

OREGON GOVERNMENT ETHICS COMMISSION
MEETING MINUTES
June 29, 2018
9:00 a.m.

(:01)

Chair Alison Kean called the meeting to order at 9:02 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Richard Burke, Charles Starr, Dan Golden, Daniel Mason, David Fiskum, Kamala Shugar, Sean O'Day, and Karly Edwards. Commissioner Nathan Sosa was excused from attendance. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Education Coordinator Diane Gould, Investigator Michael Thornicroft, Investigator Hayley Weedn, Trainer Tammy Hedrick and Administrative Specialist Kathy Daniel.

(:30)

AGENDA ITEM 1, Comments from the Chair. Kean welcomes new commissioners Sean O'Day and Karly Edwards.

(1:00)

AGENDA ITEM 2, Approval of the minutes of the May 11, 2018 Commission meeting. Starr moved for approval of the minutes of the May 11, 2018 Commission meeting. Group vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

CONSENT CALENDAR

(1:20)

Burke moved for the approval of the Consent Calendar items 3-73 and the staff recommendation on each as follows:

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 3, Lance Colley, 2018 SEI, Letter of Education

AGENDA ITEM 4, Steven Maniaci, 2018 SEI, Letter of Education

AGENDA ITEM 5, Frank Comer, 2018 SEI, Letter of Education

AGENDA ITEM 6, Sloan Nelson, 2018 SEI, Letter of Education

AGENDA ITEM 7, Phil Ruder, 2018 SEI, Letter of Education

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AGENDA ITEM 8, Michael Smith, 2018 SEI, Letter of Education

AGENDA ITEM 9, Rick Dart, 2018 SEI, Letter of Education

AGENDA ITEM 10, Dana Kurtz, 2018 SEI, Letter of Education

AGENDA ITEM 11, Moses Frederic, 2018 SEI, Letter of Education

AGENDA ITEM 12, Mark Cobb, 2018 SEI, Letter of Education

AGENDA ITEM 13, Court Boice, 2018 SEI, Letter of Education

AGENDA ITEM 14, Dawn Kahrs, 2018 SEI, Letter of Education

AGENDA ITEM 15, Corrine Wilfong, 2018 SEI, Letter of Education

AGENDA ITEM 16, Tim Shea, 2018 SEI, Letter of Education

AGENDA ITEM 17, Hank Johnson, 2018 SEI, Letter of Education

AGENDA ITEM 18, Kenneth Smith, 2018 SEI, Letter of Education

AGENDA ITEM 19, Anna Sperling, 2018 SEI, Letter of Education

AGENDA ITEM 20, Paul Castilleja, 2018 SEI, Letter of Education

AGENDA ITEM 21, David Ebbert, 2018 SEI, Letter of Education

AGENDA ITEM 22, Roger Hall, 2018 SEI, Letter of Education

AGENDA ITEM 23, Robert Pascalar, 2018 SEI, Letter of Education

AGENDA ITEM 24, John Engels, 2018 SEI, Letter of Education

AGENDA ITEM 25, Debbie Lumb, 2018 SEI, Letter of Education

AGENDA ITEM 26, Joel Heller, 2018 SEI, Letter of Education

AGENDA ITEM 27, Steve Callaway, 2018 SEI, Letter of Education

AGENDA ITEM 28, Christine Lundberg, 2018 SEI, Letter of Education

AGENDA ITEM 29, Brian Thompson, 2018 SEI, Letter of Education

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- AGENDA ITEM 30, Roak TenEyck, 2018 SEI, Letter of Education
- AGENDA ITEM 31, Holly Harden, 2018 SEI, Letter of Education
- AGENDA ITEM 32, Ellen Bandelow, 2018 SEI, Letter of Education
- AGENDA ITEM 33, Charles Pavlik, 2018 SEI, Letter of Education
- AGENDA ITEM 34, Frank Arms, 2018 SEI, Letter of Education
- AGENDA ITEM 35, Shirley Stevens, 2018 SEI, Letter of Education
- AGENDA ITEM 36, Liz Appelman, 2018 SEI, Letter of Education
- AGENDA ITEM 37, Sara Charlton, 2018 SEI, Letter of Education
- AGENDA ITEM 38, Bruce St. Denis, 2018 SEI, Letter of Education
- AGENDA ITEM 39, Tom Calvanese, 2018 SEI, Letter of Education
- AGENDA ITEM 40, Jeremy Bench, 2018 SEI, Letter of Education
- AGENDA ITEM 41, Kayse Jama, 2018 SEI, Letter of Education
- AGENDA ITEM 42, Douglas Smith, 2018 SEI, Letter of Education
- AGENDA ITEM 43, Diane Wetherell, 2018 SEI, Letter of Education
- AGENDA ITEM 44, Carl Zerfing, 2018 SEI, Letter of Education
- AGENDA ITEM 45, Rebecca Berry, 2018 SEI, Letter of Education
- AGENDA ITEM 46, Kathy Warren, 2018 SEI, Letter of Education
- AGENDA ITEM 47, Stephanie Sheridan, 2018 SEI, Letter of Education
- AGENDA ITEM 48, Jeffrey Rost, 2018 SEI, Letter of Education
- AGENDA ITEM 49, Christine Surina, 2018 SEI, Letter of Education
- AGENDA ITEM 50, Troy Inman, 2018 SEI, Letter of Education
- AGENDA ITEM 51, Roland Iparraguirre, 2018 SEI, Letter of Education

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AGENDA ITEM 52, Forrest Stone, 2018 SEI, Letter of Education
AGENDA ITEM 53, Suzanne McCarthy, 2018 SEI, Letter of Education
AGENDA ITEM 54, Ronald Palmer, 2018 SEI, Letter of Education
AGENDA ITEM 55, Sue Oliver, 2018 SEI, Letter of Education
AGENDA ITEM 56, Paula Otzenberger, 2018 SEI, Letter of Education
AGENDA ITEM 57, Marilyn Morton, 2018 SEI, Letter of Education
AGENDA ITEM 58, Rhonda Allen, 2018 SEI, Letter of Education
AGENDA ITEM 59, Julie Bettles, 2018 SEI, Letter of Education
AGENDA ITEM 60, Shawn Sealey, 2018 SEI, Letter of Education
AGENDA ITEM 61, Matthew Lawyer, 2018 SEI, Letter of Education
AGENDA ITEM 62, Neil Pattee, 2018 SEI, Letter of Education
AGENDA ITEM 63, Gloria Ingle, 2018 SEI, Letter of Education
AGENDA ITEM 64, Rosalie Emerson, 2018 SEI, Letter of Education
AGENDA ITEM 65, Daria Land, 2018 SEI, Letter of Education
AGENDA ITEM 66, Hyatt Lytle, 2018 SEI, Letter of Education
AGENDA ITEM 67, Wayne Luzier, 2018 SEI, Letter of Education
AGENDA ITEM 68, Dawn Parm, 2018 SEI, Letter of Education
AGENDA ITEM 69, Carla McKelvey, 2018 SEI, Letter of Education
AGENDA ITEM 70, David Nelson, 2018 SEI, Letter of Education
AGENDA ITEM 71, Zachariah Dell, 2018 SEI, Letter of Education
AGENDA ITEM 72, John Luquette, 2018 SEI, Letter of Education
AGENDA ITEM 73, Jim Helmen, 2018 SEI, Letter of Education

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Group vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

End of Consent Calendar

Lobbyist Client Penalty Correspondence

(9:58)

AGENDA ITEM 74, Oregon Cannabis Business Council, Q4 2016, \$50; Q2 2017, \$20; Q4 2017, \$1,600. Recommendation: Q4 2016 Letter of Education; Q2 2017 \$20; Q4 \$320. Bersin reviewed the matter. Starr moved to accept staff recommendation of a Letter of Education for the late filing of the Q4, 2016; Q2 2017 no reduction \$20; Q4 2017 reduce to \$320 for the late filing of the Lobbyist Client Expenditure Reports. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

Statement of Economic Interest

(15:18)

AGENDA ITEM 75, Antone Minthorn, 2016, \$50; 2017, \$20; 2018, \$30. Recommendation: No reduction. Burke moved to accept staff recommendation of no reduction for the late filing of the 2016, 2017, and 2018 SEIs. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(17:44)

AGENDA ITEM 76, Krista Peterson, 2018 \$80. One past violation and waiver. Recommendation: Reduce to \$50. Starr moved to accept staff recommendation to reduce late filing penalty to \$50. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(18:46)

AGENDA ITEM 77, Richard Davison, 2018 \$150. Past violation paid in full. Recommendation: Letter of Education. Fiskum moved to accept staff recommendation of a Letter of Education for the late filing of the 2018 SEI. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(19:35)

AGENDA ITEM 78, Ruth Stole, 2018, \$210. One past violation and waiver. Recommendation: Reduce to \$50. Starr moved to accept staff recommendation to reduce late filing penalty to \$50. Roll call vote was taken as follows: Burke, aye; Edwards, aye;

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Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(20:02)

AGENDA ITEM 79, Gaylor Hescocock, 2017, \$20; 2018, \$260.

Recommendation: \$50 if paid timely with previous \$20 or no waiver. Burke moved to accept staff recommendation to reduce late filing penalty to \$50 if paid timely with the previous \$20 or no waiver. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(20:50)

AGENDA ITEM 80, Brandon Hammond, 2018 \$610. One past violation and waiver. Recommendation: Reduce to \$100. Fiskum moved to accept staff recommendation to reduce late filing penalty to \$100. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(24:55)

AGENDA ITEM 81, Lisa Clement, 2017 \$1,880; 2018 \$1,160. Recommendation: 2017, Letter of Education, 2018 reduce to \$200. Burke moved to accept staff recommendation to issue a Letter of Education for 2017, and reduce 2018 late filing penalty to \$200. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(27:50)

AGENDA ITEM 82, Melinda McVey, 2018 \$1,210. One past violation and waiver. Recommendation: None. Burke moved to issue a Letter of Education as the sanction for the late filing of 2018 SEI. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(30:02)

AGENDA ITEM 83, Carla Mikkelson, 2017 \$4,780; 2018 \$1,510.

Recommendation: Waive all penalties due to JC error. Fiskum moved to issue No Sanction and accept staff recommendation to waive all late filing penalties. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(35:24)

AGENDA ITEM 84, Scott Miller, 2013, 2014, and 2017 SEI late filing penalties.

Recommendation: Stipulated Final Order. Commission discussed the matter and recommended that the agenda item be tabled until the next Commission meeting.

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(40:30)

AGENDA ITEM 85, Mace Cadwell, 2013, 2014, 2015, and 2016 SEI late filing penalties. Recommendation: Stipulated Final Order. Commission discussed the matter and recommended that the agenda item be tabled until the next Commission meeting.

SEI Stipulated Final Orders

(43:28)

AGENDA ITEM 86, 17-118SDG, Celia Claybourn, Planning Commissioner, City of Powers.

AGENDA ITEM 87, 17-051SMT, David Smith, Commissioner, Curry County.

Bersin summarized staff procedures for cases such as these. Claybourn and Smith did not address the Commission. The Commission discussed the matters. Commissioners asked for more information to be included in materials for consideration before closing cases. Shugar moved to issue a Letter of Education in the matters. The motion was amended. Fiskum moved to table these two items until the next Commission meeting and Burke amended to review alternative vehicle for closing cases. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, nay; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed 7-1.

REPORTS OF INVESTIGATIONS

(1:15:00)

AGENDA ITEM 88, 17-093XMT, Jim Hamsher, Mayor, City of Prairie City

AGENDA ITEM 89, 17-094XMT, Carole Garrison, Councilor, City of Prairie City

AGENDA ITEM 90, 17-095XMT, Georgia Patterson, Councilor, City of Prairie City

AGENDA ITEM 91, 17-096XMT, Les Church, Councilor, City of Prairie City

AGENDA ITEM 92, 17-097XMT, Frank Primozic, Councilor, City of Prairie City

AGENDA ITEM 93, 17-098XMT, Joe Phippen, Councilor, City of Prairie City

Thornicroft summarized the case. Hamsher and Garrison addressed the Commission by telephone. The Commission and Counsel discussed the matters. Shugar moved that the Commission find that there is sufficient evidence to find one violation each of ORS Chapter 192.660 by Hamsher, Garrison, Patterson, Church, Primozic, and Phippen, if this evidence is not rebutted by contrary evidence, and that these public officials be offered a contested case proceeding. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

The Commission recessed at 10:38 a.m. and reconvened into regular session at 10:58 a.m.

