not address the Commission. Sosa moved that the Commission approve the proposed Stipulated Final Order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

DEFAULT FINAL ORDERS

None.

REPORTS OF INVESTIGATIONS

None.

ADVISORY OPINIONS

None.

CORRESPONDENCE RECEIVED/RESPONSES

Staff Opinions

(non-action, informational only items)

AGENDA ITEM 59, 19-182S, Bev Clarno, Secretary of State and Tasha Petersen, HR Director, re the application of Oregon Government Ethics law to the employment of the Secretary of State's relative by the Secretary of State's Office. The Commission generally discussed the Opinion.

Staff Advice

(non-action, information only items)

The Commission reviewed the following without comment:

AGENDA ITEM 60, 19-175, Kathy Storm, Oregon Forest Resources Institute, re the application of Oregon Government Ethics laws and how the law may apply to public officials who may participate in the Oregon Forest Resources Institutes Board of Directors Active Management of Dry-side Forests for Fire Resilience Tour scheduled for October 10-11, 2019.

AGENDA ITEM 61, 19-180, Sandy Braden, Chief of Staff, Oregon Youth Development Division, Oregon Department of Education re potential ethical issues arising when the Oregon Youth Development Council engages in grant proposal review and award.

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AGENDA ITEM 62, 19-193I, Tammy Dennee, Legislative Director, Oregon Dairy Farmer's Association re the application of Oregon Government Ethics law and how the law may apply to the participation of public officials, such as members of the State Legislature and their staff, in a tour of various Oregon dairy farms scheduled for February 7, 2020, during which food, beverage and travel will be provided.

AGENDA ITEM 63, 19-195I, Senator Elizabeth Steiner Hayward re the application of Oregon Government Ethics law to a public official's use of government resources for personal gain.

AGENDA ITEM 64, 19-196I, Michelle Binker, Chief of Staff, District & Constituent Services, Representative Carl Wilson re how the provisions of Oregon Government Ethics law may apply to public officials who may participate in a "WORKing Together" conference September 25-26, 2019 in Eugene, Oregon.

AGENDA ITEM 65, 19-216I, Tim Sekerak, Chief Clerk of the House re how the application of Oregon Government Ethics law may apply to the four legislative officials who have been invited to represent the State of Oregon to participate in the 2019 Delegation to the Fujian Province of China.

Other Correspondence
(non-action, informational only items)

None.

MISCELLANEOUS ITEMS

AGENDA ITEM 66, 19-190ESM, Former Transportation Services Representative for Oregon Driver and Motor Vehicle Services, Oregon Department of Transportation. Request for suspension of investigation pending resolution of criminal matter. Sosa moved that the Commission suspend the investigation pending resolution of criminal matter. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 67, Request to establish Legal Expense Trust Fund for Herman E. Baertschiger Jr. Gould summarized the request. Alpaugh clarified the statute. The Commission generally discussed the matter. O'Day moved to establish a Legal Expense Trust Fund for Herman E. Baertschiger Jr. Roll call vote was taken as follows: Starr, aye; Mason, aye; Fiskum, aye; Kean, aye; O'Day, aye; Sosa, aye; Burke, aye. Motion passed unanimously.

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AGENDA ITEM 69, Discussion re Executive Director Evaluation Process. Lutz summarized the process and results will be reviewed at the November 22, 2019 meeting. The Commission generally discussed the process.

AGENDA ITEM 70, Discussion re Election of Officers for 2020. The November 22 meeting is the last of the year at which the Election of Officers will be held.

AGENDA ITEM 71, Trainers' Report. Hedrick summarized past and future training activities. Myers reported on the training she presented at the League of Oregon Cities-Oregon City Attorneys Association at Sun River.

AGENDA ITEM 72, Executive Director's Report. Bersin discussed the following:

- 2017-2019 biennial budget is now closed
- DAS CFO working on financial plan
- Assessment invoice are out now.
- 2019 SEIs, 100% have filed and working on past years' non-filers.
- Performance audit continues for the agency, completion expected in about a year.
- Producing year-end reports.
- Lisa Christon, on board as CS2.
- Bersin and Alpaugh presenting at Public Law Conference.
- Discussion re new Commission appointments.
- Discussion re EFS modification for lobbyist reporting changes to come in 2021.
- Guide for Public Officials currently being amended.

The Commission recessed for a working lunch at 11:45 a.m. and resumed into regular session at 11:50 a.m.

(File 7)

AGENDA ITEM 68, Training: Information Security. Commissioners participated in watching a required training video produced by DAS.

Commissioner Starr announced his absence at the next Commission meeting.

Chair Burke adjourned the meeting at 12:20 p.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, November 22, 2019, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, in Salem, Oregon.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 10, 2019

Ginger McCall
2201 Mill Rd. #116
Alexandria VA 22314

Dear Ms. McCall:

The written explanation of why your Q2 2018 and Q1 2019 Lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$50 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2018

Lobbyist Code: 1425
User Name: ginger_mccall
Email: ginger.mccall@oregon.gov

Q2 2018 Filing: 7/16/2018
Q2 2018 Expended: \$0.00
Q2 2018 Penalty: \$10.00

Ginger McCall
800 Summer St. NE
Salem, OR 97310

Activity Log - Q2 2018 to Date

05/14/18 09:36:30.140 - User Profile Created - User profile created for Ginger McCall
05/14/18 09:36:31.633 - User Profile Created - A new user profile was created.
05/14/18 09:36:31.633 - Lobbyist Profile Created - A new lobbyist profile was created.
05/14/18 09:39:04.283 - User Failed to Authenticate -
05/14/18 09:39:12.573 - User Successfully Authenticated -
05/14/18 09:41:53.343 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
07/16/18 01:30:08.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/16/18 12:57:07.040 - User Successfully Authenticated -
07/16/18 12:59:15.607 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2018
10/15/18 07:38:12.673 - User Successfully Authenticated -
10/15/18 07:40:31.490 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2018
10/15/18 08:19:18.007 - User Successfully Authenticated -
01/14/19 03:20:13.900 - User Failed to Authenticate -
01/14/19 03:20:18.587 - User Successfully Authenticated -
01/14/19 03:21:37.293 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2018
04/16/19 01:30:08.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/16/19 11:52:10.820 - User Failed to Authenticate -
04/16/19 11:52:37.743 - User Successfully Authenticated -
04/16/19 11:53:02.607 - Lobbyist Report Saved - Lobbyist Report Saved for Q1 2019
04/17/19 01:30:07.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:07.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 08:19:58.650 - User Successfully Authenticated -
04/19/19 08:20:39.183 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2019
07/12/19 02:14:43.050 - User Successfully Authenticated -
07/12/19 02:15:42.153 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
10/10/19 01:31:32.650 - User Successfully Authenticated -
10/10/19 01:32:13.730 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019

Lobbyist Activity

Q1 2019

Lobbyist Code: 1425
User Name: ginger.mccall
Email: ginger.mccall@oregon.gov

Ginger McCall
800 Summer St. NE
Salem, OR 97310

Q1 2019 Filing:	4/19/2019
Q1 2019 Expended:	\$0.00
Q1 2019 Penalty:	\$40.00

Activity Log - Q1 2019 to Date

01/14/19 03:20:13.900 - User Failed to Authenticate -
01/14/19 03:20:18.587 - User Successfully Authenticated -
01/14/19 03:21:37.293 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2018
04/16/19 01:30:08.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/16/19 11:52:10.820 - User Failed to Authenticate -
04/16/19 11:52:37.743 - User Successfully Authenticated -
04/16/19 11:53:02.607 - Lobbyist Report Saved - Lobbyist Report Saved for Q1 2019
04/17/19 01:30:07.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:07.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 08:19:58.650 - User Successfully Authenticated -
04/19/19 08:20:39.183 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2019
07/12/19 02:14:43.050 - User Successfully Authenticated -
07/12/19 02:15:42.153 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
10/10/19 01:31:32.650 - User Successfully Authenticated -
10/10/19 01:32:13.730 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019

PAST VIOLATIONS: Q2 2018 \$10 not paid

Q1 2019 \$40 not paid

RECOMMENDATION:

Let's HED
YO

October 10, 2019

Dear Commissioners,

I am writing to ask you to please waive the late fees associated with my account.

My first late filings were during my first filing. I was still learning the process and thought I had gone through all of the necessary steps to finish the reporting, but unfortunately I did not. I am very sorry that I was not more careful and did not properly complete the filing.

The second late filing fees were for the month after my infant daughter passed this spring. I am afraid at the time many things in my life slipped, and I once again failed to properly complete the reporting process.

I would be deeply appreciative if you could waive or reduce these fees.

Thank you for all of the work you do to ensure government ethics here in the State of Oregon.

Sincerely,

Ginger McCall



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 21, 2019

Katherine Gonsalves
6029 SE 50th Ave.
Portland OR 97206

Dear Ms. Gonsalves:

The written explanation of why your Q3 2019 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$60 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2019

Lobbyist Code: 1716
User Name: Kate Gonsalves
Email: katherinegonsalves@gmail.com

Q3 2019 Filing: 10/21/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$60.00

Katherine Gonsalves
6029 SE 50th Ave.
Portland, OR 97206

Activity Log - Q3 2019 to Date

07/08/19 11:36:47.620 - User Successfully Authenticated -
07/08/19 11:41:05.503 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
07/22/19 02:38:28.957 - User Failed to Authenticate -
07/22/19 02:38:33.080 - User Failed to Authenticate -
07/22/19 02:38:56.043 - User Successfully Authenticated -
10/16/19 01:30:11.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:08.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 02:53:55.467 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019
10/21/19 02:55:38.790 - User Profile Updated - User profile updated for Katherine Gonsalves
10/21/19 02:55:38.877 - User Profile Updated - The user's main profile was updated.
10/21/19 03:11:55.660 - Admin User Reset Initiated - An admin user reset was initiated.
10/21/19 03:12:38.087 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/21/19 03:13:11.250 - User Successfully Authenticated -
10/21/19 03:13:57.670 - User Profile Updated - The user's main profile was updated.
10/21/19 03:13:58.423 - User Profile Updated - User profile updated for Katherine Gonsalves
10/21/19 03:14:27.180 - Admin User Reset Initiated - An admin user reset was initiated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

None
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BAIER Kathy * OGEC

From: Katherine Gonsalves <katherinegonsalves@gmail.com>
Date: Monday, October 21, 2019 3:05 PM
To: BAIER Kathy * OGEC
Subject: Oregon Government Ethics Commission Fine

Dear Commissioners,

My name is Kate Gonsalves and I'm writing to request that you please waive a recent violation. The reason I was late filing the Q3 report was because my last day of work for the employer was in July and thus I no longer received the emails at my work address prompting me to file. I apologize for the error. If you would be kind enough to waive the penalty I would greatly appreciate it.

Thanks in advance for your understanding,

Kate Gonsalves

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 22, 2019

Jessica LaVigne
3828 SE 13th Ave.
Portland OR 97202

Dear Ms. LaVigne:

The written explanation of why your Q3 2019 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$70 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2019

Lobbyist Code: 1550
User Name: jessica.f.lavigne
Email: jessica.lavigne@ost.state.or.us

Jessica LaVigne
3828 SE 13th Ave.
Portland, OR 97202

Q3 2019 Filing: 10/22/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$70.00

Activity Log - Q3 2019 to Date

- 07/10/19 04:24:10.263 - User Successfully Authenticated -
- 07/10/19 04:25:05.513 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
- 10/16/19 01:30:11.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/17/19 01:30:08.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/18/19 01:30:08.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/19/19 01:30:08.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/20/19 01:30:07.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/21/19 01:30:08.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/22/19 01:30:07.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/22/19 03:57:23.283 - User Failed to Authenticate -
- 10/22/19 03:57:34.193 - User Failed to Authenticate -
- 10/22/19 03:57:39.337 - User Failed to Authenticate -
- 10/22/19 03:59:23.117 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019
- 10/22/19 04:01:33.920 - User Profile Updated - The user's main profile was updated.
- 10/22/19 04:01:34.483 - User Profile Updated - User profile updated for Jessica LaVigne
- 10/22/19 04:04:08.583 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator.

PAST VIOLATIONS: NONE

RECOMMENDATION:

L. J. H. D. E. J.
(Signature)

BAIER Kathy * OGEC

From: Jessica LaVigne <jessica.f.lavigne@gmail.com>
Sent: Tuesday, October 22, 2019 4:15 PM
To: BAIER Kathy * OGEC
Subject: Jessica LaVigne - Lobby Filing

Dear Commissioners,

I am writing in regards to my lobbying filing for my former position as Deputy Legislative Director at Oregon State Treasury. My last day at Oregon State Treasury was July 31st, 2019. After this date, I did not have access to my state email where I received reminders about quarterly filings. I am writing to see if you would consider waving the penalty I have incurred. I appreciate you considering this request.

Sincerely,
Jessica

--
Jessica LaVigne

jessica.f.lavigne@gmail.com
503-201-8210
She/Her



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 22, 2019

Justin Freeman
7214 SW 152nd Ave.
Beaverton OR 97007

Dear Mr. Freeman:

The written explanation of why your Q3 2019 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$60 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2019

Lobbyist Code: 1047
User Name: jtfreeman
Email: justinf@crosswaterstrategies.com

Justin Freeman
7214 SW 152nd Ave
Beaverton, OR 97007


Q3 2019 Filing: 10/21/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$60.00

Activity Log - Q3 2019 to Date

07/10/19 09:45:08.330 - User Successfully Authenticated -
07/10/19 09:45:10.003 - User Successfully Authenticated -
07/10/19 09:45:49.910 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
08/01/19 08:11:07.933 - User Successfully Authenticated -
09/20/19 02:26:07.997 - User Successfully Authenticated -
10/16/19 01:30:10.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:07.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:07.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:07.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 04:59:44.237 - User Successfully Authenticated -
10/21/19 05:00:20.333 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter attached


BAIER Kathy * OGEC

n: Justin Freeman <jtfreeman84@gmail.com>
Sent: Monday, October 21, 2019 5:03 PM
To: BAIER Kathy * OGEC

Dear Commissioners,

I'm writing to apologize for filing my 2019 Q3 report late. I was laid off from my firm and the email the OGEC had on file was no longer active so I did not receive the usual email reminders and simply forgot to do it. You'll see that that was the first time that I had failed to file on time and I'm respectfully requesting that my penalty be reduced or removed.

Thank you,

Justin Freeman

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 22, 2019

Cole Merkel
13917 SE Schroeder Ave.
Milwaukie OR 97267

Dear Mr. Merkel:

The written explanation of why your Q3 2019 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$50 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2019

Lobbyist Code: 1718
User Name: cmerkel@aclu-or.org
Email: cjmerkel@gmail.com

Q3 2019 Filing: 10/20/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$50.00

Cole Merkel
13917 SE SCHROEDER AVE
MILWAUKIE, OR 972671055

Activity Log - Q3 2019 to Date

07/01/19 09:09:00.223 - User Successfully Authenticated -
07/01/19 09:28:26.417 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
07/23/19 09:26:39.047 - User Successfully Authenticated -
07/23/19 10:07:06.020 - User Successfully Authenticated -
09/04/19 08:11:18.963 - User Successfully Authenticated -
09/04/19 08:12:35.753 - User Successfully Authenticated -
09/07/19 02:01:45.067 - User Successfully Authenticated -
09/30/19 06:52:46.733 - User Successfully Authenticated -
10/09/19 08:24:04.487 - User Successfully Authenticated -
10/13/19 09:38:32.683 - User Successfully Authenticated -
10/16/19 01:30:11.733 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:08.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 11:01:58.040 - User Successfully Authenticated -
10/20/19 11:03:09.890 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019
10/20/19 01:47:28.507 - User Successfully Authenticated -
10/20/19 01:50:39.293 - User Profile Updated - User profile updated for Cole Merkel
10/20/19 01:50:39.560 - User Password Reset - The user's password was reset from the "My Profile" page.
10/20/19 01:50:39.970 - User Profile Updated - The user's main profile was updated.
10/20/19 01:50:52.230 - User Profile Updated - User profile updated for Cole Merkel
10/20/19 01:50:52.617 - User Profile Updated - The user's main profile was updated.
10/21/19 06:05:23.233 - User Successfully Authenticated -
10/21/19 06:06:46.323 - User Profile Updated - User profile updated for Cole Merkel
10/21/19 06:06:46.473 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Lester H. Ed
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October 21, 2019

Oregon Government Ethics Commission,

I am writing an appeal to request a waiver of the \$50 in fines I accrued for an overdue filing on my Q3 OGEC lobbyist report.

