

OREGON GOVERNMENT ETHICS COMMISSION
MEETING MINUTES
May 31, 2019
9:00 a.m.

(File 1)

Chair Richard Burke called the meeting to order at 9:00 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Charles Starr, Daniel Mason, Nathan Sosa, Alison Kean, Karly Edwards, Kamala Shugar, and Sean O'Day. David Fiskum was excused from attendance. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Education Coordinator Diane Gould, Investigator Susan Myers, and Administrative Specialist Kathy Baier.

AGENDA ITEM 1, Comments from the Chair. Burke welcomed all and mentioned efforts by his non-profit to provide training to candidates on how to run for office and to serve in public positions.

AGENDA ITEM 2, Approval of minutes of the April 19, 2019 Commission meeting. Chair Burke called for the approval of the minutes of the April 19, 2019 commission meeting. The minutes were approved without correction.

CONSENT CALENDAR

O'Day declared potential conflict of interest on items 30-33, 39-40, 44, 50, 55, 61-62, 70 as members of a common organization. Kean declared a potential conflict of interest on item 58, a former client.

Sosa moved for the approval of the Consent Calendar, items 3-29, 34-38, 41-43, 45-49, 51-54, 56-57, 59-60, 63-69, 71-72, and the recommendation on each as follows:

Lobbyist Penalty Correspondence

AGENDA ITEM 3, Bill Hansell, 2019 Q1, Letter of Education.

AGENDA ITEM 4, Joseph Wells, 2019 Q1, Letter of Education.

AGENDA ITEM 5, Marcus Swift, 2019 Q1, Letter of Education.

Lobbyist Client Penalty Correspondence

AGENDA ITEM 6, Innocence Project, 2019 Q1, Letter of Education.

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AGENDA ITEM 7, Oregon Student Voice, 2019 Q1, Letter of Education.

AGENDA ITEM 8, Industrial Hemp Assoc of Oregon, 2019 Q1, Letter of Education.

AGENDA ITEM 9, Oregon Chapter, The Wildlife Society, 2019 Q1, Letter of Education.

AGENDA ITEM 10, SMACNA, 2019 Q1, Letter of Education.

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 11, Jodi Hinsley, 2018 SEI, Letter of Education.

AGENDA ITEM 12, Shawnn Kehr, 2018 SEI, Letter of Education.

AGENDA ITEM 13, Brenda Profitt, 2017 & 2018 SEI, Letter of Education.

AGENDA ITEM 14, Stacy Miller, 2018 SEI, Letter of Education.

AGENDA ITEM 15, Daymond Monteith, 2019 SEI, Letter of Education.

AGENDA ITEM 16, Elizabeth Klein, 2019 SEI, Letter of Education.

AGENDA ITEM 17, Eric Stutzman, 2019 SEI, Letter of Education.

AGENDA ITEM 18, Bill Davis, 2019 SEI, Letter of Education.

AGENDA ITEM 19, Thomas Hasvold, 2019 SEI, Letter of Education.

AGENDA ITEM 20, John Hanger, 2019 SEI, Letter of Education.

AGENDA ITEM 21, Dale Bates, 2019 SEI, Letter of Education.

AGENDA ITEM 22, Tammie Buck, 2019 SEI, Letter of Education.

AGENDA ITEM 23, Ron Wright, 2019 SEI, Letter of Education.

AGENDA ITEM 24, Edwin Graham Alderson, 2019 SEI, Letter of Education.

AGENDA ITEM 25, Tammy Bremner, 2019 SEI, Letter of Education.

AGENDA ITEM 26, Sidney Rowe, 2019 SEI, Letter of Education.

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AGENDA ITEM 27, Larry Cramblett, 2019 SEI, Letter of Education.

AGENDA ITEM 28, Karen Woolbright, 2019 SEI, Letter of Education.

AGENDA ITEM 29, Frank Millar, 2019 SEI, Letter of Education.

AGENDA ITEM 34, Teresa Shaffer, 2019 SEI, Letter of Education.

AGENDA ITEM 35, Glenn Palmer, 2019 SEI, Letter of Education.

AGENDA ITEM 36, Beach Pace, 2019 SEI, Letter of Education.

AGENDA ITEM 37, Mike Braack, 2019 SEI, Letter of Education.

AGENDA ITEM 38, Mark Smith, 2019 SEI, Letter of Education.

AGENDA ITEM 41, Keith Rofinot, 2019 SEI, Letter of Education.

AGENDA ITEM 42, Sheila Quintero, 2019 SEI, Letter of Education.

AGENDA ITEM 43, Dennis Davis, 2019 SEI, Letter of Education.

AGENDA ITEM 45, Beverly Ficek, 2019 SEI, Letter of Education.

AGENDA ITEM 46, Christine Coles, 2019 SEI, Letter of Education.

AGENDA ITEM 47, Patrick Kessi, 2019 SEI, Letter of Education.

AGENDA ITEM 48, James Setzer, 2019 SEI, Letter of Education.

AGENDA ITEM 49, Peter Frothingham, 2019 SEI, Letter of Education.

AGENDA ITEM 51, Jeannine Le Cuyer, 2019 SEI, Letter of Education.

AGENDA ITEM 52, Willard Evenson, 2019 SEI, Letter of Education.

AGENDA ITEM 53, Jeff Walters, 2019 SEI, Letter of Education.

AGENDA ITEM 54, John Thompson, 2019 SEI, Letter of Education.

AGENDA ITEM 56, Teri Lenahan, 2019 SEI, Letter of Education.

AGENDA ITEM 57, Leon Neuschwander, 2019 SEI, Letter of Education.

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AGENDA ITEM 59, Charlene Green, 2019 SEI, Letter of Education.

AGENDA ITEM 60, David Reed, 2019 SEI, Letter of Education.

AGENDA ITEM 63, Joan Demarest, 2019 SEI, Letter of Education.

AGENDA ITEM 64, Don Treanor, 2019 SEI, Letter of Education.

AGENDA ITEM 65, Chase McClung, 2019 SEI, Letter of Education.

AGENDA ITEM 66, Samantha Filbin, 2019 SEI, Letter of Education.

AGENDA ITEM 67, Leslie Nuorala, 2019 SEI, Letter of Education.

AGENDA ITEM 68, Joe Phippen, 2019 SEI, Letter of Education.

AGENDA ITEM 69, Marilyn Loomis, 2019 SEI, Letter of Education.

AGENDA ITEM 71, John Hanlin, 2019 SEI, Letter of Education.

AGENDA ITEM 72, Andrew Landen, 2019 SEI, Letter of Education.

Group voice vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Sosa moved for the approval of the balance of the Consent Calendar, items 30-33, 39-40, 44, 50, 55, 58, 61, 62, 70, and the recommendation on each as follows:

AGENDA ITEM 30, Brad Oxenford, 2019 SEI, Letter of Education.

AGENDA ITEM 31, Amanda Perron, 2019 SEI, Letter of Education.

AGENDA ITEM 32, Gwen Jernstedt, 2019 SEI, Letter of Education.

AGENDA ITEM 33, Joshua Simonson, 2019 SEI, Letter of Education.

AGENDA ITEM 39, Rodrigo Arellano, 2019 SEI, Letter of Education.

AGENDA ITEM 40, Matthew Donohue, 2019 SEI, Letter of Education.

AGENDA ITEM 44, Linda Stice, 2019 SEI, Letter of Education.

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AGENDA ITEM 50, Michael Karl, 2019 SEI, Letter of Education.

AGENDA ITEM 55, Traci Martinez, 2019 SEI, Letter of Education.

AGENDA ITEM 58, Sam Chase, 2019 SEI, Letter of Education.

AGENDA ITEM 61, Rick Fieldhouse, 2019 SEI, Letter of Education.

AGENDA ITEM 62, Troy Hellickson, 2019 SEI, Letter of Education.

AGENDA ITEM 70, Jessica Countryman, 2019 SEI, Letter of Education.

Group voice vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

End of Consent Calendar

(File 2)

The Commission convened into executive session at 9:08 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and ORS 192.685(1), and possible own motion preliminary reviews pursuant to ORS 244.260(2)(d).

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review

(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

OTHER ITEMS

Reports of Preliminary Reviews
(removed from consent calendar)

AGENDA ITEM 88, 19-037XSM, Kaye Jones, Chair

AGENDA ITEM 89, 19-038XSM, Terry Kamlade, Member

AGENDA ITEM 90, 19-039XSM, Melissa LaCrosse, Member

AGENDA ITEM 91, 19-040XSM, Dewey Robbins, Member

AGENDA ITEM 92, 19-041XSM, Tracy Roe, Member

Board of Directors, Marion County School District 14J.

Myers summarized the cases. Jones and Counsel Lisa Freiley were present. Counsel Freiley stated that she does not represent LaCrosse.

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Agenda Item 88. Jones did not address the commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS 192.660 or warrant further review and that this complaints be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 89. Kamlade did not address the commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS 192.660 or warrant further review and that this complaints be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, Burke, aye. Motion passed unanimously.

Agenda Item 91. Robbins did not address the commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS 192.660 or warrant further review and that this complaints be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 92. Roe did not address the commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS 192.660 or warrant further review and that this complaints be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 90. LaCrosse did not address the commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS 192.660 or warrant further review and that this complaints be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 97, 19-051ESM, Michael Springer, Grant County Surveyor. Myers summarized the case. Springer addressed the Commission. The Commission generally discussed the matter. Kean moved that the Commission find that there is substantial, objective basis for believing that Michael Springer may have violated ORS 244.040(1) and 244.120(2) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, nay; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed 7 – 1.

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AGENDA ITEM 111, 19-081XDG, Reba Stoller
AGENDA ITEM 112, 19-083XDG, Teresa Shelburne
AGENDA ITEM 113, 19-084XDG, Pam Horst
AGENDA ITEM 114, 19-085XDG, Raylinda Price
AGENDA ITEM 115, 19-086XDG, Francisco Montelongo
AGENDA ITEM 116, 19-087XDG, Debbie Kearns
AGENDA ITEM 117, 19-088XDG, Isaac Kearns

Directors of the Board, Yamhill County School District 8 (Dayton SD). Raylinda Price was present along with her husband, Derrick Price, and the Superintendent of Yamhill SD 8. Chair Burke allowed Mr. Price to remain but excused Superintendent from the Executive Session discussion. O'Day recused himself stating a conflict of interest and left the room. Gould summarized the cases together, as they are born of the same circumstance.

Agenda Item 114, Price addressed the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Raylinda Price may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

Agenda Item 111, Stoller did not address the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Reba Stoller may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

Agenda Item 112, Shelburne did not address the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Teresa Shelburne may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

Agenda Item 113, Horst did not address the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Pam Horst may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

Agenda Item 115, Montelongo did not address the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Francisco Montelongo may have violated ORS 192.660 and that the Commission should investigate

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accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

Agenda Item 116, Ms. Kearns did not address the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Debbie Kearns may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

Agenda Item 117, Mr. Kearns did not address the Commission. Sosa moved that the Commission find that there is substantial, objective basis for believing that Isaac Kearns may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, abstained; Burke, aye. Motion passed 7 – 0 with 1 abstention.

The Commission recessed from 10:02 a.m. to 10:08 a.m.

AGENDA ITEM 105, 19-064ESM, Marc Horner, Facilities Dept & Building Use Coord, Deschutes County School District 2J. Horner was present with spouse. Myers summarized the case. Horner addressed the Commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 104, 19-063ESM, Anthony Pupo, Executive Director of Operations, Deschutes County School District 2J. Mr. Pupo was scheduled to address the commission by telephone, but did not answer the call. Myers summarized the case. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 106, 19-065ESM, Kiara Orrison, Administrative Assistant, Deschutes County School District 2J. Myers summarized the case. Orrison addressed the Commission via telephone. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as

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follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 110, 19-079ESM, Julie Reading, Former Administrative Law Judge, Employment Relations Board. Myers summarized the case. Reading and Counsel Matt Zekala addressed the Commission. The Commission discussed the matter. Kean moved that the Commission find that there is substantial, objective basis for believing that Julie Reading may have violated ORS 244.040 and 244.120 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Own Motion Preliminary Reviews

AGENDA ITEM 120, Possible Own Motion Preliminary Review, exempt from Public Record.

OTHER ITEMS

Reports of Preliminary Reviews (removed from consent calendar)

AGENDA ITEM 95, 19-045ESM, Craig Horrell, District Manager, Central Oregon Irrigation District. Horrell addressed the Commission with his attorney Katherine Tank via telephone. Myers summarized the case. Shugar moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

The Commission convened into regular session.

MISCELLANEOUS ITEMS

AGENDA ITEM 84, Craig Horrell, Central Oregon Irrigation District. Request from Complainant to expand preliminary findings of violation. Horrell joined via telephone. Bersin summarized the item, noting that when this first came before the Commission, it was voted on by the same Commissioners present today. There was no motion.

The Commission reconvened into executive session to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and ORS 192.685(1), and possible own motion preliminary reviews pursuant to ORS 244.260(2)(d).

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OTHER ITEMS

Reports of Preliminary Reviews (removed from consent calendar)

AGENDA ITEM 93, 19-042ESM, Jessica Metta, City Councilor, City of Hood River. Myers summarized the case. Metta addressed the Commission via telephone. Kean moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously

The Commission recessed at 11:55 a.m. for a working lunch and resumed in Executive Session at 12:10 p.m.

AGENDA ITEM 94, 19-044ESM, Frankie Petrick, Administrator and Fire Chief, Yachats Rural Fire Protection District. Myers summarized the case. Petrick's counsel, Dan Lawler, addressed the Commission by telephone on behalf of Mr. Petrick. The Commission generally discussed the matter. Sosa moved that the Commission find that there is substantial, objective basis for believing that Frankie Petrick may have violated ORS 244.040(1) and 244.025(1) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 96, 19-049EDG, Kirk Ranzetta, Former Commissioner, Portland Historic Landmarks Commission. Ranzetta addressed the Commission via telephone. Gould summarized the case. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 107, 19-071EDG, Christopher Paasch, Commissioner, Curry County. Paasch addressed the Commission by telephone. Gould summarized the case. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

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Own Motion Preliminary Reviews

AGENDA ITEM 121, Possible Own Motion Preliminary Review, exempt from Public Record.

OTHER ITEMS

Reports of Preliminary Reviews
(removed from consent calendar)

AGENDA ITEM 108, 19-073ESM, Aric Higdon, Fire and Emergency Medical Services, City of Oakridge. Attorney Katelyn Oldham, addressed the Commission via telephone on behalf of Higdon. Myers summarized the case. The Commission generally discussed the matter. Shugar moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 109, 19-075ESM, Andrew Anderson, City Councilor and former Mayor, City of Moro. Myers summarized the case. Anderson did not address the Commission. O'Day moved that the Commission find that there is substantial, objective basis for believing that Andrew Anderson may have violated ORS 244.020(2) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 118, 19-090EDG, Lori Welborn, Former Office Manager, Washington Elementary School, Marion County School District 24J. Gould summarized the case. Welborn did not address the Commission. The Commission briefly discussed the matter. Sosa moved that the Commission find that there is substantial, objective basis for believing that Lori Welborn may have violated ORS 244.040 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 119, 19-091ESM, Darrin Phillips, Retired Trooper, Oregon State Police. Myers summarized the case. Phillips did not address the Commission. The Commission briefly discussed the matter. Sosa moved that the Commission find that there is substantial, objective basis for believing that Darrin Phillips may have violated ORS 244.020(2) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

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AGENDA ITEM 98, 19-054EDG, Tracy Wood, Chief of Police, City of Gold Beach. Gould summarized the case. Wood did not address the Commission. Sosa moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 99, 19-057XDG, Lisa King

AGENDA ITEM 100, 19-058XDG, Doug Gunderson

AGENDA ITEM 101, 19-059XDG, Nanci Norris

AGENDA ITEM 102, 19-060XDG, Sarah Laiosa

AGENDA ITEM 103, 19-061XDG, Lori Cheek

Board Members, Harney County School District #3 (Burns School District)

Gould summarized the cases. Members did not address the Commission.

Agenda Item 99, Sosa moved that the Commission find that there is substantial, objective basis for believing that Lisa King may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 100, Sosa moved that the Commission find that there is substantial, objective basis for believing that Doug Gunderson may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 101, Sosa moved that the Commission find that there is substantial, objective basis for believing that Nanci Norris may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 102, Sosa moved that the Commission find that there is substantial, objective basis for believing that Sarah Laiosa may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Agenda Item 103, Sosa moved that the Commission find that there is substantial, objective basis for believing that Lori Cheek may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Mason,

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aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

Adjourned Executive Session and reconvened into Regular Session.

