

**OREGON GOVERNMENT ETHICS COMMISSION**

**MEETING MINUTES**

**November 17, 2017**

9:00 a.m.

(:01)

Chair Dan Golden called the meeting to order at 9:00 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Chuck Lee, Richard Burke, Nathan Sosa, Charles Starr, Alison Kean, Daniel Mason, David Fiskum, and Kamala Shugar. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Training Coordinator Marie Scheffers, Investigator Diane Gould, Investigator Michael Thornicroft and Administrative Specialist Kathy Daniel.

Commissioner Sosa introduced Casey Fish, Portland State University Law student.

(1:53)

AGENDA ITEM 1, Approval of the minutes of the October 6, 2017 Commission meeting. Chair Golden called for approval of the minutes of the October 6, 2017 Commission meeting. The minutes were approved without correction.

**CONSENT CALENDAR**

(2:20)

Items 9, 14 and 16 were removed from the Consent Calendar for discussion.

Burke moved for the approval of the balance of the Consent Calendar items and the staff recommendation on each as follows:

Reports of Investigation

None.

Lobbyist Penalty Correspondence

AGENDA ITEM 2, Anthony Taylor, 2<sup>nd</sup> qtr 2017, Letter of Education

AGENDA ITEM 3, Dana Hepper, 2<sup>nd</sup> qtr 2017, Letter of Education

AGENDA ITEM 4, Thomas Perrick, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 5, Sadie Carney, 3<sup>rd</sup> qtr 2017, Letter of Education

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

AGENDA ITEM 6, Sal Peralta, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 7, Jen Hernandez, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 8, Kenneth Yates, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 10, Samantha Klausen, 3<sup>rd</sup> qtr 2017, Letter of Education

Lobbyist Client Penalty Correspondence

AGENDA ITEM 11, Compassionate Oregon, 4<sup>th</sup> qtr 2016, Letter of Education

AGENDA ITEM 12, Silver Falls School District 1<sup>st</sup> qtr 2017, Letter of Education

AGENDA ITEM 13, Swallowtail Spirits LLC, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 15, National Psoriasis Foundation, 2<sup>nd</sup> and 3<sup>rd</sup> qtr 2017,  
Letter of Education

AGENDA ITEM 17, Northwest Treeplanters and Farmworkers United, 2<sup>nd</sup> qtr 2016,  
Letter of Education

AGENDA ITEM 18, Trillium Family Services, 4<sup>th</sup> qtr 2016, Letter of Education

AGENDA ITEM 19, Independent Party of Oregon, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 20, North Central Education Service Dist. 3<sup>rd</sup> qtr 2017,  
Letter of Education

AGENDA ITEM 21, Northwest Permanente, 3<sup>rd</sup> qtr 2017, Letter of Education

AGENDA ITEM 22, Expedia, Inc., 3<sup>rd</sup> qtr 2017, Letter of Education

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 23, Darin Fowler, 2017, Letter of Education

AGENDA ITEM 24, Jim Whitlock, 2017, Letter of Education

AGENDA ITEM 25, Michael Maffei, 2017, Letter of Education

AGENDA ITEM 26, Jeffrey Payne, 2017, Letter of Education

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

AGENDA ITEM 27, Jill Prewitt, 2017, Letter of Education

AGENDA ITEM 28, Michael Smith, 2017, Letter of Education

AGENDA ITEM 29, Sam Robinson, 2017, Letter of Education

Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

End of Consent Calendar

Lobbyist Penalty Correspondence

(4:00)

AGENDA ITEM 9, Clyde Saiki, Q3 2017, Letter of Education. Shugar and Golden abstained from the vote citing personal working relationship. Fiskum moved to accept recommendation of Letter of Education for late filing of the Q3 2017 expenditure report. Roll call vote was taken as follows: Burke, aye; Kean, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Shugar, abstained; Golden, abstained. Motion passed 7-0 with 2 abstentions.

Lobbyist Client Penalty Correspondence

AGENDA ITEM 14, JPMorgan Chase Holdings LLC, Q3 2017, Letter of Education. Golden abstained from the vote citing personal working relationship. Fiskum moved to accept recommendation of Letter of Education for late filing of Q3 2017 expenditure report. Roll call vote was taken as follows: Burke, aye; Kean, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Shugar, aye; Golden, abstained. Motion passed 8-0 with 1 abstention.

AGENDA ITEM 16, Oregon Department of Justice, Q3 2017, Letter of Education. Shugar abstained from the vote citing personal working relationship. Burke moved to accept recommendation of Letter of Education for late filing of Q3 2017 expenditure report. Roll call vote was taken as follows: Burke, aye; Kean, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Shugar, abstained; Golden, aye. Motion passed 8-0 with 1 abstention.