The position I held where I was registered as a lobbyist (Statewide Organizer at ACLU of Oregon) was terminated in July 2019 through organizational restructuring. Before leaving my position, I deactivated my status with ACLU-OR and OGEC and saved my password understanding that I would need to file a Q3 report. I will note that I did not do any lobbying in Q3 and my report is, accordingly, filed at \$0 for all categories.

I no longer have access to the ACLU of Oregon email address I used for my registration, did not realize I could update an alternate email address on the account, and was unaware that communications were stored on my OGEC account. Thus, I did not receive any notification that it was time to file my report. I did, however, log in to my OGEC account multiple times during the months of September and October to see if I was eligible to file my Q3 report. Without much familiarity with the system, I expected a pop-up notification upon logging in that would inform me where and when I could file the report.

I logged in again on October 20, 2019 and received a pop-up notification that my report was overdue and that I had been sanctioned \$50. I have now filed the Q3 report and thus am writing this appeal requesting a waiver on that \$50.

I appreciate the work OGEC does to ensure that Oregon's government is transparent, and I sincerely apologize for filing my report late. I hope you can appreciate the loose ends that are difficult to remember when facing employment termination, as well as the fact that, having previously only filed one report with OGEC, I was not entirely familiar with the ins and outs of the system. I hope you will be willing to waive the \$50 fine I accrued.

Please reach out if you have any questions or if I can provide any more context.

Sincerely,

Cole Merkel
971-313-1528
cjmerkel@gmail.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 24, 2019

Jonathan Schlueter
10655 SW Citation Drive
Beaverton OR 97088

Dear Mr. Schlueter:

The written explanation of why your Q3 2019 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$80 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2019

Lobbyist Code: 636
User Name: Schlueter
Email: jonathan_schlueter@co.washington.or.us

Q3 2019 Filing: 10/23/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$80.00

Jonathan Schlueter
10655 SW Citation Dr.
Beaverton, OR 97008

Activity Log - Q3 2019 to Date

07/05/19 01:00:18.957 - User Successfully Authenticated -
07/05/19 01:02:17.913 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
10/16/19 01:30:10.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:07.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:07.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:07.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:07.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 01:30:05.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 08:27:52.710 - User Profile Updated - User profile updated for Jonathan Schlueter
10/23/19 08:27:53.017 - User Profile Updated - The user's main profile was updated.
10/23/19 08:41:23.887 - User Profile Updated - User profile updated for Jonathan Schlueter
10/23/19 08:41:24.197 - User Profile Updated - The user's main profile was updated.
10/23/19 08:44:32.597 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
JD

October 23, 2019

Mr. Richard Burke,
Chairman,
Oregon Government Ethics Commission
3218 Pringle Rd. SE, Suite 220,
Salem, Oregon 97301

Dear Chairman Burke,

Subject: Late Filing Of Q3 Lobbying Expenditures Report, And Accrued Penalties

Earlier today, I was surprised to receive a phone call from your Commission staff, informing me that I have failed to submit a quarterly expense report for lobbying activities, and that the accrued penalties for this omission now tally \$80.

For the past seven years, I have lobbied on behalf of Washington County government, and have routinely filed my quarterly expense and activity reports without incident or delays. Washington County holds a separate contract agreement with Clean Water Services (CWS) to represent and inform their agency about timely legislative and regulatory developments in Salem.

My duties and responsibilities to both Washington County and Clean Water Services effectively ended on June 30, with the close of the 2019 state legislative session. I then resigned my position with Washington County, effective August 2. Washington County administrative staff informed the Oregon Government Ethics Commission of my departure on August 23, and I had assumed they had done the same on behalf of CWS.

But earlier today, I learned that Clean Water Services failed to notify the Commission that I am no longer working for them. As a result, the September 30 filing deadline was missed, and the associated penalties have been applied.

I have had no expenses or lobbying activities to report to the Commission in Q3, and have been unemployed since August 2. However, I am actively seeking new employment opportunities in Oregon, and hope these opportunities will allow me to continue lobbying activities in our state. If my new position allows me to continue lobbying activities, I will be very pleased to re-register with the Commission, and adhere to all filing requirements and rules of professional conduct.

In the near term, I respectfully request the Commission accept this explanation, along with my sincere apologies, for failing to file a Q3 expense and activity report. I also would like to take this opportunity to recognize and thank Administrative Specialist Kathy Baier, for alerting me to this omission, and helping me to close my account until further notice.

With sincere thanks,

Jonathan Schlueter

Jonathan Schlueter
10655 S.W. Citation Drive
Beaverton, Oregon 97008
(503) 590-7234 (home land line)
(503) 679-0621 (cell and text)
soletsgofishin@frontier.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 10, 2019

Office of the Public Records Advocate
Attn: Ginger McCall
2201 Mill Rd. #116
Alexandria VA 22314

Dear Ms. McCall:

The written explanation of why the Q2 2018 and Q1 2019 Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$60 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2018

Client Code: 1854
User Name: publicrecordsadvocate
Email: ginger.mccall@oregon.gov

Q2 2018 Filing: 7/17/2018
Q2 2018 Expended: \$0.00
Q2 2018 Penalty: \$20.00

Office of the Public Records Advocate
Attn: Ginger McCall
800 Summer St. NE
Salem, OR 97310

Activity Log - Q2 2018 to Date

05/14/18 09:41:52.460 - User Profile Created - User profile created for Ginger McCall
05/14/18 09:41:52.757 - User Profile Created - A new user profile was created.
05/14/18 09:41:52.977 - Client Profile Created - The client profile was created.
07/16/18 01:30:11.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 01:30:08.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 11:31:43.760 - User Successfully Authenticated -
07/17/18 11:32:17.133 - Client Report Submitted - Client Report Submitted for Q2 2018
10/15/18 08:20:19.307 - User Successfully Authenticated -
10/15/18 08:20:45.230 - Client Report Submitted - Client Report Submitted for Q3 2018
01/14/19 03:22:18.310 - User Successfully Authenticated -
01/14/19 03:22:53.653 - Client Report Submitted - Client Report Submitted for Q4 2018
01/22/19 09:35:36.563 - User Successfully Authenticated -
04/16/19 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/16/19 11:54:16.007 - User Successfully Authenticated -
04/16/19 11:54:36.417 - Client Report Saved - Client Report Saved for Q1 2019
04/17/19 01:30:09.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:08.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 08:20:51.937 - User Successfully Authenticated -
04/19/19 08:21:11.420 - Client Report Submitted - Client Report Submitted for Q1 2019
07/12/19 02:15:59.577 - User Successfully Authenticated -
07/12/19 02:16:28.690 - Client Report Submitted - Client Report Submitted for Q2 2019
10/10/19 01:33:39.623 - User Successfully Authenticated -
10/10/19 01:34:26.313 - Name Change Requested - User with ID 9861 requested a name change to Albert, Todd .
10/10/19 01:36:12.893 - User Profile Updated - User profile updated for Ginger McCall
10/10/19 01:36:13.443 - User Password Reset - The user's password was reset from the "My Profile" page.
10/10/19 01:36:13.490 - User Profile Updated - The user's main profile was updated.
10/10/19 01:40:36.643 - Name Change Requested - User with ID 9861 requested a name change to Albert, Todd .

Client/Employer Activity

Q1 2019

Client Code: 1854
User Name: publicrecordsadvocate
Email: ginger.mccall@oregon.gov

Q1 2019 Filing: 4/19/2019
Q1 2019 Expended: \$0.00
Q1 2019 Penalty: \$40.00


Office of the Public Records Advocate
Attn: Ginger McCall
800 Summer St. NE
Salem, OR 97310

Activity Log - Q1 2019 to Date

01/14/19 03:22:18.310 - User Successfully Authenticated -
01/14/19 03:22:53.653 - Client Report Submitted - Client Report Submitted for Q4 2018
01/22/19 09:35:36.563 - User Successfully Authenticated -
04/16/19 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/16/19 11:54:16.007 - User Successfully Authenticated -
04/16/19 11:54:36.417 - Client Report Saved - Client Report Saved for Q1 2019
04/17/19 01:30:09.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:08.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 08:20:51.937 - User Successfully Authenticated -
04/19/19 08:21:11.420 - Client Report Submitted - Client Report Submitted for Q1 2019
07/12/19 02:15:59.577 - User Successfully Authenticated -
07/12/19 02:16:28.690 - Client Report Submitted - Client Report Submitted for Q2 2019
10/10/19 01:33:39.623 - User Successfully Authenticated -
10/10/19 01:34:26.313 - Name Change Requested - User with ID 9861 requested a name change to Albert, Todd .
10/10/19 01:36:12.893 - User Profile Updated - User profile updated for Ginger McCall
10/10/19 01:36:13.443 - User Password Reset - The user's password was reset from the "My Profile" page.
10/10/19 01:36:13.490 - User Profile Updated - The user's main profile was updated.
10/10/19 01:40:36.643 - Name Change Requested - User with ID 9861 requested a name change to Albert, Todd .

PAST VIOLATIONS: Q2 2018 \$20 not paid
Q1 2019 \$40 not paid

RECOMMENDATION:

Follow up Ed


October 10, 2019

Dear Commissioners,

I am writing to ask you to please waive the late fees associated with my account.

My first late filings were during my first filing. I was still learning the process and thought I had gone through all of the necessary steps to finish the reporting, but unfortunately I did not. I am very sorry that I was not more careful and did not properly complete the filing.

The second late filing fees were for the month after my infant daughter passed this spring. I am afraid at the time many things in my life slipped, and I once again failed to properly complete the reporting process.

I would be deeply appreciative if you could waive or reduce these fees.

Thank you for all of the work you do to ensure government ethics here in the State of Oregon.

Sincerely,

Ginger McCall



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 17, 2019

Carpenters Industrial Council
Attn: Tony Hadley
212 Carpenters Union Way
Las Vegas NV 89119

Dear Mr. Hadley:

The written explanation of why the Q3 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$20 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2019

Client Code: 326
User Name: Carpenters Industrial Council
Email: tony.hadley@carpenters.org

Q3 2019 Filing: 10/17/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$20.00

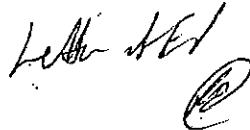
Carpenters Industrial Council
Attn: Tony Hadley
212 Carpenters Union Way
Las Vegas, NV 89119

Activity Log - Q3 2019 to Date

07/12/19 08:24:18.457 - User Failed to Authenticate -
07/12/19 08:24:51.420 - User Failed to Authenticate -
07/12/19 08:24:52.047 - User Failed to Authenticate -
07/12/19 08:29:23.400 - User Successfully Authenticated -
07/15/19 08:38:03.717 - User Successfully Authenticated -
07/15/19 08:43:29.503 - Client Report Submitted - Client Report Submitted for Q2 2019
07/15/19 02:13:22.127 - User Successfully Authenticated -
07/15/19 02:26:51.480 - User Profile Updated - User profile updated for Tony Hadley
07/15/19 02:26:51.873 - User Profile Updated - The user's main profile was updated.
10/16/19 01:30:12.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:09.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 02:39:47.493 - User Failed to Authenticate -
10/17/19 02:41:29.560 - User Successfully Authenticated -
10/17/19 01:07:49.917 - User Failed to Authenticate -
10/17/19 01:08:05.323 - User Failed to Authenticate -
10/17/19 01:08:46.467 - User Successfully Authenticated -
10/17/19 01:11:13.550 - Client Report Submitted - Client Report Submitted for Q3 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to [unclear]


HUNTER David * OGEC

m: Tony Hadley <Tony.Hadley@carpenters.org>
Sent: Thursday, October 17, 2019 1:21 PM
To: OGEC Mail * OGEC
Subject: RE: Failure to File Quarterly Report

Q3 2019

I have filed a third quarter report on behalf of the Carpenters Industrial Council, but have accrued penalties due to a late filing. The organization was disbanded and ceased operations in April of this year, but because the staff was released, the second quarter report (which notified the commission that the organization was out of business) was filed late. Therefore we were required to file a third quarter report and I did not get it filed until penalties had begun to be assessed.

Please waive the penalties that have been assessed as a result of the late filing.

Thank you for your consideration in this matter.

Tony Hadley
UBC International Representative
C: 769.232.9475

Lobbyist terminated 7-15-19

This message is intended only for the individual or entity to which it is addressed and may contain information that is privileged, confidential and exempt for disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately and delete the message from your system.

-----Original Message-----

From: ogec.notification@egov.com <ogec.notification@egov.com>
Sent: Thursday, October 17, 2019 3:30 AM
To: Tony Hadley <Tony.Hadley@carpenters.org>
Cc: spagels@cic-ubc.org
Subject: Failure to File Quarterly Report

Dear Tony Hadley,

You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 10/1/2019 that the reporting window for the 2019 Q3 reporting period was open and you were reminded again on 10/10/2019 and 10/15/2019.

You must file a report even if you have no activity to report during the reporting period, and you must file a report for any portion of a reporting period prior to a termination or expiration. There is no grace period or allowance for extensions.

We have not yet received your filing, and as such, a civil penalty is now accruing at the rate of \$10 per day for the first 14 days and \$50 per day thereafter until the filing is made or the maximum of \$5000 is reached. Your civil penalty accrual as of today is \$20.00.

Please access the Electronic Filing System now by visiting: https://urldefense.proofpoint.com/v2/url?u=https-3A__apps.oregon.gov_OGEC_EFS_Home_SignIn&d=DwIFAg&c=wWK99e2H9I2lqJ4f6vzyRh1i485LmYRgq8-QpYxyXvM&r=-cgGGlxwVa2LbftbfgU5DDDcc520ExOYJKVnVJTryew&m=h0c7_AqqOxvJelbtPC2lTpeX1WkiHmMSrdFfj05qfI0&s=bj1_qN2DwF-ONS4Eazl_O7Z5-t5RDbr39V8stspEokl&e=

Please remember to update your profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

Please do not reply to this email as replies will not be delivered to the Commission.