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(1:30:28)

AGENDA ITEM 94, 17-157XDG, Daily Holman, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 14 violations of ORS 192.660(1) & 3 violations of ORS 192.660(2).

AGENDA ITEM 95, 17-158XDG, Gary Hackett, Former Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 5 violations of ORS 192.660(1) & 3 violations of ORS 192.660(2).

AGENDA ITEM 96, 17-159XDG, Gary Olin, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 13 violations of ORS 192.660(1) & 3 violations of ORS 192.660(2).

AGENDA ITEM 97, 17-160XDG, Frank Veenker, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 10 violations of ORS 192.660(1) & 2 violations of ORS 192.660(2).

AGENDA ITEM 98, 17-161XDG, John McElheran, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 14 violations of ORS 192.660(1) & 3 violations of ORS 192.660(2).

AGENDA ITEM 99, 17-162XDG, Sandi Chamberlain, Former Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 3 violations of ORS 192.660(1) & 2 violations of ORS 192.660(2)..

AGENDA ITEM 100, 17-163XDG, Steve Gordon, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 9 violations of ORS 192.660(1) & 2 violations of ORS 192.660(2)..

AGENDA ITEM 101, 17-164XDG, Larry Warren, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 8 violations of ORS 192.660(1).

AGENDA ITEM 102, 17-165XDG, Davon Hodgen, Board of Directors, Barlow Water Improvement District. Recommended Action: Preliminary finding of 4 violations of ORS 192.660(1).

Weedn summarized the cases. Board of Directors did not address the Commission. The Commission generally discussed the matter. Fiskum moved that the Commission find that there is sufficient evidence to find the individually recommended violations of ORS Chapter 192.660(1) and 192.660(2) by Holman, Hackett, Olin, Veenker, McElheran, Chamberlain, Gordon, Warren, and Hodgen, if this evidence is not rebutted by contrary evidence and that these public officials be offered contested case proceedings. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Starr, aye; Kean, aye. Motion passed 7-0, Shugar absent.

ADVISORY OPINIONS

None.

CORRESPONDENCE RECEIVED/RESPONSES

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Staff Opinions

None.

Staff Advice

(non-action, informational only items)

The Commission reviewed the following items without comment:

(1:44:55)

AGENDA ITEM 103, 18-130I, Sheryl Zimmerer, Executive Director LOGOS Public Charter School re application of the conflict of interest provision of Oregon Government Ethics law to the situation describe in her letter

Other Correspondence (non-action, informational only items)

(1:45:20)

AGENDA ITEM 104, Brian Boquist, request for Advisory Opinion. Bersin summarized the matter. Alpaugh and Commission generally discussed the request.

(1:58:30)

AGENDA ITEM 105, Gary Leif, request to establish a Legal Expense Trust Fund. Bersin summarized the request and denial of the fund.

(2:01:05)

The Commission convened into executive session at 11:18 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d), ORS 192.685(1) and 171.778(4)(d); and to consider possible own motion reviews pursuant to ORS 244.260(2)(d).

Present: Erin Tierney, media

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review

(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

OTHER ITEMS

Reports of Preliminary Review
(removed from consent calendar)

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(2:01:05)

AGENDA ITEM 114, 18-060LMT, Tom Holt, Lobbyist. Thornicroft summarized the case. Holt was present and addressed the Commission. Fiskum announced a personal relationship with Holt. The Commission discussed the matter. Burke moved that the Commission find that there is a substantial, objective basis for believing that Tom Holt may have violated ORS Chapter 171.740 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Mason, nay; O'Day, aye; Starr, aye; Edwards, aye; Fiskum, nay; Shugar, aye; Kean, nay. Motion passed 6 - 2.

(2:45:00)

AGENDA ITEM 115, 18-061LMT, Mary Botkin, Lobbyist. Thornicroft summarized the case. Botkin was present and addressed the Commission. Burke, Fiskum announced a personal relationship with Botkin. The Commission discussed the matter. Burke moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 171.740 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Edwards, nay; Fiskum, nay; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed 6 to 2.

Commissioner O'Day asked to reconsider the Holt matter, 18-060LMT, at the end of Executive Session.

(2:58:30)

AGENDA ITEM 116, 18-062LMT, Judi Mehrens, Lobbyist. Thornicroft summarized the case. Mehrens was present and addressed the Commission. Starr moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 171.740 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Edwards, nay; Fiskum, nay; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed 6 to 2.

(3:05:00)

AGENDA ITEM 118, 18-064LMT, Jessica Chambers, Lobbyist. Thornicroft summarized the case. Chambers addressed the Commission. Kean recused herself for personal reasons. Shugar moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 171.740 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, abstained. Motion passed 7 to 0 with 1 abstention.

AGENDA ITEM 117, 18-063LMT, Doug Riggs, Lobbyist. Thornicroft summarized the case. Riggs did not address the Commission. Starr moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 171.740 or warrant further review and that this complaint be dismissed. Roll call vote was

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taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, abstained. Motion passed 7 to 0 with 1 abstention.

(3:13:13)

AGENDA ITEM 126, 18-111SMT, Linda Neace, City Council Member, City of Gladstone. Thornicroft summarized the case. Neace and her counsel, Joe Kauffman, addressed the Commission. Burke disclosed a working relationship with Neace. Mason moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 244.060 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(3:22:00)

AGENDA ITEM 132, 18-131EHW, Paulette Lichatowich, Commissioner, Port of St. Helens. Weedn summarized the case. Lichatowich addressed the Commission. Edwards abstained for personal reasons. Burke moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Edwards, abstained; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed 7 to 0 with 1 abstention.

Own Motion Preliminary Reviews

(3:27:45)

AGENDA ITEM 133, Possible own-motion preliminary review re Charlie Clark re ORS 244.025. Gould summarized the matter. Clark and counsel, Bob Sterenger, addressed the Commission. Burke recused for professional associations. O'Day and Fiskum disclosed a working association with the respondent's employer. The Commission generally discussed. No action taken.

The Commission reconvened into regular session then recessed for a working lunch at 1:13 p.m., and reconvened into executive session at 1:25 p.m. Burke was excused from the remainder of the meeting.

(:01) (recorder timed out)

AGENDA ITEM 114, 18-060LMT, Tom Holt, Lobbyist. Commission reconsidered their previous vote. O'Day moved that the Commission find that the information before the Commission does not indicate a violation of ORS Chapter 171.740 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Edwards, nay; Fiskum, aye; Mason, nay; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed 5 to 2.

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(1:28)

AGENDA ITEM 119, 18-100XMT, Mike Anderson, Chair of the Board, Lane County School District #40.

AGENDA ITEM 120, 18-101XMT, Tim Rogers, Member of the Board, Lane County School District #40.

AGENDA ITEM 121, 18-102XMT, Natalie Smathers, Member of the Board, Lane County School District #40.

AGENDA ITEM 122, 18-103XMT, Dave Eusted, Member of the Board, Lane County School District #40.

AGENDA ITEM 123, 18-104XMT, Lacey Risdal, Member of the Board, Lane County School District #40.

AGENDA ITEM 124, 18-105XMT, Paul Randall, Member of the Board, Lane County School District #40.

Thornicroft summarized the cases. Anderson, Rogers, Smathers, Eusted, Risdal, and Randall did not address the Commission. Shugar moved that the Commission find that there is a substantial, objective basis for believing that Anderson, Rogers, Smathers, Eusted, Risdal, and Randall may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(14:43)

AGENDA ITEM 125, 18-107XMT, Craig Horrell, District Manager, Central Oregon Irrigation District. Thornicroft summarized the case. Horrell did not address the Commission. Mason moved that the Commission find that there is a substantial, objective basis for believing that Craig Horrell may have violated ORS 244.040 and 244.120 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

(18:20)

AGENDA ITEM 127, 18-113XMT, Phil Evans, President, Mosier Fire District Board of Directors.

AGENDA ITEM 128, 18-114XMT, Barb Ayers, Board Member, Mosier Fire District Board of Directors.

AGENDA ITEM 129, 18-115XMT, Joe Sacamano, Board Member, Mosier Fire District Board of Directors.

AGENDA ITEM 130, 18-116XMT, Joanne Rubin, Board Member, Mosier Fire District Board of Directors.

AGENDA ITEM 131, 18-117XMT, Todd Reeves, Board Member, Mosier Fire District Board of Directors.

Thornicroft summarized the cases. Evans, Ayers, Sacamano, Rubin, and Reeves did not address the Commission. Fiskum moved that the Commission find that there is a

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

substantial, objective basis for believing that Evans, Ayers, Sacamano, Rubin, and Reeves may have violated ORS 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

The Commission reconvened into open session at 1:48 p.m.

Miscellaneous Items

(23:12)

AGENDA ITEM 106, Dept. of Energy Policy Review. Bersin and Weedn reviewed the item. Starr moved to approve the Policy as presented. Roll call vote was taken as follows: Edwards, aye; Fiskum, aye; Mason, aye; O'Day, aye; Shugar, aye; Starr, aye; Kean, aye. Motion passed unanimously.

AGENDA ITEM 107, Mission Statement review. Bersin and Alpaugh summarized the Mission Statement and purpose. The Commission agreed no changes necessary.

(32:02)

AGENDA ITEM 108, Ex parte communications policy review. Bersin and Alpaugh reviewed the matter. The Commission briefly discussed the policy.

(39:26)

AGENDA ITEM 109, Penalty Matrix review. Bersin summarized the suggestions for amendments. The Commission discussed the matrix and agreed to table the matter until the next meeting.

AGENDA ITEM 110, Preventing Sexual Harassment training video and Commission workflow presentation was tabled until the next meeting.

AGENDA ITEM 111, 2019 Meeting Schedule. The Commission reviewed the proposed schedule and one change was recommended.

(56:34)

AGENDA ITEM 112, Trainers' Report. Hedrick summarized the training activities for May 14th through June 29th, 2018.

(59:00)

AGENDA ITEM 113, Executive Director's Report. Bersin discussed the following:

- 2017-2019 biennial budget status
- Legislative Concepts: waiting on draft; will be presented at the next Commission meeting.
- SEI 2018 filing with 6 non-filed.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

- Strategic Plan has been submitted for review.
- Website redesign continues.
- 2019-2021 agency request budget due August 1 and will be presented at the next Commission meeting.
- Diane Gould has filled the Compliance 3 vacancy.
- OGEC welcomed two new Commissioners, Sean O'Day and Karly Edwards.
- Email accounts are being set up for Commissioners.
- Investigator Michael Thornicroft is leaving OGEC and moving to a County position.
- Hayley Weedn has been appointed to the Compliance Specialist 2 position.
- OGEC has two positions under recruitment: Investigator 3 and Program Analyst 1.