Lobbyist Penalty Correspondence

(7:30)

AGENDA ITEM 30, Ramon Ramirez, Q2 and Q3, 2016, and Q1, 2017. Letter of Education for Q2 2016; \$30 Q3 2016; \$120 Q1 2017. Bersin summarized his recommendation. The Commission briefly discussed the matter. Burke moved to issue a Letter of Education for

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

late filing of all 3 quarters. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

#### Lobbyist Client Penalty Correspondence

(18:10)

AGENDA ITEM 31, Newberg School District, Q3 & Q4 2016, and Q2 2017. Letter of Education Q3 2016; \$30 Q4 2016; \$120 Q2 2017. Bersin summarized his recommendation. The Commission briefly discussed the matter. Kean moved to issue a Letter of Education for late filing of all 3 quarters. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, nay; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed 8 – 1.

(27:14)

AGENDA ITEM 32, Trillium Public Charter School, Q3 2017, \$50. The Commission briefly discussed the matter. Burke abstained from the vote citing personal working relationship. Sosa moved to accept recommendation of \$50 for the late filing of the Q3 2017 expenditure report. Roll call vote was taken as follows: Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Burke, abstained; Golden, aye. Motion passed 8-0 with 1 abstention.

(28:45)

AGENDA ITEM 33, Oregon Residential Providers Association, Q 2 and Q4, 2016. Letter of Education Q2 2016; \$20 Q4 2016. Bersin summarized his recommendation. Lee moved to accept the recommendation, then withdrew. Burke moved to amend the recommendation and issue Letter of Education for both quarters. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

AGENDA ITEM 34, Workday, Q2 and Q3 2017, \$40, no waiver. Bersin summarized his recommendation. Starr moved to amend the recommendation and issue Letter of Education for both quarters. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

AGENDA ITEM 35, The US Oncology Network, Q1, Q2, and Q3 2017. Letter of Education for Q1; \$450 for Q2; \$80 for Q3. Bersin summarized his recommendation. Kean moved for Letter of Education for all three quarters. The Commission generally discussed the matter. Kean amended her motion to accept staff recommendation of Letter of Education for Q1; \$450 for Q2; \$80 for Q3 2017. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, nay; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed 8-1.

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

(46:00)

AGENDA ITEM 36, TransUnion, Q4 2016, Q1 and Q2, 2017. \$40 Q4 2016; \$500 Q1 2017; \$130 Q2 2017. Bersin summarized his recommendation. Sosa moved to adopt staff recommendation of \$40 Q4 2016; \$500 Q1 2017; \$130 Q2 2017. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

Statement of Economic Interest Correspondence

(48:45)

AGENDA ITEM 37, Roy Davis, Letter of Education for 2016 and \$200 for 2017. The Commission generally discussed the matter. Burke moved to reduce the penalty to \$100 for 2017, then withdrew the motion. Mason moved to accept the staff recommendation of Letter of Education for 2016 and \$200 for 2017. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, nay; Mason, aye; Sosa, nay; Starr, aye; Fiskum, aye; Lee, nay; Golden, nay. Motion passed 5 – 4.

(56:49)

AGENDA ITEM 38, Jerry Woodfin, Letter of Education for 2016 and \$500 for 2017. The Commission generally discussed the matter. Burke moved to issue a Letter of Education for 2016 and reduce penalty to \$200 for 2017. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

**The Commission recessed at 10:03 a.m. and reconvened into regular session at 10:11 a.m.**

Stipulated Final Orders

(1:03:00)

AGENDA ITEM 39, 12-166EDT, Fred Meserle

AGENDA ITEM 42, 16-136EMT, Fred Meserle, Beaver Slough Drainage District Board of Supervisors. Thornicroft summarized the cases. Meserle did not address the Commission. The Commission generally discussed the matter. Starr moved that the Commission approve the proposed stipulated final orders as the final orders in these matters and that the Chairperson be authorized to sign them as such. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(1:14:50)

AGENDA ITEM 40, 14-190EDT, John A. Kitzhaber, former governor of Oregon. Counsel Jennifer Roberts addressed the Commission on behalf of Kitzhaber. Scheffers summarized the matter. Golden reviewed the Oregon Government Ethics Commission's

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

jurisdiction as it relates to this case. The Commission discussed the matter and presented questions to Roberts for clarification. Kean moved to reject the Stipulated Final Order presented to the Commission and proceed with the Report of Investigation. Roll call vote was taken as follows: Burke, aye; Kean, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Shugar, abstained; Golden, nay. Motion passed 7 – 1 with 1 abstention.

**The Commission recessed for a working lunch at 12 p.m. and reconvened into regular session at 12:20 p.m.**

(:01) Recorder reset during the break.

AGENDA ITEM 41, 15-115EDG, Fred Clauson, Lakeside Rural Fire Protection District Board of Directors. Gould summarized the case. Clauson did not address the Commission. Shugar moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(2:45)

AGENDA ITEM 43, 17-047MT, Mike Dolan, Councilor, City of St. Paul. Thornicroft summarized the case. Dolan did not address the Commission. Starr moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(4:50)

**The Commission convened into executive session at 12:25 p.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and 192.685(1), to consider possible own-motion reviews pursuant to 244.260(2)(d) and to conduct the annual Executive Director performance review pursuant to ORS 192.660(2)(i).**

### EXECUTIVE SESSION CONSENT CALENDAR

#### Reports of Preliminary Review

(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

### OTHER ITEMS

Reports of Preliminary Review  
(removed from consent calendar)

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/commissionmeet.aspx>.