Thank you,

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

The content of this email is confidential and intended for the recipient specified in message only. It is strictly forbidden to share any part of this message with any third party, without a written consent of the sender. If you received this message by mistake, please reply to this message and follow with its deletion, so that we can ensure such a mistake does not occur in the future.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 21, 2019

Office of the Long-Term Care Ombudsman
Attn: Ashley Cottingham
3855 Wolverine St. NE
Salem OR 97305

Dear Ms. Cottingham:

The written explanation of why the Q3 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$30 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity
Q3 2019

Client Code: 1061
User Name: Rebecca
Email: ashley.cottingham@oregon.gov

Q3 2019 Filing: 10/18/2019
Q3 2019 Expended: \$217.84
Q3 2019 Penalty: \$30.00


Office of the Long-Term Care Ombudsman
Attn: Ashley Cottingham
3855 Wolverine St. NE
Salem, OR 973051251

Activity Log - Q3 2019 to Date

- 07/15/19 01:38:45.273 - User Successfully Authenticated -
- 07/15/19 01:41:36.483 - Client Report Submitted - Client Report Submitted for Q2 2019
- 10/16/19 01:30:12.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/17/19 01:30:09.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/17/19 10:48:46.037 - Admin User Reset Initiated - An admin user reset was initiated.
- 10/17/19 02:25:05.803 - User Successfully Authenticated -
- 10/17/19 02:29:37.193 - User Profile Updated - User profile updated for Lene Garrett
- 10/17/19 02:29:37.707 - User Profile Updated - The user's main profile was updated.
- 10/17/19 02:30:38.413 - Name Change Requested - User with ID 1677 requested a name change to Garrett, Lene .
- 10/17/19 02:43:06.940 - User Profile Updated - User profile updated for Ashley Cottingham
- 10/17/19 02:43:07.463 - User Profile Updated - The user's main profile was updated.
- 10/17/19 02:44:55.047 - Admin User Reset Initiated - An admin user reset was initiated.
- 10/18/19 01:30:09.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
- 10/18/19 08:59:46.040 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 10/18/19 09:00:24.573 - User Successfully Authenticated -
- 10/18/19 09:46:06.903 - Client Report Saved - Client Report Saved for Q3 2019
- 10/18/19 01:06:30.523 - User Successfully Authenticated -
- 10/18/19 01:07:08.510 - Client Report Submitted - Client Report Submitted for Q3 2019

PREVIOUS VIOLATIONS: Q2 2018 was 2 days late due to agency director change
Paid \$20 No Letter of Education issued.

RECOMMENDATION:

Letter of ED


HUNTER David * OGEC

From: Cottingham Ashley * LTCO
Sent: Friday, October 18, 2019 1:09 PM
To: OGEC Mail * OGEC
Cc: STEELE Fred * LTCO
Subject: Waiver of fee?

To Whom It May Concern –

I just started in this position on Oct. 7th – and the previous person transitioned to another position. May we request a waiver of the \$30 penalty for the late quarterly filing?

Thx,
Ashley

Ashley Carson Cottingham, JD
Office of the Long-Term Care Ombudsman
Deputy Agency Director
3855 Wolverine St. NE, Suite 6
Salem, OR 97305
Cell: 503.779.8806
Pronouns: She/Her
Website: <http://oltco.org>



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L O N G - T E R M C A R E
O M B U D S M A N

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 22, 2019

Seahorses Inc
Attn: Don Hudson
2138 NE Hancock St.
Portland OR 97212

Dear Mr. Hudson:

The written explanation of why the Q3 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$60 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2019

Client Code: 2094
User Name: Don Hudson
Email: dhudson@seahorsespdx.com

Q3 2019 Filing: 10/21/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$60.00

seahorses inc
Attn: Donald Hudson
2138 NE Hancock st
Portland, OR 97212

Activity Log - Q3 2019 to Date

07/03/19 01:42:29.153 - User Successfully Authenticated -
07/03/19 01:43:16.970 - Client Report Submitted - Client Report Submitted for Q2 2019
10/16/19 01:30:14.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:10.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:09.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:09.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:09.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:09.760 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 12:34:20.447 - Client Report Submitted - Client Report Submitted for Q3 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Ltd dtd
RD

BAIER Kathy * OGEC

From: Don Hudson <dHUDSON@seahorsespdx.com>
Sent: Tuesday, October 22, 2019 11:46 AM
To: BAIER Kathy * OGEC
Subject: tardiness explanation

To Whom it may concern

I apologize for my tardiness regarding my Quarterly Report. The last few months have been rather overwhelming and this appears to have "fallen through the cracks." I was involved in a near fatal motorcycle/car accident in June and have spent the majority of the last several months receiving multiple surgeries to save my leg, hands, and arm, and am currently recovering. It was an oversight of my own to allow this detail to slip. I have only recently reconnected with the non-profit that I am affiliated with and will do my best to prevent further delinquency. Again, I apologize and thank you for bringing this to my attention and your understanding.

--

Don Hudson
President - Seahorses Inc.
www.seahorsespdx.com
facebook.com/seahorsespdx
971-352-6130

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 25, 2019

Allegheny Technologies Inc.
Attn: Lauren McAndrews
1000 Six PPG Place
Pittsburgh PA 15222

Dear Ms. McAndrews:

The written explanation of why the Q3 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$10 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2019

Client Code: 1666
User Name: alleghenytechnologies
Email: lauren.mcandrews@atimetals.com

Q3 2019 Filing: 10/16/2019
Q3 2019 Expended: \$15,000.00
Q3 2019 Penalty: \$10.00

Allegheny Technologies Incorporated
Attn: Lauren McAndrews
1000 Six PPG Place
Pittsburgh, PA 152225479

Activity Log - Q3 2019 to Date

07/12/19 10:52:06.200 - User Successfully Authenticated -
07/12/19 11:13:02.873 - Client Report Submitted - Client Report Submitted for Q2 2019
10/16/19 01:30:13.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/16/19 05:39:44.227 - User Successfully Authenticated -
10/16/19 05:43:43.940 - Client Report Submitted - Client Report Submitted for Q3 2019
10/25/19 11:39:06.717 - User Successfully Authenticated -

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
Bo

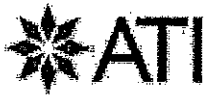
BAIER Kathy * OGEC

n: Flaherty, Eve A. <Eve.Flaherty@ATImetals.com>
Sent: Friday, October 25, 2019 11:47 AM
To: OGEC Mail * OGEC
Subject: Allegheny Technologies - Delinquent Report Penalty

We request that the late fee of \$10.00 for the 2019 Q3 Report of Allegheny Technologies be forgiven as this is a first time violation.

We will await your decision prior to making payment.

Thank you,



Eve Flaherty | Executive Assistant | P: 412.394.2873 | eve.flaherty@atimetals.com
ATI Corporate Headquarters | 1000 Six PPG Place | Pittsburgh, PA 15222-5479 | atimetals.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 6, 2019

Independent Insurance Agents and Brokers of OR
Attn: Tyra Dressel
6 Centerpointe Dr. 430
Lake Oswego OR. 97035

Dear Ms. Dressel:

The written explanation of why the Q3 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$90 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2019

Client Code: 645
User Name: jimperucca
Email: tyra@insureoregon.org

Q3 2019 Filing: 10/24/2019
Q3 2019 Expended: \$6,750.00
Q3 2019 Penalty: \$90.00

Independent Insurance Agents and Brokers of Oregon
Attn: Tyra Dressel
6 Centerpointe Drive 430
Lake Oswego, OR 97035

Activity Log - Q3 2019 to Date

07/16/19 01:30:09.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/17/19 01:30:07.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/18/19 01:30:06.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 01:30:06.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 08:57:31.627 - User Profile Updated - User profile updated for Tyra Dressel
07/19/19 08:57:31.973 - User Profile Updated - The user's main profile was updated.
07/19/19 08:58:07.737 - Admin User Reset Initiated - An admin user reset was initiated.
07/19/19 08:58:50.677 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/19/19 08:59:19.993 - User Successfully Authenticated -
07/19/19 08:59:40.707 - User Profile Updated - User profile updated for Tyra Dressel
07/19/19 08:59:40.957 - User Profile Updated - The user's main profile was updated.
07/19/19 09:15:51.123 - Admin User Reset Initiated - An admin user reset was initiated.
07/20/19 01:30:06.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/21/19 01:30:06.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/22/19 01:30:07.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/23/19 01:30:05.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/23/19 10:12:40.857 - Client Report Submitted - Client Report Submitted for Q2 2019
10/16/19 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:08.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:09.153 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 01:30:06.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/24/19 01:30:06.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/24/19 10:00:45.243 - User Profile Updated - User profile updated for Tyra Dressel
10/24/19 10:00:45.900 - User Profile Updated - The user's main profile was updated.
10/24/19 10:08:39.110 - Client Report Submitted - Client Report Submitted for Q3 2019

PAST VIOLATIONS: NONE

RECOMMENDATION: *Letter of Ed DB*

BAIER Kathy * OGEC

From: IIABO - Tyra Dressel <tyra@insureoregon.org>
Sent: Wednesday, November 06, 2019 9:26 AM
To: BAIER Kathy * OGEC
Subject: Lobbyist Client/Employer Report

Dear Commissioners –

We have been late in filing our report as we've had an extremely challenging year. Our Executive Director, Jim Perucca, passed away, suddenly, on June 30, 2019. Soon after his passing our only other employee left. We were going into our largest event of the year in August and I was the only employee left. Then, just as I was starting to get up to speed my work computer, and many working paper files, were stolen. Prior to these events happening we have always filed timely.

We are a non-profit association and would greatly appreciate any forgiveness you can grant us on late filing fees.

Thank you!

Tyra

Tyra E. Dressel
Executive Vice President
Independent Insurance Agents & Brokers of Oregon
6 Centerpointe Drive, Suite 430, Lake Oswego, OR 97035
Phone: 971-371-1491
Toll Free: 1.866.774.4226
Fax: 503.274.0062
tyra@insureoregon.org
www.iiabo.org

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 14, 2019

Willamette Valley Medical Center
Attn: Tom Line, Controller
2700 SE Stratus Ave.
McMinnville OR 97128

Dear Mr. Line:

The written explanation of why the Q3 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$800 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2019

Client Code: 1696
User Name: phofstetter
Email: peter.hofstetter@capellahealth.com

Q3 2019 Filing: 11/12/2019
Q3 2019 Expended: \$28,045.31
Q3 2019 Penalty: \$800.00


Willamette Valley Medical Center
Attn: Peter Hofstetter
2700 SE Stratus Ave
McMinnville, OR 97128

Activity Log - Q3 2019 to Date

07/12/19 07:38:42.330 - User Successfully Authenticated -
07/12/19 07:54:12.413 - Client Report Saved - Client Report Saved for Q2 2019
07/12/19 07:58:25.723 - Client Report Submitted - Client Report Submitted for Q2 2019
10/07/19 08:19:51.477 - User Successfully Authenticated -
10/07/19 02:40:33.633 - User Failed to Authenticate -
10/07/19 02:40:59.150 - User Successfully Authenticated -
10/07/19 02:50:48.130 - Client Report Saved - Client Report Saved for Q3 2019
10/16/19 01:30:13.560 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:09.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:09.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:09.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:09.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:09.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:08.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 01:30:06.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/24/19 01:30:06.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/25/19 01:30:07.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/26/19 01:30:07.303 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/27/19 01:30:08.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/28/19 01:30:09.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/29/19 01:30:07.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/30/19 01:30:06.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/31/19 01:30:06.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/01/19 01:30:06.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/02/19 01:30:06.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/03/19 01:00:21.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/04/19 01:30:07.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/05/19 01:30:07.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/06/19 01:30:06.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/07/19 01:30:05.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/08/19 01:30:06.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/09/19 01:30:06.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/10/19 01:30:09.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/11/19 01:30:08.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/11/19 07:02:05.283 - User Successfully Authenticated -
11/11/19 07:31:49.277 - Client Report Draft Canceled - Client Report Draft Canceled for Q3 2019
11/12/19 01:30:07.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q3
11/12/19 08:45:28.100 - User Profile Updated - User profile updated for Peter Hofstetter
11/12/19 08:45:28.253 - User Profile Updated - The user's main profile was updated.
11/12/19 10:11:23.853 - User Successfully Authenticated -
11/12/19 10:13:29.893 - Client Report Submitted - Client Report Submitted for Q3 2019
11/12/19 10:20:16.487 - User Profile Updated - User profile updated for Peter Hofstetter
11/12/19 10:20:16.897 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed


HUNTER David * OGEC

From: Line, Thomas <thomas.line@mywvmc.com>
Date: Tuesday, November 12, 2019 10:19 AM
To: OGEC Mail * OGEC
Subject: late fees for Q3 filing

Commission,

It looks like we failed to hit the submit button to file our report last quarter, our CEO who gets the reminders and warnings recently retired, so I was unaware that this had happened. I am the one filing the reports quarterly but did not get the notifications that there was an issue. I have submitted the missing report and we are current in our filing status.

I am asking that given the extenuating circumstances our fines be waived?

Tom Line Controller
Willamette Valley Medical Center
A LifePoint Health Hospital
McMinnville, OR
503-435-6326
thomas.line@mywvmc.com

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The information transmitted via this e-mail is intended only for the person or entity to which it is addressed and may contain confidential and/or proprietary information. Any use, review, retransmission, dissemination or other use of, or pursuing of any action in reliance upon this information by persons or entities other than the intended recipient is strictly prohibited. If you are the recipient of this e-mail transmission in error, please reply to the sender and delete the material from any computer. Thank you.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 8, 2019

Blair McIntire
980 West Park St.
Grants Pass OR 97527

Re: 2019 SEI late filing

Dear Mr. McIntire:

The written explanation of why your Statement of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 1830
User Name: dbmc
Email: vagabond@budget.net

2019 Filing: 5/6/2019
2019 Penalty: \$10.00

Blair McIntire
980 West Park Street
Grants Pass, OR 97527

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:10.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/06/19 08:20:01.827 - Late SEI Report Filed - SEI Report Filed Late for year 2019
10/08/19 10:16:25.533 - User Profile Updated - User profile updated for Blair McIntire
10/08/19 10:16:25.710 - User Profile Updated - The user's main profile was updated.
10/08/19 10:20:16.847 - User Profile Updated - User profile updated for Blair McIntire
10/08/19 10:20:17.013 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter d/d
(10)

HUNTER David * OGEC

From: Blair <vagabond@budget.net>
Sent: Tuesday, October 08, 2019 11:36 AM
To: OGEC Mail * OGEC
Subject: late filings

"Dear Statement of Economic Interest Filer,

Thank you for filing your Annual Statement of Economic Interest report as required; however, you filed one or more reports after the due date had passed and have accrued penalties for the late filing(s)."

Sorry, I never filed! I never got the original notification to file, nor did I get it last year. After some going back and forth with your staff last year, I thought this matter had been cleared up....obviously it hasn't. So you now have TWO (2) addies on my file and hopefully it will work next year.

Penalties? 10 bucks? Not my fault!! By the way, nothing has changed this last year regarding my financial standing. I'm still broke.....

Respectfully, Blair McIntire



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 10, 2019

Samantha Rowan
PO Box 73
Sumpter OR 97877

Re: 2018 SEI late filing

Dear Ms. Rowan:

The written explanation of why your Statement of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 4507
User Name: sammikyle13
Email: pnsvintage@yahoo.com

2018 Filing: 5/7/2018
2018 Penalty: \$10.00

Samantha Rowan
P.O. Box 73
Sumpter, OR 97877

2018 Offices Held

SUMPTER (City), COUNCIL - Appointed 09/08/15

Activity Log - 2018 to Date

04/18/18 08:27:35.417 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/18 08:29:11.197 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/02/18 11:48:48.430 - User Failed to Authenticate -
05/02/18 11:49:18.693 - User Failed to Authenticate -
05/07/18 01:30:13.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/07/18 08:46:38.617 - Late SEI Report Filed - SEI Report Filed Late for year 2018
03/04/19 01:12:27.883 - Seat Vacated - Seat Vacated

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter issued.
EP

HUNTER David * OGEC

n: pnsvintage@yahoo.com
Sent: Wednesday, October 09, 2019 8:14 PM
To: OGEC Mail * OGEC
Subject: Samantha Rowan late fee

I was late filing because I couldn't log in to the system. I called and took care of it. Please wave the \$10 fee. I haven't sat on anything government in 11 months.