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 73, Douglas Morten, 2019 SEI, \$80. Recommendation of \$50 for second violation. Mason moved to accept staff recommendation of \$50 for 2019 SEI late filing. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, nay; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed 7 – 1.

Kean moved to reconsider the previous vote. Voice vote, motion passed unanimously.

Shugar moved to issue a Letter of Education in lieu of the \$80 2019 SEI late filing penalty. Roll call vote was taken as follows: Mason, abstained; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed 7 – 0 with 1 abstention.

AGENDA ITEM 74, Ed Chesnut, 2018 \$100, 2019 \$40. Recommendation: No reduction. Bersin summarized his recommendation. Kean moved to accept staff recommendation. Sosa amended the recommendation to a Letter of Education for 2018 and \$40 for 2019 late filing. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 75, Julie Bettles, 2019 SEI, \$120. Recommendation: \$50 for second violation. Kean moved to accept staff recommendation of \$50 for 2019 SEI late filing. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 76, Shirley Stevens, 2019 SEI, \$110. Recommendation: \$50 for second violation. Kean moved to accept staff recommendation of \$50 for 2019 SEI late filing. Roll call vote was taken as follows: Mason, aye; Sosa, nay; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, nay. Motion passed 6 – 2.

AGENDA ITEM 77, David Posalski, 2019 SEI, \$10. Recommendation: No waiver. Sosa moved to accept staff recommendation of \$10 for 2019 SEI late filing. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

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OTHER

Chair Burke acknowledged the resignation of Commissioner Shugar, presented her with a plaque, and thanked her for her service on the Oregon Government Ethics Commission. Shugar spoke of her positive experience working with the other commissioners and staff.

STIPULATED FINAL ORDERS

None.

DEFAULT FINAL ORDERS

None.

REPORTS OF INVESTIGATION

None.

ADVISORY OPINIONS

AGENDA ITEM 78, 19-119A, Honorable Kate Brown, Commission Chair Tammy Baney, and Members of the Oregon Transportation Commission re procedures for disclosing actual or potential conflicts of interest that may arise as the OTC considers projected proposals. Myers summarized the Opinion. Sosa moved to adopt the Advisory Opinion as presented. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

CORRESPONDENCE RECEIVED/RESPONSES

Staff Opinions

(non-action, informational only items)

None.

Staff Advice

(non-action, information only items)

The commission reviewed the following advice items without comment:

AGENDA ITEM 79, 19-0551, Kristina Schmunk re how Oregon Government Ethics laws would apply to actual and potential conflicts of interest that might arise during the City of Springfield's pending Development Code Update Project.

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AGENDA ITEM 80, 19-070I, Sarah Creighton re how the Oregon Government Ethics law may apply to public officials who may wish to participate in the Eugene Water & Electric Board's McKenzie River watershed tour.

AGENDA ITEM 81, 19-074I, Ben Cannon re whether students or employees of Oregon public universities serving on the board of the Higher Education Coordinating Commission would be met with conflict of interest when voting on tuition increases.

AGENDA ITEM 82, 19-114I, Representative Dan Rayfield re application of gift statutes to sponsorship of a float in the Starlight Parade.

Other Correspondence
(non-action, informational only items)

None.

MISCELLANEOUS ITEMS

AGENDA ITEM 83, 12-170EDT, Thomas Wayne Morgan, Jr., former custodian, University of Oregon. Recommendation is to dismiss complaint. The Commission and Alpaugh discussed the matter. Shugar moved to vacate the previous order and dismiss the complaint. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 85, 19-091ESM, Darrin Phillips, retired trooper, Oregon State Police. Request for suspension of investigation pending resolution of criminal matter. Shugar moved to suspend investigation pending resolution of criminal matter. Roll call vote was taken as follows: Mason, aye; Sosa, aye; Shugar, aye; Starr, aye; Kean, aye; Edwards, aye; O'Day, aye; Burke, aye. Motion passed unanimously.

AGENDA ITEM 86, Trainers' Report. Walker summarized past and future training activities.

AGENDA ITEM 87, Executive Director's Report. Bersin discussed the following:

- 2017-2019 biennial budget: Bersin reviewed the status of the 2017-2019 budget and noted the use of surplus funds.
- 2019 Legislative Bills: HB 2096 passed, becoming effective January 2020. Work continues on 2277.
- 2019 SEIs: Only 9 not filed as of this date.
- Compliance Specialist 2 vacancy: Position is open and interviews are scheduled to begin next week.

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- Employee recognition: Tammy Hedrick has been selected as the 2019 Ambassador of Public Service for OGEC. Susan Myers was recognized by Governor Brown on State Employee Recognition Day 2019 for promoting positivity in the workplace.
- The agency's Case Management System has been nominated for the NASCIO award.
- Lobby filings: non-filers include 1 lobbyist; 3 client/employers.
- 2020 meeting schedule may add an additional meeting.

Chair Burke adjourned the meeting at 1:35 p.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, July 12, 2019, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, in Salem, Oregon.

REF

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 22, 2019

Boise Cascade Company
Attn: Jill Twedt
1111 Jefferson St, Suite 300
Boise ID 83728

Dear Ms. Twedt:

The written explanation of why the Q1, 2019 Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q1 2019 \$900 penalty will be extended to coincide with the July 12th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2019

Client Code: 113
User Name: Boise Cascade
Email: jilltwedt@bc.com

Q1 2019 Filing: 5/15/2019
Q1 2019 Expended: \$20,488.06
Q1 2019 Penalty: \$900.00

Boise Cascade Company
Attn: Jill Twedt
1111 Jefferson Street, Suite 300
Boise, ID 83728

Activity Log - Q1 2019 to Date

01/04/19 12:49:12.407 - User Failed to Authenticate -
01/04/19 12:56:17.260 - User Successfully Authenticated -
01/04/19 03:05:55.583 - User Failed to Authenticate -
01/04/19 03:06:02.537 - User Successfully Authenticated -
01/04/19 03:09:38.320 - Client Report Saved - Client Report Saved for Q4 2018
01/04/19 03:13:48.390 - Client Report Saved - Client Report Saved for Q4 2018
01/04/19 03:15:03.343 - Client Report Saved - Client Report Saved for Q4 2018
01/04/19 03:25:22.997 - Client Report Submitted - Client Report Submitted for Q4 2018
04/16/19 01:30:09.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:08.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:07.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/20/19 01:30:06.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/21/19 01:30:07.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/22/19 01:30:08.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/23/19 01:30:08.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/24/19 01:30:07.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/25/19 01:30:07.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/26/19 01:30:07.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/27/19 01:30:07.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/28/19 01:30:06.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/29/19 01:30:07.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/30/19 01:30:07.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
05/01/19 01:30:07.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/02/19 01:30:07.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/03/19 01:30:06.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/04/19 01:30:07.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/05/19 01:30:07.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/06/19 01:30:07.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/07/19 01:30:07.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/08/19 01:30:07.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/09/19 01:30:07.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/10/19 01:30:06.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/11/19 01:30:06.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/12/19 01:30:07.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/13/19 01:30:06.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/14/19 01:30:06.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/15/19 01:30:05.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/15/19 12:35:51.957 - User Successfully Authenticated -
05/15/19 12:46:54.527 - Client Report Submitted - Client Report Submitted for Q1 2019
05/21/19 09:01:55.113 - User Successfully Authenticated -
05/21/19 09:02:25.923 - Name Change Requested - User with ID 131 requested a name change to Twedt, Jill M.
05/21/19 09:03:44.757 - User Profile Updated - User profile updated for John Sahlberg
05/21/19 09:03:44.777 - User Profile Updated - The user's main profile was updated.
05/21/19 01:58:59.027 - User Profile Updated - The user's main profile was updated.
05/21/19 01:58:59.833 - User Profile Updated - User profile updated for Jill Twedt

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
[Signature]

RECEIVED

MAY 21 2019

OREGON GOVERNMENT
ETHICS COMMISSION

Thank you, Kathy.

The late filing was because the contact for Boise Cascade in the OGEC system retired and our email system does not allow for automatic forwarding. The previous contact thought he had updated the system before retiring, but as we found out, it was not updated. For these reasons, I did not receive the reminder for the filing. We have now updated OGEC's system (thank you) so that I am the contact and my assistant and I should receive the reminders going forward. We have also set up our own system reminders for the quarterly filing as a back-up in case the OGEC system fails.

Thank you for your consideration in waiving the late filing penalty.

Jill

Jill Twedt | VP, General Counsel & Secretary | Boise Cascade Company |
☎ 208.384.4924 | ☎ 208.384.6566 | jilltwedt@bc.com |



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 22, 2019

Cliff Lauder
471 Prospect St.
Falls City OR 97344

Re: 2019 SEI late filing

Dear Mr. Lauder:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 5520
User Name: Cliff lauder
Email: cliffwlauder@gmail.com

2019 Filing: 5/21/2019
2019 Penalty: \$160.00

Cliff Lauder
471 prospect st
Falls City, OR 97344

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

03/12/19 12:39:30.137 - Admin User Reset Initiated - An admin user reset was initiated.
03/12/19 12:42:42.027 - User Profile Updated - User profile updated for Cliff Lauder
03/12/19 12:42:42.333 - User Profile Updated - The user's main profile was updated.
04/08/19 01:42:26.103 - Admin User Reset Initiated - An admin user reset was initiated.
04/08/19 01:54:55.247 - User Failed to Authenticate -
04/08/19 02:31:14.607 - User Failed to Authenticate -
05/06/19 01:30:12.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:10.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:09.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.963 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:09.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:10.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:07.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.667 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:40:46.580 - Late SEI Report Filed - SEI Report Filed Late for year 2019
05/21/19 01:41:02.737 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 01:43:22.007 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 01:44:10.520 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/21/19 01:44:51.633 - User Successfully Authenticated -
05/21/19 01:45:25.510 - User Profile Updated - The user's main profile was updated.
05/21/19 01:45:26.317 - User Profile Updated - User profile updated for Cliff Lauder
05/21/19 01:45:46.353 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 01:51:18.660 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/21/19 01:51:55.163 - User Successfully Authenticated -

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
(Signature)

HUNTER David * OGEC

From: Cliff Lauder <cliffwlauder@gmail.com>
Sent: Tuesday, May 21, 2019 2:57 PM
To: OGEC Mail * OGEC

I hadn't filed as I spent hours trying just to realise that I needed to use my falls city user name and password (which I never use and don't know) with the help of your office and David I was able to file with the ethics commission and change my username-email-password to the only one I use. I request that you forgive my ignorance and the fine that has been levied against me. if not I would like to be notified as soon as possible so I can resign my position. Cliff

(C)

(C)

(C)



Oregon

Kate Brown, Governor

Government Ethics Commission

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Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 22, 2019

Andrew Kreipe
PO Box 173
Maupin OR 97037

Re: 2019 SEI late filing

Dear Mr. Kreipe:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 7884
User Name: Kreipe
Email: a_kreipe@yahoo.com

2019 Filing: 5/22/2019
2019 Penalty: \$210.00

Andrew Kreipe
PO Box 173
Maupin, OR 970370173

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

04/29/19 10:34:44.560 - User Profile Created - User profile created for Andrew Kreipe
04/29/19 10:34:45.320 - User Profile Created - A new user profile was created.
04/29/19 10:34:45.397 - SEI Assigned to Seat - SEI Assigned to Seat
05/06/19 01:30:14.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:11.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:10.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:10.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:09.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:09.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:11.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:09.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:09.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.840 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:07.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:07.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:07.393 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:09.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:08.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/22/19 08:51:15.817 - User Failed to Authenticate -
05/22/19 08:51:16.550 - Admin User Reset Initiated - An admin user reset was initiated.
05/22/19 08:51:27.037 - User Failed to Authenticate -
05/22/19 08:55:49.810 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/22/19 08:56:04.467 - User Successfully Authenticated -
05/22/19 09:31:08.567 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

L. M. K. Ed
R

HUNTER David * OGEC

From: andy kreipe <a_kreipe@yahoo.com>
Date: Wednesday, May 22, 2019 9:08 AM
To: OGEC Mail * OGEC
Subject: SEI delinquents

Hi I am a planning commissioner here in maupin Or. and I just found out that I was past due on my SEI filing. I own a rafting buisness and am away from my e-mails for weeks at a time durring the spring fishing season. I have someone answering my buisness e-mails but not my personal ones. I would like to ask for a waiver of the fines for this is my first offense and I will make sure this doesn't happen again. Thanks for your time.

Andrew Kreipe
Owner DRA
1800-Rafting



Oregon

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Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 22, 2019

Bob Swalberg
PO Box 695
Elgin OR 97827

Re: 2019 SEI late filing

Dear Mr. Swalberg:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2019

SEI Code: 6754
User Name: bswalberg
Email: bswalber@eou.edu

2019 Filing: 5/22/2019
2019 Penalty: \$210.00

Bob Swalberg
PO Box 695
Elgin, OR 978270695

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:13.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:10.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:10.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:10.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:09.557 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:09.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:11.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:07.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:07.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:08.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/22/19 09:25:30.213 - Late SEI Report Filed - SEI Report Filed Late for year 2019
05/22/19 09:39:22.270 - Admin User Reset Initiated - An admin user reset was initiated.
05/22/19 09:41:01.740 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/22/19 09:41:18.740 - User Successfully Authenticated -
05/22/19 09:42:30.587 - User Profile Updated - The user's main profile was updated.
05/22/19 09:42:30.617 - User Profile Updated - User profile updated for Bob Swalberg
05/22/19 09:42:49.100 - Admin User Reset Initiated - An admin user reset was initiated.
05/22/19 11:18:56.857 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/22/19 11:20:14.187 - User Successfully Authenticated -
05/22/19 11:24:07.223 - User Profile Updated - User profile updated for Bob Swalberg
05/22/19 11:24:07.337 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter JED
(S)

BAIER Kathy * OGEC

From: Bob Swalberg <bswalber@eou.edu>
Date: Wednesday, May 22, 2019 11:41 AM
To: BAIER Kathy * OGEC
Subject: ethics filing

I am sorry I am late on the filing I never received any notices. I have since seen all the notices I should have gotten. I am trying to find out what happened that I never received them. I am hoping for a waiver of the fines and will be more attentive next year. Kathy was a great help.

Sorry for the late problem
Robert D Swalberg



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 24, 2019

JoAnn Miller
2925 Westpark Court SE
Albany OR 97322

Re: 2019 SEI late filing

Dear Ms. Miller:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 5921
User Name: JoAnnMiller
Email: joannrmiller@comcast.net

2019 Filing: 5/21/2019
2019 Penalty: \$160.00

JoAnn Miller
2925 Westpark Court SE
Albany, OR 97322

2019 Offices Held


No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:13.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:10.437 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:09.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:10.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:09.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:10.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.670 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:07.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:07.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:08.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 11:15:23.470 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: 2018 1-day late, paid \$10

RECOMMENDATION:

No prior letter of Ed, ~~no~~
- Letter of Ed 

May 24, 2019

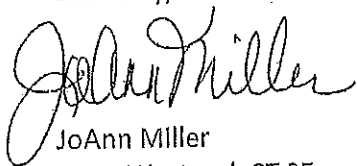
David R Hunter
Oregon Government Ethics Commission
3218 Pringle Rd SE STE 220
Salem OR 97302-1544

Attention Commission,

My name is JoAnn Miller. I am a member of the City of Albany Planning Commission. I have been informed that I have penalties due as a result of not filing my SEI form for 2018 on time. I apologize for missing the deadline but my personal email account had been compromised and I have not been able to receive any communications for quite a while.

I am requesting you to forgive my penalties and I will make sure I file my SEI form on time in the future. Thank you for your consideration.

Sincerely,

A handwritten signature in cursive script that reads "JoAnn Miller".

JoAnn Miller
2925 Westpark CT SE
Albany, OR 97322

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 24, 2019

Ralph Swinehart
81289 Black Marble Lane
Enterprise OR 97828

Re: 2019 SEI late filing

Dear Mr. Swinehart:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 1146
User Name: ralphswinehart
Email: ralphswinehart45@gmail.com

2019 Filing: 5/24/2019
2019 Penalty: \$310.00

Ralph Swinehart
81289 Black Marble Lane
Enterprise, OR 97828

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:10.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:08.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.557 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:08.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:07.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:07.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:06.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:06.487 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:05.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:07.950 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/23/19 01:30:06.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/23/19 03:10:20.840 - Admin User Reset Initiated - An admin user reset was initiated.
05/23/19 03:11:15.150 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/23/19 03:12:03.700 - User Successfully Authenticated -
05/23/19 03:12:34.017 - User Profile Updated - User profile updated for Ralph Swinehart
05/23/19 03:12:34.280 - User Profile Updated - The user's main profile was updated.
05/24/19 01:30:06.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/24/19 08:02:04.853 - User Failed to Authenticate -
05/24/19 08:02:37.010 - User Successfully Authenticated -
05/24/19 08:47:21.870 - User Successfully Authenticated -
05/24/19 09:04:35.747 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter ltd
(P)

HUNTER David * OGEC

From: Ralph Swinehart <ralphswinehart45@gmail.com>
Sent: Friday, May 24, 2019 9:20 AM
To: OGEC Mail * OGEC
Subject: late filing of SEI report, request that any penalties be waived

I was late in filing my report because I did not receive it until today, May 24, 2019. The request to file was apparently sent to an old email address that is no longer functional, and was returned to the ethics commission, apparently on several occasions. No attempt was made to contact me by other means, even though the commission had my mailing address. The City of Enterprise also has my correct email address. I have always filed the reports in a timely manner when I have received them, so I respectfully request that any penalties be waived in this case.