---

(4:50)

AGENDA ITEM 54, 17-060DG, Dewayne Forrest, Lakeside Rural Fire Protection District Training Officer. Gould summarized the case. Forrest did not address the Commission. Kean moved that the Commission find that there is a substantial, objective basis for believing that Dewayne Forrest may have violated ORS Chapter 244.040(1) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(12:20)

AGENDA ITEM 55, 17-061DG, Michael Roberts, Lakeside Rural Fire Protection District Volunteer Firefighter. Gould summarized the case. Roberts did not address the Commission. Fiskum moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(14:10)

AGENDA ITEM 56, 17-067DG, Kenneth Baysinger, Oregon City Canemah Neighborhood Association and Historic Review Board Member. Gould summarized the case. Baysinger did not address the Commission. Burke moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(15:50)

AGENDA ITEM 57, 17-068MT, Barbara Castillo, former Millersburg City Administrator and Recorder. Thornicroft summarized the case. Castillo (husband, present) addressed the Commission. Burke moved that the Commission find that there is a substantial, objective basis for believing that Barbara Castillo may have violated ORS Chapter 244.040 and 244.120 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(29:05)

AGENDA ITEM 58, 17-070DG, Joseph Vondoloski, former Executive Director LOGOS Public Charter School. Gould summarized the case. Vondoloski addressed the Commission by telephone. Lee moved that the Commission find that there is a substantial, objective basis for believing that Joseph Vondoloski may have violated ORS Chapter 244.040, 244.047 and 244.120(1)(c) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye;

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(37:30)

AGENDA ITEMS 59 – 65, City of Union

- o 17-073MT, Leonard Flint, Mayor
- o 17-075MT, Randy Knop, City Councilor
- o 17-076MT, Jack Blackburn, City Councilor
- o 17-077MT, Matthew Later, City Councilor
- o 17-078MT, John Farmer, City Councilor
- o 17-079MT, Walt Brookshire, City Councilor
- o 17-080MT, Susan Hawkins, City Councilor

Thornicroft summarized the cases. Sarah Henderson, Council, City of Union, addressed the Commission. Sosa moved that the Commission find that there is a substantial, objective basis for believing that Flint, Knop, Blackburn, Later, Farmer, Brookshire, and Hawkins may have violated ORS Chapter 192.660 and that the Commission should investigate accordingly. Roll call vote was taken as follows Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(50:35)

AGENDA ITEM 66, 17-083MT, Jennifer Stephens, Assistant Building Director, Lake County Building Department. Stephens did not address the Commission. Golden abstained from the vote citing personal working relationship. Fiskum moved that the Commission find that there is a substantial, objective basis for believing that Jennifer Stephens may have violated ORS Chapter 244.040 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye. Golden abstained. Motion passed 8-0 with 1 abstention.

Preliminary Review Stipulated Final Orders  
(discussion only, action in open session)

None.

Own Motion Preliminary Reviews

(57:05)

AGENDA ITEM 67, Possible own-motion preliminary review re Randall Ray Brown, former Multnomah County Animal Control Manager. Fiskum moved that the Commission conduct a preliminary review to determine if there is cause to investigate whether Randall Ray Brown has violated ORS Chapter 244. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/commissionmeet.aspx>.

---



Other Items  
(non-action informational only items)

(58:58)

AGENDA ITEM 68, Summary of Pending Cases. The Commission reviewed the summary of pending cases without comment.

(59:13)

AGENDA ITEM 69, Annual Executive Director Performance Review. The Commission conducted their annual Executive Director performance review.

**The Commission reconvened into open session at 1:35 p.m.**

Default Final Orders

None.

Contested Case Proposed Final Orders

None.

Reports of Investigation

(1:10:00)

AGENDA ITEMS 44 – 46, City of Jefferson

- o 17-140EDG, Brad Cheney, Councilor
- o 17-141EDG, Stan Neal, Councilor
- o 17-142EDG, Bob Burns, Councilor

Gould summarized the cases. Cheney, Neal and Burns did not address the Commission. Kean moved that the Commission find that the information before the Commission is insufficient to infer a violation of ORS Chapter 244 or warrant further investigation and that these complaints be dismissed. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

(1:15:00)

AGENDA ITEM 47, 17-153EDG, Roger Thompson, Port of Brookings Harbor. Gould summarized the case. Thompson did not address the Commission. Kean moved that the Commission find that there is sufficient evidence to find one violation of ORS Chapter 244.040(1) and 2 violations of 244.060(2) by Roger Thompson, if this evidence is not rebutted by contrary evidence, and that this public official be offered a contested case proceeding.

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---

Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

### Advisory Opinions

(1:19:00)

AGENDA ITEM 48, 17-089A, Request for extension of time to complete advisory opinion. Bersin summarized the opinion. Fiskum moved that the Commission issue a 60-day extension to complete the advisory opinion. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Lee, aye; Golden, aye. Motion passed unanimously.