Thanks Samantha Rowan

Sent from Yahoo Mail on Android

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 10, 2019

Glenn Chowning
1645 W. Orchard
Hermiston OR 97838

Re: 2018 SEI late filing

Dear Mr. Chowning:

The written explanation of why your Statement of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 4814
User Name: gchowning
Email: gchowning@charter.net

2018 Filing: 5/7/2018
2018 Penalty: \$10.00

Glenn Chowning
1645 W. Orchard
Hermiston, OR 97838

2018 Offices Held

HOSPITAL FACILITY AUTHORITY - UMATILLA CO (State), HOSPITAL FACILITY AUTHORITY - UMATILLA CO - Appointed 01/01/16

Activity Log - 2018 to Date

05/07/18 01:30:13.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/07/18 09:12:13.413 - User Failed to Authenticate -
05/07/18 09:17:38.780 - User Successfully Authenticated -
05/07/18 09:30:50.500 - Late SEI Report Filed - SEI Report Filed Late for year 2018
02/15/19 02:54:13.490 - Seat Vacated - Seat Vacated
10/10/19 08:00:01.773 - User Successfully Authenticated -

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter d.F.d.
(Signature)

HUNTER David * OGEC

n: Glenn <gchowning@charter.net>
Sent: Thursday, October 10, 2019 8:15 AM
To: OGEC Mail * OGEC
Subject: Fw: SEI Filers Late Filing with Penalties Due

OGEC members:

I am requesting you waive the fees explained in the email below. I was not aware of the late fee for a filing from June, 2018 until I received this email today, October 10, 2019. I have tried to file on time each year. I enjoyed being on this board. Thank you for your consideration., Glenn Chowning

-----Original Message-----

From: ogec.notification@egov.com
Sent: Tuesday, October 08, 2019 9:54 AM
To: gchowning@charter.net
Subject: SEI Filers Late Filing with Penalties Due

Dear Statement of Economic Interest Filer,

Thank you for filing your Annual Statement of Economic Interest report as required; however, you filed one or more reports after the due date had passed and have accrued penalties for the late filing(s). Please click on the following link to enter the Electronic Filing System, <https://apps.oregon.gov/OGEC/EFS/>, then click on "Sign in as a registered user" and enter your User Name and Password. After selecting the role tile, you will see a red box at the top of the page labeled "View Penalties and Sanctions". Click in that box and you will see the total amount due and a list of the filing years with the outstanding penalties for each in red.

Click on each year that has a dollar amount listed and a drop down will appear detailing the penalty accrual. Penalties ceased to accrue when the report was filed, and penalties are still owed even if you no longer hold the position that was required to file.

Please remit the full amount by check or money order to the Oregon Government Ethics Commission by October 31, 2019. We do not accept electronic payments.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your previous filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please mail it to Oregon Government Ethics Commission at 3218 Pringle Rd SE, Ste 220, Salem, Oregon 97302, or submit by email to ogec.mail@oregon.gov.

If you have questions regarding this process, please call Commission staff at 503-378-5105.

Please do not reply to this email as replies will not be delivered to the Commission.

Thank you,

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 14, 2019

Scott Daugherty
1087 Tillamook Ave.
Rockaway Beach OR 97136

Re: 2019 SEI late filing

Dear Mr. Daugherty:

The written explanation of why your Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 7702
User Name: Scott B Daugherty
Email: sbdaugherty@outlook.com

2019 Filing: 5/6/2019
2019 Penalty: \$10.00

Scott Daugherty
1087 Tillamook Ave.
Rockaway Beach, OR 97136

2019 Offices Held

ROCKAWAY BEACH (City), PLANNING COMMISSION - Appointed 03/05/19

Activity Log - 2019 to Date

03/16/19 08:46:35.457 - User Profile Created - User profile created for Scott Daugherty
03/16/19 08:46:35.943 - User Profile Created - A new user profile was created.
03/16/19 08:46:35.977 - SEI Assigned to Seat - SEI Assigned to Seat
04/14/19 08:49:00.410 - User Successfully Authenticated -
04/23/19 08:47:36.740 - User Successfully Authenticated -
04/23/19 09:28:02.823 - SEI Report Draft Saved - SEI Report Draft Saved for year 2019
04/23/19 09:48:10.537 - User Failed to Authenticate -
04/23/19 09:48:42.397 - User Failed to Authenticate -
04/23/19 09:49:24.680 - User Successfully Authenticated -
04/24/19 09:48:57.353 - User Successfully Authenticated -
04/24/19 09:48:58.400 - User Successfully Authenticated -
05/06/19 01:30:14.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/06/19 01:38:54.197 - Late SEI Report Filed - SEI Report Filed Late for year 2019
05/06/19 08:40:27.393 - User Successfully Authenticated -
05/16/19 02:21:19.503 - User Successfully Authenticated -
10/08/19 08:26:14.750 - User Successfully Authenticated -
10/08/19 08:30:53.550 - User Successfully Authenticated -

PAST VIOLATIONS: NONE

RECOMMENDATION:

*Letter DEF
@*

HUNTER David * OGEC

From: Scott Daugherty <sbdaugherty@outlook.com>
Sent: Monday, May 06, 2019 9:06 PM
To: ogec.notification@egov.com
Subject: Delinquent Report Penalty

RECEIVED
OCT 14 2019
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom It May Concern;

I received a delinquent notice on 5.6.2019 stating that I have not filed. I contacted the Ethics Commission that same day with my concerns since I thought I completed my registration on 4.24.19. I was informed that I did not sign the documents. When I registered on 4.24.19 I did not see anyplace for my signature, I must have overlooked it. I submitted my documents that night, under status it said pending so I assumed it was completed. I apologizes for my mistake and hope you understand that I really thought I had completed my registration on time.

Thank you
Scott Daugherty
Planning Commissioner
Rockaway Beach, Oregon

Sent from Mail for Windows 10



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 29, 2019

Robert Duplessis, City of Rainier Council
PO Box 1563
Rainier OR 97048

Re: SEI late filing penalty

Dear Mr. Duplessis:

Oregon Government Ethics Commission (Commission) records indicate that on April 15, 2016 you held a position for which you were required to file an Annual Verified Statement of Economic Interest (SEI). You filed the 2016 SEI on April 15, 2017 which was due on April 15, 2016, a year late.

Failure to file an SEI in a timely manner is prima facie evidence of a violation of ORS 244.050. The Commission has the authority to impose penalties of up to \$5,000 for each late filing of an SEI. Your account has an outstanding penalty of \$5,000 for the late filing of your 2016 SEI.

You may submit a letter to the Commission explaining why you did not file your SEI on time and you may ask that the Commission reduce the accumulated penalty. You are urged to submit this letter on or before August 13, 2019 via USPS or email. You may contact Kathy Baier at 503-378-2170 or kathy.baier@oregon.gov if you would like clarification concerning Commission procedures.

Sincerely,

Ronald A. Bersin
Executive Director



SEI Activity

2016

SEI Code: 5172
User Name: rduplessis
Email: rduplessis@gottrail.net

2016 Filing: 4/15/2019
2016 Penalty: \$5,000.00

Robert Duplessis
PO Box 1563
Rainier, OR 97048

09/09/16 01:30:13.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/09/16 01:30:13.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/10/16 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/10/16 01:30:12.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/11/16 01:30:12.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/11/16 01:30:12.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/12/16 01:30:14.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/12/16 01:30:14.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/13/16 01:30:14.910 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/13/16 01:30:14.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
04/15/17 06:18:08.650 - User Failed to Authenticate -
04/15/17 06:18:29.053 - User Failed to Authenticate -
04/15/17 06:19:57.093 - User Successfully Authenticated -
04/15/17 06:36:27.610 - SEI Report Filed - SEI Report Filed for year 2017
04/15/17 06:38:27.517 - SEI Report Filed - SEI Report Filed for year 2016
04/12/18 03:05:42.953 - Admin User Reset Initiated - An admin user reset was initiated.
04/12/18 03:06:38.270 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/12/18 03:07:52.677 - User Successfully Authenticated -
04/12/18 03:08:18.123 - User Profile Updated - User profile updated for Robert Duplessis
04/12/18 03:08:18.203 - User Profile Updated - The user's main profile was updated.
04/13/18 07:34:30.653 - User Successfully Authenticated -
04/13/18 08:05:30.593 - User Successfully Authenticated -
04/13/18 08:09:22.450 - SEI Report Filed - SEI Report Filed for year 2018
01/30/19 12:13:16.477 - User Profile Updated - User profile updated for Robert Duplessis
01/30/19 12:13:16.830 - User Profile Updated - The user's main profile was updated.
01/30/19 02:02:08.280 - User Profile Updated - The user's main profile was updated.
01/30/19 02:02:08.577 - User Profile Updated - User profile updated for Robert Duplessis
04/14/19 03:57:23.697 - User Failed to Authenticate -
04/15/19 10:05:48.637 - Admin User Reset Initiated - An admin user reset was initiated.
04/15/19 10:25:00.783 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/15/19 10:25:30.393 - User Successfully Authenticated -
04/15/19 10:25:57.350 - User Profile Updated - The user's main profile was updated.
04/15/19 10:25:57.397 - User Profile Updated - User profile updated for Robert Duplessis
04/15/19 10:26:29.273 - Admin User Reset Initiated - An admin user reset was initiated.
04/15/19 11:45:10.133 - User Failed to Authenticate -
04/15/19 11:45:18.897 - User Failed to Authenticate -
04/15/19 01:49:29.540 - User Failed to Authenticate -
04/15/19 01:49:38.617 - User Failed to Authenticate -
04/15/19 01:53:11.667 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/15/19 01:53:40.653 - User Successfully Authenticated -
04/15/19 01:54:06.340 - User Profile Updated - The user's main profile was updated.
04/15/19 01:54:06.557 - User Profile Updated - User profile updated for Robert Duplessis
04/15/19 01:54:37.060 - Admin User Reset Initiated - An admin user reset was initiated.
04/15/19 02:09:16.570 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/15/19 02:09:27.830 - User Successfully Authenticated -
04/15/19 02:14:10.817 - SEI Report Draft Saved - SEI Report Draft Saved for year 2019
04/15/19 02:14:49.787 - SEI Report Filed - SEI Report Filed for year 2019

- JC assigned/invited User: Robert Duplessis to create an account in EFS on 7/01/2016
- JC entered his appointed date as 3/9/2016 (creating a filing requirement for 2016)
- User Profile was created on 7/01/2016
- User filed 2016 SEI on 4/15/2017, same day as filing 2017 SEI
- User has filed timely 2017 – 2019

RECOMMENDATION:

Let her in ~~how~~ how at no later

SEI Activity

2016

SEI Code: 5172
User Name: rduplessis
Email: rduplessis@gottrail.net

2016 Filing: 4/15/2019
2016 Penalty: \$5,000.00

Robert Duplessis
PO Box 1563
Rainier, OR 97048

2016 Offices Held

RAINIER (City), COUNCIL - Appointed 03/09/16
RAINIER (City), ECONOMIC DEVELOPMENT COUNCIL - Appointed 03/09/16

Activity Log - 2016 to Date

07/01/16 10:02:09.630 - User Profile Created - User profile created for Robert Duplessis
07/01/16 10:02:09.737 - User Profile Created - A new user profile was created.
07/01/16 10:02:09.817 - SEI Assigned to Seat - SEI Assigned to Seat
07/11/16 01:30:32.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/11/16 01:30:32.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/12/16 01:30:31.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/12/16 01:30:31.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/13/16 01:30:26.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/13/16 01:30:26.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/14/16 01:30:30.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/14/16 01:30:30.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/15/16 01:30:35.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/15/16 01:30:35.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/16/16 01:30:30.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/16/16 01:30:30.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/17/16 01:30:29.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/17/16 01:30:30.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/18/16 01:30:27.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/18/16 01:30:27.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/19/16 01:30:30.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/19/16 01:30:30.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/20/16 01:30:28.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/20/16 01:30:28.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/21/16 01:30:34.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/21/16 01:30:34.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/22/16 01:30:32.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/22/16 01:30:33.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/23/16 01:30:30.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/23/16 01:30:30.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/24/16 01:30:52.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/24/16 01:30:52.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/25/16 01:30:30.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/25/16 01:30:30.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/26/16 01:30:32.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/26/16 01:30:32.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/03/16 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/03/16 01:30:13.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/04/16 01:30:13.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/04/16 01:30:13.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/05/16 01:30:12.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/05/16 01:30:12.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/06/16 01:30:15.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/06/16 01:30:15.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/07/16 01:30:17.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/07/16 01:30:17.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/08/16 01:30:10.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/08/16 01:30:10.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/09/16 01:30:14.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/09/16 01:30:14.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/10/16 01:30:14.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/10/16 01:30:14.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 15, 2019

Anthony Reeves
PO Box 56
Mitchell OR 97750

Re: 2018 SEI late filing

Dear Mr. Reeves:

The written explanation of why your Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 6148
User Name: arexreeves@yahoo.com
Email: arexreeves@yahoo.com

2018 Filing: 3/15/2019
2018 Penalty: \$10.00

Anthony Reeves
PO BOX 56
MITCHELL, OR 97750

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

03/15/18 06:17:29.553 - User Failed to Authenticate -
03/23/18 08:26:01.997 - User Failed to Authenticate -
03/23/18 08:26:22.457 - User Failed to Authenticate -
03/23/18 08:26:46.027 - User Failed to Authenticate -
03/23/18 08:29:06.003 - User Failed to Authenticate -
03/23/18 08:32:02.700 - User Failed to Authenticate -
03/23/18 08:34:01.427 - User Failed to Authenticate -
03/23/18 08:34:08.067 - User Failed to Authenticate -
03/23/18 08:34:10.360 - User Failed to Authenticate -
03/23/18 08:37:58.880 - User Failed to Authenticate -
03/23/18 08:43:40.627 - User Failed to Authenticate -
03/23/18 08:43:46.070 - User Failed to Authenticate -
03/23/18 08:43:51.467 - User Failed to Authenticate -
03/23/18 08:43:56.617 - User Failed to Authenticate -
03/23/18 08:43:58.910 - User Failed to Authenticate -
03/23/18 08:45:16.843 - User Failed to Authenticate -
03/27/18 05:13:13.833 - User Failed to Authenticate -
03/27/18 05:13:35.203 - User Failed to Authenticate -
03/27/18 05:31:31.320 - User Failed to Authenticate -
03/27/18 05:31:51.880 - User Failed to Authenticate -
03/27/18 05:31:59.983 - User Failed to Authenticate -
03/27/18 08:05:01.687 - User Account Unlocked - The user's account was unlocked.
03/27/18 08:05:17.973 - Admin User Reset Initiated - An admin user reset was initiated.
03/27/18 08:07:21.897 - Admin User Password Reset Completed - A user reset their password using an admin reset.
03/27/18 08:07:29.447 - User Successfully Authenticated -
03/27/18 08:11:42.523 - User Successfully Authenticated -
03/27/18 08:11:43.117 - User Successfully Authenticated -
04/10/18 05:36:26.357 - User Successfully Authenticated -
04/10/18 08:26:32.727 - User Successfully Authenticated -
04/12/18 05:00:55.020 - User Successfully Authenticated -
04/13/18 10:41:46.570 - User Successfully Authenticated -
04/21/18 07:24:53.463 - User Successfully Authenticated -
05/07/18 01:30:14.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/07/18 05:40:03.293 - User Successfully Authenticated -
05/07/18 05:48:11.953 - Late SEI Report Filed - SEI Report Filed Late for year 2018
10/09/18 04:19:57.993 - User Profile Updated - The user's main profile was updated.
10/09/18 04:19:58.123 - User Profile Updated - User profile updated for Anthony Reeves
03/15/19 05:01:06.967 - User Successfully Authenticated -
03/15/19 05:12:16.107 - SEI Report Filed - SEI Report Filed for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Lo B. Ed
10

Oregon Government Ethics Commission
3218 Pringle Rd. SE #220
Salem, Oregon

RECEIVED
OCT 15 2019
OREGON GOVERNMENT
ETHICS COMMISSION

October 8, 2019

Dear Commission Members,

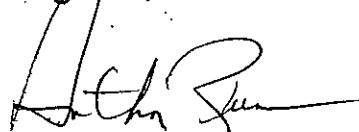
Please accept my apology for the late filing(s) of the required Statement of Economic Interest. I have been unable to access the designated web site as to determine the current fee and penalty, if any. As a retired, disabled senior, navigating the internet has become more difficult.