Sincerely,
Ralph Swinehart



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 28, 2019

Henry Stevens
266 NE Spring View Dr.
Myrtle Creek, OR

Re: 2019 SEI late filing

Dear Mr. Stevens:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2019

SEI Code: 3931
User Name: travelor
Email: hstevens@ci.myrtle-creek.or.us

2019 Filing: 5/17/2019
2019 Penalty: \$120.00

Henry Stevens
266 NE Spring View Dr.
Myrtle Creek, OR 97457

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

- 05/06/19 01:30:11.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/07/19 01:30:09.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/08/19 01:30:08.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/09/19 01:30:09.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/10/19 01:30:08.557 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/11/19 01:30:08.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/12/19 01:30:09.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/13/19 01:30:08.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/14/19 01:30:08.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/15/19 01:30:06.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/16/19 01:30:06.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/16/19 11:01:58.367 - User Profile Updated - User profile updated for Henry Stevens
- 05/16/19 11:01:58.963 - User Profile Updated - The user's main profile was updated.
- 05/17/19 01:30:06.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
- 05/17/19 09:25:42.687 - Admin User Reset Initiated - An admin user reset was initiated.
- 05/17/19 09:26:38.203 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 05/17/19 09:28:09.923 - User Successfully Authenticated -
- 05/17/19 09:28:39.047 - User Profile Updated - User profile updated for Henry Stevens
- 05/17/19 09:28:39.050 - User Profile Updated - The user's main profile was updated.
- 05/17/19 09:35:22.170 - Admin User Reset Initiated - An admin user reset was initiated.
- 05/17/19 10:36:34.800 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 05/17/19 10:37:00.863 - User Successfully Authenticated -
- 05/17/19 10:42:08.267 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter L&E
(M)

266 NE Spring View Dr.
Myrtle Creek, OR 97457
May 21, 2019

RECEIVED
MAY 28 2019
OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission
3218 Pringle Rd. SE #220
Salem, OR 97302-1544

Re: late submission of SEI

Members of the Oregon Ethics Commission:

My error. Please accept my sincere apology for submitting the Statement of Economic Interest (SEI) late. There was no intent to deceive or show disrespect, it was mere human frailty. I thought I had completed it until someone in your office left a gentle reminder on our home telephone that I hadn't.

My mistake was that I knew I had completed the form but it was for a year earlier, -2017. With the telephone reminder of May 17 I went to Myrtle Creek City Hall and ask our City Recorder, Mr. Josh Norton, to ascertain whether I had completed the SEI for 2018 or not. Being much more computer savvy than I, he, within moments informed me that I was remiss.

With that knowledge I ask him to assist me in completing the form. Thankfully he was able to access my account with you where we promptly changed my password and I completed and submitted the SEI.

Respectfully,


Henry Stevens

Myrtle Creek, City Council

Position #2



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 4, 2019

Tom Tsuruta
13159 SW Broadmoor Place
Tigard OR 97223

Re: 2019 SEI late filing

Dear Mr. Tsuruta:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 4458
User Name: Isaoenzan
Email: t@tsurutaglobal.com

2019 Filing: 5/16/2019
2019 Penalty: \$110.00

Isao Tsuruta
13159 SW Broodmoor Pl
Tigard, OR 97223

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:11.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:09.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 08:41:23.723 - Admin User Reset Initiated - An admin user reset was initiated.
05/15/19 08:42:12.257 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/15/19 08:42:45.960 - User Successfully Authenticated -
05/15/19 08:43:27.840 - User Profile Updated - User profile updated for Isao Tsuruta
05/15/19 08:43:28.133 - User Profile Updated - The user's main profile was updated.
05/15/19 08:43:56.587 - Admin User Reset Initiated - An admin user reset was initiated.
05/16/19 01:30:06.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 12:13:00.623 - User Failed to Authenticate -
05/16/19 12:17:25.600 - User Successfully Authenticated -
05/16/19 12:27:45.043 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letton & Ed

(P)

TSURUTA GLOBAL, LLC

13159 SW Broadmoor PL.
figard, OR 97223
t@tsurutaglobal.com
917-750-3541

June 2, 2019

Oregon Government Ethics Commission
3218 Pringle Rd SE, Suite 220
Salem, OR 97302-1680

Dear Commissioners,

Please accept my apologies for filing my 2019 Statement of Economic Interest late. Unfortunately, my email address was not updated in your system earlier this year, so the email notifications were going to an old email address that no longer exists.

Since being made aware of my delinquency on May 15, I filed my Statement of Economic Interest on May 16.

In light of this oversight, I respectfully ask that you waive the \$100 penalty for the delinquent filing.

Thank you for your consideration.

Sincerely,



Tom Tsuruta
Port of Portland Commissioner

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(

(



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 4, 2019

Keith Tymchuk
1405 Ranch Rd
Reedsport OR 97467

Re: 2019 SEI late filing

Dear Mr. Tymchuk:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 3769
User Name: KeithTymchuk
Email: twopatoots@frontier.com

2019 Filing: 5/12/2019
2019 Penalty: \$70.00

Keith Tymchuk
1405 Ranch Road
Reedsport , OR 97467

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:11.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 08:37:58.713 - User Successfully Authenticated -
05/12/19 08:40:18.590 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: 2017, 1 day late, \$10, paid by personal check

RECOMMENDATION:

Letter at Ed
HP

BAIER Kathy * OGEC

OGEC Mail * OGEC
RE: Late ethics filing

ect:

From: twopatoots@frontier.com [<mailto:twopatoots@frontier.com>]

Sent: Sunday, June 02, 2019 6:18 AM

To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>

Subject: Late ethics filing

Hello Oregon Government Ethics Commission,

I have received notice that I have filed my ethics forms late. The email address (above) that I receive these notices at is one I rarely use.

All the same, I have been an elected official for nearly 25 years and have never had an ethics concern.

Sincerely,

Keith Tymchuk



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 4, 2019

Steve Hov
74232 Columbia River Hwy
Rainier OR 97048

Re: 2019 SEI late filing

Dear Mr. Hov:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 2675
User Name: beerman
Email: rainiersignco@outlook.com

2019 Filing: 6/4/2019
2019 Penalty: \$860.00

Steve Hov
74232 Columbia River Hwy
Rainier, OR 97048

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:11.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.173 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:07.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:06.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:06.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:05.950 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/23/19 01:30:06.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/24/19 01:30:06.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/25/19 01:30:06.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/26/19 01:30:06.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/27/19 01:30:10.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/28/19 01:30:07.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/29/19 01:30:06.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/30/19 01:30:06.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/31/19 01:30:06.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/01/19 01:30:13.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/02/19 01:30:08.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/03/19 01:30:08.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/04/19 01:30:07.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/04/19 02:17:40.930 - User Profile Updated - User profile updated for Steve Hov
06/04/19 02:17:41.300 - User Profile Updated - The user's main profile was updated.
06/04/19 02:19:01.213 - User Profile Updated - User profile updated for Steve Hov
06/04/19 02:19:01.490 - User Profile Updated - The user's main profile was updated.
06/04/19 02:23:45.657 - Late SEI Report Filed - SEI Report Filed Late for year 2019
06/04/19 02:46:30.190 - Admin User Reset Initiated - An admin user reset was initiated.
06/04/19 02:47:18.973 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/04/19 02:47:32.380 - User Successfully Authenticated -
06/04/19 02:48:12.417 - User Profile Updated - User profile updated for Steve Hov
06/04/19 02:48:12.597 - User Profile Updated - The user's main profile was updated.
06/04/19 02:48:33.787 - Admin User Reset Initiated - An admin user reset was initiated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter A/E/J
[Signature]

BAIER Kathy * OGEC

From: Deborah Hov <rainiersignco@outlook.com>
Date: Tuesday, June 04, 2019 2:33 PM
To: BAIER Kathy * OGEC
Subject: waiver/explanation

I am writing to ask for a waiver of penalties/fees for late filing. Having undergone open heart surgery, I'm afraid some things lapsed on my end. Thanks to Kathy Baier my filing is now current.

Thank you for your understanding.

Sincerely,
Steve Hov

Rainier Sign Co
503-556-1293

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 7, 2019

Gene Vroman
PO Box 752
Yoncalla OR 97499

Re: 2019 SEI late filing

Dear Mr. Vroman:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 7910
User Name: Gene Vroman
Email: genevroman@yahoo.com

2019 Filing: 6/6/2019
2019 Penalty: \$50.00

Gene Vroman
PO Box 752
Yoncalla, OR 97499

2019 Offices Held

YONCALLA (City), COUNCIL - Appointed 01/08/19

Activity Log - 2019 to Date

06/05/19 01:39:23.823 - User Profile Created - User profile created for Gene Vroman
06/05/19 01:39:24.653 - User Profile Created - A new user profile was created,
06/05/19 01:39:24.890 - SEI Assigned to Seat - SEI Assigned to Seat
06/06/19 01:30:07.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/06/19 09:13:39.827 - User Failed to Authenticate -
06/06/19 09:13:40.310 - User Failed to Authenticate -
06/06/19 09:13:40.967 - User Failed to Authenticate -
06/06/19 09:13:41.607 - User Failed to Authenticate -
06/06/19 09:13:42.327 - User Failed to Authenticate -
06/06/19 09:14:23.000 - User Failed to Authenticate -
06/06/19 09:23:50.597 - User Failed to Authenticate -
06/06/19 09:27:54.567 - User Successfully Authenticated -
06/06/19 09:37:19.650 - Late SEI Report Filed - SEI Report Filed Late for year 2019
06/06/19 11:23:06.877 - User Failed to Authenticate -

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter JEA
JB

BAIER Kathy * OGEC

Subject: genevroman@yahoo.com
RE: Electronic filing account

From: Gene Vroman [mailto:genevroman@yahoo.com]
Sent: Friday, June 07, 2019 10:16 AM
To: BAIER Kathy * OGEC <Kathy.BAIER@oregon.gov>
Subject: RE: Electronic filing account

Dear commissioners

In regards to my late penalty. I am asking that you wavier it as I did not receive the proper form to fill out as per required. Hopefully it is right now that I had David help fill it out and sent in. Thank you. Gen Vroman

Sent from Yahoo Mail on Android

I have tried to e-mail ogec.mail@oregon.gov and CAN NOT get e-mail to go through. The reason I was late in filing was that I NEVER recieved form to fill out until yesterday when I recieved a call from your office. Would appreciate if you would forward this to them. Thank you. Gene Vroman

at from Yahoo Mail on Android

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 10, 2019

Ronald Kerr
1401 Monroe St.
Lafayette OR 97127

Re: 2019 SEI late filing

Dear Mr. Kerr:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2019

SEI Code: 2346
User Name: KerrPlan
Email: whosupwhosdown@yahoo.com

2019 Filing: 5/12/2019
2019 Penalty: \$70.00

Ronald Kerr
1401 Monroe Street
Lafayette, OR 97127

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:10.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:08.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 04:31:02.320 - User Successfully Authenticated -
05/12/19 04:39:51.083 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(RP)

7 Jun 2019

Ronald Kerr
Planning Commissioner
City of Lafayette, OR

RECEIVED
JUN 10 2019
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners:

I respectfully request a waiver of all penalties associated with the late filing of my ethics report due 15 Apr 2019.

At the beginning of March, I received news of a medical issue effecting me (non life-threatening, but very disruptive). The month of April included several appointments with medical specialists and as such the due date of the report was simply off my radar.

Yes, I know attempts were made to contact me via email, but said items were part of the "off the radar" issues. Once I received a call and voice mail from David, I took steps to promptly file my overdue report - 3 days later.

I also noted that the start of the penalties included a grace period, and as an adult I have obligations. As much as you have every right to decline my waiver request, I respectfully ask for just that, a full waiver.

I realize my waiver request will also arrive close to the penalty payment due date (11 Jun) and as such I will hold off on remitting any payment until I receive word from you on your decision. I would only ask that penalties do not increase as a result of this choice on my part should you decline my waiver request.

Thank you very much for your consideration.

Sincerely,

Ronald Kerr
Planning Commissioner, City of Lafayette, OR

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(C)

(C)



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 13, 2019

Christian Pape'
PO Box 581
Lake Oswego OR 97034

Re: 2019 SEI late filing

Dear Mr. Pape':

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2019

SEI Code: 7351
User Name: cpape
Email: christian@papeholdings.com

2019 Filing: 6/6/2019
2019 Penalty: \$960.00

Christian Pape
PO Box 581
Lake Oswego, OR 97034

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

02/15/19 04:43:22.813 - User Profile Created - User profile created for Christian Pape'
02/15/19 04:43:23.717 - User Profile Created - A new user profile was created.
02/15/19 04:43:23.763 - SEI Assigned to Seat - SEI Assigned to Seat
04/01/19 09:38:23.700 - Admin User Reset Initiated - An admin user reset was initiated.
04/01/19 09:40:49.650 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/01/19 09:42:53.047 - User Successfully Authenticated -
04/10/19 08:34:20.380 - User Successfully Authenticated -
04/10/19 09:28:20.250 - User Successfully Authenticated -
04/10/19 10:10:43.610 - User Successfully Authenticated -
05/06/19 01:30:14.150 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:10.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:10.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:10.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:09.667 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:09.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:11.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:09.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:07.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:07.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:07.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:09.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:08.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/23/19 01:30:06.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/24/19 01:30:07.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/25/19 01:30:07.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/26/19 01:30:06.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/27/19 01:30:10.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/28/19 01:30:08.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/29/19 01:30:06.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/30/19 01:30:06.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/31/19 01:30:06.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/01/19 01:30:13.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/02/19 01:30:08.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/03/19 01:30:08.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/04/19 01:30:08.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/05/19 01:30:08.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/06/19 01:30:06.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/06/19 12:32:38.930 - User Failed to Authenticate -
06/06/19 12:32:48.757 - User Successfully Authenticated -
06/06/19 01:00:05.757 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(Signature)

HUNTER David * OGEC

m: Christian Pape <christian@papeholdings.com>
it: Tuesday, June 11, 2019 3:43 PM
OGEC Mail * OGEC
Subject: OGEC - SEI Filing
Importance: High

Dear Commissioners,

I'm writing to you with the sincere hope that you will waive the late submission penalty amount, with respect to my SEI Report. Learning that my original submission never was received by the Oregon Government Ethics Commission was very concerning, to which I promptly refilled out the form and submitted it the very next day. That same day, I discussed the submission process with Mr. David Hunter, and it became very clear why my original submission was not accepted. While the proper spelling of my last name includes an accent over the "é", almost every electronic form of authorization does not accept that spelling nor the inclusion of an apostrophe after the "e". Thus my entire family has become accustomed to simply leaving off the accent "Pape" when electronically signing and/or electronically authorizing. I'm working with our email service provider to better understand why I did not receive any of the notices informing me that my submission was late. In understanding how their spam/junk filtering process works, if an email is consistently sent at the same time of day, from the same (or similar) address, with the same (or similar) subject matter, the email will be prevented from reaching the recipient. This did occur with daily update emails from my financial institutions, and after identifying those email addresses as "safe" they were no longer stopped from reaching me. I will complete this same process with ogec.notification@egov.com to I receive them.

ur understanding and forgiveness is greatly appreciated.