### CORRESPONDENCE RECEIVED/RESPONSES

#### Staff Opinions

(non-action, informational only items)

None.

#### Staff Advice

(non-action, informational only items)

The Commission reviewed without comment the following items:

(1:20:00)

AGENDA ITEM 49, 17-090I, Tammy Dennee, Oregon Dairy Farmers Association re educational farm tour for legislative officials and staff during which ODFA will provide food, beverage and transportation expenses.

AGENDA ITEM 50, 17-091I, Shawn Haywood, Oregon Corrections Enterprises re Advisory Committee member with a potential conflict of interest; methods of handling conflict of interest when serving on an advisory panel.

#### Other Correspondence

(non-action, informational only items)

None.

### MISCELLANEOUS ITEMS

(1:21)

AGENDA ITEM 51, Trainer's Report. Bersin summarized the training activities.

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/commissionmeet.aspx>.

---

(1:26:07)

AGENDA ITEM 52, Director's Report. Bersin discussed the following:

- Budgetary financial reports were reviewed.
- Phase 3 of the Case Management System is scheduled to launch November 20<sup>th</sup>.
- Creation of an agency strategic plan
- Stats out of Electronic Filing System
- Website redesign status update
- Scheffers will be completing Leadership Oregon program in December
- 19-21 budget discussion, agency request out August 2018
- Private vehicle use authorization forms for Commissioners' signatures

Commissioner Lee was excused from the remainder of the meeting.

(1:35:08)

AGENDA ITEM 53, Election of OGEC Chair and Vice-chair for 2018.

Election of OGEC Chair: Fiskum nominated Kean for Chair. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Election of OGEC Vice-chair: Mason nominated Burke; Kean nominated Fiskum; Golden nominated Sosa. Verbal roll call vote was taken as follows: Burke – 3; Fiskum – 2; Sosa – 3. Fiskum withdrew from nomination and the Chair called for a tie-breaking vote. Verbal roll call vote was taken as follows: Burke – 6; Sosa – 2. Burke to serve as Vice-chair for 2018.

**Chair Golden adjourned the meeting at 2:16 p.m.**

**The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, January 5, 2018, at 9:00 a.m. The meeting will be held in the 2<sup>nd</sup> Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, Suite 220, in Salem, Oregon.**

---

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

---





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

November 21, 2017

Kristine M. Kautz  
5008 Coloma Ct SE  
Salem OR 97306

Dear Ms. Kautz:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$150 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. Therefore, I am returning your check for \$150 that you sent in with your letter of explanation. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

Q3 2017

Lobbyist Code: 1211  
User Name: kmk1966  
Email: kristine.m.kautz@dhsosha.state.or.us

Q3 2017 Filing: 10/30/2017  
Q3 2017 Expended: \$0.00  
Q3 2017 Penalty: \$150.00

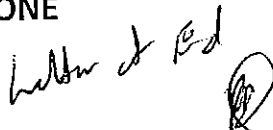
Kristine Kautz  
955 Center St NE  
Salem, OR 97301

## Activity Log - Q3 2017 to Date

07/14/17 09:22:48.570 - User Successfully Authenticated -  
07/14/17 09:23:39.483 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017  
10/16/17 01:30:13.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:11.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:10.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/21/17 01:30:10.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/22/17 01:30:10.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/23/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/24/17 01:30:11.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/25/17 01:30:11.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/26/17 01:30:10.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/27/17 01:30:15.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/28/17 01:30:09.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/29/17 01:30:10.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/30/17 01:30:12.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/30/17 11:02:15.197 - User Profile Updated - The user's main profile was updated.  
10/30/17 11:02:41.910 - User Profile Updated - User profile updated for Kristine Kautz  
10/30/17 11:02:50.843 - User Profile Updated - The user's main profile was updated.  
10/30/17 11:03:17.297 - User Profile Updated - User profile updated for Kristine Kautz  
10/30/17 11:05:54.687 - Admin User Reset Initiated - An admin user reset was initiated.  
10/30/17 11:07:50.420 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
10/30/17 11:08:10.290 - User Successfully Authenticated -  
10/30/17 11:08:57.193 - Admin User Reset Initiated - An admin user reset was initiated.  
10/30/17 11:09:01.247 - User Profile Updated - User profile updated for Kristine Kautz  
10/30/17 11:09:16.583 - User Profile Updated - The user's main profile was updated.  
10/30/17 11:09:16.933 - User Profile Updated - The user's main profile was updated.  
10/30/17 11:09:42.147 - User Profile Updated - User profile updated for Kristine Kautz  
10/30/17 01:49:25.000 - User Failed to Authenticate -  
10/30/17 01:49:32.320 - User Failed to Authenticate -  
10/30/17 01:49:52.457 - User Failed to Authenticate -  
10/30/17 01:51:01.103 - User Successfully Authenticated -  
10/30/17 01:52:22.097 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*held in Fed*  


RECEIVED

NOV 21 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

November 19, 2017

Oregon Government Ethics Commission  
3218 Pringle Rd SE, #220  
Salem, OR 97302-1544

Dear Commission Chair:


The late notice for filing my quarterly lobbying report caught up to me on October 30, 2017. Once I was aware of the issue, I promptly filed the report and cancelled my registration on behalf of the Oregon Department of Revenue. I had not engaged in any lobbying during that period of time.