Chair Kean adjourned the meeting at 2:35 p.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, August 10, 2018, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, in Salem, Oregon.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 16, 2018

Jeff Allen
Forth Mobility
1732 NW Quimby, Suite 240
Portland OR 97209

Dear Mr. Allen:

The written explanation of why your Q2 2018 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the August 10th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2018

Lobbyist Code: 475
User Name: Jeff Allen
Email: jeffa@forthmobility.org

Jeff Allen
1732 NW Quimby, Suite 240
Portland, OR 97209

Q2 2018 Filing: 7/16/2018
Q2 2018 Expended: \$0.00
Q2 2018 Penalty: \$10.00

Activity Log - Q2 2018 to Date

04/07/18 10:16:17.250 - User Successfully Authenticated -
04/07/18 10:16:48.703 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2018
07/09/18 09:31:29.140 - User Successfully Authenticated -
07/12/18 09:04:06.327 - User Successfully Authenticated -
07/12/18 09:14:08.313 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2018
07/15/18 07:24:41.670 - User Successfully Authenticated -
07/16/18 01:30:07.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/16/18 08:10:30.110 - User Successfully Authenticated -
07/16/18 08:16:40.473 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2018

Jeff Allen
(JA)

DANIEL Kathy * OGEC

From: Jeff Allen, Forth <jeffa@forthmobility.org>
Sent: Monday, July 16, 2018 8:25 AM
To: OGEC Mail * OGEC
Subject: FW: Final Quarterly Report Reminder

Dear ethics commissioners,

I called your staff this morning, and they were quite friendly and helpful. They explained that I had not actually filed a Q2 report – I had accidentally amended my Q1 report. Your staff person explained that this happens quite a lot.

This was extremely confusing to me because the automated email I received had the same subject line as every other confirmation email: “Receipt of Quarterly Report.” I confess, the body of the email did say it was for Q1 – but frankly, I have never had any expenses to report, so don’t spend a lot of time closely reviewing these things.

It would be extremely helpful if you could tweak the auto-responder to say something like “Receipt of AMENDED Report” – that would have been a red flag for me.

In any case, I’m all set now and will be more careful in the future. I hope that you can waive the \$10 penalty as this is my first error in the nearly three years I’ve been filing these reports.

Many thanks,

Jeff

FORTH Empowering Mobility

JEFF ALLEN | Executive Director
P: 503.724.8670 x101 | C: 541.490.9021

FORTHMOBILITY.ORG

Join Us at Roadmap 11 on June 19 - 20

From: "Jeff Allen, Forth" <jeffa@forthmobility.org>
Date: Sunday, July 15, 2018 at 7:26 PM
To: <ogec.mail@oregon.gov>
Subject: FW: Final Quarterly Report Reminder

This report was filed on 7/12, confirmation number H5T564U

I received email confirmation that it was received, and it shows as received in your system.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 17, 2018

Elizabeth Craig
2575 Center St. NE
Salem OR 97301

Dear Ms. Craig:

The written explanation of why your Q2 2018 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$20 penalty will be extended to coincide with the August 10th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2018

Lobbyist Code: 631
User Name: craige
Email: elizabeth.a.craig@doc.state.or.us

Q2 2018 Filing: 7/17/2018
Q2 2018 Expended: \$0.00
Q2 2018 Penalty: \$20.00

Elizabeth Craig
2575 Center Street NE
Salem, OR 97301

Activity Log - Q2 2018 to Date

04/06/18 10:05:20.680 - User Successfully Authenticated -
04/06/18 10:16:51.300 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2018
07/16/18 01:30:07.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 01:30:06.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 10:01:30.993 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter LHD
(RP)

DANIEL Kathy * OGEC

From: CRAIG Elizabeth * DAS
Sent: Tuesday, July 17, 2018 10:10 AM
To: DANIEL Kathy * OGEC
Cc: Salber Nancy A; Garcia Marie D
Subject: Waiver Request

Kathy,
Please see below my request to the Commission for a waiver of late filing fees.
Thanks,
Liz

Dear Oregon Government Ethics Commissioners,

I am writing to respectfully request a waiver of civil penalties for late filing of my lobbying report for 2018 Q2.

I was registered as a lobbyist with the Oregon Department of Corrections for several years. My employment with DOC ended on February 26, as I moved to a new position with the Department of Administrative Services as their Communications Director. I have been inactive as a lobbyist with DOC since that time and, unfortunately, was still active in the system for six days of Q2. This is the first time I have filed a report late in several years of lobbying.

I apologize for not attending to this matter sooner – it was not my intent to file late. I thank you for your consideration of waiving the civil penalties.

Sincerely,

Elizabeth Craig
Communications Director
Department of Administrative Services
Office: 503-378-3118
Mobile: 503-877-8287

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 17, 2018

Mark Lewis
1636 SE Marion St.
Portland OR 97202

Dear Mr. Lewis:

The written explanation of why your Q2 2018 Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$40 penalty will be extended to coincide with the August 10th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2018

Lobbyist Code: 133
User Name: lewis_mw
Email: to.marklewis@gmail.com

Q2 2018 Filing: 7/19/2018
Q2 2018 Expended: \$0.00
Q2 2018 Penalty: \$40.00

Mark Lewis
1636 SE Marion St.
Portland, OR 97202

Activity Log - Q2 2018 to Date

04/01/18 07:20:54.220 - User Successfully Authenticated -
04/01/18 07:21:45.430 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2018
06/12/18 08:10:41.013 - User Successfully Authenticated -
07/16/18 01:30:07.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 01:30:06.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/18/18 01:30:06.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/19/18 01:30:06.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/19/18 10:58:26.483 - User Profile Updated - User profile updated for Mark Lewis
07/19/18 10:58:26.490 - User Profile Updated - The user's main profile was updated.
07/19/18 10:59:33.900 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2018
07/19/18 11:05:01.540 - Admin User Reset Initiated - An admin user reset was initiated.
07/19/18 11:05:50.347 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/19/18 11:06:17.307 - User Successfully Authenticated -
07/19/18 11:07:30.213 - User Profile Updated - User profile updated for Mark Lewis
07/19/18 11:07:30.233 - User Profile Updated - The user's main profile was updated.
07/19/18 11:07:43.867 - Admin User Reset Initiated - An admin user reset was initiated.
07/19/18 11:21:23.000 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/19/18 11:21:57.480 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter dtd
RD*

Thursday, July 19, 2018

RECEIVED
JUL 19 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

I am writing to petition for a fee waiver because of a late filing of my Q2, 2018 ethics report.

Though I have consistently filed in a timely fashion for over four years, I missed this filing deadline due to leaving government service on June 14th. I attempted to file my Q2 report at that time, but the system would not allow me to do that. Unfortunately, my email address also changed, so I no longer received any updates or reminders from the system.

Fortunately, an OGCE staff member (Kathy Daniel) called and was a huge help to complete the Q2 filing and change my email contact information.

I appreciate your consideration of this waiver request. Please let me know if I can provide any additional information or justification to support my request.

Thank you!



Mark Lewis
503-720-6884

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd. SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 25, 2018

Dennis Haener
3184 Three Mile Rd.
The Dalles OR 97058

Re: 2018 SEI late filing

Dear Mr. Haener:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 4369
User Name: Referee
Email: ajhaener@gmail.com

2018 Filing: 6/8/2018
2018 Penalty: \$1,010.00

Dennis Haener
3184 Three Mile Road
The Dalles, OR 970582208

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:13.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:12.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:12.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.687 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.517 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:07.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 02:30:07.697 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter sent
(Signature)

DANIEL Kathy * OGEC

From: Nancy Haener <nhaener@gmail.com>
Sent: Saturday, June 23, 2018 1:37 PM
To: DANIEL Kathy * OGEC
Subject: hospital board

RECEIVED
JUN 25 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioner,

I am late to send in my annual report for "2017." The reasons are as follows.

I was appointed again to the hospital board while on vacation. I had asked to not be reappointed, but find that I am on for another year. I have been on this board for over nine years and there has never been a meeting. I will respectfully request that I not be reappointed for "2018."

Thank you,

Dennis G. Haener

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 25, 2018

John Gray
2011 SE Sky View Court
Oak Grove OR 97267

Re: 2018 SEI late filing

Dear Mr. Gray:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 1044
User Name: JOHNGRAY1944
Email: grayj2011@hotmail.com

2018 Filing: 6/19/2018
2018 Penalty: \$1,560.00

JOHN GRAY
2011 SE SKY VIEW COURT
OAK GROVE, OR 97267

2018 Offices Held


No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:11.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:09.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:10.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.340 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.153 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:07.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:07.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:06.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:06.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:07.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:06.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:05.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:06.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:00:36.130 - Seat Vacated - Seat Vacated
06/14/18 01:30:06.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/15/18 01:30:05.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/16/18 01:30:05.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 01:30:05.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/18/18 01:30:06.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/19/18 01:30:05.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/19/18 09:53:17.507 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter sent


June 19, 2018

Government Ethics Commission

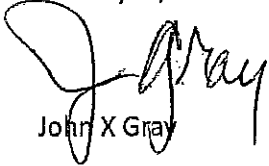
3218 Pringle Rd SE Ste 220

Salem, OR 97302-1544

RECEIVED
JUN 21 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners, I am late in filing my 2018 Statement of Economic Interest Form due to medical problems including hospitalization and surgery. I have previously filed this form timely as a Clackamas County Planning Commissioner. I would ask that you waive the fees for this first time late filing.

Thank you,



John X Gray

1719 SE Oak Shore Lane

Oak Grove, Oregon 97267 (new address)



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 25, 2018

Catherine Monteith
13220 SW Airfield Lane
Culver OR 97734

Re: 2018 SEI late filing

Dear Ms. Monteith:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 5055
User Name: cat22monteith
Email: cat22monteith@aol.com

2018 Filing: 6/13/2018
2018 Penalty: \$1,260.00

CATHERINE MONTEITH
13220 SW AIRFIELD LANE
CULVER, OR 97734

2018 Offices Held


No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:08.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:10.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 03:11:13.233 - User Profile Updated - The user's main profile was updated.
05/29/18 03:11:13.237 - User Profile Updated - User profile updated for CATHERINE MONTEITH
05/30/18 01:30:07.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 11:28:43.850 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter sent


June 14, 2018

RECEIVED
JUN 19 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission

3218 Pringle Rd. SE. #220

Salem OR 97302

Subject: Statement of Economic Interest

Dear Commissioners,

I resigned from the Jefferson County Planning Commission on May 1st and did not realize I needed to file the Statement of Economic Interest document.

I have not been late in the past and would very much appreciate if you could waive the accrued penalties.

Thank you in advance,



Catherine R Monteith

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 31, 2018

Lee Anne Landenberger
295 Spinnaker Way
Columbia City, OR 97018

Re: 2018 SEI late filing

Dear Ms. Landenberger:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 6688
User Name: landencouve@gmail.com
Email: landencouve@gmail.com

2018 Filing: 7/20/2018
2018 Penalty: \$3,110.00

Lee Anne Landenberger
295 Spinnaker Way
Columbia City, OR 97018

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

03/16/18 11:47:14.870 - User Profile Created - User profile created for Lee Anne Landenberger
03/16/18 11:47:15.053 - User Profile Created - A new user profile was created.
03/16/18 11:47:15.087 - SEI Assigned to Seat - SEI Assigned to Seat
05/07/18 01:30:15.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:12.047 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:10.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:09.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:11.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.793 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:10.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.620 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.687 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.760 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:08.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:11.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 04:26:08.103 - User Profile Updated - User profile updated for Lee Anne Landenberger
05/25/18 04:26:08.137 - User Profile Updated - The user's main profile was updated.
05/26/18 01:30:07.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:08.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:09.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:09.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:07.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:08.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/14/18 01:30:06.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/15/18 01:30:06.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/16/18 01:30:05.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 01:30:05.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/18/18 01:30:07.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/19/18 01:30:05.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/20/18 01:30:06.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/21/18 01:30:06.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/22/18 01:30:05.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018

SEI Activity
2018

Code: 6688
User Name: landencouve@gmail.com
Email: landencouve@gmail.com

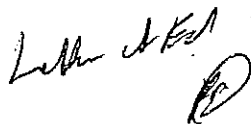
2018 Filing: 7/20/2018
2018 Penalty: \$3,110.00

Lee Anne Landenberger
295 Spinnaker Way
Columbia City, OR 97018

06/23/18 01:30:06.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/24/18 01:30:39.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/25/18 01:30:06.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/26/18 01:30:05.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/27/18 01:30:06.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/28/18 01:30:05.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/29/18 01:30:05.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/30/18 01:30:06.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/01/18 01:30:44.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/02/18 01:30:06.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/03/18 01:30:06.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/04/18 01:30:06.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/05/18 01:30:06.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/06/18 01:30:06.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/07/18 01:30:07.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/08/18 01:30:06.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/09/18 01:30:07.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/10/18 01:30:06.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/11/18 01:30:06.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/12/18 01:30:06.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/13/18 01:30:06.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/14/18 01:30:06.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/15/18 01:30:06.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/16/18 01:30:11.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/16/18 04:53:15.687 - Admin User Reset Initiated - An admin user reset was initiated.
07/16/18 05:03:36.247 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/17/18 01:30:08.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/18/18 01:30:07.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/19/18 01:30:07.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/20/18 01:30:08.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
07/20/18 03:40:34.000 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to K&S


DANIEL Kathy * OGEC

From: Landenberger <landencouve@gmail.com>
Sent: Monday, July 30, 2018 11:42 AM
To: DANIEL Kathy * OGEC
Subject: Fines Planning Commision

RECEIVED
JUL 30 2018
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom It May Concern

Oregon Ethics Commision
Kathy Daniel

I am asking you to forgive my fines, that have accrued as a planning board member of Columbia City Oregon. I have been extremely ill since March 19,2018 and hospitalized three times up until June 20, 2018. I have been in recovery and was able to attend the July 24 Planning Commision Meeting.