Very Respectfully,
Christian



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 24, 2019

Nico Casarez
PO Box 562
Aumsville OR 97325

Re: 2019 SEI late filing

Dear Mr. Casarez:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2019

SEI Code: 4014
User Name: Ncasarez
Email: ncasarez@aumsville.us

2019 Filing: 6/18/2019
2019 Penalty: \$1,560.00

Nico Casarez
P.O. Box #562
Aumsville, OR 97325

2019 Offices Held

AUMSVILLE (City), COUNCIL - Appointed 01/01/19

Activity Log - 2019 to Date

05/06/19 01:30:11.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:09.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:06.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/23/19 01:30:06.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/24/19 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/25/19 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/26/19 01:30:06.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/27/19 01:30:10.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/28/19 01:30:07.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/29/19 01:30:06.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/30/19 01:30:06.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/31/19 01:30:06.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/01/19 01:30:13.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/02/19 01:30:08.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/03/19 01:30:08.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/04/19 01:30:07.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/05/19 01:30:08.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/05/19 04:39:09.220 - Admin User Reset Initiated - An admin user reset was initiated.
06/05/19 04:39:48.350 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/05/19 04:40:28.237 - User Successfully Authenticated -
06/05/19 04:40:55.970 - User Profile Updated - The user's main profile was updated.
06/05/19 04:40:56.077 - User Profile Updated - User profile updated for Nico Casarez
06/06/19 01:30:06.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/07/19 01:30:06.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/08/19 01:30:06.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/09/19 01:30:34.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/10/19 01:31:22.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/11/19 01:30:06.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/12/19 01:30:06.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/13/19 01:30:05.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/14/19 01:30:05.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/15/19 01:30:07.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/16/19 01:30:06.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/17/19 01:30:07.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/18/19 01:30:07.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/18/19 06:07:49.593 - User Failed to Authenticate -
06/18/19 06:08:07.967 - User Failed to Authenticate -
06/18/19 06:16:46.710 - User Failed to Authenticate -

SEI Activity
2019

Code: 4014
Name: Ncasarez
Email: ncasarez@aumsville.us

2019 Filing: 6/18/2019
2019 Penalty: \$1,560.00

Nico Casarez
P.O. Box #562
Aumsville, OR 97325

06/18/19 06:17:14.970 - User Failed to Authenticate -
06/18/19 06:19:19.793 - User Successfully Authenticated -
06/18/19 06:36:30.383 - Late SEI Report Filed - SEI Report Filed Late for year 2019
06/24/19 12:55:43.770 - User Successfully Authenticated -
06/24/19 12:57:25.060 - User Profile Updated - User profile updated for Nico Casarez
06/24/19 12:57:25.193 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(PP)

Friday, June 21, 2019

State of Oregon
Oregon Government Ethics Commission
3218 Pringle Rd. SE, Suite 220
Salem, Oregon 97302

RECEIVED
JUN 21 2019
OREGON GOVERNMENT
ETHICS COMMISSION

RE: Letter of Explanation for late filing of 2019 Statement of Economic Interest (SEI)

Dear Commissioners,

I would like to take this opportunity to sincerely apologize for my tardiness in filing my 2019 Statement of Interest (SEI) report. This error was of my own making due to my disorganization as for the last several months I have had to take a greater role in the managing of the finances as well as medical care for my parents. My father is disabled and suffers from chronic pain from rotator cuff tear as well as hamstring injury, arthritis, severe hypertension, congestive heart failure, chronic kidney disease, and severe anxiety / depression. My mother suffers from uncontrolled diabetes mellitus, non-alcoholic fatty liver disease, arthritis, severe GERD, abdominal cellulitis, fibromyalgia, edema, short-term memory loss, and severe anxiety. Due to their worsening conditions and recent eviction from their home due to financial hardship, I have had devote much of my time following up on their medications, appointments, personal care (making sure they have food / toiletries they need) and assist them with relocating to more affordable housing. Between doing my best to be supportive of my parent's needs and fulfilling the commitment of serving my community, it is left little time for me to adequately take care of my personal affairs. Regretfully, filing my 2019 SEI in a timely manner suffered as a result.

With the exception of years 2017-18 (as I was not in office during this time), I have been blessed to serve my community in my capacity since 2009. Records should indicate that I have always submitted my annual SEI report by the deadline since that requirement was implemented by the Oregon Legislative Assembly. As a result, I respectfully request that my late filing fee penalty of \$1,560.00 be waived as I am not able to afford to pay the fine at this time given my minimal wage and having to provide additional financial support to my parents as well. If the imposed fee were to be levied in its entirety, it would present an incredible financial strain that I would not be able to pay. Since this incident, I have made sure to notate in my personal scheduler / calendar deadlines for the next 3 years for submissions of SEI a week ahead of time and set reminders in my Outlook email application as well as personal cell phone to ensure this does not happen again. Additionally, I have also requested that staff provide a reminder to myself as well as the rest of our council prior to the deadline to make sure we are all in compliance.

It is my desire to be completely honest / forthcoming with OGEC and if additional information is required from me, please do not hesitate to let me know. Thank you for consideration and for your service to the citizens of our great state.

With all due respect,



Nico Casarez
City Councilor - City of Aumsville, Oregon
503-576-1329 (Direct) | 503-749-2030 (Office) | 503-749-1852 (Fax)
ncasarez@aumsville.us

CC: Mayor Derek Clevenger and City Administrator Ron Harding



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 25, 2019

Jeff Bissonnette
9217 N Willamette Blvd
Portland OR 97203

Dear Mr. Bissonnette:

The written explanation of why your Q1 2019 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1,400 penalty will be extended to coincide with the July 12th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



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Lobbyist Activity

Q1 2019

Lobbyist Code: 695
Lobbyist Name: Jeff Bissonnette
Email: jeff@jeffbissonnette.com

Jeff Bissonnette
9217 N Willamette Blvd
Portland, OR 97203

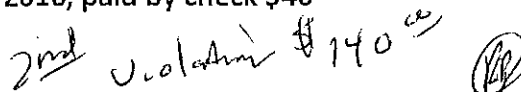
Q1 2019 Filing: 5/25/2019
Q1 2019 Expended: \$0.00
Q1 2019 Penalty: \$1,400.00

Activity Log - Q1 2019 to Date

01/04/19 02:45:24.293 - User Successfully Authenticated -
01/04/19 02:46:21.873 - User Profile Updated - The user's main profile was updated.
01/04/19 02:46:22.690 - User Profile Updated - User profile updated for Jeff Bissonnette
01/18/19 12:04:23.467 - User Failed to Authenticate -
04/16/19 01:30:08.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:07.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:06.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:06.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/20/19 01:30:06.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/21/19 01:30:07.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/22/19 01:30:07.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/23/19 01:30:07.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/24/19 01:30:06.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/25/19 01:30:07.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/26/19 01:30:07.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/27/19 01:30:07.303 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/28/19 01:30:06.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/29/19 01:30:07.173 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/30/19 01:30:07.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
05/01/19 01:30:07.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/02/19 01:30:07.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/03/19 01:30:06.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/04/19 01:30:07.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/05/19 01:30:07.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/06/19 01:30:07.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/07/19 01:30:06.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/08/19 01:30:06.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/09/19 01:30:06.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/10/19 01:30:06.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/11/19 01:30:06.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/12/19 01:30:06.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/13/19 01:30:06.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/14/19 01:30:06.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/15/19 01:30:05.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/16/19 01:30:05.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/17/19 01:30:05.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/18/19 01:30:04.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/19/19 01:30:05.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/20/19 01:30:05.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/21/19 01:30:05.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/22/19 01:30:05.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/23/19 01:30:05.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/24/19 01:30:05.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/25/19 01:30:05.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/25/19 10:35:23.570 - User Successfully Authenticated -
05/25/19 10:36:37.690 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2019

PAST VIOLATIONS: Q2 2016, paid by check \$40

RECOMMENDATION:

2nd violation \$140.00 

BAIER Kathy * OGEC

From: Jeff Bissonnette <jeff@jeffbissonnette.com>
Sent: Monday, June 24, 2019 5:10 PM
To: BAIER Kathy * OGEC
Subject: Request for Reduction of Fine

To the Members of the Oregon Government Ethics Commission:

I am writing to request a reduction in my current fine. I was late in filing my quarterly report. I am in a new position and without the administrative support that I've had in my previous positions. I have been a registered lobbyist for a long time and this hadms not been my usual practice.

I appreciate your consideration of my request.

Regards,

Jeff Bissonnette
503-516-1636



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 24, 2019

Cura Cannabis Solutions
Attn: Al Ochoza
115 SE Yamhill St.
Portland OR 97214

Dear Mr. Ochoza:

The written explanation of why the Q4 2017 and the Q1 2019 Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q4 2017 and Q1 2019 \$1270 penalties will be extended to coincide with the July 12th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2019

Client Code: 1585
Company Name: Cura2017
Email: al@curacan.com

Cura Cannabis Solutions
Attn: Al Ochoa
115 SE Yamhill St.
Portland, OR 97214

Q1 2019 Filing: 5/22/2019
Q1 2019 Expended: \$7,400.00
Q1 2019 Penalty: \$1,250.00

Activity Log - Q1 2019 to Date

01/14/19 10:45:07.703 - User Successfully Authenticated -
01/14/19 10:48:59.753 - Client Report Submitted - Client Report Submitted for Q4 2018
04/16/19 01:30:10.797 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/17/19 01:30:09.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/18/19 01:30:07.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/19/19 01:30:07.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/20/19 01:30:07.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/21/19 01:30:08.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/22/19 01:30:08.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/23/19 01:30:08.320 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/24/19 01:30:07.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/25/19 01:30:07.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/26/19 01:30:07.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/27/19 01:30:07.720 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/28/19 01:30:06.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/29/19 01:30:07.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
04/30/19 01:30:07.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019 Q1
05/01/19 01:30:07.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/02/19 01:30:07.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/03/19 01:30:06.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/04/19 01:30:07.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/05/19 01:30:07.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/06/19 01:30:08.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/07/19 01:30:07.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/08/19 01:30:07.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/09/19 01:30:07.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/10/19 01:30:06.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/11/19 01:30:06.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/12/19 01:30:07.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/13/19 01:30:06.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/14/19 01:30:06.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/15/19 01:30:05.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/16/19 01:30:05.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/17/19 01:30:05.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/18/19 01:30:05.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/19/19 01:30:05.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/20/19 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/21/19 01:30:06.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/21/19 11:30:16.053 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 11:30:54.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/21/19 11:31:33.337 - User Successfully Authenticated -
05/21/19 11:31:56.757 - User Profile Updated - The user's main profile was updated.
05/21/19 11:31:57.390 - User Profile Updated - User profile updated for Chuck Woods
05/21/19 12:53:14.963 - User Profile Updated - The user's main profile was updated.
05/21/19 12:53:15.687 - User Profile Updated - User profile updated for Al Ochoa
05/21/19 12:54:24.117 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 01:51:16.597 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/21/19 02:03:51.187 - User Successfully Authenticated -
05/21/19 03:18:19.360 - Client Report Saved - Client Report Saved for Q1 2019
05/22/19 01:30:05.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/22/19 11:09:30.243 - User Successfully Authenticated -
05/22/19 11:10:28.963 - Client Report Submitted - Client Report Submitted for Q1 2019
05/23/19 10:37:24.627 - User Successfully Authenticated -

Client/Employer Activity

Q4 2017

Client Code: 1585
Client Name: Cura2017
Email: al@curacan.com


Q4 2017 Filing: 1/17/2018
Q4 2017 Expended: \$7,000.00
Q4 2017 Penalty: \$20.00

Cura Cannabis Solutions
Attn: Al Ochoa
115 SE Yamhill St.
Portland, OR 97214

05/05/19 01:30:07.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/06/19 01:30:08.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/07/19 01:30:07.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/08/19 01:30:07.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/09/19 01:30:07.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/10/19 01:30:06.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/11/19 01:30:06.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/12/19 01:30:07.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/13/19 01:30:06.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/14/19 01:30:06.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/15/19 01:30:05.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/16/19 01:30:05.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/17/19 01:30:05.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/18/19 01:30:05.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/19/19 01:30:05.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/20/19 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/21/19 01:30:06.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/21/19 11:30:16.053 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 11:30:54.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/21/19 11:31:33.337 - User Successfully Authenticated -
05/21/19 11:31:56.757 - User Profile Updated - The user's main profile was updated.
05/21/19 11:31:57.390 - User Profile Updated - User profile updated for Chuck Woods
05/21/19 12:53:14.963 - User Profile Updated - The user's main profile was updated.
05/21/19 12:53:15.687 - User Profile Updated - User profile updated for Al Ochoa
05/21/19 12:54:24.117 - Admin User Reset Initiated - An admin user reset was initiated.
05/21/19 01:51:16.597 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/21/19 02:03:51.187 - User Successfully Authenticated -
05/21/19 03:18:19.360 - Client Report Saved - Client Report Saved for Q1 2019
05/22/19 01:30:05.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019 Q1
05/22/19 11:09:30.243 - User Successfully Authenticated -
05/22/19 11:10:28.963 - Client Report Submitted - Client Report Submitted for Q1 2019
05/23/19 10:37:24.627 - User Successfully Authenticated -

VIOLATIONS: Q4 2017, \$20
Q1 2019, \$1,250

RECOMMENDATION:

No redaction


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May 22, 2019

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

RE: Letter of Explanation (Late Filing Q1 2019)

Dear Oregon Government Ethics Commission:

I am writing this letter to provide an explanation of the late filing of the Q1 2019 Quarterly Report. I was recently assigned as the new contact for OGEC. David Hunter assisted us on 5/21/19 to amend the OGEC contact to myself and my email address. Subsequently, the Q1 2019 Quarterly Report was filed online 5/22/19 under confirmation number HT26UF3. Prior to 5/21/19, Chuck Woods, our prior CFO, was receiving the email notifications. However, Chuck was no longer with the company as of January 2019, which was when the last Quarterly Report for Q4 2018 was filed. Unfortunately, Chuck's email was not active from that point forward, so the notifications to file the Q1 2019 report were never received by our company. This situation has now been resolved and I will be managing the OGEC notifications from this point forward.

Therefore, I am kindly requesting that the \$20 filing penalties for the Q4 2017 Delinquent Report be waived as that was our first ever late filing. We are further requesting a reasonable reduction of the Q1 2019 Delinquent Report penalties from 4/16/19 to 5/22/19 totaling \$1,250.

Should you have additional questions, please do not hesitate to reach out to me using the methods below. Thanks.

Sincerely,



Al Ochoa
Director of Licensing
Cura CS, LLC
al@curacan.com
(503) 806-1192

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 28, 2019

Dennis Thomas
PO Box 423
Scio OR 97374

Re: 2019 SEI late filing

Dear Mr. Thomas:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 6048
User Name: Dennis T
Email: dthomas_1950@live.com

2019 Filing: 5/22/2019
2019 Penalty: \$210.00

Dennis Thomas
PO Box 423
Scio, OR 973740423

2019 Offices Held

SCIO (City), COUNCIL - Appointed 02/01/19

Activity Log - 2019 to Date

02/21/19 11:02:16.853 - Seat Vacated - Seat Vacated
05/06/19 01:30:13.387 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:10.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:09.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:10.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:09.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:09.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:10.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.733 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:07.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:07.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:07.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.840 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:08.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/22/19 08:16:46.183 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: 1 day late, \$10, Letter of Education

RECOMMENDATION:

\$50 cc
2nd violation
(Signature)

HUNTER David * OGEC

m: Dennis Thomas <dthomas_1950@live.com>
t: Tuesday, May 28, 2019 10:47 AM
o: OGEC Mail * OGEC
Subject: Late

So sorry about being late with my filing. I am not very computer friendly. I do not get on the computer very often.
I hope you will not fine me.

Thank You
Dennis Thomas

Sent from Mail for Windows 10

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 4, 2019

Andrew Clarke
4215 NE 10th
Portland OR 97211

Re: 2019 SEI late filing

Dear Mr. Clarke:

The written explanation of why your 2019 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2019

SEI Code: 3449
User Name: AHClarkeCommissioner
Email: andrew@hughdev.com

2019 Filing: 6/4/2019
2019 Penalty: \$860.00

Andrew Clarke
4215 NE 10th
Portland, OR 97211

2019 Offices Held


No data to report.

Activity Log - 2019 to Date

05/06/19 01:30:11.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/14/19 01:30:08.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:06.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:06.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:05.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/22/19 01:30:06.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/23/19 01:30:06.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/24/19 01:30:06.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/25/19 01:30:06.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/26/19 01:30:06.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/27/19 01:30:10.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/28/19 01:30:07.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/29/19 01:30:06.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/30/19 01:30:06.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
05/31/19 01:30:06.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/01/19 01:30:13.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/02/19 01:30:08.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/03/19 01:30:08.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/04/19 01:30:07.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2019
06/04/19 02:02:40.713 - Admin User Reset Initiated - An admin user reset was initiated.
06/04/19 02:03:14.510 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/04/19 02:03:34.243 - User Successfully Authenticated -
06/04/19 02:06:46.837 - Late SEI Report Filed - SEI Report Filed Late for year 2019

PAST VIOLATIONS: 2018, \$50, unpaid

RECOMMENDATION:

2018 Letter L Ed
2019 \$100 or 10%


HUNTER David * OGEC

m: Andrew Clarke <andrew@hughdev.com>
Sent: Tuesday, June 04, 2019 2:12 PM
To: OGEC Mail * OGEC
Subject: Commission

Commission,

My penalties for 2018 were late because I forgot to submit my form on time.