On September 18, 2017, I transferred from the Oregon Department of Revenue to the Oregon Health Authority. Unfortunately, the State of Oregon has not synced up its e-mail systems, so in the transfer from the Oregon Department of Revenue to the Oregon Health Authority, my old e-mail address was deleted, and a new e-mail address was created. Due to the change in e-mail addresses, I did not receive the electronic prompts (10/1/17, 10/10/17 or 10/15/17) from the Commission to file my report. It was actually one of your staff that contacted my assistant at the Oregon Health Authority to let me know of the filing requirement and that I was incurring daily fines as a result of my late filing.

Due to these circumstances, I'd appreciate the Commission's consideration of waiving my late filing penalties of \$150. Of course, I've included the filing penalty with this letter, if the Commission does not find in my favor.

If you have any questions, I can be reached at 503-947-2344 or via e-mail at [Kristine.m.kautz@dhsosha.state.or.us](mailto:Kristine.m.kautz@dhsosha.state.or.us). I've also included my home address below. Thanks in advance for your consideration of this request.

Sincerely,



Kristine M Kautz  
5008 Coloma Ct SE  
Salem, OR 97306

Enclosure

(

(

(





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

November 28, 2017

Kaylan Dorsch (for R. Sanders)  
Oregon Education Assoc, PA – Gov't Rel  
6900 SW Atlanta St., Building 1  
Portland OR 97223

Dear Ms. Dorsch:

The written explanation of why Lobbyist Richard Sanders' expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$150 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

Q1 2016

Lobbyist Code: 565  
User Name: richardsanders  
Email: richard.sanders@oregoned.org

Q1 2016 Filing: 6/16/2016  
Q1 2016 Expended: \$0.00  
Q1 2016 Penalty: \$150.00

Richard Sanders  
6900 SW Atlanta St  
Portland, OR 97223

## Activity Log - Q1 2016 to Date

01/12/16 12:01:44.840 - User Profile Created - User profile created for Richard Sanders  
01/12/16 12:01:45.603 - Lobbyist Profile Created - A new lobbyist profile was created.  
01/12/16 12:01:45.603 - User Profile Created - A new user profile was created.  
01/19/16 08:38:55.507 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.  
01/19/16 08:38:55.507 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.  
01/19/16 08:38:55.507 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.  
06/14/16 01:30:26.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2  
06/15/16 01:30:27.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2  
06/16/16 01:30:26.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2  
06/16/16 09:43:40.243 - User Failed to Authenticate -  
06/16/16 09:43:50.117 - User Failed to Authenticate -  
06/16/16 10:59:44.010 - Lobbyist Report Submitted - Lobbyist Report Submitted

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(R)*

**DANIEL Kathy \* OGEC**

---

**From:** Kaylan Dorsch <kaylan.dorsch@oregoned.org>  
**Sent:** Tuesday, November 28, 2017 12:03 PM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** FW: Sanders OGEC - DRAFT for mitigation of penalties

**Importance:** Low

Hi Kathy-

Here is email 2/2.

It includes a letter from Trent Lutz dated June of 20016 that we sent to the OGEC in regards to the situation with Mr. Sanders.

---  
Kaylan Dorsch  
Oregon Education Association  
Center for Public Affairs - Government Relations  
6900 SW Atlanta Street, Building 1  
Portland, OR 97223  
Main Line: 503-684-3300  
Direct Line: 503-495-2165  
kaylan.dorsch@oregoned.org

*Not sent to OGEC*

-----Original Message-----  
From: Judge, Jackie  
Sent: Friday, June 17, 2016 1:51 PM  
To: Lutz, Trent <Trent.Lutz@oregoned.org>  
Subject: RE: Sanders OGEC - DRAFT for mitigation of penalties

Oregon Government Ethics Commission  
3218 Pringle Rd. SE, #220  
Salem, OR 97302-1544

RE: 1st quarter 2016 report and penalties for Richard Sanders

Dear OGEC Board:

Please accept this request to mitigate the penalties which have accrued as a result of Richard Sanders not filing his lobbying expenditure report on time. The circumstances around the delay in filing this report are a direct result of his leaving OEA in early March and his not receiving the notifications in via email. We communicated that the report needed to be filed and worked with him to obtain his log-in credentials but were not able to accomplish this prior to the deadline. The report has now been filed and there were no expenditures on his behalf for any lobbying efforts during the first quarter of 2016. Under these circumstances we respectfully request that the penalties be waived.