Kathy Daniel has explained the process to me and is aware of my predicament. I was not responding to text, emails or phone calls until I began the recovery period by late June.

Thank You

Lee Landenberger
Planning Commision
Columbia City, Oregon
Sent from my iPhone



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 25, 2018

Serena Stoudamire Wesley
900 Court St. NE Ste 160
Salem OR 97301

SENT VIA EMAIL

Dear Ms. Wesley:

The written explanation of why your Q1 and Q2 2018 Lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$110 penalty will be extended to coincide with the August 10th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2018

Lobbyist Code: 880
User Name: SSwesley
Email: serena.m.stoudamire@state.or.us

Serena Stoudamire Wesley
900 Court St. NE Ste160
Salem, OR 973014046

Q1 2018 Filing: 4/16/2018
Q1 2018 Expended: \$0.00
Q1 2018 Penalty: \$10.00

Activity Log - Q1 2018 to Date

01/01/18 01:32:26.390 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/08/18 08:28:33.177 - User Failed to Authenticate -
01/08/18 08:30:31.463 - User Successfully Authenticated -
01/08/18 08:34:05.130 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
01/11/18 02:08:48.370 - User Failed to Authenticate -
01/11/18 02:09:00.290 - User Successfully Authenticated -
01/11/18 02:10:06.447 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2017
03/15/18 11:40:31.647 - User Failed to Authenticate -
03/15/18 11:43:04.240 - User Successfully Authenticated -
04/16/18 01:30:10.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/16/18 11:58:20.370 - User Failed to Authenticate -
04/16/18 11:58:52.837 - User Successfully Authenticated -
04/16/18 12:00:01.433 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2018
04/16/18 12:05:11.450 - User Failed to Authenticate -
04/16/18 12:05:15.257 - User Successfully Authenticated -
07/16/18 01:30:07.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 01:30:06.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/18/18 01:30:06.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/19/18 01:30:06.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/19/18 02:45:49.540 - User Profile Updated - User profile updated for Serena Stoudamire Wesley
07/19/18 02:45:49.543 - User Profile Updated - The user's main profile was updated.
07/20/18 01:30:06.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/21/18 01:30:06.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/22/18 01:30:14.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/23/18 01:30:06.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/24/18 01:30:06.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/25/18 01:30:06.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/25/18 10:01:34.680 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2018
07/25/18 11:26:31.990 - Admin User Reset Initiated - An admin user reset was initiated.
07/25/18 11:27:29.427 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/25/18 11:28:02.373 - User Successfully Authenticated -
07/25/18 11:29:28.570 - User Profile Updated - The user's main profile was updated.
07/25/18 11:29:28.593 - User Profile Updated - User profile updated for Serena Stoudamire Wesley
07/25/18 11:29:47.737 - Admin User Reset Initiated - An admin user reset was initiated.
07/25/18 11:37:53.433 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/25/18 11:38:40.780 - User Successfully Authenticated -

Lobbyist Activity

Q2 2018

Lobbyist Code: 880
User Name: SSwesley
Email: serena.m.stoudamire@state.or.us

Q2 2018 Filing: 7/25/2018

Q2 2018 Expended: \$0.00

Q2 2018 Penalty: \$100.00

Serena Stoudamire Wesley
900 Court St. NE Ste160
Salem, OR 973014046

Activity Log - Q2 2018 to Date

04/16/18 01:30:10.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/16/18 11:58:20.370 - User Failed to Authenticate -
04/16/18 11:58:52.837 - User Successfully Authenticated -
04/16/18 12:00:01.433 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2018
04/16/18 12:05:11.450 - User Failed to Authenticate -
04/16/18 12:05:15.257 - User Successfully Authenticated -
07/16/18 01:30:07.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/17/18 01:30:06.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/18/18 01:30:06.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/19/18 01:30:06.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/19/18 02:45:49.540 - User Profile Updated - User profile updated for Serena Stoudamire Wesley
07/19/18 02:45:49.543 - User Profile Updated - The user's main profile was updated.
07/20/18 01:30:06.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/21/18 01:30:06.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/22/18 01:30:14.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/23/18 01:30:06.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/24/18 01:30:06.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/25/18 01:30:06.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/25/18 10:01:34.680 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2018
07/25/18 11:26:31.990 - Admin User Reset Initiated - An admin user reset was initiated.
07/25/18 11:27:29.427 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/25/18 11:28:02.373 - User Successfully Authenticated -
07/25/18 11:29:28.570 - User Profile Updated - The user's main profile was updated.
07/25/18 11:29:28.593 - User Profile Updated - User profile updated for Serena Stoudamire Wesley
07/25/18 11:29:47.737 - Admin User Reset Initiated - An admin user reset was initiated.
07/25/18 11:37:53.433 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/25/18 11:38:40.780 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: Q4 2016 late 4 days, paid by check.

Q1 2018 late 1 day

RECOMMENDATION:

Q1 letter of Fed
Q2 1/0

DANIEL Kathy * OGEC

From: SToudAMIRE Serena - ODE <serena.m.stoudamire@state.or.us>
Sent: Wednesday, July 25, 2018 11:36 AM
To: DANIEL Kathy * OGEC
Cc: WILKES Karen J - YDD
Subject: Lobby Report

Dear Commissioners,

I was recently appointed to a new position outside of the Governor's office and through the transition I never received my lobbying report nor do I currently have access to my account.

I was late during Q4 2016 as we were transition from paper to computer reporting and I paid the late fees. I am asking for a waiver for the current late fees and I hope that you will please accept my apology in this matter.

Kathy has explain the process and I now know how to proceed in the future.

Again, I apologize and look forward to hearing from you

Thank you

*Serena Stoudamire Wesley
Director, Youth Development Division
Oregon Department of Education
Ofc 503.378.6250/Cell 503.563.2400
Serena.m.stoudamire@state.or.us*



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 20, 2018

American Coatings Association
Attn: Heidi McAuliffe and Marcus James
901 New York Ave. NW
Washington DC 20001-4432

Dear Ms. McAuliffe and Mr. James:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q4 2016, Q1 2017, Q4 2017, Q1 2018 and Q2, 2018 (\$190) penalties will be extended to coincide with the August 15th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2018

Client Code: 885
User Name: akeane@paint.org
Email: hmcauliffe@paint.org

Q2 2018 Filing: 7/16/2018
Q2 2018 Expended: \$11,250.00
Q2 2018 Penalty: \$10.00

American Coatings Association
Attn: Heidi McAuliffe
901 NEW YORK AVE NW
WASHINGTON, DC 200014432

Activity Log - Q2 2018 to Date

04/16/18 01:30:14.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/17/18 01:30:08.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/18/18 01:30:08.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/19/18 01:30:10.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/20/18 01:30:07.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/21/18 01:30:12.517 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/22/18 01:30:10.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/22/18 06:42:08.380 - User Failed to Authenticate -
04/22/18 06:43:58.527 - User Successfully Authenticated -
04/22/18 06:48:17.730 - Client Report Submitted - Client Report Submitted for Q1 2018
07/16/18 01:30:10.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/16/18 03:03:49.170 - Admin User Reset Initiated - An admin user reset was initiated.
07/16/18 03:18:38.697 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/16/18 03:19:02.067 - User Successfully Authenticated -
07/16/18 03:21:46.310 - Client Report Submitted - Client Report Submitted for Q2 2018
07/17/18 02:02:17.127 - User Failed to Authenticate -
07/17/18 02:02:29.737 - User Successfully Authenticated -
07/17/18 02:05:29.627 - User Profile Updated - The user's main profile was updated.
07/17/18 02:05:29.630 - User Profile Updated - User profile updated for Heidi McAuliffe
07/19/18 07:19:29.100 - User Successfully Authenticated -
07/20/18 06:35:43.197 - User Successfully Authenticated -

PREVIOUS VIOLATIONS:	Q4 2016	\$20
	Q1 2017	\$50
	Q4 2017	\$40
	Q1 2018	\$70
	Q2 2018	\$10

RECOMMENDATION:

No reduction (10)

Client/Employer Activity

Q1 2018

Client Code: 885
Client Name: akeane@paint.org
Client Email: hmcauliffe@paint.org

American Coatings Association
Attn: Heidi McAuliffe
901 NEW YORK AVE NW
WASHINGTON, DC 200014432

Q1 2018 Filing: 4/22/2018
Q1 2018 Expended: \$11,250.00
Q1 2018 Penalty: \$70.00

Activity Log - Q1 2018 to Date

01/01/18 01:32:21.603 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/15/18 05:01:23.610 - User Failed to Authenticate -
01/15/18 05:02:34.080 - User Failed to Authenticate -
01/15/18 05:03:43.013 - User Successfully Authenticated -
01/15/18 05:10:46.110 - User Profile Updated - User profile updated for Heidi McAuliffe
01/15/18 05:10:46.380 - User Profile Updated - The user's main profile was updated.
01/16/18 02:58:11.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/17/18 03:00:32.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/18/18 01:30:11.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/19/18 01:30:09.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/19/18 04:15:51.830 - User Failed to Authenticate -
01/19/18 04:17:50.657 - User Successfully Authenticated -
01/19/18 04:26:24.917 - Client Report Submitted - Client Report Submitted for Q4 2017
04/16/18 01:30:14.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
04/17/18 01:30:08.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q1
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04/22/18 06:48:17.730 - Client Report Submitted - Client Report Submitted for Q1 2018
07/16/18 01:30:10.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018 Q2
07/16/18 03:03:49.170 - Admin User Reset Initiated - An admin user reset was initiated.
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07/16/18 03:21:46.310 - Client Report Submitted - Client Report Submitted for Q2 2018
07/17/18 02:02:17.127 - User Failed to Authenticate -
07/17/18 02:02:29.737 - User Successfully Authenticated -
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07/17/18 02:05:29.630 - User Profile Updated - User profile updated for Heidi McAuliffe
07/19/18 07:19:29.100 - User Successfully Authenticated -
07/20/18 06:35:43.197 - User Successfully Authenticated -

Client/Employer Activity

Q4 2017

Client Code: 885
User Name: akeane@paint.org
Email: hmcauliffe@paint.org

Q4 2017 Filing: 1/19/2018
Q4 2017 Expended: \$11,250.00
Q4 2017 Penalty: \$40.00

American Coatings Association
Attn: Heidi McAuliffe
901 NEW YORK AVE NW
WASHINGTON, DC 200014432