My 2019 penalties were late because I resigned from the commission and I thought I did not have to file the report anymore.

I had fun serving our City of Portland in my capacity as Design Review Commissioner for the last three plus years. I am asking the commission for a waiver of all fees. I apologize for the inconvenience.

Sent from my iPhone

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 21, 2019

Stanley Ashbrook
75 S Heimrich St.
Dufur OR 97021

Re: 2019 SEI late filing

Dear Mr. Ashbrook:

The written explanation of why your 2018 & 2019 Statements of Economic Interest report were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 12, 2019 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2019

SEI Code: 3674
User Name: StanA
Email: stanashbrook@gmail.com

2019 Filing: 5/21/2019
2019 Penalty: \$160.00

Stanley Ashbrook
75 S Heimrich St
Dufur, OR 97021

2019 Offices Held

No data to report.

Activity Log - 2019 to Date

03/19/19 08:04:31.807 - User Successfully Authenticated -
05/06/19 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 02:49:19.507 - User Profile Updated - The user's main profile was updated.
05/13/19 02:49:19.513 - User Profile Updated - User profile updated for Stanley Ashbrook
05/14/19 01:30:08.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:06.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:06.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 12:31:03.697 - User Failed to Authenticate -
05/21/19 12:31:23.033 - User Successfully Authenticated -
05/21/19 12:37:07.407 - User Successfully Authenticated -
05/21/19 12:39:18.270 - Late SEI Report Filed - SEI Report Filed Late for year 2019
06/21/19 10:43:17.600 - User Failed to Authenticate -
06/21/19 10:43:38.220 - User Successfully Authenticated -

PAST VIOLATIONS: Timely for 2016 and 2017

Owes for 2018 & 2019

RECOMMENDATION:

2018 Letter of ED
2019 \$50

SEI Activity

2018

SEI Code: 3674
SEI Name: StanA
Email: stanashbrook@gmail.com

2018 Filing: 5/21/2019
2018 Penalty: \$110.00

Stanley Ashbrook
75 S Heimrich St
Dufur, OR 97021

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:11.620 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:10.517 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 03:41:57.183 - User Successfully Authenticated -
05/17/18 03:47:58.267 - Late SEI Report Filed - SEI Report Filed Late for year 2018
03/19/19 08:04:31.807 - User Successfully Authenticated -
05/06/19 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/07/19 01:30:09.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/08/19 01:30:08.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/09/19 01:30:09.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/10/19 01:30:08.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/11/19 01:30:08.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/12/19 01:30:09.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 01:30:08.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/13/19 02:49:19.507 - User Profile Updated - The user's main profile was updated.
05/13/19 02:49:19.513 - User Profile Updated - User profile updated for Stanley Ashbrook
05/14/19 01:30:08.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/15/19 01:30:06.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/16/19 01:30:06.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/17/19 01:30:06.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/18/19 01:30:06.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/19/19 01:30:06.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/20/19 01:30:08.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 01:30:07.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2019
05/21/19 12:31:03.697 - User Failed to Authenticate -
05/21/19 12:31:23.033 - User Successfully Authenticated -
05/21/19 12:37:07.407 - User Successfully Authenticated -
05/21/19 12:39:18.270 - Late SEI Report Filed - SEI Report Filed Late for year 2019
06/21/19 10:43:17.600 - User Failed to Authenticate -
06/21/19 10:43:38.220 - User Successfully Authenticated -

BAIER Kathy * OGEC

From: Stan Ashbrook <stanashbrook@gmail.com>
Sent: Friday, June 21, 2019 10:55 AM
To: OGEC Mail * OGEC
Subject: Fwd: Statement of Economic Interest Letter

----- Forwarded message -----

From: Stan Ashbrook <stanashbrook@gmail.com>
Date: Fri, Jun 21, 2019 at 10:53 AM
Subject: Statement of Economic Interest Letter
To: <ogec.mail@oregon.gov-comissioners>

To The Commission:

I am Deanna, the daughter of Stan Ashbrook. Stan has been late on filing his Statement of Economic Interest due to the fact that I have been taking over his bookkeeping and this was an oversight on my part. I am asking you to waive the 2018 fee of \$110 that has accrued as well as consider waiving the 2019 fee of \$160 or consider reducing it.

Please consider my request, I look forward to hearing your response. I appreciate your time and consideration. I now know that I need to keep an eye out for this email each year in order to get the filing done on time.

Thank you,

Deanna Sudan daughter of filer Stan Ashbrook

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Craig Horrell)

STIPULATED FINAL ORDER

CASE NO. 18-107EMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Craig Horrell.

2. JURISDICTION: At all material times, Craig Horrell was a District Manager for the Central Oregon Irrigation District (District). Craig Horrell was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:
 - A. On 1/5/15, Craig Horrell used the District's credit card to make a purchase from Alaska Air for his spouse in the amount of \$259.20. On 11/26/16, Craig Horrell used the District's credit card to make a purchase from Alaska Air for his spouse in the amount of \$84.20 and a second purchase from Alaska Air for his own personal "fly and buy" miles in the amount of \$53.75.

 - B. Mr. Horrell contends the purchases in paragraph (A) concerned a business trip he took, where his wife (with District approval) joined him. Mr. Horrell believed he had promptly repaid these expenditures at the time, but was unable to locate documentation of the personal repayment. On 4/27/18, after the complaint in this case was filed, Mr. Horrell did reimburse the District for these 2015 and 2016 purchases by personal check in the amount of \$397.15.

- C. On 11/17/15, 7/22/16, and 10/28/16, Craig Horrell received compensation for on-call duties in violation of his employment contract, in the net amounts of \$2,812.17, \$1,996.43, and \$562.09, respectively, for a total of \$5,370.69.
- D. Mr. Horrell contends that as the District Manager, his receipt of the pay described in paragraph (C) for on-call hours was part of the District's informal practice, which pre-dated his employment.
- E. ORS 244.040(1) prohibits any public official from using their official position or office to obtain financial gain for themselves or a relative, other than official salary, honoraria or reimbursement of expenses incurred in the conduct of one's official duties.
- F. The actions described in paragraphs (A) and (C) above constituted two distinct violations of ORS 244.040(1) and a total of four (4) equivalent actions, per OAR 199-001-0014.
- G. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 per violation, for a total of \$10,000.
- H. ORS 244.360 authorizes the Commission to order Craig Horrell to pay an additional civil penalty equal to twice the amount of the compensation Craig Horrell received in violation of his employment contract and ORS 244.040(1). Craig Horrell will not pay an additional civil penalty concerning the personal use of the district's credit card because he repaid that amount to the district after the complaint was filed in this case.
- I. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.040(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 12/14/18, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case proceeding. The 12/14/18 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by Craig Horrell.
- B. Craig Horrell will pay a civil penalty, as authorized by ORS 244.350, in the amount of \$1,000 in order to settle and compromise this matter.
- C. Craig Horrell will pay an additional civil penalty, as authorized by ORS 244.360, in the amount of \$1,074 in order to settle and compromise this matter.
- D. The civil penalty in item B above and the forfeiture in item C above will be paid at a monthly rate of \$414.80 for a period of 5 months. The payments will be due on or before the 5th day of each month with the first payment being due on the 5th of the month following final execution of this order. The last payment would be due 5 months later. All payments will be interest free. If a payment becomes 10 days or more delinquent, the entire balance will be assigned to the Oregon Department of Revenue for collection.
- E. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Craig Horrell within the scope of the above-referenced proceedings.

F. Craig Horrell will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

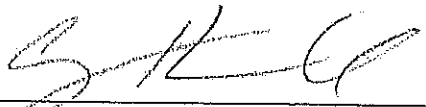
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Craig Horrell agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Craig Horrell agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Craig Horrell

7.2.19

Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
Andrew Anderson)
)
_____)

STIPULATED FINAL ORDER
CASE NO. 19-075ESM

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) in the above referenced case against Andrew (Andy) Anderson.

2. JURISDICTION: At all material times, Andy Anderson was the Mayor for the City of Moro, Oregon. As Mayor, Andy Anderson was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:
 - A. Andy Anderson is currently a City Councilor for the City of Moro. Prior to January 1, 2019, he served as the City's Mayor.

 - B. Mr. Anderson is employed as a field representative for Albina Asphalt, a private business or closely held corporation. As his employer, Albina Asphalt is a business with which Andy Anderson is associated, as defined in ORS 244.020(2) and 244.020(3). Albina Asphalt is also listed as a source of income on Mr. Anderson's statements of economic interest, and is, therefore, a business with which he is associated, pursuant to ORS 244.020(3)(d).

- C. In 2016, 2017 and 2018, the City of Moro (City) undertook various street improvement projects that required the City to procure chip seal oil. Andy Anderson participated in the City Council meetings when the Council generally discussed these street improvement projects.
- D. As the Mayor, Andy Anderson participated in the planning, solicitation of bids and scheduling for the street repair projects. Bids for chip seal oil were requested from multiple companies. Albina Asphalt was the low bidder in 2016 and 2017, and the only bidder in 2018.
- E. When he participated in the planning, bid solicitation and scheduling for the street repair projects, and participated in City Council discussions of those projects, Andy Anderson had a potential conflict of interest, as defined in ORS 244.020(13), because a business with which he is associated could potentially obtain a financial benefit from the City's street repair projects.
- F. ORS 244.120(2)(a) requires an elected public official to publicly disclose potential conflicts of interest prior to taking any official action which could result in a financial impact to the public official, a relative of the public official or a business with which the public official or a relative is associated.
- G. Andy Anderson did not make any public disclosure of his potential conflicts of interest prior to participating in the actions described in paragraphs 3(C) and 3(D).
- H. Because the Moro City Charter provides that the Mayor only votes in the event of a tie, Andy Anderson did not vote on awarding the contracts to Albina Asphalt in 2016, 2017 and 2018. Mr. Anderson did, however, participate in the Council's discussions of the bids and contracts prior to those votes.

- I. Because the effect of the Council's actions when it awarded the contracts to Albina Asphalt would provide a financial benefit to a business with which he is associated, Andy Anderson had an actual conflict of interest, as defined in ORS 244.020(1), when the City Council took these actions.
- J. When an elected public official has an actual conflict of interest, ORS 244.120(2)(b) requires the public official to publicly announce the nature of the actual conflict and refrain from participating in any discussion or debate on the issue out of which the actual conflict arises or voting on the issue.
- K. Andy Anderson did not publicly disclose his actual conflicts of interest or refrain from participating in the discussions on the issues giving rise to his actual conflicts of interest during the meetings described in paragraph 3(H).
- L. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000.00 for each violation of ORS 244.120 set forth in paragraphs 3(G) and 3(K).
- M. The Commission contends that results of the investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find at least three violations of ORS 244.120(2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On May 31, 2019, the Commission considered information in the preliminary review phase of Case No. 19-075ESM and acted to find cause to initiate an

investigation of this matter. Andy Anderson has indicated that he wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. In lieu of civil penalty, Andy Anderson will receive a letter of education, as authorized by ORS 244.350, in order to settle and compromise this matter.
 - C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Andy Anderson within the scope of the above-referenced proceedings.
 - D. Andy Anderson will initiate no claims, litigation or other action against the Commission as a result of these proceedings.
5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.


6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Andy Anderson agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Andy Anderson agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Andrew Anderson

6-18-19

Date

Richard P. Burke, Chairperson
Oregon Government Ethics Commission

Date



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 1, 2019

Wendy McGrane, Board Member
Oregon Growth Board
775 Summer Street NE #200
Salem, Oregon 97301

Steven Marlowe, Assistant Attorney General
Oregon Department of Justice
General Counsel Division - Tax & Finance Section
1162 Court Street NE
Salem, Oregon 97301

Dear Ms. McGrane & Mr. Marlowe:

This opinion is issued in response to your correspondence received by the Oregon Government Ethics Commission (Commission) on June 9, 2019, regarding the provisions of ORS Chapter 244 concerning conflicts of interest.

OREGON GOVERNMENT ETHICS COMMISSION STAFF ADVISORY OPINION NO. 19-136S

STATED FACTS: The following factual information is derived from a letter to the Commission from Assistant Attorney General (AAG), Steven Marlowe and Oregon Growth Board (OGB) staff member, Nate Wildfire and subsequent information each of them provided. Mr. Marlowe is the AAG assigned to the OGB and submitted the opinion request on behalf of Wendy McGrane, who was appointed on April 1, 2019, to the OGB.

The OGB has the duties, functions and powers to invest and re-invest moneys in the Oregon Growth Fund (OGF) and Oregon Growth Account (OGA) for the benefit of qualified businesses and for the purpose of earning returns for the Education Stability Fund. In addition, they enter into contracts, agreements or arrangements for the investment and management in OGF & OGA as well as other services to satisfy necessary Board duties and functions. The Board collaborates, cooperates and enters into agreements with local governments, state agencies, financial institutions and other entities for economic development.

The OGF is formed in the State Treasury and is separate and distinct from the General Fund. Interest earned by the OGF must be credited to the fund. Moneys in the fund are continuously appropriated to the Oregon Business Development Department (OBDD) for the use of the OGB for purposes set forth in statute. The OBDD may use moneys in the



fund to pay the administrative costs associated with the fund and with administering the program.

Pursuant, in part, to ORS 348.702(6), which says, "The investment of funds in the Oregon Growth Account shall be governed by the Oregon Growth Board", the OGB votes to invest money from the OGA with investment managers. These investment managers are mainly limited partnerships or limited liability companies but they may be existing customers of financial institutions, such as: U.S. Bank National Association, AKA US Bank, when they ask for an investment from the OGB.

Board member Wendy McGrane, is a Vice President at US Bank. Among the members appointed to the OGB by the Governor, there must be one (1) member with experience in banking. Ms. McGrane was appointed to fulfill the requirement that the board have one member with experience in banking. [ORS 284.883]

The following LLC and LP entities apply to the Oregon Growth Board for funding: venture capital funds, equity funds, angel funds, and non-traditional lenders, etc. These entities presumably have a bank account with some form of bank, and the entity could be a customer of U.S. Bank.

The OGB considers investing state funds with such investors. If a customer of U.S. Bank, the LLC or LP would be expected to receive the OGB investment as a deposit. While the investment fund would then be in control of the money, the OGB investment would be expected to stay in the LLC or LP bank account until the investment fund decides to invest in some company. When the OGB considers investing in a fund that is a customer of U.S. Bank, Ms. McGrane's employer, U.S. Bank, would be financially impacted by the actions of OGB Board members.

QUESTION: Would the Oregon Growth Board's (OGB) investment of moneys present a conflict of interest for Wendy McGrane if the investments are made to account holders in a business which she is associated?

ANSWER: Yes.

Under Oregon Government Ethics law, appointed members of boards and commissions are public officials and must comply with the provisions of ORS Chapter 244. [ORS 244.020(15)]

A conflict of interest is defined as any action, decision, or recommendation that a public official makes in their official capacity, the effect of which would or could be to the private financial benefit or detriment of the public official, their relative, or a business with which they or a relative are associated. An actual conflict of interest occurs when the effect of the official action, decision, or recommendation would have a certain private financial impact. A potential conflict occurs when the effect of the official action, decision, or recommendation could have a private financial impact. [ORS 244.020(1) and (13)]

ORS 244.020(13)(a) states that a public official does not have a conflict of interest if the financial benefit or detriment arises out of an interest or membership in a particular business, industry, occupation or other class required by law as a prerequisite to the holding by the person of the office or position. The Oregon Government Ethics Guide for Public Officials gives the following example of when the exception applies: "[I]f a member of a state board is required by law to be employed in a specific occupation, such as an accountant or a doctor, then the official actions taken by the board member that affect all accountants or doctors to the same degree would be exempt from the conflict of interest disclosure requirements and participation restrictions.

When an appointed member of a board or commission is met with either an actual or potential conflict of interest, they must publicly announce the nature of their conflict on each occasion that the issue giving rise to the conflict occurs. If met with a potential conflict, following their public announcement, they may continue to participate in their official capacity in any discussion, debate, or vote on the issue. If met with an actual conflict, following their public announcement, they must refrain from discussion, debate, or vote on the issue. [ORS 244.120(2)] If a public official is met with an actual conflict of interest and the public official's vote is necessary to meet the minimum number of votes required for official action, the public official may vote. The public official must make the required announcement and refrain from any discussion, but may participate in the vote required for official action by the governing body. [ORS 244.120(2)(b)(B)].

Public officials are met with a conflict of interest when taking actions in their official capacity that would or could financially affect a business with which they or their relative is associated, unless an exception applies. Ms. McGrane, in her capacity as an OGB member would be met with taking official actions that could or would financially impact U.S. Bank.