Thank you in advance for your consideration,

Trent A. Lutz  
Assistant Executive Director  
Center for Public Affairs  
Oregon Education Association  
503-495-2164

-----Original Message-----

From: Lutz, Trent  
Sent: Thursday, June 16, 2016 10:39 AM  
To: Judge, Jackie <jackie.judge@oregoned.org>  
Subject: Sanders OGEC



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 15, 2017

Jana Gastellum  
222 NW Davis St.  
Portland OR 97209

Dear Ms. Gastellum:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$20 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. Therefore, I am returning your check for \$150 that you sent in with your letter of explanation. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

Q2 2017

Lobbyist Code: 666  
User Name: janag  
Email: janag@oeconline.org

Jana Gastellum  
222 NW Davis Street  
Portland, OR 97209

Q2 2017 Filing: 7/17/2017  
Q2 2017 Expended: \$0.00  
Q2 2017 Penalty: \$20.00

## Activity Log - Q2 2017 to Date

04/10/17 12:28:32.240 - User Successfully Authenticated -  
04/10/17 12:45:15.163 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
07/16/17 01:30:10.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:12.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 09:33:03.197 - User Successfully Authenticated -  
07/17/17 02:59:11.660 - User Successfully Authenticated -  
07/17/17 03:02:58.327 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017  
10/01/17 09:00:50.490 - User Successfully Authenticated -  
10/01/17 09:03:16.993 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017  
12/15/17 06:40:35.300 - User Successfully Authenticated -  
12/15/17 11:36:50.733 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letitia HES*  
*PH*

**DANIEL Kathy \* OGEC**

---

From: HUNTER David \* OGEC on behalf of OGEC Mail \* OGEC  
Sent: Friday, December 15, 2017 12:38 PM  
To: DANIEL Kathy \* OGEC  
Subject: FW: Late filing fee inquirey

RECEIVED  
DEC 15 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

LOE

From: Jana Gastellum [mailto:janag@oeonline.org]  
Sent: Friday, December 15, 2017 11:45 AM  
To: OGEC Mail \* OGEC <OGEC.Mail@oregon.gov>  
Subject: Late filing fee inquirey

Dear Commissioners:

I am writing to see if a late fee of \$20.00 could be waived for a lobby registration filing. I was traveling this summer and missed the filing date by two days. It is my first late fee, and I apologize for oversight.

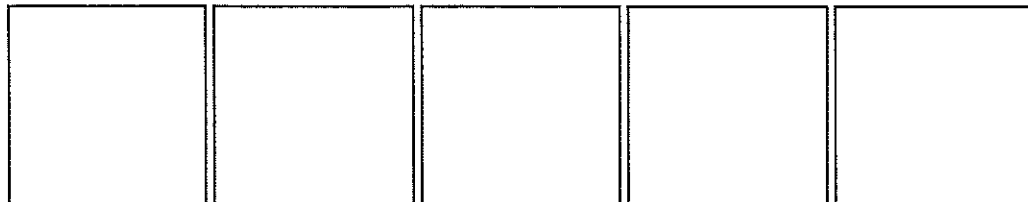
Thank you for your consideration.

Sincerely,  
Jana Gastellum

Confirmation number: C3X2XB4

--

Jana Gastellum | Program Director, Climate  
Oregon Environmental Council  
222 NW Davis Street, Suite 309  
Portland, OR 97209-3900  
503.222.1963 x107  
[janag@oeonline.org](mailto:janag@oeonline.org) | [oeonline.org](http://oeonline.org)



*protect what you love. [Join OEC today!](#)*

(

(

(





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

November 29, 2017

Access2Care, LLC  
Attn: Crystal Rouse  
1 SE 2<sup>nd</sup> Ave.  
Portland OR 97214

Dear Ms. Rouse:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q 2, 2016 (\$110) penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

## Q2 2016

Client Code: 87  
User Name: CMRouse  
Email: crystal.rouse@evhc.net

Q2 2016 Filing: 7/28/2016  
Q2 2016 Expended: \$15,300.00  
Q2 2016 Penalty: \$110.00