Activity Log - Q4 2017 to Date

10/03/17 11:33:32.177 - User Failed to Authenticate -
10/03/17 11:36:28.733 - User Successfully Authenticated -
10/03/17 11:57:03.487 - Client Report Submitted - Client Report Submitted for Q3 2017
01/01/18 01:32:21.603 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/15/18 05:01:23.610 - User Failed to Authenticate -
01/15/18 05:02:34.080 - User Failed to Authenticate -
01/15/18 05:03:43.013 - User Successfully Authenticated -
01/15/18 05:10:46.110 - User Profile Updated - User profile updated for Heidi McAuliffe
01/15/18 05:10:46.380 - User Profile Updated - The user's main profile was updated.
01/16/18 02:58:11.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/17/18 03:00:32.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/18/18 01:30:11.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/19/18 01:30:09.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
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01/19/18 04:17:50.657 - User Successfully Authenticated -
01/19/18 04:26:24.917 - Client Report Submitted - Client Report Submitted for Q4 2017
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07/19/18 07:19:29.100 - User Successfully Authenticated -
07/20/18 06:35:43.197 - User Successfully Authenticated -

Client/Employer Activity

Q1 2017

Client Code: 885
r Name: akeane@paint.org
Email: hmcauliffe@paint.org

Q1 2017 Filing: 4/20/2017

Q1 2017 Expended: \$11,250.00

Q1 2017 Penalty: \$50.00

American Coatings Association
Attn: Heidi McAuliffe
901 NEW YORK AVE NW
WASHINGTON, DC 200014432

07/17/18 02:02:17.127 - User Failed to Authenticate -
07/17/18 02:02:29.737 - User Successfully Authenticated -
07/17/18 02:05:29.627 - User Profile Updated - The user's main profile was updated.
07/17/18 02:05:29.630 - User Profile Updated - User profile updated for Heidi McAuliffe
07/19/18 07:19:29.100 - User Successfully Authenticated -
07/20/18 06:35:43.197 - User Successfully Authenticated -

Client/Employer Activity

Q4 2016

Client Code: 885
User Name: akeane@paint.org
Email: hmcauliffe@paint.org

Q4 2016 Filing: 1/17/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$20.00

American Coatings Association
Attn: Heidi McAuliffe
901 NEW YORK AVE NW
WASHINGTON, DC 200014432

01/17/18 03:00:32.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/18/18 01:30:11.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/19/18 01:30:09.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/19/18 04:15:51.830 - User Failed to Authenticate -
01/19/18 04:17:50.657 - User Successfully Authenticated -
01/19/18 04:26:24.917 - Client Report Submitted - Client Report Submitted for Q4 2017
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07/19/18 07:19:29.100 - User Successfully Authenticated -
07/20/18 06:35:43.197 - User Successfully Authenticated -

DANIEL Kathy * OGEC

From: Heidi McAuliffe <hmcauliffe@paint.org>
Date: Friday, July 20, 2018 12:02 PM
To: DANIEL Kathy * OGEC
Subject: RE: Request: Waiver of late fees

RECEIVED

JUL 20 2018

**OREGON GOVERNMENT
ETHICS COMMISSION**

Dear Ms. Daniel,

I would like to request a waiver of late fees that have been assessed for failure to file quarterly reports on time. Unfortunately, I have been late in filing the quarterly report for several quarters in a row. I want to assure you that there is no intent to evade our responsibility in providing this information to the Ethics Office. I am working on developing a system that will ensure that these reports are filed on time in the future.

I have received your email reminders to submit this report; however, these emails typically fall into my JUNK or CLUTTER file and I don't see them in a timely fashion. This is really the only reason why these filings have been late. As indicated, I will correct this moving forward.

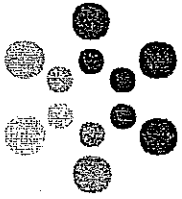
If you are able to waive the late fees or a portion of the late fees, I would appreciate it.

Please let me know if you have any questions.

Best regards,

Heidi K. McAuliffe ▪ American Coatings Association ▪ Vice President, Government Affairs
202- 719-3686 | 202-329-1065 (m) | 202-263-1102 (fax) | hmcauliffe@paint.org | www.paint.org

901 New York Ave. NW, Suite 300 West ▪ Washington, DC 20001
Coatings protect. Coatings preserve. Coatings provide.



American Coatings
ASSOCIATIONSM

RECEIVED
JUL 20 2018
OREGON GOVERNMENT
ETHICS COMMISSION

July 19, 2018

RE: Late Fees

Dear Administrator:

On August 17, 2018, I took over the duty of managing Ethics Filings for our Oregon Lobbyist. I see that currently, we have fines totaling \$190.00. I apologize for the tardiness with our filings.

I would like to request a waiver of 50% of the total fees and we would pay \$95.00 in late fees. Is this possible? Please contact me at 202.719.3698 or mjames@paint.org with your decision.

Thank you for your consideration.

Sincerely,

Marcus James
Administrative Assistant, Government Affairs



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 25, 2018

Tom Neff
671 Marylhurst Cir
West Linn OR 97068

Re: 2018 SEI late filing

Dear Mr. Neff:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 5070
User Name: tomneff3
Email: tomneff@comcast.net

2018 Filing: 5/11/2018
2018 Penalty: \$50.00

Tom Neff
671 Marylhurst Circle
West Linn, OR 97068

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 10:06:58.203 - User Successfully Authenticated -
05/11/18 10:12:43.153 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PAST VIOLATION: 2017 Letter of Education

RECOMMENDATION: *No recommendation* (PD)

HUNTER David * OGEC

From: tom neff <tomneff@comcast.net>
it: Tuesday, June 19, 2018 12:07 PM
To: OGEC Mail * OGEC
Subject: late filing appeal

Dear Ethics Commission,

On April 2 I had an operation for a knee replacement at Legacy Meridian Park Hospital. In the month prior to that I was in a great deal of pain and was not able to check my email for more than a few minutes per day (I get at least 60 emails daily). During my recovery I began wading through them and discovered your notices. On May 11 I realized I was delinquent and immediately filed. If necessary, I can supply your office with a verification of my surgery date.

Sincerely, Tom Neff, West Linn Historic Review Board

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(

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 25, 2018

Roy Davis
PO Box 2679
Brookings OR 97415

Re: 2018 SEI late filing

Dear Mr. Davis:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



PAST VIOLATIONS: 2016 Penalty waived, letter of education
2017 Penalty reduced and he paid \$200

RECOMMENDATION:

HISTORY: For 2016, numerous calls were made but voice mail doesn't accept messages. Paper form was mailed. He returned it Sept 26, 2016.

For 2017, calls and emails went unanswered. The JC was contacted for assistance with reaching Mr. Davis. In June, staff assisted with filing over the phone. Not until October did he send in a letter of explanation.

For 2018, calls were made and still the voice mail box doesn't accept messages. Staff called the JC who said she would send him a text to call in, which he did and staff assisted with filing over the phone.

300⁴ (K)

SEI Activity

2018

SEI Code: 5144
User Name: rooster1960
Email: roydavistx@gmail.com

2018 Filing: 6/18/2018
2018 Penalty: \$1,510.00

Roy Davis
PO Box 2679
Brookings, OR 97415

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:12.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:08.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 04:03:44.797 - User Profile Updated - The user's main profile was updated.
05/21/18 04:03:44.800 - User Profile Updated - User profile updated for Roy Davis
05/22/18 01:30:08.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:10.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.863 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/14/18 01:30:06.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/15/18 01:30:06.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/16/18 01:30:05.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 01:30:05.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/18/18 01:30:06.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/18/18 04:22:55.717 - Late SEI Report Filed - SEI Report Filed Late for year 2018

DANIEL Kathy * OGEC

From: boardwalk <mailing@boardwalkmail.com>
Sent: Wednesday, June 20, 2018 10:52 AM
To: DANIEL Kathy * OGEC
Subject: Commisioner Roy Davis for Port of Brookings Harbor

RECEIVED
JUN 20 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Ethics Board,

Again I have filed late, my Economic Interest information. I apologize for any inconvenience. I am computer illiterate, to say the least. This is my 12th year, elected 3rd, 4 year term. All volunteer time. I have attended over 132 meetings in that time frame and only missed 4 total in same time frame. I want you to know that I am responsible. I know how to follow rules and procedures. It's the electronics that I am not good with. Kathy once again helped me file, Thank you Kathy. I was always on time when we received our Economic Interest forms in the mail. Now we don't even receive a courteous reminder ahead of dead line. I hope you will take into how much volunteer time I have put into my elected terms. I have responded to Kathy when she left message at Port Office and she helped me file. Please take into consideration my responsible track record with the Port, when considering my penalty.

Thank you Ethics Board,

Roy C. Davis
06/20/2018



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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 17, 2018

Buddy Mendive
310 N 6th Street
Nyssa OR 97913

Re: 2018 SEI late filing

Dear Mr. Mendive:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 10, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 1395
User Name: Bmendive8
Email: bmendive@gmail.com

2018 Filing: 5/29/2018
2018 Penalty: \$510.00

Buddy Mendive
310 N 6th Street
Nyssa, OR 97913

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:11.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:10.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.420 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 12:58:21.580 - User Failed to Authenticate -
05/19/18 01:01:39.437 - User Successfully Authenticated -
05/20/18 01:30:08.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.093 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 02:32:04.997 - Admin User Reset Initiated - An admin user reset was initiated.
05/29/18 02:35:09.923 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/29/18 02:35:50.260 - User Successfully Authenticated -
05/29/18 02:39:58.093 - Late SEI Report Filed - SEI Report Filed Late for year 2018
05/29/18 02:45:03.503 - User Profile Updated - The user's main profile was updated.
05/29/18 02:45:03.507 - User Profile Updated - User profile updated for Buddy Mendive

PAST VIOLATIONS: 2017 Letter of Education issued

RECOMMENDATION:

50 *u* *(P)*

RECEIVED

JUL 17 2018

OREGON GOVERNMENT
ETHICS COMMISSION

To whom it may concern:

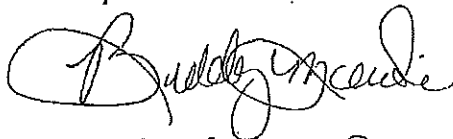
Please accept my apologies for not seeing the emails intended from the ethics commission.

I am resigning my position with the City Council for the City of Nyssa as of ~~August~~ *August*. I do not have the time nor the ability to give of my time and my family's time to spend going through emails. Generally I do not even get on my computer to look through them at all, I volunteer in our community with the youth therefore between juggling my work and my family and volunteering I do not have the time for anything else. I did not receive or have never received any funds from my time on the City Council.

Again please accept my apologies for not seeing any communications concerning this matter.

Thank you

Buddy Mendive



7-13-2018

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

John Miller)

STIPULATED FINAL ORDER

CASE NO. 15-282EDT

- _____)
1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against John Miller.

 2. JURISDICTION: At all material times, John Miller was a member of the board of directors for the Lakeside Rural Fire Protection District. As such, Mr. Miller was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

 3. STIPULATED FACTS:
 - A. In his position as board member of Lakeside Fire District, John Miller signed two documents under the advisement of the fire district's legal counsel. One document, signed along with a majority of other board members on 4/10/15, authorized fire district legal counsel to represent them in any matters involving the Commission. Mr. Miller and other board members also signed individual notices of attorney representation on 5/20/15, which were sent to the Commission to provide notice that fire district legal counsel represented them against complaints in preliminary review and investigation.

- B. John Miller, in acting as a board member of the Lakeside Fire District, was met with a conflict of interest in signing the documents described in paragraph (A), which authorized fire district legal counsel to represent him. Mr. Miller did not publicly disclose the nature of his conflict of interest prior to signing these documents.
- C. At the expense of Lakeside Fire District, Mr. Miller was represented by the fire district's legal counsel during preliminary review in Government Ethics Commission Case No. 15-208XDT.
- D. ORS 244.040(1) prohibits any public official from using their official position or office to obtain financial gain for the public official, other than official salary, honoraria or reimbursement of expenses.
- E. ORS 244.120 requires public officials to disclose conflicts of interest prior to taking official action, which could or would result in financial impact to the public official, a relative of the public official or a business with which the public official or a relative is associated.
- F. The actions described in paragraphs (A) and (C) above constituted a violation of ORS 244.040(1).
- G. The actions described in paragraphs (A) and (B) above constituted a violation of ORS 244.120(2).
- H. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 as a result of these violations.
- I. ORS 244.360 authorizes the Commission to order Mr. Miller to pay a monetary forfeiture of twice the amount of any financial gain Mr. Miller realized as a result of these violations.