As a member of the OGB, Ms. McGrane is required to file an annual Verified Statement of Economic Interest (SEI), and any business required to be listed on one's SEI as a source of income is a "business with which the person is associated". [ORS 244.050(1)(q)(DD) and 244.020(3)(d)] Therefore, if U.S. Bank provides 10% or more of Ms. McGrane's annual household income, it qualifies as a "source of income" required to be listed on her SEI, making U.S. Bank a "business with which she is associated" for purposes of the application of the conflict of interest provisions of ORS Chapter 244. [ORS 244.060(3)]

Typically, any action that a public official takes in their official capacity that would or could financially impact a business with which they are associated would present a conflict of interest for that official, however, an exception to the conflict of interest provisions apply if the financial impact arises from the public official's membership in a particular industry that is a prerequisite for holding the official position. [ORS 244.020(13)(a)]

Wendy McGrane, member OGB & Steven Marlowe, AAG

Commission Staff Advisory Opinion 19-136S

June 17, 2019

Page 4

Although the statute forming the OGB's governing body requires that one position be held by a person with banking experience, this exception would only apply to Ms. McGrane's decisions that could or would affect members of the banking industry as a whole. For example, although any decision to invest funds potentially could affect all members of the banking industry as the money presumably would be put into some bank, the exception would apply because the conflict would arise from membership in the banking industry.

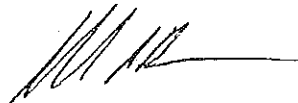
But any official decisions she were to make that would or could financially affect U.S. Bank, in particular, rather than the banking industry as a whole, would present a conflict for her. Therefore, Ms. McGrane would be faced with a conflict of interest if the OGB were to consider investments made to account holders of U.S. Bank, a business with which she is associated.

The request also raised a question regarding how a banker, who is met with conflicts of interest under ethics laws, would disclose a conflict and still comply with federal bank secrecy laws concerning identification of account holders. Although state law requires disclosure of the nature of one's conflict of interest, and then refraining from further participation if the conflict is actual, we are informed in the request that federal banking law prohibits bankers from disclosing the identity of account holders. Assuming that is so, to comply with both federal and state law, if Ms. McGrane is met with a conflict arising from the applicant's client relationship with U.S. Bank, she should publicly announce that she has a conflict of interest without providing detail, and then refrain from further discussion, debate, or vote on the issue.

THIS RESPONSE ADDRESSES ONLY THE APPLICATION OF ORS 244 TO THE FACTS STATED HEREIN. ANY RELEVANT INFORMATION, WHICH WAS NOT INCLUDED BY THE REQUESTER OF THIS OPINION IN THE STATED FACTS, COULD COMPLETELY CHANGE THE OUTCOME OF THIS OPINION. OTHER LAWS OR REQUIREMENTS MAY ALSO APPLY. THIS IS NOT A FORMAL ADVISORY OPINION PURSUANT TO ORS CHAPTER 244.280. THIS OPINION DOES NOT EXEMPT A PUBLIC OFFICIAL FROM LIABILITY UNDER ORS CHAPTER 244 FOR ANY ACTION OR TRANSACTION CARRIED OUT IN ACCORDANCE WITH THIS OPINION. THIS OPINION IS ONLY MY PERSONAL ASSESSMENT AS THE EXECUTIVE DIRECTOR OF THE OREGON GOVERNMENT ETHICS COMMISSION.

Please contact this office again if you would like this opinion submitted to the Oregon Government Ethics Commission for adoption as a formal advisory opinion pursuant to ORS 244.280.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/mjw 19-136S

ADDENDUM

RELEVANT STATUTES: The following Oregon Revised Statutes (ORS) are applicable to the issues that are addressed in this opinion:

244.020 Definitions. As used in this chapter, unless the context requires otherwise:

(1) "Actual conflict of interest" means any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which would be to the private pecuniary benefit or detriment of the person or the person's relative or any business with which the person or a relative of the person is associated unless the pecuniary benefit or detriment arises out of circumstances described in subsection (13) of this section.

(2) "Business" means any corporation, partnership, proprietorship, firm, enterprise, franchise, association, organization, self-employed individual and any other legal entity operated for economic gain but excluding any income-producing not-for-profit corporation that is tax exempt under section 501(c) of the Internal Revenue Code with which a public official or a relative of the public official is associated only as a member or board director or in a non-remunerative capacity.

(3) "Business with which the person is associated" means:

(a) Any private business or closely held corporation of which the person or the person's relative is a director, officer, owner or employee, or agent or any private business or closely held corporation in which the person or the person's relative owns or has owned stock, another form of equity interest, stock options or debt instruments worth \$1,000 or more at any point in the preceding calendar year;

(b) Any publicly held corporation in which the person or the person's relative owns or has owned \$100,000 or more in stock or another form of equity interest, stock options or debt instruments at any point in the preceding calendar year;

(c) Any publicly held corporation of which the person or the person's relative is a director or officer; or

(d) For public officials required to file a statement of economic interest under ORS 244.050, any business listed as a source of income as required under ORS 244.060 (3).

(13) "Potential conflict of interest" means any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which could be to the private pecuniary benefit or detriment of the person or the person's relative, or a business with which the person or the person's relative is associated, unless the pecuniary benefit or detriment arises out of the following:

(a) An interest or membership in a particular business, industry, occupation or other class required by law as a prerequisite to the holding by the person of the office or position.

(b) Any action in the person's official capacity which would affect to the same degree a class consisting of all inhabitants of the state, or a smaller class consisting of an industry, occupation or other group including one of which or in which the person, or the person's relative or business with which the person or the person's relative is associated, is a member or is engaged.

(c) Membership in or membership on the board of directors of a nonprofit corporation that is tax-exempt under section 501(c) of the Internal Revenue Code.

(15) "Public official" means the First Partner and any person who, when an alleged violation of this chapter occurs, is serving the State of Oregon or any of its political subdivisions or any other public body as defined in ORS 174.109 as an elected official, appointed official, employee or agent, irrespective of whether the person is compensated for the services.

244.120 244.120 Methods of handling conflicts; Legislative Assembly; judges; appointed officials; other elected officials or members of boards.

(1) Except as provided in subsection (2) of this section, when met with an actual or potential conflict of interest, a public official shall...

(2) An elected public official, other than a member of the Legislative Assembly, or an appointed public official serving on a board or commission, shall:

(a) When met with a potential conflict of interest, announce publicly the nature of the potential conflict prior to taking any action thereon in the capacity of a public official; or

(b) When met with an actual conflict of interest, announce publicly the nature of the actual conflict and:

(A) Except as provided in subparagraph (B) of this paragraph, refrain from participating as a public official in any discussion or debate on the issue out of which the actual conflict arises or from voting on the issue.

(B) If any public official's vote is necessary to meet a requirement of a minimum number of votes to take official action, be eligible to vote, but not to participate as a public official in any discussion or debate on the issue out of which the actual conflict arises.

(3) Nothing in subsection (1) or (2) of this section requires any public official to announce a conflict of interest more than once on the occasion which the matter out of which the conflict arises is discussed or debated.

(4) Nothing in this section authorizes a public official to vote if the official is otherwise prohibited from doing so.

WALKER Monica * OGEC

From: WILDFIRE Nate * BIZ
Sent: Monday, June 10, 2019 3:57 PM
To: GOULD Diane * OGEC; MARLOWE Steven; WALKER Monica * OGEC; 'McGrane, Wendy J'
Subject: RE: Conflict of Interest Question

Ms. McGrane is a voting member. She can fulfill one of the member "slots" described in the part of statute described below if helpful to this conversation. Currently, she is not one of the ones described, but she almost certainly will be at some point, fulfilling the role of board member with "banking" expertise.

Nate Wildfire
Staff person for the Oregon Growth Board

From: GOULD Diane * OGEC <Diane.GOULD@oregon.gov>
Sent: Monday, June 10, 2019 3:54 PM
To: MARLOWE Steven <Steven.MARLOWE@state.or.us>; WALKER Monica * OGEC <Monica.WALKER@oregon.gov>; 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>
Subject: RE: Conflict of Interest Question

Dear Mr. Marlowe,
I have a question about Ms. McGrane's position on the Oregon Growth Board. ORS 284.883 delineates the requirements for board membership; is she a voting or nonvoting member? Is her appointment as one of the 4 members described in ORS 284.883(2)(c)(C)(i)-(iv)? This makes a difference in the analysis of Conflicts of Interest. Thanks.

Diane Gould
Compliance and Education Coordinator
Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220, Salem, OR 97302
Ph: 503-378-6806

From: Marlowe Steven [<mailto:Steven.MARLOWE@state.or.us>]
Sent: Monday, June 10, 2019 2:56 PM
To: WALKER Monica * OGEC <Monica.WALKER@oregon.gov>; 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; GOULD Diane * OGEC <Diane.GOULD@oregon.gov>
Subject: RE: Conflict of Interest Question

Thank you, and please let me know if I can provide any further information.

Steven Marlowe
Oregon Department of Justice
503.947.4509

From: WALKER Monica * OGEC [<mailto:Monica.WALKER@oregon.gov>]
Sent: Monday, June 10, 2019 2:37 PM
To: MARLOWE Steven; 'McGrane, Wendy J'
Cc: WILDFIRE Nate * BIZ; GOULD Diane * OGEC
Subject: RE: Conflict of Interest Question

Dear Mr. Marlowe/Ms. McGrane~

I have forwarded your correspondence onto our Compliance & Education Coordinator, Diane Gould, at the request of our Executive Director, Ronald A. Bersin.

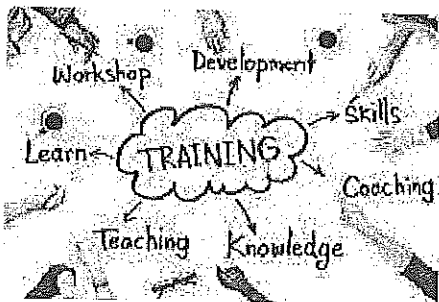
Sincerely,

Monica J. Walker

Monica J. Walker, Program Analyst/Trainer
Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302-1544
E-mail: ogec.training@oregon.gov
Phone: (503) 378-2011
Fax: (503) 373-1456

Disclaimer

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



From: Marlowe Steven [<mailto:Steven.MARLOWE@state.or.us>]
Sent: Sunday, June 09, 2019 9:00 AM
To: 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; WALKER Monica * OGEC <Monica.WALKER@oregon.gov>
Subject: RE: Conflict of Interest Question

Wendy:

Thanks for your previous e-mail.

I have researched the Oregon Government Ethics Commission's past guidance and unfortunately, I find no precedent that we can rely on to say there is no conflict, or how to make sure you acknowledge if any conflicts actually arise. Therefore, my suggestion is to ask the Commission for an opinion now. I've already conferred with the Commission's trainer, Monica Walker, and she told me that you (or I) could e-mail her with our questions. I have cc'd Monica on this e-mail to get the ball rolling. She said she'll be happy to forward the questions onto the Director, Ron Bersin, for review

and assignment. Then, once we know how the Commission interprets whether there would be any conflict, we (OBDD and you) could figure out if we have to ascertain if any fund is a US Bank customer.

To present the facts to the Commission, the Oregon Growth Board's newest board member, Wendy McGrane, is a Vice president at US Bank. Pursuant, in part, to ORS § 348.702 (6), which says, "The investment of funds in the Oregon Growth Account shall be governed by the Oregon Growth Board", the Oregon Growth Board votes to invest money from the Oregon Growth Account with investment managers. These investment managers are mainly limited partnerships or limited liability companies but they may be existing customers of US Bank when they are asking for an investment from the OGB. Typically, if a board member considers investing money with a customer of one's employer, that could be considered a potential or actual conflict of interest, and require the board member to discuss the nature of the conflict. Usually, I advise public officials to be very conservative and declare anything that might even remotely qualify as a potential conflict, but, in this situation, that approach is problematic. This is because for a bank employee to reveal a bank customer relationship may conflict with bank secrecy laws. Thus, on behalf of Wendy, we request an Advisory Opinion from the Commission as to whether the Oregon Growth Board's investment of moneys with bank customers would present any form of conflict (potential or actual). If there would be any conflict, then how does the Commission suggest a board member reconcile the apparent conflict between compliance with the necessary disclosure under ORS chapter 244 and the need to follow bank secrecy laws, i.e. not disclose a customer is a bank customer.

Wendy, if you could perhaps add what specific laws are applicable with bank privacy that could be helpful to the Commission. For example, are they federal, state, or US Bank policies?

If I can provide anything further, please let me know.

Thanks,

Steve

Steven Marlowe

Assistant Attorney General | Tax and Finance Section | General Counsel Division
Oregon Department of Justice
1162 Court Street NE
Salem, Oregon 97301-4096
503.947.4509

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This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply e-mail, keep the contents confidential, and immediately delete the message and any attachments from your system.

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WALKER Monica * OGEC

From: Marlowe Steven <Steven.MARLOWE@state.or.us>
Sent: Monday, June 10, 2019 7:29 PM
To: GOULD Diane * OGEC
Cc: WILDFIRE Nate * BIZ; WALKER Monica * OGEC; 'McGrane, Wendy J'
Subject: RE: Conflict of Interest Question

Thanks Diane for your e-mail, and I second Nate's e-mail about Wendy being a voting member.

Since the Governor's appointment and Senate confirmation have already occurred, if we need to provide more documentation about which of the five (5) categories below apply to Wendy, I think we should be able to provide that documentation. The categories are:

- (C) Six members shall be appointed as follows:
- (i) One member with experience in banking;
 - (ii) One member with experience in credit union operations;
 - (iii) One member with experience managing investments;
 - (iv) One member with experience as a small business employer in this state; and
 - (v) Two at-large members.

ORS § 284.883. The Legislative history includes:

GROWTH BOARD, OREGON (C.90, OL 2012)

McGrane, Wendy - Bend
Term: partial 4 year
4-1-19 - 12-31-22
2-26-19 Governor's message read, referred to President's desk.
2-26-19 Referred to Rules.
3-20-19 Hearing held.
3-22-19 Recommendation: Be confirmed en bloc.
3-25-19 Confirmed en bloc.
Ayes, 26; Excused, 4--Bentz, Heard, Johnson, Winters.

<https://www.oregonlegislature.gov/secretary-of-senate/Documents/ExecCal2019.pdf>.

Steven Marlowe
Oregon Department of Justice
503.947.4509

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Oregon Department of Justice
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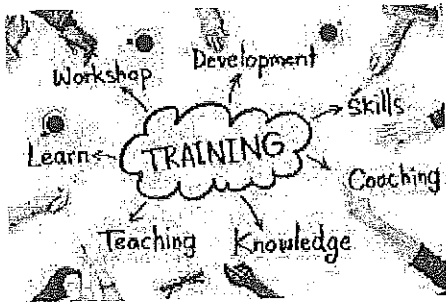
Sincerely,

Monica J. Walker

Monica J. Walker, Program Analyst/Trainer
Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302-1544
E-mail: ogec.training@oregon.gov
Phone: (503) 378-2011
Fax: (503) 373-1456

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Sent: Sunday, June 09, 2019 9:00 AM

To: 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>

Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; WALKER Monica * OGEC <Monica.WALKER@oregon.gov>

Subject: RE: Conflict of Interest Question

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If I can provide anything further, please let me know.

Thanks,

Steve

Steven Marlowe

Assistant Attorney General | Tax and Finance Section | General Counsel Division

Oregon Department of Justice

1162 Court Street NE

Salem, Oregon 97301-4096

503.947.4509

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WALKER Monica * OGEC

From: McGrane, Wendy J <wendy.mcgrane@usbank.com>
Sent: Tuesday, June 18, 2019 8:23 AM
To: Marlowe Steven; GOULD Diane * OGEC
Cc: WILDFIRE Nate * BIZ; WALKER Monica * OGEC
Subject: RE: Conflict of Interest Question

Hi All:

These are the specific regulations with a focus on the privacy of financial information of which U.S. Bank must remain in compliance with:

- the Gramm-Leach-Bliley Act ("GLBA")
- the privacy section of the Fair Credit Reporting Act ("FCRA") -the Health Insurance Portability and Accountability Act of 1996 ("HIPAA")
- the Health Information Technology for Economic and Clinical Health Act of 2009 ("HITECH")
- the Final Omnibus Rule (HIPAA/HITECH) of 2013.

Thanks and please let me know what questions you have. Of note, I will be on vacation out of the country from tomorrow (June 19th) until Monday July 8th with limited access to email.