I have been an appointed City of Mitchell Town Councilman in 2017, then an elected Town Councilman in 2018. The term is a tenure of 2 years. I'm asking those members of the OGEC to please forgive my fees and penalties accrued. I will add that my limited income does not allow for most luxuries. Being disabled with minimal walking ability, I'm unable to add any monies to our limited budget.

Our town of 100 or so souls relies on the volunteering of her residents, resulting in personal time given and personal dollars spent. Many hours are freely offered monthly to ensure the viability of Mitchell.

I truly appreciate the consideration and forgiveness of debt from the members of the OGEC.

Regards,



Anthony Reeves, Mitchell Town Councilman
PO Box 56
Mitchell Oregon 97750

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Oregon

Kate Brown, Governor

Government Ethics Commission
3218 Pringle Rd SE, Ste 220
Salem, OR 97302-1680
Telephone: 503-378-5105
Fax: 503-373-1456
Email: ogec.mail@oregon.gov
Website: www.oregon.gov/ogec

November 5, 2019

Robert E. Davis
PO Box 27
Paisley OR 97636

Re: 2019 SEI late filing

Dear Mr. Davis:

The written explanation of why your Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

01652000

01652000



SEI Activity 2019

SEI Code: 4423
User Name: chewaucan000@centurytel.net
Email: 79hogrider@gmail.com

Robert E. Davis
PO Box 27
Paisley, OR 97636

2019 Filing: 5/10/2019
2019 Penalty: \$50.00

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:11.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:09.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 03:03:30.283 - Late SEI Report Filed - SEI Report Filed Late for year 2019
05/10/19 03:07:21.073 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/19 03:08:00.980 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/19 03:09:57.580 - User Successfully Authenticated - The user's main profile was updated.
05/10/19 03:10:22.063 - User Profile Updated - User profile updated for Robert E. Davis
05/10/19 03:10:22.663 - User Profile Updated - User profile updated for Robert E. Davis

PAST VIOLATIONS:

NONE

RECOMMENDATION:

Letter of Education RB

HUNTER David * OGEC

From: Bob Davis <79hogrider@gmail.com>
Sent: Monday, November 04, 2019 6:17 PM
To: OGEC Mail * OGEC
Subject: SEI filer late, Robert E Davis Paisley OR.

My apologies for the late filling for the SEI Filer. I had changed my email of Chewaucan000 to this(79hogrider) and forgot to make the changes for this. I was unable to receive the information I needed to send this email. I received a phone call after the deadline had passed and was able to get what I needed to send this email. I apologize for missing the deadline and should be able to keep on time from here on out. Thank you for your understanding.

Robert E, Davis
City Council Member for Paisley, OR.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 8, 2019

Tom Anderson
11865 SW Wildwood Street
Tigard OR 97224

Re: 2019 SEI late filing

Dear Mr. Anderson:

The written explanation of why your Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 6000
User Name: Tomanderson
Email: tapdx@yahoo.com

2019 Filing: 5/7/2019
2019 Penalty: \$20.00

Tom Anderson
11865 SW Wildwood Street
Tigard, OR 97224

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:13.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:10.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 03:32:53.497 - User Successfully Authenticated -
05/07/19 03:36:06.423 - Late SEI Report Filed - SEI Report Filed Late for year 2019
11/07/19 04:18:08.203 - Admin User Reset Initiated - An admin user reset was initiated.

PAST VIOLATIONS: NONE

RECOMMENDATION: Letter of Ed DB

BAIER Kathy * OGEC

From: Tom Anderson <tapdx@yahoo.com>
Date: Thursday, November 07, 2019 4:31 PM
To: BAIER Kathy * OGEC
Subject: Late Ethics Filing

Honorable Commissioners,

Tom Anderson here from Tigard City Council. I am requesting a one time waiver for Ethics fees due to a late filing. The responsibility lands squarely on my end, as I did get adequate reminders from staff here in Tigard. It was simply a moment of procrastination. I realize that you have many of these documents to collect and that timelines are necessary.

Please accept my apology, and I will pay my fine promptly if that is how you rule.

Thank you,

Tom Anderson
Tigard City Council
503-702-9047

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SEI History

10/18/2019

2014


18-047SMT

Type: CI

Jurisdiction: PORT ORFORD

Office: PLANNING COMM

GARY ROBERTSON
PO BOX 121

Received: 

PORT ORFORD, OR 97465

Penalty:

Comments:

Generic Summary of the Process: In 2016, the Commission transitioned from a paper system wherein SEI forms were manually filed and tracked, to an electronic filing system (EFS) in which filings were done online and electronic notices and tracking were available. In 2017 and 2018, to clean up the pre-EFS filing violations and collect penalties accrued in the old paper system, Commission staff contacted delinquent SEI non-filers from pre-EFS years (2013, 2014, and 2015). In order to obtain a "final order" (stipulated final order or default final order) through which the Commission could collect penalties, cases had to be opened on the non-filers/late filers.

In spring of 2018, the Commissioners wished to end the process of opening cases on these old non-filers/late filers. The Commissioners indicated that they wished to dispose of these cases which had already been opened by issuing them a letter of education in lieu of finding a violation and close these cases through dismissals.

Gary Robertson
Case 18-047SMT

Pursuant to prima facie evidence of non-filing of 2014 SEI, a case was opened by OGEC administratively in February 2018, as permitted by ORS 244.350(4). Letter notifying of non-filing of 2014 SEI was sent to Gary Robertson, former Planning Commissioner of the City of Port Orford, on 2/9/18 with copy of blank 2014 SEI. He was urged to file the SEI AND submit a letter to the Commissioners explaining his late filing prior to the 3/30/18 meeting of the Commission at which his case was to be considered.

Because the process was changed as described above, his case and others were never placed on a Commission agenda, and instead, we have been placing these cases on the consent calendar for Commissioners to issue a letter of education in lieu of a violation and dismiss.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

February 9, 2018

Gary Robertson
PO BOX 121
Port Orford, Oregon 97465

Re: Gary Robertson
Case No. 18-047SMT

SEI NON-FILING NOTICE

Dear Mr. Robertson:

Oregon Government Ethics Commission (Commission) records indicate that on April 15, 2014, you held a position for which you were required to file an Annual Verified Statement of Economic Interest (SEI). You did not file the 2014 SEI that was due on April 15 in 2014. The Commission has opened a case on the matter, as cited above.

At the Commission meeting on Friday, March 30, 2018 at 9:00 am, the Commission will determine whether or not to make preliminary findings of violation of ORS 244.050 based on your failure to file SEIs for the years listed above. The Commission has the authority to impose penalties of up to \$5,000 for each late filing of an SEI.

Attached is a copy of a 2014 SEI form, which we suggest you file by February 28, 2018, before your matter is heard by the Commission. You are also invited to provide any additional materials or information that you believe would assist the Commission in making its determination of whether or not to make preliminary findings of violation. We encourage you to submit a letter to the Commission explaining why you did not file your SEI on time. If you wish to submit information, it must be received by the Commission no later than February 28, 2018. If you choose not to provide information, the Commission decision will be based on the information otherwise available to the Commission.

You may attend the Commission meeting on March 30, 2018. Because the proceeding is not a hearing, the Commission will not permit lengthy presentations. With the consent of the Chair, you are permitted to make a brief oral statement. You may wish to review OAR 199-001-0010 to acquaint yourself with the Commission's meeting procedures.

Please contact Michael Thornicroft; Michael.thornicroft@oregon.gov, if you would like clarification concerning Commission procedures.

Sincerely,

Ronald A. Bersin
Executive Director





Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 24, 2019

Sydney Scout
228 NW 22nd Ave. #307
Portland OR 97210

Dear Ms. Scout:

The written explanation of why your Q2 and Q3 2019 Lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$110 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2019

Lobbyist Code: 1636
User Name: sydney scout
Email: sscout@workingfamilies.org

Q2 2019 Filing: 7/19/2019
Q2 2019 Expended: \$0.00
Q2 2019 Penalty: \$40.00

Sydney Scout
228 NW 22nd Ave #307
Portland, OR 97210

Activity Log - Q2 2019 to Date

04/14/19 06:01:21.077 - User Successfully Authenticated -
04/14/19 06:06:25.547 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2019
04/17/19 11:04:54.327 - User Successfully Authenticated -
04/17/19 11:05:02.217 - User Successfully Authenticated -
04/17/19 11:05:15.703 - User Failed to Authenticate -
04/17/19 11:05:21.360 - User Successfully Authenticated -
04/17/19 11:40:26.067 - User Successfully Authenticated -
04/17/19 11:42:47.807 - User Successfully Authenticated -
07/16/19 01:30:09.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/17/19 01:30:07.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/18/19 01:30:06.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 01:30:06.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 12:30:47.243 - User Successfully Authenticated -
07/19/19 12:30:48.197 - User Successfully Authenticated -
07/19/19 12:30:50.203 - User Successfully Authenticated -
07/19/19 12:31:37.383 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
07/22/19 08:24:55.667 - User Successfully Authenticated -
10/16/19 01:30:11.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:07.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:53:14.697 - User Successfully Authenticated -
10/22/19 01:54:19.117 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019

Lobbyist Activity

Q3 2019

Lobbyist Code: 1636
User Name: sydney scout
Email: sscout@workingfamilies.org

Sydney Scout
228 NW 22nd Ave #307
Portland, OR 97210

Q3 2019 Filing: 10/22/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$70.00

Activity Log - Q3 2019 to Date

07/16/19 01:30:09.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/17/19 01:30:07.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/18/19 01:30:06.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 01:30:06.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 12:30:47.243 - User Successfully Authenticated -
07/19/19 12:30:48.197 - User Successfully Authenticated -
07/19/19 12:30:50.203 - User Successfully Authenticated -
07/19/19 12:31:37.383 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
07/22/19 08:24:55.667 - User Successfully Authenticated -
10/16/19 01:30:11.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:07.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:53:14.697 - User Successfully Authenticated -
10/22/19 01:54:19.117 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019

PREVIOUS VIOLATIONS: Q2 2019 \$40 not paid

RECOMMENDATION:

Q2 - Letter to ED
Q3 - \$50⁰⁰

BAIER Kathy * OGEC

From: sydney scout <sscout@workingfamilies.org>
Sent: Wednesday, October 23, 2019 2:23 PM
To: BAIER Kathy * OGEC
Subject: OGEC Letter of Explanation - Sydney Scout, Account

Hi Kathy,

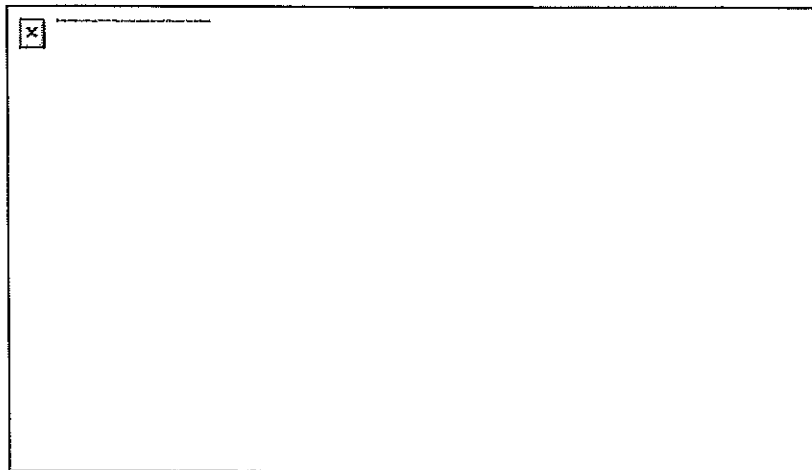
Thank you so much for flagging the late filing in my lobbyist client account with the government ethics commission. I wanted to share some more explanation about why my filings were late and how it won't happen again.

I have been the only permanent, full time staffperson at the Oregon WFP since May of this year. With that, during the summer months, I was out of the office an average of 2 weeks of the month for work-related conferences. So, I've been struggling to keep up with basic administrative tasks in the office, including the OGEC filings. Thankfully, my hard work over the summer paid off and as of October 1, I now have a permanent, full time second staffperson! So, I've been slowly getting caught back up on all the things that slid off the radar during the transition period, and can ensure that my future filings will be submitted on time.

Thank you for your consideration and understanding,
Sydney

--
sydney scout (she/her/hers)
oregon working families party
503.380.0639

buy your tickets for homecoming, today!





Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 24, 2019

Perla Alvarez
12046 SE Raymond St.
Portland OR 97266

Dear Ms. Alvarez:

The written explanation of why your Q1 and Q3 2019 Lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$100 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2019

Lobbyist Code: 1439
User Name: perlaalvarez
Email: perlaalvarez19@gmail.com

Perla Alvarez
12046 SE Raymons St
Portland, OR 97266

Q1 2019 Filing:	4/17/2019
Q1 2019 Expended:	\$0.00
Q1 2019 Penalty:	\$20.00

Activity Log - Q1 2019 to Date

01/15/19 09:48:04.123 - User Successfully Authenticated -
01/15/19 09:49:11.423 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2018
04/16/19 01:30:09.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:08.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 10:29:54.913 - User Successfully Authenticated -
04/17/19 10:33:55.597 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2019
07/15/19 11:25:44.273 - User Successfully Authenticated -
07/15/19 11:26:38.817 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
10/16/19 01:30:11.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:07.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:07.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 01:30:05.940 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 10:10:17.000 - User Successfully Authenticated -
10/23/19 10:11:39.503 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019
10/23/19 10:12:41.193 - User Profile Updated - User profile updated for Perla Alvarez
10/23/19 10:12:41.787 - User Profile Updated - The user's main profile was updated.

Lobbyist Activity

Q3 2019

Lobbyist Code: 1439
User Name: perlaalvarez
Email: perlaalvarez19@gmail.com

Q3 2019 Filing: 10/23/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$80.00

Perla Alvarez
12046 SE Raymons St
Portland, OR 97266

Activity Log - Q3 2019 to Date

07/15/19 11:25:44.273 - User Successfully Authenticated -
07/15/19 11:26:38.817 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2019
10/16/19 01:30:11.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:07.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:07.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:08.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 01:30:05.940 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 10:10:17.000 - User Successfully Authenticated -
10/23/19 10:11:39.503 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2019
10/23/19 10:12:41.193 - User Profile Updated - User profile updated for Perla Alvarez
10/23/19 10:12:41.787 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: Q1 2019 still owes \$20 for 2 days late

RECOMMENDATION:

Q1 letter to EA
Q3 \$50⁰⁰

Perla Alvarez
12046 SE Raymond St.
Portland, OR, 97266
10/23/19

Oregon Ethics Commission,
3210 Pringle Rd. SE
Suite 220
Salem, OR, 97302 St. 20

Dar Oregon Ethics Commission,

My name is Perla Alvarez and I am writing to you today to request to reduce my current \$100 penalty to a \$20 penalty. I was a registered lobbyist for the Oregon Student Association from July 2018 to August 2019. I lost my email address account shortly after I left my role at OSA, therefore, I didn't get reminders to file my October Quarterly report. I also didn't know that I still had an "active account." I have now changed my email address, and I understand that I should file a report for the fourth quarter in January, which I will do as soon as it is time to file.