- J. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.040(1) and ORS 244.120(2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 8/12/16, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The 8/12/16 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by John Miller.
- B. Mr. Miller was acting in reliance on the public body's legal counsel when the violations occurred and will therefore receive a letter of education, in lieu of the civil penalty authorized by ORS 244.350, in order to settle and compromise this matter.
- C. Mr. Miller will not pay a forfeiture as authorized by ORS 244.360.
- D. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Mr. Miller within the scope of the above-referenced proceedings.
- E. Mr. Miller will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, John Miller agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, John Miller agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



John Miller

17 July 2018

Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Barbara Castillo)

) STIPULATED FINAL ORDER

) CASE NO. 17-068EMT

- _____)
1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Barbara Castillo.
 2. JURISDICTION: At all material times, Barbara Castillo was a City Administrator for the City of Millersburg. Barbara Castillo was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.
 3. STIPULATED FACTS:
 - A. Barbara Castillo's duties as the City Administrator for the City of Millersburg included acting as the property manager for city owned properties. Ms. Castillo rented one of the city owned houses for several years. In her position as property manager, during the four years prior to the complaint being filed in this case, Ms. Castillo used the city issued credit card or made payments from city funds for services and purchases necessary to maintain city property, including the property she herself rented from the city.
 - B. Barbara Castillo retired in 2017 and she planned her city sponsored retirement party, selecting the decorations and food, and committing city funds for their purchase. Following the party, Ms. Castillo kept the decorations for her own personal use.

- C. Ms. Castillo determined amounts and distributed Christmas gifts in 2014, 2015, and 2016 to the City's Planning Commissioners, City Councilors, and City staff, including herself.
 - D. ORS 244.120 requires public officials to disclose conflicts of interest prior to taking official action, which could or would result in financial impact to the public official. Ms. Castillo did not disclose a conflict of interest prior to taking the actions in paragraphs (A), (B), and (C) above.
 - E. The actions described in paragraphs (A), (B), and (C) constitute three violations of ORS 244.120(1)(c).
 - F. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 for each violation.
 - H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.120(1)(c).
4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 5/11/18, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The 5/11/18 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by Barbara Castillo.

- B. Barbara Castillo will pay a civil penalty, as authorized by ORS 244.350, in the amount of \$750 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Barbara Castillo within the scope of the above-referenced proceedings.
- D. Barbara Castillo will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Barbara Castillo agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Barbara Castillo agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Barbara Castillo
Barbara Castillo

7-18-2018
Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Joseph VonDoloski)

) STIPULATED FINAL ORDER

) CASE NO. 17-070EDG

- _____)
1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Joseph VonDoloski.
 2. JURISDICTION: At all material times, Joseph VonDoloski was the Executive Director for the Logos Public Charter School. Joseph VonDoloski was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.
 3. STIPULATED FACTS:
 - A. Western Collegiate Consulting, LLP, (WCC) is a business owned by Joseph VonDoloski. WCC is a professional employer organization (PEO) that leases employees to public charter schools.
 - B. On or before 2/15/17 Joseph VonDoloski selected a retired banker he knew, outside of any formal Board process or public contracting process, to act as the LOGOS financial consultant in creating a cost analysis that showed the savings LOGOS could realize if they contracted with a professional employer organization to supply teachers and other personnel to LOGOS.
 - C. In early 2017, Respondent, in his capacity as executive director, directed the LOGOS HR/Business Manager, to use LOGOS time, financial data,

email, and other public resources, to collaborate on the cost analysis described above in paragraph B.

- D. In early 2017, Respondent, in his capacity as executive director, was contacting other public charter schools about outsourcing employee costs to a professional employer organization to save money. For example, on 2/15/17, he wrote in a LOGOS email that he was "following up with all my contacts regarding becoming a PEO".
- E. On 5/8/17, the Respondent, in his capacity as executive director, provided the LOGOS Board with a 5 year cash flow forecast, a narrative analysis of three options to save money, and his own recommendation to the Board that LOGOS issue a Request for Proposal (RFP) to contract with a professional employer organization to avoid pension costs. In support of his recommendation, Respondent supplied the Board with a forecast of projected savings over the next 5 years if LOGOS used a professional employer organization. These projected savings included salaries and benefits allocated to Respondent and the LOGOS HR/Business Manager, who would be employed by Western Collegiate Consulting rather than LOGOS if the Respondent's business received the contract with LOGOS.
- F. Respondent, in his capacity as executive director, attempted to involve himself in the potential LOGOS Request for Proposal (RFP) process to obtain a professional employer organization, evidenced by LOGOS email he sent on 5/2/17, which suggested to a Board member the makeup of the RFP committee and timeline, and an email he sent on 5/4/17 to the LOGOS attorney concerning LOGOS's advertising of the RFP.
- G. Respondent used LOGOS time and resources to advertise his private business to other charter schools and solicit the schools as clients on the following occasions: 5/1/17, 5/9/17, 5/10/17, 5/11/17, and 5/12/17.

- H. Respondent used LOGOS time and resources to obtain his private business's domain name, email address, webpage, logo design and business cards for himself and the LOGOS HR/Business Manager over several days between 4/18/17 and 5/2/17.
- I. Respondent used LOGOS time and resources on 5/12/17 to obtain information about how his private business could avoid a financial detriment by avoiding labor requirements and prevailing wage laws.
- J. Respondent used LOGOS time and resources on 6/28/17 to obtain insurance services for his private business.
- K. Respondent used the LOGOS Employee Handbook and other LOGOS resources when soliciting workers compensation coverage for his private business on 5/23/17.
- L. Respondent used LOGOS non-public information, time, and resources to prepare and submit a response to the LOGOS Request for Proposal on 6/16/17 on behalf of his private business.
- M. ORS 244.040(1) prohibits any public official from using their official position or office to obtain financial gain for the public official or a business with which the official is associated, other than official salary, honoraria or reimbursement of expenses.
- N. Each of the actions described in paragraphs (B) through (L) above constituted distinct violations of ORS 244.040(1).
- O. ORS 244.120 requires public officials to disclose conflicts of interest prior to taking official action, which could or would result in financial impact to the

public official, a relative of the public official, or a business with which the public official or a relative is associated.

- P. Joseph VonDoloski did not disclose a conflict of interest prior to taking the actions described in paragraphs (B) through (L).
- Q. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$110,000 as a result of these violations.
- R. ORS 244.360 authorizes the Commission to order Joseph VonDoloski to pay a monetary forfeiture due to the financial gain Joseph VonDoloski realized as a result of these violations. The Commission is not seeking a monetary forfeiture.
- S. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.040(1) and ORS 244.120(1)(c).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 5/11/18, the Commission acted to find violations, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The 5/11/18 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by Joseph VonDoloski.

- B. Joseph VonDoloski will pay a civil penalty, as authorized by ORS 244.350, in the amount of \$5,500 in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Joseph VonDoloski within the scope of the above-referenced proceedings.
- D. Joseph VonDoloski will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

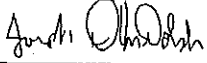
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Joseph VonDoloski agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Joseph VonDoloski agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Joseph VonDoloski

07/09/2018

Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Carole Garrison)
)
)
_____)

STIPULATED FINAL ORDER
CASE NO. 17-094XMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Carole Garrison.

2. JURISDICTION: At all material times, Carole Garrison was a member of the City Council for the City of Prairie City. As the governing body of a public body, the members of the city council are subject to the executive session provisions of Oregon Public meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Carole Garrison participated in an executive session held by the City of Prairie City Council (council) on 8/29/2017. The purpose of the executive session was to discuss the salary of a city employee. The reason cited for the executive session was ORS 192.660(2)(a) and ORS 192.660(3).

 - B. ORS 192.660(2)(a) permits the governing body of a public body to hold an executive session to consider the employment of a public officer, employee, staff member or individual agent.

- C. 192.660(3) allows for labor negotiations to be conducted in executive session only if negotiators for both sides request that negotiations be conducted in executive session.
- D. ORS 192.660(2)(a) permits the topic of one's initial employment with the public body to be discussed in executive session, but not periodic salary discussions with current employees. The purpose of the 8/9/17 executive session was to discuss the salary of one of the City's employees with that employee. The topic of salary negotiation in executive session is explicitly prohibited by OAR 199-040-0020. ORS 192.660(2)(a) permits the topic of one's initial employment with the public body to be discussed in executive session, but not periodic salary discussions with current employees. ORS 192.660(3) was also cited as a lawful basis for the executive session, but only topics permitted by ORS 192.660(2) may be discussed in executive session.
- E. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$1000 as a result of this violation.
- F. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 192.660(2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On June 29, 2018, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The June 29, 2018 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of the executive session provisions of Oregon Public Meetings law by Carole Garrison.
- B. Carole Garrison will receive a letter of education, as authorized by ORS 244.350, in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Carole Garrison within the scope of the above-referenced proceedings.
- D. Carole Garrison will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Carole Garrison agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Carole Garrison agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Carole Garrison
Carole Garrison

July 27, 2018
Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Georgia Patterson)
_____)

STIPULATED FINAL ORDER

CASE NO. 17-095XMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Georgia Patterson.

2. JURISDICTION: At all material times, Georgia Patterson was a member of the City Council for the City of Prairie City. As the governing body of a public body, the members of the city council are subject to the executive session provisions of Oregon Public meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Georgia Patterson participated in an executive session held by the City of Prairie City Council (council) on 8/29/2017. The purpose of the executive session was to discuss the salary of a city employee. The reason cited for the executive session was ORS 192.660(2)(a) and ORS 192.660(3).

 - B. ORS 192.660(2)(a) permits the governing body of a public body to hold an executive session to consider the employment of a public officer, employee, staff member or individual agent.

- C. 192.660(3) allows for labor negotiations to be conducted in executive session only if negotiators for both sides request that negotiations be conducted in executive session.

- D. ORS 192.660(2)(a) permits the topic of one's initial employment with the public body to be discussed in executive session, but not periodic salary discussions with current employees. The purpose of the 8/9/17 executive session was to discuss the salary of one of the City's employees with that employee. The topic of salary negotiation in executive session is explicitly prohibited by OAR 199-040-0020. ORS 192.660(2)(a) permits the topic of one's initial employment with the public body to be discussed in executive session, but not periodic salary discussions with current employees. ORS 192.660(3) was also cited as a lawful basis for the executive session, but only topics permitted by ORS 192.660(2) may be discussed in executive session.

- E. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$1000 as a result of these violations.

- F. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 192.660(2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On June 29, 2018, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The June 29, 2018 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of the executive session provisions of Oregon Public Meetings law by Georgia Patterson.
- B. Georgia Patterson will receive a letter of education, as authorized by ORS 244.350, in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Georgia Patterson within the scope of the above-referenced proceedings.
- D. Georgia Patterson will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.


6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Georgia Patterson agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Georgia Patterson agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Georgia Patterson



Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)
Joe Phippen)
)
)
)
)
_____)

STIPULATED FINAL ORDER
CASE NO. 17-098XMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Joe Phippen.

2. JURISDICTION: At all material times, Joe Phippen was a member of the City Council for the City of Prairie City. As the governing body of a public body, the members of the city council are subject to the executive session provisions of Oregon Public meetings law as set forth in ORS 192.660 and ORS 192.685.

3. STIPULATED FACTS:
 - A. Joe Phippen participated in an executive session held by the City of Prairie City Council (council) on 8/29/2017. The purpose of the executive session was to discuss the salary of a city employee. The reason cited for the executive session was ORS 192.660(2)(a) and ORS 192.660(3).