Wendy McGrane
Vice President | Commercial Banking Team Leader
Central & Eastern Oregon Region – Community Banking

p. 541.388.8722 | wendy.mcgrane@usbank.com



U.S. Bank
Bend Franklin Avenue
550 NW Franklin Ave Suite 468, Bend, OR 97703 | PD-OR-FC4 | www.usbank.com

From: Marlowe Steven <steven.marlowe@doj.state.or.us>
Sent: Monday, June 10, 2019 7:29 PM
To: 'GOULD Diane * OGEC' <Diane.GOULD@oregon.gov>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; WALKER Monica * OGEC <Monica.WALKER@oregon.gov>; McGrane, Wendy J <wendy.mcgrane@usbank.com>
Subject: [EXTERNAL] RE: Conflict of Interest Question

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Steven Marlowe

Oregon Department of Justice

503.947.4509

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Sent: Monday, June 10, 2019 3:54 PM
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Cc: WILDFIRE Nate * BIZ
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3218 Pringle Rd. SE, #220, Salem, OR 97302
Ph: 503-378-6806

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Assistant Attorney General | Tax and Finance Section | General Counsel Division
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U.S. BANCORP made the following annotations

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Sent: Friday, June 28, 2019 2:40 PM
To: 'Marlowe Steven'
Cc: WILDFIRE Nate * BIZ; 'McGrane, Wendy J'; GOULD Diane * OGEC
Subject: RE: Conflict of Interest Question

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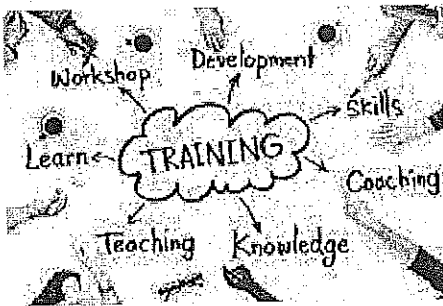
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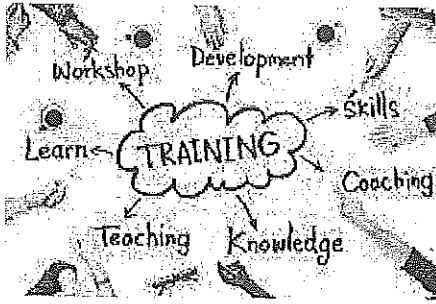
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To: WALKER Monica * OGEC; MARLOWE Steven
Cc: 'McGrane, Wendy J'; GOULD Diane * OGEC
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Hi Ms. Walker,

Steve is either just getting back or not yet back from a well-deserved vacation in Hawaii, so I'll take a stab.

Wendy works for US Bank, which provides banking services to many venture capital funds, equity funds, angel funds, nontraditional lenders, etc. These are all entities that apply to the Oregon Growth Board for funding.

When an entity applies to the OGB, typically a board member would then disclose if she has a conflict of interest with that entity. In Wendy's case, she occasionally would because the applying entity banks with US Bank. However, federal banking law prevents Wendy from making those disclosures. So, she's sort of pinched from both sides, if that makes any sense.

In essence, her employer and one type of law prevents her from revealing who her clients are. Ethics law as a board member compels her to disclose when an applicant is a client.

So, she's looking for advice. I'm not certain I have that all right, or all of the details, but that's the main point. I'd ask Steve and Wendy to chime in with more detail if/when they are able.

Thank you for helping us think this through and advising.

Nate Wildfire

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To: MARLOWE Steven <Steven.MARLOWE@state.or.us>
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Subject: RE: Conflict of Interest Question

Good Morning Mr. Marlowe~

Just touching base regarding my below e-mail. I'm hoping to gain the additional information so I can finalize the opinion by close of business today as I will be out-of-office beginning tomorrow.

Thanking you in advance.

Sincerely,

Monica J. Walker

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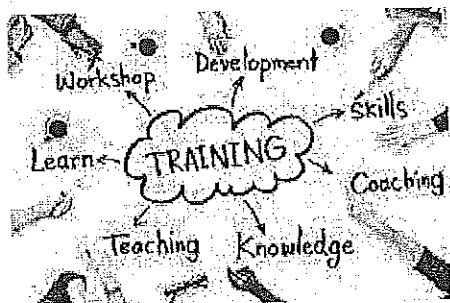
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Monica:

To add on to Nate's e-mail, the scenario that could come up would be that Wendy is asked to consider voting to award an Oregon Growth Board (OGB) investment with an entity and the entity is a customer of US Bank.

A typical investment fund that comes before the Oregon Growth Board is a limited liability company (LLC) or limited partnership (LP). These entities presumably have a bank account with some form of bank, and the entity could be a customer of US Bank. Wendy would be on the OGB that is then considering investing some amount of State money. So, the OGB decision (that Wendy is asked to consider) would be to invest with the LLC or LP. If a customer of US Bank, the LLC or LP would then be expected to receive the OGB investment as a deposit. While the investment fund would then be in control of the money, the OGB investment would be expected to stay in the LLC or LP bank account until the investment fund decides to invest in some company. Therefore, for Wendy to vote to invest in an entity that then results in the deposit of OGB's money with Wendy's employer appears to potentially have the result of US Bank's financial gain. So, the OGB's decision to invest in a fund that is a customer of Wendy's employer could be the juncture she would be met with a conflict while working in the capacity of a public official as an OGB member.

I hope this is helpful, and please let me know if we can provide any further information.

Thank you,

Steve

Steven Marlowe

Oregon Department of Justice
503.947.4509

From: WALKER Monica * OGEC [mailto:Monica.WALKER@oregon.gov]
Sent: Monday, July 01, 2019 9:35 AM
To: MARLOWE Steven
Cc: WILDFIRE Nate * BIZ; 'McGrane, Wendy J'; GOULD Diane * OGEC
Subject: RE: Conflict of Interest Question

Good Morning Mr. Marlowe~

Just touching base regarding my below e-mail. I'm hoping to gain the additional information so I can finalize the opinion by close of business today as I will be out-of-office beginning tomorrow.

Thanking you in advance.

Sincerely,

Monica J. Walker

Monica J. Walker, Program Analyst/Trainer
Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302-1544
E-mail: ogec.training@oregon.gov
Phone: (503) 378-2011
Fax: (503) 373-1456

Disclaimer

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



From: WALKER Monica * OGEC
Sent: Friday, June 28, 2019 2:40 PM
To: 'Marlowe Steven' <Steven.MARLOWE@state.or.us>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>; GOULD Diane * OGEC <Diane.GOULD@oregon.gov>
Subject: RE: Conflict of Interest Question

Dear Mr. Marlowe~

Hope you have been having a good week. Another question has come up in regards to the OGB request for opinion that we need some additional clarification from you on – Since Ms. McGrane is an employee of U.S. Bank, we are trying to determine at what juncture she would be met with a conflict while working in the capacity of a public official as an OGB member? If you could paint a picture of what specific actions, decisions and votes the OGB takes it would be greatly appreciated.

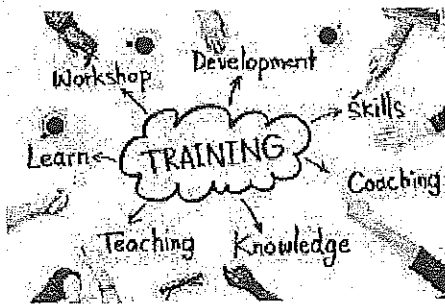
Thank you,

Monica J. Walker

Monica J. Walker, Program Analyst/Trainer
Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302-1544
E-mail: ogec.training@oregon.gov
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From: Marlowe Steven [mailto:Steven.MARLOWE@state.or.us]
Sent: Monday, June 10, 2019 7:29 PM
To: GOULD Diane * OGEC <Diane.GOULD@oregon.gov>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; WALKER Monica * OGEC <Monica.WALKER@oregon.gov>; 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>
Subject: RE: Conflict of Interest Question

Thanks Diane for your e-mail, and I second Nate's e-mail about Wendy being a voting member.

Since the Governor's appointment and Senate confirmation have already occurred, if we need to provide more documentation about which of the five (5) categories below apply to Wendy, I think we should be able to provide that documentation. The categories are:

- (C) Six members shall be appointed as follows:
- (i) One member with experience in banking;
 - (ii) One member with experience in credit union operations;
 - (iii) One member with experience managing investments;
 - (iv) One member with experience as a small business employer in this state; and
 - (v) Two at-large members.

ORS § 284.883. The Legislative history includes:

GROWTH BOARD, OREGON (C.90, OL 2012)

McGrane, Wendy - Bend

Term: partial 4 year

4-1-19 - 12-31-22

2-26-19 Governor's message read, referred to President's desk.

2-26-19 Referred to Rules.

3-20-19 Hearing held.

3-22-19 Recommendation: Be confirmed en bloc.

3-25-19 Confirmed en bloc.

Ayes, 26; Excused, 4--Bentz, Heard, Johnson, Winters.

<https://www.oregonlegislature.gov/secretary-of-senate/Documents/ExecCal2019.pdf>.

Steven Marlowe

Oregon Department of Justice

503.947.4509

From: GOULD Diane * OGEC [<mailto:Diane.GOULD@oregon.gov>]
Sent: Monday, June 10, 2019 3:54 PM
To: MARLOWE Steven; WALKER Monica * OGEC; 'McGrane, Wendy J'
Cc: WILDFIRE Nate * BIZ
Subject: RE: Conflict of Interest Question

Dear Mr. Marlowe,

I have a question about Ms. McGrane's position on the Oregon Growth Board. ORS 284.883 delineates the requirements for board membership; is she a voting or nonvoting member? Is her appointment as one of the 4 members described in ORS 284.883(2)(c)(C)(i)-(iv)? This makes a difference in the analysis of Conflicts of Interest. Thanks.

Diane Gould
Compliance and Education Coordinator
Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220, Salem, OR 97302
Ph: 503-378-6806

From: Marlowe Steven [<mailto:Steven.MARLOWE@state.or.us>]
Sent: Monday, June 10, 2019 2:56 PM
To: WALKER Monica * OGEC <Monica.WALKER@oregon.gov>; 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>
Cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; GOULD Diane * OGEC <Diane.GOULD@oregon.gov>
Subject: RE: Conflict of Interest Question

Thank you, and please let me know if I can provide any further information.

Steven Marlowe
Oregon Department of Justice
503.947.4509

From: WALKER Monica * OGEC [<mailto:Monica.WALKER@oregon.gov>]
Sent: Monday, June 10, 2019 2:37 PM
To: MARLOWE Steven; 'McGrane, Wendy J'
Cc: WILDFIRE Nate * BIZ; GOULD Diane * OGEC
Subject: RE: Conflict of Interest Question

Dear Mr. Marlowe/Ms. McGrane~

I have forwarded your correspondence onto our Compliance & Education Coordinator, Diane Gould, at the request of our Executive Director, Ronald A. Bersin.

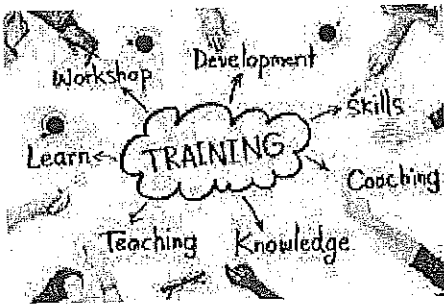
Sincerely,

Monica J. Walker

Monica J. Walker, Program Analyst/Trainer
Oregon Government Ethics Commission
18 Pringle Road SE, Suite 220
Salem, OR 97302-1544
E-mail: ogec.training@oregon.gov
Phone: (503) 378-2011
Fax: (503) 373-1456

Disclaimer

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From: Marlowe Steven [<mailto:Steven.MARLOWE@state.or.us>]

Sent: Sunday, June 09, 2019 9:00 AM

To: 'McGrane, Wendy J' <wendy.mcgrane@usbank.com>

cc: WILDFIRE Nate * BIZ <Nate.Wildfire@oregon.gov>; WALKER Monica * OGEC <Monica.WALKER@oregon.gov>

Subject: RE: Conflict of Interest Question

Wendy:

Thanks for your previous e-mail.

I have researched the Oregon Government Ethics Commission's past guidance and unfortunately, I find no precedent that we can rely on to say there is no conflict, or how to make sure you acknowledge if any conflicts actually arise. Therefore, my suggestion is to ask the Commission for an opinion now. I've already conferred with the Commission's trainer, Monica Walker, and she told me that you (or I) could e-mail her with our questions. I have cc'd Monica on this e-mail to get the ball rolling. She said she'll be happy to forward the questions onto the Director, Ron Bersin, for review and assignment. Then, once we know how the Commission interprets whether there would be any conflict, we (OBDD and you) could figure out if we have to ascertain if any fund is a US Bank customer.

To present the facts to the Commission, the Oregon Growth Board's newest board member, Wendy McGrane, is a Vice President at US Bank. Pursuant, in part, to ORS § 348.702 (6), which says, "The investment of funds in the Oregon Growth Account shall be governed by the Oregon Growth Board", the Oregon Growth Board votes to invest money from the Oregon Growth Account with investment managers. These investment managers are mainly limited partnerships or limited liability companies but they may be existing customers of US Bank when they are asking for an investment from the OGB. Typically, if a board member considers investing money with a customer of one's employer, that could be considered a potential or actual conflict of interest, and require the board member to discuss the nature of the conflict. Usually, I advise public officials to be very conservative and declare anything that might even remotely qualify as a potential conflict, but, in this situation, that approach is problematic. This is because for a bank employee to reveal a bank customer relationship may conflict with bank secrecy laws. Thus, on behalf of Wendy, we request an Advisory

Opinion from the Commission as to whether the Oregon Growth Board's investment of moneys with bank customers would present any form of conflict (potential or actual). If there would be any conflict, then how does the Commission suggest a board member reconcile the apparent conflict between compliance with the necessary disclosure under ORS chapter 244 and the need to follow bank secrecy laws, i.e. not disclose a customer is a bank customer.

Wendy, if you could perhaps add what specific laws are applicable with bank privacy that could be helpful to the Commission. For example, are they federal, state, or US Bank policies?

If I can provide anything further, please let me know.

Thanks,

Steve

Steven Marlowe

Assistant Attorney General | Tax and Finance Section | General Counsel Division
Oregon Department of Justice
1162 Court Street NE
Salem, Oregon 97301-4096
503.947.4509

*****CONFIDENTIALITY NOTICE*****

This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply e-mail, keep the contents confidential, and immediately delete the message and any attachments from your system.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 7, 2019

Oregon House of Representatives – State Capitol Building

ATTN: Representative Kim Wallan

900 Court Street NE H-376

Salem, Oregon 97301

RE: Advice Number 19-1341

Dear Representative Wallan:

This letter of advice is provided in response to your request received on May 23, 2019, which presented questions regarding the application of Oregon Government Ethics law to contracting with private entities with which your relatives are associated. This analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances you have presented.

You ask two questions about using funds from your services and supply account. Moneys in the services and supply account are public funds made available to you as a state legislator. The first question concerns using those funds to pay for a contract for an end-of-session mailer where the contractor is an advertising agency in which your daughter is a partner. The second question concerns contracting for office space with a real estate partnership in which your husband is a partner.

As relevant to your questions, ORS 244.040(1) prohibits public officials from using or attempting to use their official positions or offices to obtain a financial benefit for themselves, relatives, household members or any businesses with which the public officials, their relatives or members of their households are associated if the benefit would not otherwise be available **but for** the position or office held. A business with which your relative is associated includes any private business or closely held corporation in which the person's relative is an owner or has owned "stock, another form of equity interest, stock options or debt instruments worth \$1,000 or more at any point in the preceding calendar year." ORS 244.020(3)(a). It appears from the information you provided that the advertising agency is a business with which your daughter is associated and the real estate partnership is a business with which your spouse is associated for



June 7, 2019

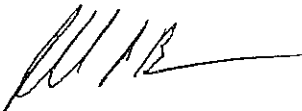
Page 2

purposes of the Oregon Government Ethics law. In addition, public officials who are required to file a statement of economic interest under ORS 244.050 are associated with any businesses listed as a source of income as required under ORS 244.060(3). Entering into contracts with businesses with which you or your relatives are associated using public funds available to you solely because of your position as a state legislator would confer a financial benefit on those businesses that would not be available but for your position. Accordingly, doing so would violate ORS 244.040.

Furthermore, if a public official contemplates taking any action or making any decision or recommendation in their official capacity that would or could financially impact a business with which the public official or a relative is associated, they would be met with an actual or potential conflict of interest. [ORS 244.020(1) & (13)]. A member of the Legislative Assembly who is met with a conflict of interest must announce publicly, pursuant to rules of the house of which the public official is a member, the nature of the conflict before taking any action thereon in the capacity of a public official. ORS 244.120(1)(a).