Access2Care  
Attn: Crystal Rouse  
1 SE 2nd Ave  
Portland, OR 97214

### Activity Log - Q2 2016 to Date

04/01/16 11:34:51.700 - User Failed to Authenticate -  
04/01/16 11:34:57.083 - User Successfully Authenticated -  
04/04/16 04:51:05.883 - User Successfully Authenticated -  
05/03/16 12:45:23.267 - User Failed to Authenticate -  
05/03/16 12:45:28.980 - User Successfully Authenticated -  
05/03/16 01:07:03.687 - Client Report Submitted - Client Report Submitted  
07/16/16 01:30:28.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/17/16 01:30:27.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/18/16 01:30:25.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/19/16 01:30:28.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/20/16 01:30:26.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/21/16 01:30:32.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/22/16 01:30:31.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/23/16 01:30:28.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/24/16 01:30:50.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/25/16 01:30:29.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/26/16 01:30:30.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/28/16 01:48:56.857 - User Successfully Authenticated -  
07/28/16 02:36:48.337 - User Failed to Authenticate -  
07/28/16 02:36:53.547 - User Successfully Authenticated -  
07/28/16 02:59:33.483 - Client Report Submitted - Client Report Submitted for Q2 2016  
10/10/16 11:31:56.360 - User Successfully Authenticated -  
10/10/16 12:02:25.373 - Client Report Submitted - Client Report Submitted for Q3 2016  
12/13/16 12:27:22.710 - User Failed to Authenticate -  
12/13/16 12:27:29.163 - User Successfully Authenticated -  
01/15/17 09:26:05.847 - User Failed to Authenticate -  
01/15/17 09:27:23.967 - User Successfully Authenticated -  
01/15/17 09:32:01.903 - Client Report Submitted - Client Report Submitted for Q4 2016  
01/16/17 01:36:11.733 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 10:03:55.920 - User Failed to Authenticate -  
01/16/17 10:04:02.330 - User Failed to Authenticate -  
01/16/17 10:04:10.140 - User Successfully Authenticated -  
01/17/17 01:34:23.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
04/10/17 02:39:45.583 - User Failed to Authenticate -  
04/10/17 02:39:50.400 - User Successfully Authenticated -  
04/10/17 02:44:55.197 - Client Report Submitted - Client Report Submitted for Q1 2017  
07/15/17 07:59:00.337 - User Successfully Authenticated -  
07/15/17 08:02:13.313 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/09/17 09:38:29.970 - User Successfully Authenticated -  
10/09/17 09:40:44.937 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: *WFO*

*Letitia J. Esd*  
*AP*

## DELIVERY VIA ELECTRONIC MAIL

November 29, 2017

Oregon Government Ethics Commission  
3218 Pringle Rd. SE, Suite 220  
Salem, OR 97302-1544

RECEIVED

NOV 29 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

## Letter of Explanation

Dear Oregon Government Ethics Commission,

I am writing to express my acknowledgement of the penalty fees I accrued for the 2016 Quarter 2 Report, announce my plans to continue to avoid these penalties in the future, and request mitigation of these fees.

I did accrue \$110 in late fees after filing Q2's report late due to being out of the office for 10 days during the month of July to spend time with my family. I am the sole Lobbyist Client/Employer for my company and there was no one in the office who could have completed the report during my absence. I have taken this as a lesson-learning experience and have now implemented a plan to ensure that this will not occur again.

My plan of improvement, which began shortly after realizing I was late to file the report, included placing reminders for myself to complete these reports in a timely fashion and to effectively transfer this responsibility to another competent person in the office in case of my absence, whether planned or unforeseen. I trained the designee on the process of creating the quarterly reports and explained when they shall be submitted. The use of a back-up person to file these reports has not only ensured these reports are submitted in my absence, but has also acted as an additional reminder for myself to submit said reports.

I take full responsibility and sincerely apologize for the late filing of the 2016 Q2 report. I assure you that error was an anomaly, and believe that is proven in the timely filing of all reports since then. I thank you for taking the time to read this explanation and graciously ask that you consider my apology and plan of improvement and mitigate the accrued fees as you see fit.

Thank you,



Crystal Rouse  
Regional Director of Operations  
208-846-7955  
Access2Care, LLC

(

(

(



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

November 30, 2017

National Alliance on Mental Health  
Attn: Chris Bouneff  
4701 SE 24<sup>th</sup> Suite E  
Portland OR 97202

Dear Mr. Bouneff:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q 2, 2016 (\$110) penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

Q2 2016

Client Code: 277  
User Name: namioregon  
Email: chris@namior.org

Q2 2016 Filing: 7/26/2016  
Q2 2016 Expended: \$7,500.00  
Q2 2016 Penalty: \$110.00

NAMI Oregon  
Attn: Chris Bouneff  
4701 SE 24th Ave. Ste. E.  
Portland, OR 97202