 - B. ORS 192.660(2)(a) permits the governing body of a public body to hold an executive session to consider the employment of a public officer, employee, staff member or individual agent.

- C. 192.660(3) which allows for labor negotiations to be conducted in executive session only if negotiators for both sides request that negotiations be conducted in executive session.

- D. ORS 192.660(2)(a) permits the topic of one's initial employment with the public body to be discussed in executive session, but not periodic salary discussions with current employees. The purpose of the 8/9/17 executive session was to discuss the salary of one of the City's employees with that employee. The topic of salary negotiation in executive session is explicitly prohibited by OAR 199-040-0020. ORS 192.660(2)(a) permits the topic of one's initial employment with the public body to be discussed in executive session, but not periodic salary discussions with current employees. ORS 192.660(3) was also cited as a lawful basis for the executive session, but only topics permitted by ORS 192.660(2) may be discussed in executive session.

- E. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$1000 as a result of this violation.

- F. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 192.660(2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On June 29, 2018, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The June 29, 2018 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of the executive session provisions of Oregon Public Meetings law by Joe Phippen.
- B. Joe Phippen will receive a letter of education, as authorized by ORS 244.350, in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Joe Phippen within the scope of the above-referenced proceedings.
- D. Joe Phippen will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Joe Phippen agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Joe Phippen agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Joe Phippen

7-27-18

Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 12, 2018

Oregon Coastal Caucus
C/o Senator Arnie Roblan
900 Court St NE, S-417
Salem, Oregon 97301

Advice 18-1621

Dear Senator Roblan:

This letter of advice is provided in response to your request received on July 8, 2018, which presented a question regarding the application of how Oregon Government Ethics law may apply to the participation of public officials in a fact-finding mission, during which food and beverage will be provided at the 2018 Oregon Coastal Caucus Economic Summit.

This analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances you have presented.

On August 22 and 23, 2018, the Oregon Legislative Coastal Caucus is holding its 7th Annual Oregon Coastal Caucus Economic Summit (OCCES). The theme of the 2018 OCCES event is "Student Success: Challenges and Opportunities in Education" and "The Sequestration of Carbon: A Collaborative Approach to Cap, Investment and Green Energy Job Growth" to discuss economic, environmental quality-of-life and educational policy issues that affect the residents of the region.

During this event at the Chinook Winds Casino, the Confederated Tribes of the Siletz will provide and pay for meals. In the request you have asked what restrictions or requirements Oregon Government Ethics law may impose on public officials who may wish to participate in this event.

Invited participants will include legislators as well as a variety of others that represent economic development and education from various state and local jurisdictions. The purpose of this event is to educate and inform state and local government officials as well as regional stakeholders regarding matters affecting the current economic and education conditions facing Oregon's rural and coastal communities. Those who participate will be provided food and beverage expenses.



Senator Roblan
July 12, 2018
Page 2

Under most circumstances when a public official is offered food and beverage at no cost to the public official it would be a gift as defined in ORS 244.020(7)(a). ORS 244.020(7)(b) however, identifies occasions wherein public officials may accept paid expenses for food and beverage.

The exception relevant to your inquiry is described in ORS 244.020(7)(b)(E) which allows the acceptance of payment of admission provided to or the cost of food or beverage consumed by a public official, or a member of the household or staff of the public official when accompanying the public official, at a reception, meal or meeting held by an organization when the public official represents state government as defined in ORS 171.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 171.117.

Based on the information provided, ORS 244.020(7)(b)(E) would allow public officials, relative, member of the household or staff of the public official when accompanying the public official, to accept the payment of food and beverage from the Confederated Tribes of the Siletz.

If you have any additional questions regarding the application of Oregon Government Ethics law please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/th

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

HEDRICK Tammy R * OGEC

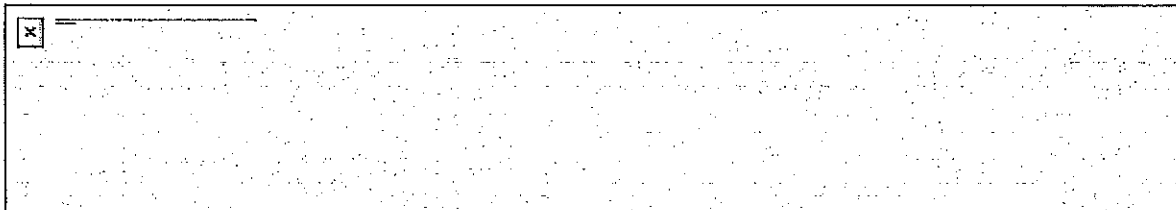
m: Sen Roblan <Sen.ArnieRoblan@state.or.us>
Sent: Sunday, July 08, 2018 5:16 PM
To: BERSIN Ron A * OGEC
Cc: HEDRICK Tammy R * OGEC
Subject: FW: You're Invited to Participate and Engage with us at OCCES 2018
Attachments: OCCES Wednesday 822 Agenda4.docx; OCCES Thursday Agenda2 2018.docx; 2016 OCES Government Ethics Commission.pdf

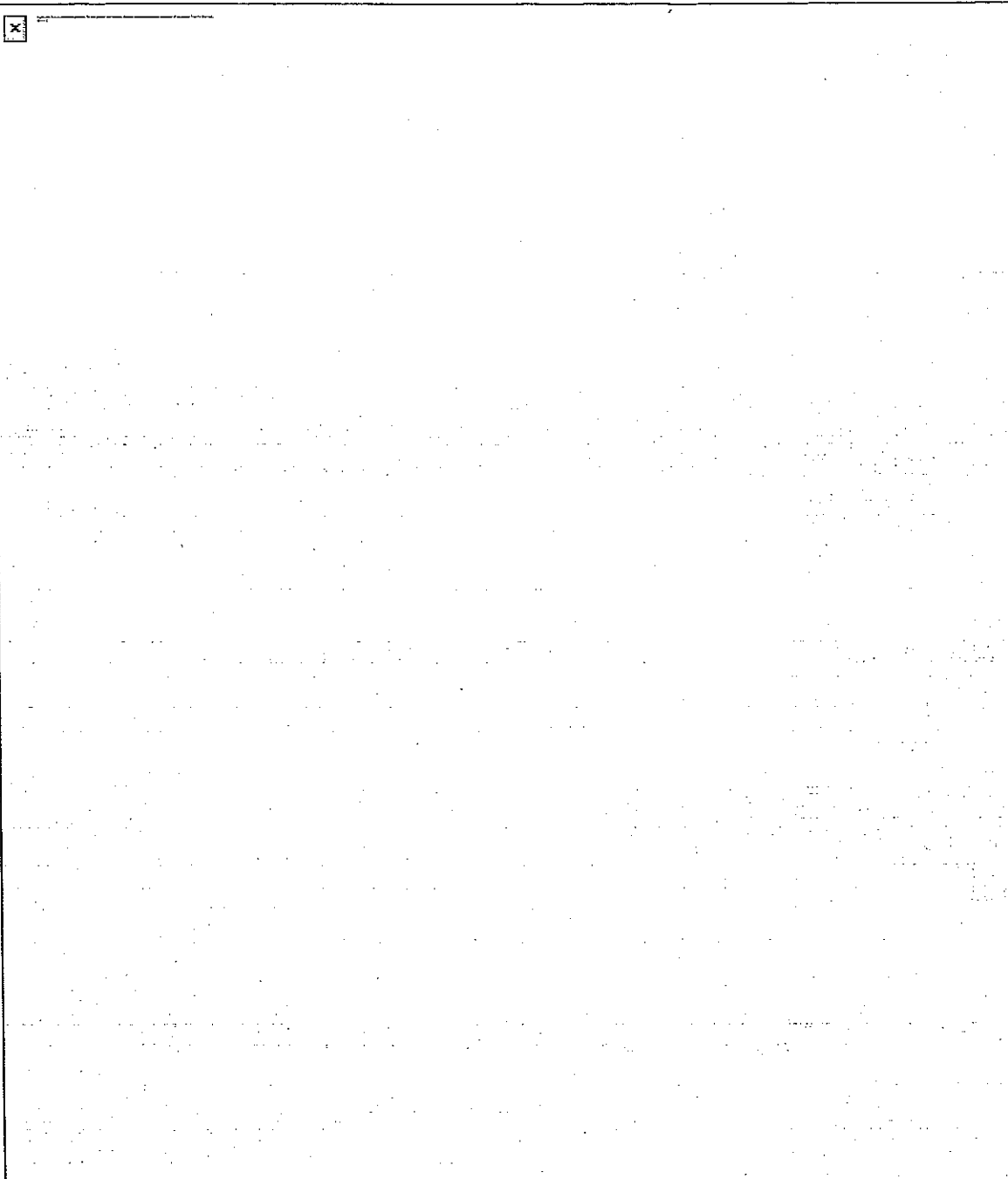
Greetings, The Oregon Legislative Coastal Caucus will hold its 7th Annual Economic Summit on August 22nd and 23rd at the Chinook Winds Casino Resort in Lincoln. This is request for ethics review for this year's Summit. Thanks in advance for your kind consideration. I look forward to hearing from you at your earliest convenience.

Rosie Shatkin
Legislative Policy Advisor
Sen. Arnie Roblan, SD 5
(Coos, Douglas, Lane, Lincoln,
Tillamook, Polk and Yamhill Counties)
Office: 503.986.1705
Website: <http://www.oregonlegislature.gov/roblan>

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Facebook





**Join Oregon Legislative Coastal Caucus
Members at the 2018 Annual Summit in
Lincoln City, Oregon**

The Oregon Legislative Coastal Caucus is pleased to invite you to the 7th Annual Oregon Coastal Caucus Economic Summit (OCCES), Wednesday and Thursday, August 22 and 23, at Chinook Winds Casino & Resort, Lincoln City.

This year's Summit is being presented in partnership with the Confederated Tribes of the Siletz Indians. As members of the nine federally recognized Indian tribes native to Oregon and one of our states' largest employers, the Confederated Tribes of the Siletz Indians have made significant

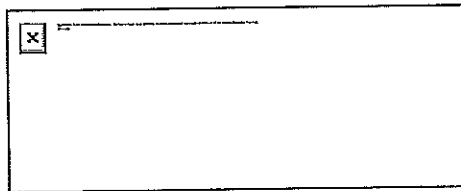
contributions to improving the quality of life for residents, particularly in our rural areas. Oregon Indian tribes have a special interest in, and a unique perspective on, the health of our rural and coastal region's economy and the impact of our state's educational policies. We are grateful for their participation in, and support of, this year's Summit.

Two critical themes share the platform at this year's Summit; "Student Success: Challenges and Opportunities in Education" and "The Sequestration of Carbon: A Collaborative Approach to Cap, Investment and Green Energy Job Growth."

The Economic Summit will be another innovative collaboration and networking opportunity among our congressional and state legislative leadership, businesses and local government partners. Successful Economic development efforts are dependent on our community's attendance and participation at this year's Summit. Local Community members have a vital role to play in sustaining and contributing to the very constructive discussions, dialogues and solutions that have become a hallmark of the OCCES.

Event Details and Registration

When: Wednesday and Thursday, August 22 - 23



Where: Chinook Winds Casino Resort, Lincoln City

Who: Anticipated attendance of over 500 decision-makers and stakeholders is expected, including congressional, state, local and tribal leaders, public policy advocates, academics and private sector partners. They will participate in informative panel discussions on a wide range of topics.

Registration: Breakfast and lunch will be provided at OCCES on Wednesday and Thursday, August 22 - 23, at the Chinook Winds Casino. Participants are responsible for their own travel and lodging costs.

Reception: The Taste of the Oregon Coast - one of the highlights of the Summit - is scheduled for 5:30 - 7:30 p.m., on Wednesday, August 22. The event includes sampling of excellent Oregon coast food and beverage.

Reserve your Room Today!