I am asking to reduce my penalty to \$20 because I failed to fill out my report on time during the filing report deadline in April 2019. I assume that responsibility and I will pay it as soon as possible.

Whatever your decision, please accept my sincere thanks for your time and consideration of my request.

Sincerely,

Perla Alvarez



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

September 26, 2019

Portland Innovation Quadrant
Attn: Erin Flynn
2935 NE Hancock Street
Portland OR 97212

Dear Ms. Flynn:

The written explanation of why the Q1 and Q2 2019 Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$170 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2019

Client Code: 2137
User Name: Erin Flynn
Email: erin@portlandiq.org

Q2 2019 Filing: 7/30/2019
Q2 2019 Expended: \$3,000.00
Q2 2019 Penalty: \$150.00

Portland Innovation Quadrant
Attn: Erin Flynn
2935 NE Hancock Street
Portland, OR 97212

Q1 2019

Activity Log - Q2 2019 to Date

- 04/16/19 01:30:11.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
- 04/17/19 01:30:09.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
- 04/17/19 12:18:26.263 - User Successfully Authenticated -
- 04/17/19 12:32:56.253 - Client Report Submitted - Client Report Submitted for Q1 2019
- 07/16/19 01:30:11.733 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/17/19 01:30:08.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/18/19 01:30:07.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/19/19 01:30:06.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/20/19 01:30:06.797 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/21/19 01:30:06.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/22/19 01:30:07.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/23/19 01:30:06.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/24/19 01:30:06.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/25/19 01:30:06.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/26/19 01:30:06.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/27/19 01:30:06.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/28/19 01:30:06.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/29/19 01:30:07.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/30/19 01:30:05.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
- 07/30/19 01:43:40.730 - Admin User Reset Initiated - An admin user reset was initiated.
- 07/30/19 01:44:21.437 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 07/30/19 01:44:24.107 - User Successfully Authenticated -
- 07/30/19 01:47:40.957 - Client Report Submitted - Client Report Submitted for Q2 2019
- 07/30/19 01:56:44.560 - User Profile Updated - User profile updated for Erin Flynn
- 07/30/19 01:56:45.317 - User Password Reset - The user's password was reset from the "My Profile" page.
- 07/30/19 01:56:45.427 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: Q1 2019 owes \$20

RECOMMENDATION:

Q1 2019 lettered
Q2 2019 \$50⁰⁰

M/1/19 since Q3 is also late, no
recommendation

Client/Employer Activity

Q3 2019

Client Code: 2137
User Name: Erin Flynn
Email: erin@portlandiq.org

Q3 2019 Filing: 10/23/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$80.00

Portland Innovation Quadrant
Attn: Erin Flynn
2935 NE Hancock Street
Portland, OR 97212

Activity Log - Q3 2019 to Date

07/16/19 01:30:11.733 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/17/19 01:30:08.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/18/19 01:30:07.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 01:30:06.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/20/19 01:30:06.797 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/21/19 01:30:06.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/22/19 01:30:07.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/23/19 01:30:06.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/24/19 01:30:06.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/25/19 01:30:06.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/26/19 01:30:06.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/27/19 01:30:06.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/28/19 01:30:06.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/29/19 01:30:07.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/30/19 01:30:05.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/30/19 01:43:40.730 - Admin User Reset Initiated - An admin user reset was initiated.
07/30/19 01:44:21.437 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/30/19 01:44:24.107 - User Successfully Authenticated -
07/30/19 01:47:40.957 - Client Report Submitted - Client Report Submitted for Q2 2019
07/30/19 01:56:44.560 - User Profile Updated - User profile updated for Erin Flynn
07/30/19 01:56:45.317 - User Password Reset - The user's password was reset from the "My Profile" page.
07/30/19 01:56:45.427 - User Profile Updated - The user's main profile was updated.
10/16/19 01:30:14.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:10.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:09.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:09.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:09.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:09.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 10:21:06.950 - User Failed to Authenticate -
10/21/19 10:21:21.800 - User Failed to Authenticate -
10/21/19 10:21:40.093 - User Failed to Authenticate -
10/21/19 10:21:41.227 - Admin User Reset Initiated - An admin user reset was initiated.
10/21/19 10:21:43.503 - User Failed to Authenticate -
10/21/19 10:26:19.900 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/21/19 10:26:23.760 - User Successfully Authenticated -
10/22/19 01:30:08.320 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 01:30:06.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/23/19 07:49:10.397 - User Successfully Authenticated -
10/23/19 07:50:02.383 - Client Report Submitted - Client Report Submitted for Q3 2019

UPDATE

Erin Flynn, ED
Client/Employer, Portland Innovation Quadrant

Her letter of explanation has been reviewed by RB and is going to the November 22nd meeting with recommendation of Letter of Ed for Q1 2019 and reduction to \$50 for Q2 2019.

HISTORY

Her Letter of Explanation re Q1 and Q2 stated *"Now that I understand the requirements, I will make sure this does not happen again."*

It has. She did not file Q3 2019 timely and now has an \$80 late filing penalty for that.

October 21, 2019. Erin called very upset. She said "I just want to get out from under this bureaucracy." She insisted that she was told after the letter of explanation that she had nothing more to do. However, in the notification she received when she filed late and from my verbal explanation over the phone, she was informed that because she was actively registered during the third quarter, and filed termination on July 30, she will be responsible for a Q3 filing. She filed Q3 on 10/21/19 after our conversation yet has not sent in a revised Letter of Explanation.

RECEIVED

SEP 25 2019

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Oregon Ethics Commission,

I am writing to request a reduction in late filing penalties that I, representing the Portland Innovation Quadrant, received over the past 6 months. I have never served in the capacity as a lobbyist and did not understand the requirements related to quarterly filing. In fact, I didn't even realize that I needed to de-activate my status until Kathy Baier contacted me to explain the situation. The newly formed non-profit I work for as a consultant utilized professional lobbying services between January and June of 2019. It was not made clear to me that as the client I also needed to file my status. Our small organization is cash-strapped and would genuinely appreciate a reduction in this fine. Now that I understand the requirements I will make sure this does not happen again.

Sincerely,

Erin Flynn
Executive Director, Portland Innovation Quadrant
503.403.9886

Q1 2019 20

Q2 2019 150



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 1, 2019

Interior Design Collaborative Oregon
Attn: Whitney Dooley
PO Box 5681
Portland OR 97228

Dear Ms. Dooley:

The written explanation of why the Q2 and Q4 2018 Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$20 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2018

Client Code: 563
User Name: ldc-oregon
Email: whitneymdooley@gmail.com

Q2 2018 Filing: 7/16/2018
Q2 2018 Expended: \$2,500.00
Q2 2018 Penalty: \$10.00

Interior Design Collaborative Oregon
Attn: Whitney Dooley
PO Box 5681
Portland, OR 97228

Activity Log - Q2 2018 to Date

04/02/18 08:26:13.687 - User Successfully Authenticated -
04/02/18 08:26:14.980 - User Successfully Authenticated -
04/02/18 08:28:18.337 - Client Report Saved - Client Report Saved for Q1 2018
04/02/18 08:28:47.883 - Client Report Submitted - Client Report Submitted for Q1 2018
07/16/18 01:30:09.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/16/18 06:09:39.970 - User Successfully Authenticated -
07/16/18 06:12:00.920 - Client Report Submitted - Client Report Submitted for Q2 2018
10/01/18 05:20:12.010 - User Successfully Authenticated -
10/01/18 05:21:49.167 - Client Report Submitted - Client Report Submitted for Q3 2018
01/16/19 01:30:09.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/16/19 05:54:15.557 - User Successfully Authenticated -
01/16/19 05:55:59.873 - Client Report Submitted - Client Report Submitted for Q4 2018
01/16/19 05:56:41.640 - User Profile Updated - The user's main profile was updated.
01/16/19 05:56:41.777 - User Profile Updated - User profile updated for Whitney Dooley
04/15/19 12:43:26.663 - User Successfully Authenticated -
04/15/19 12:44:23.397 - Client Report Submitted - Client Report Submitted for Q1 2019
07/01/19 05:13:53.307 - User Successfully Authenticated -
07/01/19 05:17:46.230 - Client Report Submitted - Client Report Submitted for Q2 2019
10/01/19 12:41:29.923 - User Successfully Authenticated -
10/01/19 12:42:20.033 - Client Report Submitted - Client Report Submitted for Q3 2019

Client/Employer Activity

Q4 2018

Client Code: 563
User Name: idc-oregon
Email: whitneymdooley@gmail.com

Interior Design Collaborative Oregon
Attn: Whitney Dooley
PO Box 5681
Portland, OR 97228

Q4 2018 Filing: 1/16/2019
Q4 2018 Expended: \$0.00
Q4 2018 Penalty: \$10.00

Activity Log - Q4 2018 to Date

10/01/18 05:20:12.010 - User Successfully Authenticated -
10/01/18 05:21:49.167 - Client Report Submitted - Client Report Submitted for Q3 2018
01/16/19 01:30:09.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/16/19 05:54:15.557 - User Successfully Authenticated -
01/16/19 05:55:59.873 - Client Report Submitted - Client Report Submitted for Q4 2018
01/16/19 05:56:41.640 - User Profile Updated - The user's main profile was updated.
01/16/19 05:56:41.777 - User Profile Updated - User profile updated for Whitney Dooley
04/15/19 12:43:26.663 - User Successfully Authenticated -
04/15/19 12:44:23.397 - Client Report Submitted - Client Report Submitted for Q1 2019
07/01/19 05:13:53.307 - User Successfully Authenticated -
07/01/19 05:17:46.230 - Client Report Submitted - Client Report Submitted for Q2 2019
10/01/19 12:41:29.923 - User Successfully Authenticated -
10/01/19 12:42:20.033 - Client Report Submitted - Client Report Submitted for Q3 2019

PAST VIOLATIONS: Q2 2018 \$10
Q4 2018 \$10

RECOMMENDATION:

Letter to ED Q2
dispute Q4
PD

BAIER Kathy * OGEC

From: Whitney Dooley <whitneymdooley@gmail.com>
Sent: Tuesday, October 01, 2019 12:49 PM
To: ogec.notification@egov.com; OGEC Mail * OGEC
Subject: Re: Lobbyist Client/Employer Quarterly Report Available

Hi there,

I see IDC-Oregon (no longer existent organization) has \$20 in fees due. The reason for these reports being turned in late was that IDC-Oregon was a volunteer organization, and we did not have proper training regarding the filing of these reports. In light of this, is it possible to waive the \$20 fees on our account?

Thank you for your consideration

2018, Q2 Q4

Whitney Dooley, Assoc. IIDA

On Tue, Oct 1, 2019 at 1:30 AM <ogec.notification@egov.com> wrote:

Dear Whitney Dooley at Interior Design Collaborative Oregon,

Our records indicate that you were registered with the Oregon Government Ethics Commission as a lobbyist client/employer during the 2019 Q3 reporting period. As such, you are required to submit a quarterly expenditure report by 10/15/2019. Please note: The electronic filing system is available to you at any time of the day, and on weekends and holidays, so even though the due date may fall on a weekend or holiday, there is no grace period or allowance for extensions to the following business day.

Please do not disregard this notice. If you fail to submit your quarterly expenditure report by the due date, penalties will begin to accrue until the filing is made. Again, there is no grace period or allowance for extensions, so please be sure to file by the due date.

Please access the Electronic Filing System by visiting: <https://apps.oregon.gov/OGEC/EFS/Home/SignIn>

Please remember to update your profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

Please do not reply to this email as replies will not be delivered to the Commission.

Thank you,

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 24, 2019

Oregon Working Families Organization
Attn: Sydney Scout
333 SE 2nd Ave.
Portland OR 97214

Dear Ms. Scout:

The written explanation of why the Q4 2018, Q1-3 2019 Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 22, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$940 penalty will be extended to coincide with the November 22nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q4 2018

Client Code: 40
User Name: WorkingFamilies
Email: sscout@workingfamilies.org

Q4 2018 Filing: 2/11/2019
Q4 2018 Expended: \$192.00
Q4 2018 Penalty: \$750.00

Oregon Working Families Organization
Attn: Sydney Scout
333 SE 2nd Ave
Portland, OR 97214

Activity Log - Q4 2018 to Date

10/01/18 01:41:03.790 - User Failed to Authenticate -
10/01/18 01:42:11.900 - User Successfully Authenticated -
10/01/18 01:43:20.527 - Client Report Submitted - Client Report Submitted for Q3 2018
01/16/19 01:30:08.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/17/19 01:30:08.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/18/19 01:30:07.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/19/19 01:30:08.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/20/19 01:30:06.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/21/19 01:30:07.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/22/19 01:30:06.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/23/19 01:30:06.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/24/19 01:30:06.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/25/19 01:30:07.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/26/19 01:30:07.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/27/19 01:30:06.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/28/19 01:30:07.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/29/19 01:30:06.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/30/19 01:30:06.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/31/19 01:30:07.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/01/19 01:30:07.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/02/19 01:30:08.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/03/19 01:30:06.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/04/19 01:30:06.930 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/05/19 01:30:06.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/06/19 01:30:06.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/06/19 03:01:13.010 - User Profile Updated - User profile updated for Sydney Scout
02/06/19 03:01:13.360 - User Profile Updated - The user's main profile was updated.
02/06/19 03:03:39.787 - Admin User Reset Initiated - An admin user reset was initiated.
02/06/19 03:06:35.247 - Admin User Password Reset Completed - A user reset their password using an admin reset.
02/06/19 03:08:58.533 - User Successfully Authenticated -
02/06/19 03:09:31.717 - User Profile Updated - User profile updated for Sydney Scout
02/06/19 03:09:32.083 - User Profile Updated - The user's main profile was updated.
02/06/19 03:18:35.150 - Admin User Reset Initiated - An admin user reset was initiated.
02/07/19 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/08/19 01:30:06.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/08/19 04:07:01.960 - Admin User Reset Initiated - An admin user reset was initiated.
02/08/19 04:07:37.880 - Admin User Password Reset Completed - A user reset their password using an admin reset.
02/08/19 04:07:47.117 - User Successfully Authenticated -
02/08/19 04:11:24.083 - User Successfully Authenticated -
02/09/19 01:30:06.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/10/19 01:30:05.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/11/19 01:30:06.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018 Q4
02/11/19 10:46:18.843 - User Successfully Authenticated -
02/11/19 10:46:21.283 - User Successfully Authenticated -
02/11/19 11:00:48.097 - Client Profile Updated - The client profile was updated.
02/11/19 11:00:51.457 - Client Profile Updated - The client profile was updated.
02/11/19 11:22:24.723 - Client Report Submitted - Client Report Submitted for Q4 2018
02/11/19 11:25:15.583 - User Profile Updated - User profile updated for Sydney Scout
02/11/19 11:25:15.817 - User Profile Updated - The user's main profile was updated.
02/23/19 11:57:30.403 - User Successfully Authenticated -
04/16/19 01:30:09.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:08.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 11:04:08.903 - User Successfully Authenticated -
04/17/19 11:04:10.293 - User Successfully Authenticated -
04/17/19 11:04:14.030 - User Successfully Authenticated -

Client/Employer Activity

Q3 2019

Client Code: 40
User Name: WorkingFamilies
Email: sscout@workingfamilies.org

Q3 2019 Filing: 10/22/2019
Q3 2019 Expended: \$0.00
Q3 2019 Penalty: \$70.00

Oregon Working Families Organization
Attn: Sydney Scout
333 SE 2nd Ave
Portland, OR 97214