If you have any additional questions regarding the application of Oregon Government Ethics law please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/mjw

*****DISCLAIMER*****

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ethicsOP5

From: HUNTER David * OGEC on behalf of OGEC Mail * OGEC
To: WALKER Monica * OGEC
Subject: FW: Potential Conflicts of Interest
Date: Thursday, May 23, 2019 3:18:31 PM

David R Hunter
Oregon Government Ethics Commission
3218 Pringle Rd SE STE 220
Salem OR 97302-1544
Direct 503-378-5105
Fax 503-373-1456

From: Tedrow Chase [mailto:Chase.Tedrow@oregonlegislature.gov]
Sent: Thursday, May 23, 2019 3:11 PM
To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>
Subject: FW: Potential Conflicts of Interest

Good afternoon,

Please see the email below from Rep Wallan. Can you assist with her questions, please?

Thank you,

Chase

--

Chase Tedrow
Legislative Director
Oregon House Republican Caucus
(o) 503-986-1473; (m) 541-979-6241

From: Rep Wallan
Sent: Thursday, May 23, 2019 10:52 AM
To: Tedrow Chase <Chase.Tedrow@oregonlegislature.gov>
Subject: Potential Conflicts of Interest

Hi Chase,

Here are the questions for OGEC:

Do either of these fact patterns create a conflict of interest?

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- 1) Contracting with an advertising agency to create an end-of-session mailer. The advertising agency is organized as a partnership and one of the partners is my daughter. My daughter is my staff member. She is not a member of my household.
- 2) Contracting with a partnership that owns a downtown building that currently houses several law firms, an architecture firm, and Congressman Greg Walden's Medford district office. My husband is a partner in the LLP that owns the building. I would be paying the market rate, which is \$200/month. If this represents a conflict, I will be forced to pay more for a less advantageous location.

Please feel free to edit and format properly for forwarding to OGEC.

Thanks so much!

Kim Wallan
State Representative
OR House District 6
503-986-1406



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 27, 2019

Evan White
4553 Brock Loop S.
Salem, Oregon 97302

Re: Advice Number 19-1431

Dear Mr. White:

This letter of advice is provided in response to your request received on June 24, 2019 which presented questions regarding the application of Oregon Government Ethics law to the acceptance of donations to a memorial college fund on behalf of a public official's children. This analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances you have presented.

I understand from the information provided that a member of the City of Salem Council recently experienced the unexpected loss of their spouse. In response to this sudden loss, you have considered coordinating the establishment of a memorial college fund to benefit the children of the council member. In doing so, you recognize that as a public official, the councilor may be faced with restrictions in the receipt of personal financial benefits such as a college fund. In your request you have asked a series of questions seeking guidance to ensure that the receipt of any personal financial benefit to the councilor and her children would not be prohibited.

Oregon Government Ethics law establishes a framework of conditions for public officials to apply when they, their relatives are offered gifts. If offered a gift, the public official must analyze the offer and decide if "something of value" can be accepted with or without restrictions. There are restrictions on the value of gifts accepted by a public official, if the source of the gift has a legislative or administrative interest in decisions or votes the public official makes when acting in the capacity of a public official.



ORS 244.025 provides the basis for limitations when a public official, their relative or member of household receives a gift. In relevant part, this provision provides that during a calendar year, public officials or their relatives may not solicit or receive, directly or indirectly, any gift or gifts with an aggregate value in excess of \$50 from any source that could reasonably be known to have a legislative or administrative interest in the decisions or votes of the public official. A legislative or administrative interest is defined in ORS 244.020(10) as an economic interest that is distinct from the economic interest held by members of the general public in the votes or decisions of the public official.

A donation is a type of gift and can come from many sources. The receipt of a donation can also take various forms, from a direct gift of money to online donation sites. GoFundMe and the Oregon College Savings Plan are common types of online fund raising accounts.

GoFundMe accounts can be set up under several different categories, such as a memorial scholarship fund. Gift contributions to a GoFundMe account can be made online for as little as \$5 or as much as \$50,000. Gift contributions to an Oregon College Savings Plan can be made online for as little as \$5 or as much as \$15,000. Accounts can also accept gifts over \$15,000 but these donations require the filing of a gift form.

Both of the described online methods of accepting donations allow the account holder to establish written guidelines for the donors, but cannot limit the amount of contribution made by each donor. In addition, the online donation sites have different characteristics when it comes to anonymity of the donor, one allows for anonymous donations the other does not. For a public official, anytime they consider accepting a gift, they must be able to determine who the source of the gift is and then know what if any gifts they have received from that source in the calendar year.

It is important to note that in regards to gifts from relatives, these types of gifts are exempted from the definition of a gift, and a public official would not be limited in accepting any gift from a relative.

Where ORS 244.025 limits the value of gifts received by a public official or their relatives, ORS 244.040(2)(e) and (f) specifically provide conditions which a public official must consider when determining the limits, if any to the gift value. ORS 244.040(2)(e) provides that if it can be reasonably known that the source of the offer has a legislative or administrative interest in the decisions or votes of the public official, it limits the value a public official or each relative can receive to \$50 in a calendar year. Conversely, ORS 244.040(2)(f) provides that if it can be

reasonably known that the source of the offer would not have a legislative or administrative interest in the public official's decisions or votes, there is no limitation in the value of the gift the official or each relative can receive from that source.

You asked the following questions:

Question 1. May friends of the family make donations to a memorial college fund in any amount as long as they have "no legislative or administrative interest" in matters before City Council, beyond having a general interest in good government?

Answer: Probably. If a source of a gift to a public official does not have a legislative or administrative interest in the decisions or votes of the public official, ORS 244.040(2)(f) provides that the public official would not be limited in the value of the gift(s) received. The public official themselves would need to evaluate whether a source has an economic interest distinct from that of the general public in their official decisions or votes, and if so the public official would be limited to the receipt of gifts with an aggregate value of \$50 or less during a calendar year from such a source. [ORS 244.025(2)]

Question 2. Some family friends, such as me, sometimes testify before City Council on their own behalf or as representatives of neighborhood organizations, but have no personal economic interest in the matter under consideration. Would these persons be limited to making no more than a \$50 contribution to the memorial college fund?

Answer: It depends. Although you characterize your testimony as lacking an administrative or legislative interest, the effect of providing testimony that influences City council action could create a "legislative or administrative interest". Having this interest would then create a limit to the gift(s) the councilor, and each relative would be able to receive, as described above in Q1. [ORS 244.025(2)]

Question 3. Some persons have an economic interest in matters before City Council and who might want to contribute to a memorial college fund, for example land use developers. Would these persons be limited to making no more than a \$50 contribution to the memorial college fund?

Answer: Yes. A land developer who may be seeking approval or permits from the City would have an economic interest distinct from that of the general public in the official actions of the City councilor. The councilor, and each relative would be limited to the receipt of gifts with an aggregate value of \$50 or less during a calendar year from such a source. [ORS 244.025(2)]

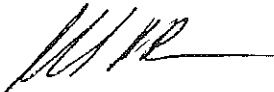
Evan White
June 27, 2019
Page 4

Question 4. One very good way to invest memorial contributions would be to set up Oregon College Savings Fund accounts for each of the two daughters. Could the widow or her mother be the designated "owner" of the accounts?

Answer: Oregon Government Ethics law do not address these matters.

If you have any additional questions regarding the application of Oregon Government Ethics law please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/th

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Mr. Ronald Bersin
Executive Director
Oregon Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302

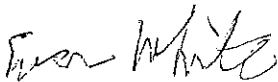
June 24, 2019

Dear Mr. Bersin:

The husband of an elected member of City Council unexpectedly died. I would like to assist the family by making and soliciting contributions to a memorial college fund for the daughters.

- 1) May friends of the family make donations to a memorial college fund in any amount as long as they have "no legislative or administrative interest" in matters before City Council, beyond having a general interest in good government?
- 2) Some family friends, such as me, sometimes testify before City Council on their own behalf or as representatives of neighborhood organizations, but have no personal economic interest in the matter under consideration. Would these persons be limited to making no more than a \$50 contribution to the memorial college fund?
- 3) Some persons have an economic interest in matters before City Council and who might want to contribute to a memorial college fund, for example land use developers. Would these persons be limited to making no more than a \$50 contribution to the memorial college fund?
- 4) One very good way to invest memorial contributions would be to set up Oregon College Savings Fund accounts for each of the two daughters. Could the widow or her mother be the designated "owner" of the accounts?


Thank you for your response to this request.




Evan White
4553 Brock Loop S.
Salem, OR 97302
Ph 503-585-4814
epwhitehouse@comcast.net

MEMORANDUM

DATE: June 27, 2019

TO: Ronald A. Bersin 
Executive Director

FROM: Susan Myers 
Investigator

SUBJECT: Respondent: Jim O. Baker, Jr.
Case No.: 09-184EDT

This memorandum recommends that the Commission vacate the preliminary findings of violation and dismiss the above-referenced complaint against Jim O. Baker, Jr.

Jim O. Baker, Jr., also known as Jimbo, was the district manager for the Bly Water and Sanitary District (District) in Klamath County from July 2005 to February 2009. In September 2009, the Commission opened a preliminary review based on a complaint, filed by one of the District's Board Members, alleging theft and misuse of office by Jim Baker. The complaint alleged that Mr. Baker had prepared checks to himself as unauthorized overtime payments and used the District's credit and fuel cards for personal purchases. Mr. Baker allegedly realized \$9,546.72 from his prohibited use of office.

The preliminary review was suspended during a criminal investigation conducted by the Klamath County District Attorney. That criminal investigation ended in November 2009, with a No Action decision. In March 2010, a preliminary review report was presented to the Commission. An investigation was opened and the investigative report was presented to the Commission in July 2010. At its meeting on July 15, 2010, the Commission made preliminary findings of multiple violations of ORS 244.040.

Mr. Baker received notice of the Commission action, and submitted a handwritten note, dated August 19, 2010, requesting "a hearing on your proposed findings." Following this, it appears that Commission staff were unable to locate Mr. Baker in order to schedule a contested case hearing or provide him with a proposed stipulation.

In reviewing the file, we note the investigator at the time, Deb Tuss, found that many of the District's records were incomplete or missing. As this matter is dated and the evidence is incomplete and stale, and because we were unable to reach Mr. Baker, we recommend the Commission vacate the preliminary findings and dismiss the complaint in this matter.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105


Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 2, 2019

TO: Ethics Commission Members
Richard Burke, Chair
Karly Edwards
David Fiskum
Alison Kean
Daniel Mason
Sean O'Day
Nathan Sosa
Charles Starr

FROM: Ron Bersin
Executive Director 

SUBJECT: Meeting Schedule for 2020

The following is a list of meeting dates that have been scheduled for 2020. The dates we have proposed allow the investigators the greatest amount of time to complete their investigations within the 135-day (lobby cases) and 180-day investigative periods, and to avoid holidays.

Friday, January 3, 2020
Friday, February 14, 2020
Friday, March 27, 2020
Friday, May 15, 2020
Friday, June 26, 2020
Friday, July 24, 2020
Friday, August 21, 2020
Friday, September 25, 2020
Friday, November 6, 2020
Friday, December 18, 2020

We understand that you all have very busy lives, and it is our hope that you all can be at every meeting, but it may be difficult to accommodate everyone's schedule. Please remember that if you are not able to join any of the meetings in person, we can make arrangements for you to participate by telephone.

The schedule will be an agenda item at the July 12, 2019 meeting.

Thank you.





2020

Holidays
 MEETING
 MTG BOOK OUT

January

SUN	MON	TUE	WED	THU	FRI	SAT
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February

SUN	MON	TUE	WED	THU	FRI	SAT
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March

SUN	MON	TUE	WED	THU	FRI	SAT
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April

SUN	MON	TUE	WED	THU	FRI	SAT
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May

SUN	MON	TUE	WED	THU	FRI	SAT
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	31	26	27	28	29	30

June

SUN	MON	TUE	WED	THU	FRI	SAT
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July

SUN	MON	TUE	WED	THU	FRI	SAT
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August

SUN	MON	TUE	WED	THU	FRI	SAT
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	30	24	31	25	26	27
				28	29	

September

SUN	MON	TUE	WED	THU	FRI	SAT
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October

SUN	MON	TUE	WED	THU	FRI	SAT
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November

SUN	MON	TUE	WED	THU	FRI	SAT
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December

SUN	MON	TUE	WED	THU	FRI	SAT
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Trainers' Report July 12, 2019

This report covers the time period of June 1, 2019, through July 12, 2019.

Completion of training:

- Oregon Real Estate Agency – ORS 244 (Salem)
- Webinar – Use of Office / Conflicts of Interest – ORS 244 (AdobeConnect)
- Jefferson County Public Health (Hosted) – ORS 244 (Madras)
- Webinar – Executive Session – ORS 244 (AdobeConnect)
- Oregon Health Authority – ORS 244 (Salem)
- Oregon Department of Transportation – ORS 244 (Salem)
- Oregon Employment Department – ORS 244 (Salem)

Upcoming Trainings:

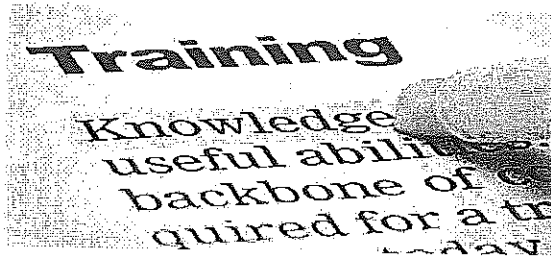
<u>Date</u>	<u>Time</u>	<u>Public Body (Topic)</u>	<u>Address</u>
7/16/2019	1:30 – 3:00 PM	Multnomah County Drainage District (ORS 244)	Multnomah County Drainage Dist. 1880 NE Elrod Drive Portland, Oregon 97211
7/17/2019	11:00 – 12:00 PM	Oregon Health Authority New Employee Orientation (ORS 244)	Human Services Building 500 Summer St. NE Room 166 Salem, Oregon 97301
7/25/2019	1:30 – 3:30 PM	Portland Metro (ORS 244)	Portland Metro Headquarters 600 NE Grand Avenue, Room 401 Portland, Oregon
8/12/19	TBD	Klamath County School District K12 (ORS 244)	Eagle Crest Conference Center 1522 Cline Falls Road Redmond, Oregon 97756
8/14/19	2:00 – 4:00 PM	Eugene School District 4J (ORS 244 & 192)	Eugene School District 4J TBD
8/21/19	11:00 – 12:00 PM	Oregon Health Authority New Employee Orientation (ORS 244)	Human Services Building 500 Summer St. NE Room 166 Salem, Oregon 97301

8/27/19	9:00 – TBD AM (2 nd session?)	La Grande Fire Dept. – Hosted (ORS 244)	TBD
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Upcoming Conferences:

7/25/2019	4:00 – 5:00 PM	Oregon Association of School Business Officials 2019 Annual Summer Conference (ORS 244)	Riverhouse Conference Center 3075 N Hwy 97 Room TBD Bend, OR 97703
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Training Staff: Tammy Hedrick 503-378-6802 tammy.r.hedrick@oregon.gov
 Monica Walker 503-378-2011 monica.walker@oregon.gov



August 2019

Oregon Government Ethics Commission AdobeConnect Webinar Training Calendar

Monday	Tuesday	Wednesday	Thursday	Friday
			1	2
<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"> Email ogec.training@oregon.gov to register for a webinar. </div>				
5	6	7	8	9
	Executive Session 2:00 – 3:00 PM		U/O & Conflicts of Interest 10:00 – 11:00 AM	
12	13	14	15	16
		Lobby Law 10:00 – 11:00 AM		
19	20	21	22	23
	New Employees: you're a public official, now what! 2:00 – 3:00 PM			COMMISSION MEETING
26	27	28	29	30
			Gifts 2:00 – 3:00 PM	

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Executive Director's Report

July 12, 2019

- Budget
 - 2017-19 biennial budget
 - Currently projected with a \$182,411.22 surplus.
 - Expenditures through March, \$107,419.86 spent per month; \$234,590.16 average to spend per month.
 - General Fund Revenues have exceeded predictions, forecasted; \$30,000 for biennium; moneys collected to date, \$59,896.50.
 - Using current surplus budget to buy computers, software, paper and letterhead, to reduce costs in the 2019-21 biennium.
 - 2019-21 biennial budget
 - HB 5020 budget bill signed by Governor.
 - Increased costs for AG in 2019-21 budget.
- 2019 Legislative Bills
 - HB 2096 (Attorney Fees), Governor signed 5/14/2019.
 - Several other bills have effect on agency (see handout).
- SEI
 - Continuing to work previous year's non-filers to submit SEIs due.
 - SEI not filed as of 7/2/2019 = 2.
- Other
 - Continuing recruitment for CS2 position, first round of interviews did not produce a viable candidate.
 - Learning "Workday", the new HR system.
 - Lobbyist filings due for first quarter of 2019, 1 Clients, 3 Lobbyists.
 - "Best Practices" Survey will be sent after the meeting for you to complete.
 - Classes required by DAS for staff and commissioners. Information will be coming.

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