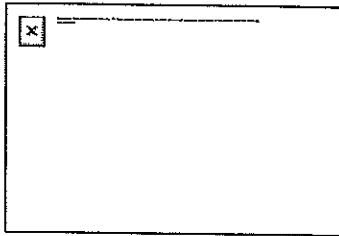
For reservations at the Chinook Winds Casino Resort, the number is 541-994-3655. Please reference the Coastal Caucus - Oregon Coast Economic Summit 2018 (OCCES28) for the block room discounted rate.

Additional hotel information for lodging located near CWCR:

Surftides (oceanfront): 541-994-2191 An Oceanfront Retreat in the Heat of Lincoln City: Spacious oceanfront rooms, local seafood onsite at MIST Restaurant & Lounge, an indoor hot tub and pool when you can't beat the rain, there's a little something for everyone

Liberty Inn (not direct oceanfront) – within 2 minutes of CWCR and has 24 hour shuttle to Casino: 541-994-1777

Ashley Inn (non-oceanfront – located on Highway 101) – within a few minutes of CWCR: 541-996-7500



Sponsorship Opportunity

To ensure this crucial gathering of statewide leaders and policy professionals can address the critical issues facing our coastal-rural communities, we need your sponsorship support and participation at OCCES18. The event is scheduled on Wednesday

and Thursday, August 22 and 23, at the Chinook Winds Casino & Resort, in Lincoln City.

Coastal Caucus members also have been busy focusing on several high-priority issues for the 2019 Legislative Session and looking to lead a number of initiatives to advance our region's economy on issues regarding: housing, workforce development, transportation, water, broadband, building codes and cannabis policies.

Your generous sponsorship provides:

- **Complimentary Registration** for regional business and civic leaders, local officials, legislators and public agency representatives to explore and act on the issues impacting our region;
- **Direct networking opportunities** for all attendees to explore pathways to regional and collaborative solutions;
- **Increased awareness** about the successes and continuing challenges our region faces;
- **Opportunities to share research** and information concerning regional perspective with legislators; and
- **Unique engagement forums** to provide input on 2019 Coastal Caucus legislative concept development and task forces.

Become a sponsor of OCCES18 and take advantage of this exceptional opportunity to share your interest in coastal-rural economic development. For more information, call our office.

Please make check payable to: Coastal Caucus Economic Summit, Mail to: Confederated Tribes of Siletz, C/O Brenda Bremner, GM, PO Box 549, Siletz, OR 97380

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 25, 2018

Chane Griggs
Salem Planning Commission
260 Washington St S
Salem, Oregon 97302

Advice 18-1681

Dear Ms. Griggs:

This letter of advice is provided in response to your request received on July 17, 2018 which presented a question regarding the application of Oregon Government Ethics law regarding statutory conflicts of interest. This analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances you have presented.

You indicate in your request for guidance that you are currently serving on the City of Salem Planning Commission (Commission). Additional information provided indicates that your spouse, Jim Griggs was a partner in the Saalfeld Griggs business law firm (Firm). One of the areas of the Firm's practice is real estate & land use. The Real Estate & Land Use Group provides legal guidance regarding urban and rural land use and development matters. The Firm represents individuals and businesses (clients) who may come before the City of Salem Planning Commission.

As of December 31, 2017, your spouse retired from the Firm and subsequently sold his business shares to the Firm. Your spouse receives a salary continuation as part of a contract with the Firm.



The question you asked is, now that your spouse is no longer a partner in the Firm, would you still be met with statutory conflicts of interest if the Firm represented clients before the Commission?

Oregon Government Ethics law defines two types of statutory conflicts of interest, "actual" [ORS 244.020(1)] and "potential" [ORS 244.020(13)]. The difference between an actual conflict of interest and a potential conflict of interest is determined by the personal financial effect of the words "would" and "could".

An actual conflict of interest occurs when a public official participates in an official action that would have a certain financial impact on that public official, a relative, or any business with which the public official or their relative is associated. A potential conflict occurs when a public official takes action that could possibly have a financial impact on the public official, a relative, or any business with which the public official or their relative is associated.

On each occasion you are met with a statutory conflict of interest, you must determine if the matter gives rise to an "actual" or a "potential" conflict of interest, you will then be required publicly state the nature of the conflict. If met with an actual conflict of interest, prior to taking any action, publicly disclose the nature of the conflict after which you would be required to refrain from any further participation or from voting on the issue. If met with a potential conflict of interest, you would need to publicly disclose the nature of the conflict prior to taking any official actions on the matter. [ORS 244.120(2)(a) & (b)(A)]

As a Planning Commissioner, you are required to file an Annual Verified Statement of Economic Interest (SEI). [ORS 244.050(1)(k)].

ORS 244.020(3)(d) states that for public officials required to file a statement of economic interest, any business listed as a source of income by the public official is business with which the public official is associated. Public officials must disclose on their SEIs the sources of income that comprise 10% or more of their household's annual income. If the Firm provides 10% or more of your household's annual income, it is a business with which you are associated. Therefore, you would be met with a conflict of interest when taking official action as a Planning Commissioner that "would" or "could" financially impact the Firm, even if it does not financially impact you or your spouse.

A conflict is presented if your official action would or could financially impact the Firm. As such, while acting in your official capacity as a Commissioner, there may be circumstances in which matters will come before you that you will need to determine if the action, decision or recommendation you take "would" or "could" be

to the private pecuniary benefit or detriment of the Firm, a "business with which you are associated".

As to the Firm representing a client before the Planning Commission, and if the client is not a person or business with which you or your relative are associated, it would not appear that you would be met with a conflict of interest when taking official actions on the client's matter. Because the Firm remains a business with which you and your relative are associated, if the Firm itself has a matter before the Planning Commission, you would be met with a conflict of interest and would have to comply with the provisions in ORS 244.120(2) as described above.

It is important to note that this analysis is based on the assumption that any official actions which you might have the opportunity to perform as a member of the Salem Planning Commission would not result in either a pecuniary benefit or detriment to Saalfeld Griggs, which is a business with which you are associated. If an official action would or could result in a private pecuniary benefit or detriment for Saalfeld Griggs, then you would be faced with a conflict of interest.

If you have any additional questions regarding the application of Oregon Government Ethics law please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

Enclosure

RAB/th

****DISCLAIMER****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

HEDRICK Tammy R * OGEC

From: BERSIN Ron A * OGEC
Sent: Tuesday, July 17, 2018 8:56 AM
To: HEDRICK Tammy R * OGEC
Subject: FW: Requesting your opinion

Please write a letter of advice under my signature. Thanks

Ronald A. Bersin
Executive Director
Oregon Government Ethics Commission
(503) 378-5105

***The Oregon Government Ethics Commission believes your comments are important to our success. We would appreciate you taking a few minutes to participate in a brief survey. [Click here to access customer survey.](#)

From: LUTZ Virginia * OGEC On Behalf Of OGEC Mail * OGEC
Sent: Monday, July 16, 2018 3:25 PM
To: BERSIN Ron A * OGEC <Ron.A.Bersin@oregon.gov>
Subject: FW: Requesting your opinion

From: Chane Griggs [<mailto:chanegriggs@gmail.com>]
Sent: Monday, July 16, 2018 3:05 PM
To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>
Cc: Chane Griggs <chanegriggs@gmail.com>
Subject: Requesting your opinion

Dear OGEC,

I am currently serving on the Salem Planning Commission and am writing to ask your advice on whether or not I need to continue to recuse myself from certain cases.

Up to this point, I have recused myself from cases for which Saalfeld Griggs is representing the applicant because my husband, Jim Griggs, was a partner in the firm.

As of December 31, 2017, Jim retired from the firm. He has sold his business shares to the firm. His salary continuation is a contract that is not dependent on the firm's revenue.

Will you let me know if I can fully participate on Planning Commission or if you have additional questions that will help you guide me?

Thank you so much for your time and please give Ron my best.

Chane

Trainers' Report August 10, 2018

This report covers the time period of June 29, 2018, through August 9, 2018.

Completion of training:

- Central Oregon Irrigation District – ORS 244 (Online)
- Columbia County – ORS 244 (St. Helens)
- Department of Administrative Services – ORS 244 (Salem)
- Oregon Employment Department – ORS 244 (Salem)
- Oregon Association of School Business Officials – ORS 244 (Bend)
- Oregon Health Authority – ORS 244 (Portland)

Upcoming Trainings:

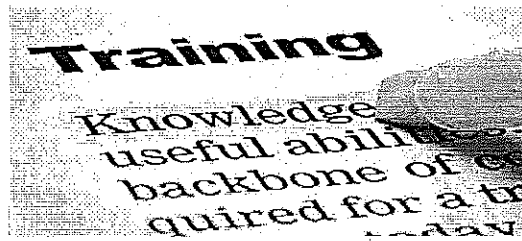
<u>Date</u>	<u>Time</u>	<u>Public Body (Topic)</u>	<u>Address</u>
8/13/2018	2:00 – 3:30 PM	Oregon Marine Board (ORS 244 & ORS 192.660)	Oregon Marine Board 435 Commercial Street NE STE 400 Salem OR 97301
8/21/2018	3:30 – 4:00 PM	Oregon Health Authority- New Employee Orientation (ORS 244)	Department of Human Services 500 Summer Street Room 137A Salem OR 97301
9/12/2018	9:00 – 10:30 AM	Metro (ORS 244)	Metro Regional Center 600 NE Grand Ave Portland OR 97232
9/20/2018	10:00 – 2:45 PM	Oregon Municipal Recorders Association (ORS 244)	Holiday Inn, PDX Airport 8439 NE Columbia Boulevard Portland, OR 97220

10/24/2018	9:30 – 11:00 AM	Construction Contractors Board (ORS 244)	201 High St. SE Salem, OR 97301
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Upcoming Conferences:

9/27/2018	11:00 – 12:00 PM	Oregon Justice of the Peace Association (ORS 244)	Linn County Expo Willamette Conference Center 3700 Knox Butte Rd Albany, OR 97322
10/25/2018		Oregon Fire District Directors (ORS 244)	Riverhouse Convention Center 2850 NW Rippling River Ct Conference Room TBD Bend OR 97703

Training Staff: Tammy Hedrick 503-378-6802 tammy.r.hedrick@oregon.gov



August 2018

Oregon Government Ethics Commission AdobeConnect Webinar Training Calendar

Monday	Tuesday	Wednesday	Thursday	Friday
		1 New Employees: you're a public official, now what! 2:00 – 3:00 PM	2	3
	6 Email ogec.training@oregon.gov to register for a webinar.	7 Conflicts of Interest 10:30 – 11:30 AM	8	9 COMMISSION MEETING
13	14	15	16 Executive Session 2:20 – 3:00 PM	17
20 Use of Position/Office 2:30 – 3:30 PM	21	22	23 Gifts 10:30 – 11:30 AM	24
27	28 Lobby law 2:30 – 3:30 PM	29	30	31

Executive Director's Report

August 10, 2018

- Budget
 - 2017-19 biennial budget
 - Biennial financial plan incorporated in monthly BRIO reports.
 - Currently projected with a \$172,296.00 surplus.
 - Expenditures through March, \$103,851.56 spent per month, \$121,585.69 average to spend per month.
 - 2019-21 biennial Budget
 - Submitted timely Agency Request Budget.
 - \$2,958,949 biennial budget requested.
 - No Policy Packages, a current service level request budget.
- Legislative Concepts
 - Sent back to Legislative Counsel for re-writes.
 - Waiting on re-writes from Legislative Counsel.
- SEI
 - Continue to work non-filers.
 - Continue to work past year non-filers.
 - As of July 31, only 3 SEI filers have not filed.
- Other
 - Website redesign project continues. User Acceptance Testing (UAT) completed. Final stages are being planned.
 - Beginning interviews for Program Analyst 1 (Trainer) and Investigator 3 positions.
 - Email accounts set up for Commissioners.
 - Quarter 2, 2018: Lobbyists non-filers-4, Clients-5