Activity Log - Q3 2019 to Date

07/16/19 01:30:09.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/17/19 01:30:07.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/18/19 01:30:06.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 01:30:06.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/20/19 01:30:06.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/21/19 01:30:06.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/22/19 01:30:07.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/22/19 08:25:08.607 - User Successfully Authenticated -
07/22/19 08:25:10.797 - User Successfully Authenticated -
07/22/19 08:25:36.107 - Client Report Submitted - Client Report Submitted for Q2 2019
07/25/19 02:37:00.543 - User Successfully Authenticated -
07/25/19 02:37:01.123 - User Successfully Authenticated -
10/16/19 01:30:11.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:08.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:09.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:56:36.373 - User Successfully Authenticated -
10/22/19 01:57:42.550 - Client Report Submitted - Client Report Submitted for Q3 2019
10/22/19 02:01:32.350 - User Profile Updated - The user's main profile was updated.
10/22/19 02:01:33.113 - User Profile Updated - User profile updated for Sydney Scout
10/22/19 02:02:05.040 - User Profile Updated - The user's main profile was updated.
10/22/19 02:02:05.803 - User Profile Updated - User profile updated for Sydney Scout
10/22/19 02:02:40.523 - User Profile Updated - The user's main profile was updated.
10/22/19 02:02:41.287 - User Profile Updated - User profile updated for Sydney Scout
10/23/19 02:26:16.757 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: Q2 2016 \$50, paid by check, no Letter of Ed


Q4 2018 \$750

Q1 2019 \$50

Q2 2019 \$70

Q3 2019 \$70

RECOMMENDATION:

No recommendation 

Client/Employer Activity

Q1 2019

Client Code: 40
User Name: WorkingFamilies
Email: sscout@workingfamilies.org

Q1 2019 Filing: 4/20/2019
Q1 2019 Expended: \$715.10
Q1 2019 Penalty: \$50.00

Oregon Working Families Organization
Attn: Sydney Scout
333 SE 2nd Ave
Portland, OR 97214

Activity Log - Q1 2019 to Date

01/16/19 01:30:08.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/17/19 01:30:08.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/18/19 01:30:07.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
01/19/19 01:30:08.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q4
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02/06/19 03:06:35.247 - Admin User Password Reset Completed - A user reset their password using an admin reset.
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02/06/19 03:09:31.717 - User Profile Updated - User profile updated for Sydney Scout
02/06/19 03:09:32.083 - User Profile Updated - The user's main profile was updated.
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02/08/19 04:11:24.083 - User Successfully Authenticated -
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02/11/19 10:46:21.283 - User Successfully Authenticated -
02/11/19 11:00:48.097 - Client Profile Updated - The client profile was updated.
02/11/19 11:00:51.457 - Client Profile Updated - The client profile was updated.
02/11/19 11:22:24.723 - Client Report Submitted - Client Report Submitted for Q4 2018
02/11/19 11:25:15.583 - User Profile Updated - User profile updated for Sydney Scout
02/11/19 11:25:15.817 - User Profile Updated - The user's main profile was updated.
02/23/19 11:57:30.403 - User Successfully Authenticated -
04/16/19 01:30:09.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:08.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 11:04:08.903 - User Successfully Authenticated -
04/17/19 11:04:10.293 - User Successfully Authenticated -
04/17/19 11:04:14.030 - User Successfully Authenticated -
04/17/19 11:44:46.797 - User Failed to Authenticate -
04/17/19 11:45:03.327 - User Failed to Authenticate -
04/17/19 11:45:16.517 - User Failed to Authenticate -

Client/Employer Activity

Q2 2019

Client Code: 40
User Name: WorkingFamilies
Email: sscout@workingfamilies.org

Q2 2019 Filing: 7/22/2019
Q2 2019 Expended: \$0.00
Q2 2019 Penalty: \$70.00

Oregon Working Families Organization
Attn: Sydney Scout
333 SE 2nd Ave
Portland, OR 97214

Activity Log - Q2 2019 to Date

04/16/19 01:30:09.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:08.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 11:04:08.903 - User Successfully Authenticated -
04/17/19 11:04:10.293 - User Successfully Authenticated -
04/17/19 11:04:14.030 - User Successfully Authenticated -
04/17/19 11:44:46.797 - User Failed to Authenticate -
04/17/19 11:45:03.327 - User Failed to Authenticate -
04/17/19 11:45:16.517 - User Failed to Authenticate -
04/17/19 11:46:27.473 - User Failed to Authenticate -
04/17/19 11:46:47.100 - User Failed to Authenticate -
04/17/19 02:06:58.197 - User Failed to Authenticate -
04/18/19 01:30:07.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/20/19 01:30:06.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/20/19 12:30:23.610 - User Successfully Authenticated -
04/20/19 12:30:24.533 - User Successfully Authenticated -
04/20/19 01:07:05.800 - User Successfully Authenticated -
04/20/19 01:07:06.627 - User Successfully Authenticated -
04/20/19 01:56:02.847 - User Successfully Authenticated -
04/20/19 01:56:03.487 - User Successfully Authenticated -
04/20/19 01:57:23.223 - Client Report Submitted - Client Report Submitted for Q1 2019
07/16/19 01:30:09.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/17/19 01:30:07.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/18/19 01:30:06.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/19/19 01:30:06.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/20/19 01:30:06.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
07/21/19 01:30:06.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q2
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07/22/19 08:25:10.797 - User Successfully Authenticated -
07/22/19 08:25:36.107 - Client Report Submitted - Client Report Submitted for Q2 2019
07/25/19 02:37:00.543 - User Successfully Authenticated -
07/25/19 02:37:01.123 - User Successfully Authenticated -
10/16/19 01:30:11.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/17/19 01:30:08.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/18/19 01:30:08.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/19/19 01:30:08.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/20/19 01:30:08.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/21/19 01:30:09.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:30:07.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q3
10/22/19 01:56:36.373 - User Successfully Authenticated -
10/22/19 01:57:42.550 - Client Report Submitted - Client Report Submitted for Q3 2019
10/22/19 02:01:32.350 - User Profile Updated - The user's main profile was updated.
10/22/19 02:01:33.113 - User Profile Updated - User profile updated for Sydney Scout
10/22/19 02:02:05.040 - User Profile Updated - The user's main profile was updated.
10/22/19 02:02:05.803 - User Profile Updated - User profile updated for Sydney Scout
10/22/19 02:02:40.523 - User Profile Updated - The user's main profile was updated.
10/22/19 02:02:41.287 - User Profile Updated - User profile updated for Sydney Scout
10/23/19 02:26:16.757 - User Successfully Authenticated -

BAIER Kathy * OGEC

From: sydney scout <sscout@workingfamilies.org>
Sent: Wednesday, October 23, 2019 3:21 PM
To: BAIER Kathy * OGEC
Subject: OGEC Letter of Explanation - Working Families Party of Oregon

Hi Kathy,

Thank you so much for flagging the late filing in my lobbyist client account with the government ethics commission. I wanted to share some more explanation about why our filings were late and how we'll prevent this from happening again.

I took on the role of State Director of the Oregon Working Families Party in January of this year. At that time, the organization had been in a period of flux for nearly two years prior. The State Director before me quit on short notice in early 2017 after the communications and political directors had quit, too. That left the office manager and field director on staff, although the field director exited in mid-2017, as well. The office manager turned interim director did her best to stay on top of everything happening in the organization, but had to juggle responsibilities that had previously been spread across five staff. To be honest, the interim director was extremely burned out when I began at the organization in July of 2018, and by December she had also left staff without passing on key components of her job, including login information for the OGEC and how often filings need to happen. The OGEC reports weren't on my radar until midway through the legislative session this year, and by then massive amounts of late filings had piled up.

Since then, we have a part time compliance person who is doing our bookkeeping and elections filings along with another full time, permanent staffperson in the office who has taken a significant amount of work off of my plate. I'll be continuing to be the one responsible for OGEC filings, but now with increased capacity will actually be able to stay on top of it. I really appreciate you considering giving us a waiver or reduction of penalties for this extreme situation we've found ourselves in.

Thank you,
Sydney

--

sydney scout (she/her/hers)
oregon working families party
503.380.0639

buy your tickets for homecoming, today!

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Carla Urbigkeit)
)
)
_____)

STIPULATED FINAL ORDER

CASE NO. 18-161EHW

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Carla Urbigkeit.

2. JURISDICTION: At all material times, Carla Urbigkeit was a trooper for the Oregon State Police. Carla Urbigkeit was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:
 - A. Carla Urbigkeit was the lead detective in a criminal investigation of a matter that occurred in Lincoln County in which a person was charged with aggravated theft and possession of a stolen vehicle. The indictment occurred in August of 2017.

 - B. Trooper Urbigkeit accepted a gift from the victim in the criminal case. The receipt of the gift occurred in 2018 when some matters were still pending in the criminal case. During the investigation of this matter, the cost of the gift was determined to be \$107.

 - C. Ms. Urbigkeit saw to it that the gift was returned to the victim soon after the complaint in this matter was filed.

- D. ORS 244.025 prohibits any public official from accepting gifts exceeding an aggregate value of \$50 in a calendar year from a single source that could reasonably be known to have a legislative or administrative interest.
- E. ORS 244.020(10) defines legislative or administrative interest as an economic interest, distinct from that of the general public, in any matter subject to the decision of the public official when acting in their official capacity.
- F. The action described in paragraph (B) above constituted a violation of ORS 244.025.
- G. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 as a result of the violation.
- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 244.025.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 3/7/19, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The 3/7/19 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by Carla Urbigkeit.

- B. Carla Urbigkeit will pay a civil penalty, as authorized by ORS 244.350, in the amount of \$200 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Carla Urbigkeit within the scope of the above-referenced proceedings.
- D. Carla Urbigkeit will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.


6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

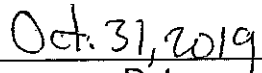
By signing this agreement, Carla Urbigkeit agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Carla Urbigkeit agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Carla Urbigkeit



Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Ron Palmer)
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STIPULATED FINAL ORDER
CASE NO. 18-170XDG

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Ron Palmer.

2. JURISDICTION: At all material times, Ron Palmer was a member of the Gold Hill City Council. As the governing body of a public body, the members of the Gold Hill City Council are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Ron Palmer participated in an executive session held by the Gold Hill City Council on 7/16/18. The statutory authorizations cited for the executive session on the published agenda were ORS 192.660(2)(h)– to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed and ORS 192.660(2)(i) – to review and evaluate the employment related performance of a chief executive officer, a public officer, employee, or staff member who does not request an open hearing.

 - B. Prior to convening the executive session on 7/16/18, the presiding officer failed to announce the statutory authorizations or lawful basis for holding

the executive session, as required by ORS 192.660(1).

- C. The governing body did not consult with legal counsel during the executive session, which is required if a governing body is meeting under ORS 192.660(2)(h) regarding current litigation or litigation likely to be filed. The employee whose employment performance was reviewed was not given prior notice of the executive session and the opportunity to request an open hearing, as required if a governing body is meeting under ORS 192.660(2)(i).
- D. During executive session, the Council discussed several topics not authorized to be discussed in executive session under ORS 192.660(2), including: employee dissatisfaction and turnover, administrative policies and oversight, and disapproval of an elected official's conduct.
- E. The action described in paragraph (B) constitutes one violation of ORS 192.660(1) and the actions described in paragraphs (C) and (D), discussing unauthorized topics in executive session, constitute one violation of ORS 192.660(2).
- F. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660.
- G. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation each of ORS 192.660(1) and (2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 3/7/19, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The March 7, 2019 action was a preliminary finding of violation of the executive session provisions of Oregon Public Meetings law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of the executive session provisions of Oregon Public Meetings law by Ron Palmer.
- B. Ron Palmer will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Ron Palmer within the scope of the above-referenced proceedings.
- D. Ron Palmer will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Ron Palmer agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Ron Palmer agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Ron Palmer

23 Oct 2019

Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Walt Collier)

STIPULATED FINAL ORDER

CASE NO. 18-193XHW

CASE NO. 18-202XHW

-
1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Walt Collier.

 2. JURISDICTION: At all material times, Walt Collier was a Director of the Board of the Idanha Detroit Rural Fire Protection District (Fire District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

 3. STIPULATED FACTS:
 - A. The Board of Directors of the Fire District held executive sessions on 8/16/18 and 9/13/18. The statutory authorization for holding these executive sessions was cited as ORS 192.660(2)(b) – to consider the dismissal or disciplining of, or to hear complaints or charges brought against, a public officer, employee, staff member or individual agent who does not request an open hearing.

- B. When an executive session is conducted under ORS 192.660(2)(b), the person subject to the discipline or complaint must be given advance notice of the executive session and offered the opportunity to request an open meeting. Prior to the executive sessions held on 8/16/18 and 9/13/18, the employee being discussed had not been given advance notice of the executive session and the opportunity to request an open meeting, as required by statute. Walt Collier, as a Board member, participated in the 8/16/18 and 9/13/18 executive sessions although the statutory prerequisite had not been met.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/2/18, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these cases. Walt Collier has indicated he wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. Walt Collier will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Walt Collier within the scope of the above-referenced proceedings.
- D. Walt Collier will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.


6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Walt Collier agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Walt Collier agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Walt Collier

11-4-19

Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Lyn Schultz)
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STIPULATED FINAL ORDER

CASE NO. 18-194XHW

CASE NO. 18-203XHW

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Lyn Schultz.

2. JURISDICTION: At all material times, Lyn Schultz was a Director of the Board of the Idanha Detroit Rural Fire Protection District (Fire District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. The Board of Directors of the Fire District held executive sessions on 8/16/18 and 9/13/18. The statutory authorization for holding these executive sessions was cited as ORS 192.660(2)(b) – to consider the dismissal or disciplining of, or to hear complaints or charges brought against, a public officer, employee, staff member or individual agent who does not request an open hearing.

- B. When an executive session is conducted under ORS 192.660(2)(b), the person subject to the discipline or complaint must be given advance notice of the executive session and offered the opportunity to request an open meeting. Prior to the executive sessions held on 8/16/18 and 9/13/18, the employee being discussed had not been given advance notice of the executive session and the opportunity to request an open meeting, as required by statute. Lyn Schultz, as a Board member, participated in the 8/16/18 and 9/13/18 executive sessions although the statutory prerequisite had not been met.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/2/18, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these cases. Lyn Schultz has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. Lyn Schultz will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Lyn Schultz within the scope of the above-referenced proceedings.
- D. Lyn Schultz will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Lyn Schultz agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Lyn Schultz agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Lyn Schultz

11-5-19
Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
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Linda Stice)
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STIPULATED FINAL ORDER

CASE NO. 18-195XHW

CASE NO. 18-204XHW

1. **PURPOSE:** The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Linda Stice.

2. **JURISDICTION:** At all material times, Linda Stice was a Director of the Board of the Idanha Detroit Rural Fire Protection District (Fire District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. **STIPULATED FACTS:**
 - A. The Board of Directors of the Fire District held executive sessions on 8/16/18 and 9/13/18. The statutory authorization for holding these executive sessions was cited as ORS 192.660(2)(b) – to consider the dismissal or disciplining of, or to hear complaints or charges brought against, a public officer, employee, staff member or individual agent who does not request an open hearing.

- B. When an executive session is conducted under ORS 192.660(2)(b), the person subject to the discipline or complaint must be given advance notice of the executive session and offered the opportunity to request an open meeting. Prior to the executive sessions held on 8/16/18 and 9/13/18, the employee being discussed had not been given advance notice of the executive session and the opportunity to request an open meeting, as required by statute. Linda Stice, as a Board member, participated in the 8/16/18 and 9/13/18 executive sessions although the statutory prerequisite had not been met.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/2/18, the Commission considered information in the preliminary review phase and acted to find cause to initiation an investigation of these cases. Linda Stice has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. Linda Stice will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Linda Stice within the scope of the above-referenced proceedings.
- D. Linda Stice will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

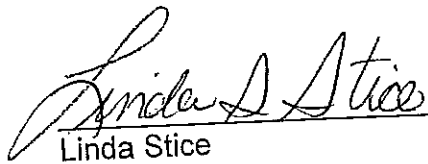
6. EFFECT:


This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Linda Stice agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Linda Stice agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.


Linda Stice


Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Laura Harris)
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_____)

STIPULATED FINAL ORDER
CASE NO. 18-196XHW

1. **PURPOSE:** The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Laura Harris in the above cited cases.

2. **JURISDICTION:** At all material times, Laura Harris was a Director of the Board of the Idanha Detroit Rural Fire Protection District (Fire District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. **STIPULATED FACTS:**
 - A. The Board of Directors of the Fire District held executive sessions on 8/16/18 and 9/13/18. The statutory authorization for holding these executive sessions was cited as ORS 192.660(2)(b) – to consider the dismissal or disciplining of, or to hear complaints or charges brought against, a public officer, employee, staff member or individual agent who does not request an open hearing.

- B. When an executive session is conducted under ORS 192.660(2)(b), the person subject to the discipline or complaint must be given advance notice of the executive session and offered the opportunity to request an open meeting. Prior to the executive session held on 8/16/18, the employee being discussed had not been given advance notice of the meeting and the opportunity to request an open meeting, as required by statute. Laura Harris, as a Board member, participated in the 8/16/18 executive session although the statutory prerequisite had not been met.

- C. The action described in paragraph (B) above constitutes one violation of ORS 192.660.

- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.

- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/2/18, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of this case. Laura Harris has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. Laura Harris will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Laura Harris within the scope of the above-referenced proceedings.
- D. Laura Harris will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.


6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Laura Harris agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Laura Harris agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Laura Harris

11-04-2019
Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

STIPULATED FINAL ORDER

Julie Reading)
_____)

CASE NO. 19-079ESM

1. **PURPOSE:** The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) in the above referenced case against Julie Reading.

2. **JURISDICTION:** At all material times, Julie Reading was an Administrative Law Judge with the Oregon Employment Relations Board. As an Administrative Law Judge, Julie Reading was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. **STIPULATED FACTS:**
 - A. In 2018, Julie Reading was the Administrative Law Judge assigned by the Employment Relations Board to preside over an unfair labor practices complaint (UP-011-18) brought against the Crook County Fire and Rescue District by the Crook County Firefighters Association (Union).

 - B. The Union in UP-011-18 was represented by Jason Weyand, a partner with the Tedesco Law Group.

 - C. In October 2018, an evidentiary hearing was held in UP-011-18. Julie Reading issued the Recommended Rulings, Findings of Fact, Conclusions of Law, and Proposed Order (Order) on March 15, 2019.

- D. After the evidentiary hearing but prior to issuing the Order in UP-011-18, Jason Weyand invited Julie Reading to apply for a vacant position at the Tedesco Law Group. Julie Reading responded that she was interested, but would not be able to apply until after UP-011-18 was completed. On or about March 4th, Ms. Reading subsequently texted Jason Weyand to inform him that she could submit an application by March 15th. Jason responded by asking if she could meet with him and other Tedesco Law Group partners that same day.
- E. Julie Reading completed the Recommended Order in UP-011-18 on the afternoon of March 14, 2019 and submitted it to her assistant, who issued it early the next morning, March 15, 2019. After verifying that the Recommended Order had been issued and UP-011-18 transferred to the Employment Relations Board for final disposition, Julie Reading interviewed with the Tedesco Law Group on March 15, 2019. She received and accepted a job offer from the Tedesco Law Group two days later.
- F. A potential conflict of interest is defined in ORS 244.020(13) as arising when a public official, acting in his or her official capacity, participates in any action, decision, or recommendation, the effect of which could be to the private pecuniary benefit or avoidance of detriment for the public official, a relative, or any business with which the public official or a relative is associated.
- G. Julie Reading was met with a potential conflict of interest when, as the administrative law judge presiding over UP-011-18, she reached decisions and then drafted and issued an Order in the case while concurrently discussing with Jason Weyand, counsel of record for one of the parties in the case, the possibility of her applying for future employment with the Tedesco Law Group.

- H. ORS 244.120(1)(c) requires an appointed public official, when met with an actual or potential conflict of interest, to provide written notice to their appointing authority of the nature of the conflict and request that authority dispose of the matter giving rise to the actual or potential conflict of interest. The appointing authority must then either designate an alternate to dispose of the matter or direct the public official to dispose of it in a specified manner.
- I. On March 18, 2019, after she had issued the Order in UP-011-18 and after she had interviewed for and accepted a position with the Tedesco Law Group, Julie Reading provided verbal notice to her supervisor that she was leaving the agency and had accepted an employment offer from the Tedesco Law Group.
- J. Julie Reading did not disclose her potential conflict of interest to her appointing authority when it first arose, and she did not make a written disclosure or request that her appointing authority dispose of the matter giving rise to the potential conflict of interest.
- K. The actions described in paragraph 3(J) constitute one violation of ORS 244.120(1)(c).
- L. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000.00 for the violation of ORS 244.120 set forth in paragraph 3(K).
- M. The Commission contends that results of the investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 244.120(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase of Case No. 19-079ESM and acted to find cause to initiate an investigation of this matter. Julie Reading has indicated that she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. Julie Reading will pay a civil penalty, as authorized by ORS 244.350, in the amount of \$100.00 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Julie Reading within the scope of the above-referenced proceedings.
- D. Julie Reading will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

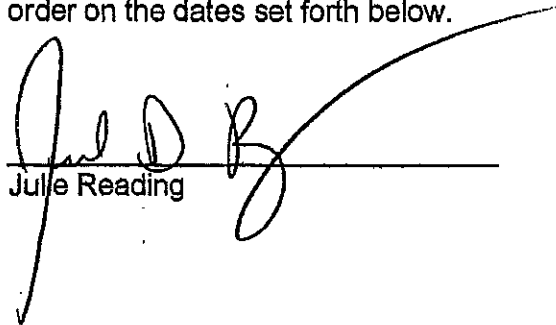
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Julie Reading agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Julie Reading agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Julie Reading

11 / 12 / 19

Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

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BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
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Reba Stoller)
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STIPULATED FINAL ORDER
CASE NO. 19-081XDG

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Reba Stoller.

2. JURISDICTION: At all material times, Reba Stoller was a member of the Board of Directors for the Yamhill County School District 8 (Dayton School District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Members of the Dayton School District Board of Directors held executive sessions on the following dates: 2/13/18 and 2/12/19. The statutory authorization cited for holding these executive sessions was ORS 192.660(2)(i) – to review and evaluate the employment-related performance of the chief executive officer of any public body, a public officer, employee or staff member who does not request an open hearing.

- B. Reba Stoller participated in the executive sessions held by the Dayton School District Board of Directors on 2/13/18 and 2/12/19, during which the Directors, as part of their historical practice, collectively reviewed the status of District personnel contracts to determine which contracts would be subject to renewal or non-renewal at the Board meeting to be held in March. This topic was not an authorized topic for executive session, and the Board members have changed the District's practice going forward.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these matters. Reba Stoller has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. Reba Stoller will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Reba Stoller within the scope of the above-referenced proceedings.
- D. Reba Stoller will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

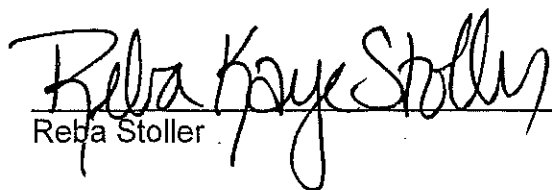
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

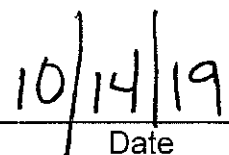
By signing this agreement, Reba Stoller agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Reba Stoller agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Reba Stoller



Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Teresa Shelburne)
)
)
)
)
)
_____)

STIPULATED FINAL ORDER
CASE NO. 19-083XDG

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Teresa Shelburne.

2. JURISDICTION: At all material times, Teresa Shelburne was a member of the Board of Directors for the Yamhill County School District 8 (Dayton School District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Members of the Dayton School District Board of Directors held executive sessions on the following dates: 2/13/18 and 2/12/19. The statutory authorization cited for holding these executive sessions was ORS 192.660(2)(i) – to review and evaluate the employment-related performance of the chief executive officer of any public body, a public officer, employee or staff member who does not request an open hearing.

- B. Teresa Shelburne participated in the executive session held by the Dayton School District Board of Directors on 2/12/19, during which the Directors, as part of their historical practice, collectively reviewed the status of District personnel contracts to determine which contracts would be subject to renewal or non-renewal at the Board meeting to be held in March. This topic was not an authorized topic for executive session, and the Board members have changed the District's practice going forward.
- C. The actions described in paragraph (B) above constitute a violation of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these matters. Teresa Shelburne has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. Teresa Shelburne will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Teresa Shelburne within the scope of the above-referenced proceedings.
- D. Teresa Shelburne will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Teresa Shelburne agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Teresa Shelburne agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Teresa Shelburne
Teresa Shelburne

10/14/2019
Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Pamela Horst)

) **STIPULATED FINAL ORDER**

) **CASE NO. 19-084XDG**

1. **PURPOSE:** The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Pamela Horst.

2. **JURISDICTION:** At all material times, Pamela Horst was a member of the Board of Directors for the Yamhill County School District 8 (Dayton School District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. **STIPULATED FACTS:**

A. Members of the Dayton School District Board of Directors held executive sessions on the following dates: 2/13/18 and 2/12/19. The statutory authorization cited for holding these executive sessions was ORS 192.660(2)(i) – to review and evaluate the employment-related performance of the chief executive officer of any public body, a public officer, employee or staff member who does not request an open hearing.

- B. Pamela Horst participated in the executive sessions held by the Dayton School District Board of Directors on 2/13/18 and 2/12/19, during which the Directors, as part of their historical practice, collectively reviewed the status of District personnel contracts to determine which contracts would be subject to renewal or non-renewal at the Board meeting to be held in March. This topic was not an authorized topic for executive session, and the Board members have changed the District's practice going forward.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these matters. Pamela Horst has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. Pamela Horst will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Pamela Horst within the scope of the above-referenced proceedings.
- D. Pamela Horst will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Pamela Horst agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Pamela Horst agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Pamela Horst
Pamela Horst

10-13-2019
Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
Raylinda Price) **STIPULATED FINAL ORDER**
) **CASE NO. 19-085XDG**
)
_____)

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Raylinda Price.

2. JURISDICTION: At all material times, Raylinda Price was a member of the Board of Directors for the Yamhill County School District 8 (Dayton School District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Members of the Dayton School District Board of Directors held executive sessions on the following dates: 2/13/18 and 2/12/19. The statutory authorization cited for holding these executive sessions was ORS 192.660(2)(i) – to review and evaluate the employment-related performance of the chief executive officer of any public body, a public officer, employee or staff member who does not request an open hearing.

- B. Raylinda Price participated in the executive sessions held by the Dayton School District Board of Directors on 2/13/18 and 2/12/19, during which the Directors, as part of their historical practice, collectively reviewed the status of District personnel contracts to determine which contracts would be subject to renewal or non-renewal at the Board meeting to be held in March. This topic was not an authorized topic for executive session, and the Board members have changed the District's practice going forward.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these matters. Raylinda Price has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. Raylinda Price will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Raylinda Price within the scope of the above-referenced proceedings.
- D. Raylinda Price will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

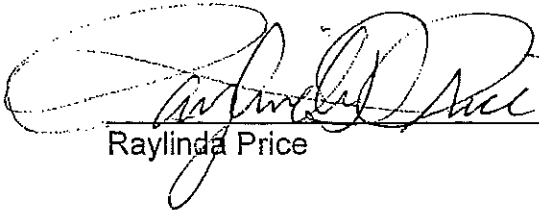
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Raylinda Price agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Raylinda Price agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Raylinda Price

10-10-19
Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of

Francisco Montelongo

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STIPULATED FINAL ORDER

CASE NO. 19-086XDG

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Francisco Montelongo.

2. JURISDICTION: At all material times, Francisco Montelongo was a member of the Board of Directors for the Yamhill County School District 8 (Dayton School District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:

A. Members of the Dayton School District Board of Directors held executive sessions on the following dates: 2/13/18 and 2/12/19. The statutory authorization cited for holding these executive sessions was ORS 192.660(2)(i) – to review and evaluate the employment-related performance of the chief executive officer of any public body, a public officer, employee or staff member who does not request an open hearing.

- B. Francisco Montelongo participated in the executive sessions held by the Dayton School District Board of Directors on 2/13/18 and 2/12/19, during which the Directors, as part of their historical practice, collectively reviewed the status of District personnel contracts to determine which contracts would be subject to renewal or non-renewal at the Board meeting to be held in March. This topic was not an authorized topic for executive session, and the Board members have changed the District's practice going forward.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these matters. Francisco Montelongo has indicated he wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. Francisco Montelongo will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Francisco Montelongo within the scope of the above-referenced proceedings.
- D. Francisco Montelongo will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

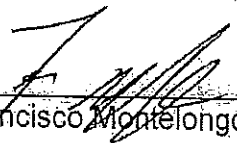
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Francisco Montelongo agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Francisco Montelongo agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Francisco Montelongo

11-7-19

Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
) **STIPULATED FINAL ORDER**
Debbie Kearns)
) **CASE NO. 19-087XDG**
)
)
_____)

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Debbie Kearns.

2. JURISDICTION: At all material times, Debbie Kearns was a member of the Board of Directors for the Yamhill County School District 8 (Dayton School District). As the governing body of a public body, the members of the Board of Directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Members of the Dayton School District Board of Directors held executive sessions on the following dates: 2/13/18 and 2/12/19. The statutory authorization cited for holding these executive sessions was ORS 192.660(2)(i) – to review and evaluate the employment-related performance of the chief executive officer of any public body, a public officer, employee or staff member who does not request an open hearing.

- B. Debbie Kearns participated in the executive sessions held by the Dayton School District Board of Directors on 2/13/18 and 2/12/19, during which the Directors, as part of their historical practice, collectively reviewed the status of District personnel contracts to determine which contracts would be subject to renewal or non-renewal at the Board meeting to be held in March. This topic was not an authorized topic for executive session, and the Board members have changed the District's practice going forward.
- C. The actions described in paragraph (B) above constitute two violations of ORS 192.660.
- D. ORS 244.360 authorizes the Commission to assess civil penalties of up to \$1,000 as a result of any violation of ORS 192.660 unless the violation occurred as a result of the members of the governing body acting upon the advice of the public body's counsel.
- E. The results of the Commission's investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find two violations of ORS 192.660.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of these matters. Debbie Kearns has indicated she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. Debbie Kearns will receive a letter of education in lieu of a civil penalty as authorized by ORS 244.350 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Debbie Kearns within the scope of the above-referenced proceedings.
- D. Debbie Kearns will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

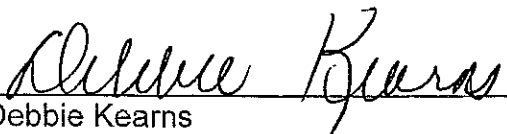
6. EFFECT:

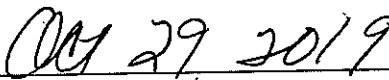
This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Debbie Kearns agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Debbie Kearns agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.


Debbie Kearns


Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date