## Activity Log - Q2 2016 to Date

04/01/16 08:03:59.020 - User Failed to Authenticate -  
04/01/16 08:06:21.543 - User Failed to Authenticate -  
04/01/16 08:07:30.590 - User Failed to Authenticate -  
04/01/16 08:07:35.910 - User Failed to Authenticate -  
04/01/16 08:07:42.587 - User Successfully Authenticated -  
04/01/16 08:11:00.980 - User Security Questions Reset - The user's security questions were reset from the "My Profile" page.  
04/01/16 08:11:01.043 - User Profile Updated - User profile updated for Chris Bouneff  
04/01/16 08:11:01.073 - User Profile Updated - The user's main profile was updated.  
04/04/16 04:08:19.523 - User Successfully Authenticated -  
04/04/16 04:11:48.053 - User Successfully Authenticated -  
04/04/16 04:15:40.083 - User Successfully Authenticated -  
04/04/16 04:17:18.373 - Client Report Saved - Client Report Saved  
04/04/16 04:17:56.410 - Client Report Draft Canceled - Client Report Draft Canceled  
04/04/16 04:19:13.723 - Client Report Draft Canceled - Client Report Draft Canceled  
04/04/16 04:24:38.263 - Client Report Draft Canceled - Client Report Draft Canceled  
04/05/16 04:21:04.033 - User Failed to Authenticate -  
04/05/16 04:21:17.013 - User Successfully Authenticated -  
04/05/16 04:22:13.407 - Client Report Submitted - Client Report Submitted  
07/16/16 01:30:28.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/17/16 01:30:27.600 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/18/16 01:30:25.687 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/19/16 01:30:28.487 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/20/16 01:30:26.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/21/16 01:30:32.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/22/16 01:30:31.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/23/16 01:30:28.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/24/16 01:30:50.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/25/16 01:30:29.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/26/16 01:30:30.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/26/16 02:44:26.357 - User Successfully Authenticated -  
07/26/16 02:46:03.023 - Client Report Submitted - Client Report Submitted for Q2 2016  
10/05/16 08:36:37.340 - User Successfully Authenticated -  
10/05/16 08:38:20.307 - Client Report Submitted - Client Report Submitted for Q3 2016  
01/03/17 09:44:55.713 - User Successfully Authenticated -  
01/03/17 09:53:10.513 - Client Report Submitted - Client Report Submitted for Q4 2016  
01/05/17 02:49:27.630 - User Successfully Authenticated -  
01/10/17 09:06:38.793 - User Failed to Authenticate -  
01/10/17 09:06:56.667 - User Successfully Authenticated -  
01/16/17 01:36:37.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:47:21.043 - User Successfully Authenticated -  
01/17/17 01:35:13.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
04/04/17 10:26:48.160 - User Successfully Authenticated -  
04/04/17 10:28:18.370 - Client Report Submitted - Client Report Submitted for Q1 2017  
07/01/17 10:41:28.213 - User Failed to Authenticate -  
07/01/17 10:43:28.137 - User Successfully Authenticated -  
07/01/17 10:45:12.603 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/02/17 09:36:36.080 - User Successfully Authenticated -  
10/02/17 09:37:50.827 - Client Report Submitted - Client Report Submitted for Q3 2017  
11/29/17 02:42:48.937 - User Successfully Authenticated -  
11/29/17 02:45:01.610 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(Signature)*

**DANIEL Kathy \* OGE**

---

m: Chris Bouneff <chris@namior.org>  
Sent: Thursday, November 30, 2017 2:52 PM  
To: DANIEL Kathy \* OGE  
Subject: NAMI Letter of Explanation

RECEIVED

NOV 30 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

Dear Commissioners:

Thank you for this opportunity to explain our late second quarter filing for 2016. The reason we were late is that I was out of the country for most of July 2016 without access to email. As soon as I was back in the country, I filed the report. Through the years, we have always filed on time and continue to file on time with this single exception. We believe we have a process in place that will allow us to file electronically even in my absence. We respectfully request that you waive the penalty for this quarter.

Best wishes,

Chris Bouneff

-----  
National Alliance on Mental Illness

Chris Bouneff  
Executive Director  
MI Oregon  
4701 SE 24th Suite E  
Portland, OR 97202  
503-230-8009 ext. 202  
fax 503-230-2751  
[chris@namior.org](mailto:chris@namior.org)  
[www.namior.org](http://www.namior.org)

Join Us on Facebook:  
[www.facebook.com/NAMIOregon](http://www.facebook.com/NAMIOregon)

(

(

(





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 4, 2017

Agricultural Coop Council of Oregon  
Attn: Kelly Toye  
6745 SW Hampton St.  
Portland OR 97223

Dear Ms. Toye:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q 4, 2016 (\$10) penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

## Q4 2016

Client Code: 1200  
User Name: acco2015  
Email: ktoye@westernadvocates.com

Q4 2016 Filing: 1/16/2017  
Q4 2016 Expended: \$1,000.00  
Q4 2016 Penalty: \$10.00

Agricultural Cooperative Council of Oregon  
Attn: Kelly Toye  
6745 SW Hampton St.  
Portland, OR 97223

### Activity Log - Q4 2016 to Date

10/10/16 09:22:36.183 - User Successfully Authenticated -  
10/10/16 09:23:16.863 - Client Report Submitted - Client Report Submitted for Q3 2016  
01/13/17 03:01:34.910 - User Successfully Authenticated -  
01/13/17 03:25:10.433 - User Successfully Authenticated -  
01/15/17 04:42:36.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:54.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 11:02:35.417 - User Successfully Authenticated -  
01/16/17 11:04:07.607 - Client Report Saved - Client Report Saved for Q4 2016  
01/16/17 11:04:30.763 - Client Report Submitted - Client Report Submitted for Q4 2016  
01/18/17 10:50:16.297 - User Successfully Authenticated -  
04/13/17 02:07:13.500 - User Successfully Authenticated -  
04/13/17 02:08:09.790 - Client Report Submitted - Client Report Submitted for Q1 2017  
07/10/17 11:48:45.620 - User Successfully Authenticated -  
07/10/17 11:49:30.603 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/12/17 08:44:43.820 - User Failed to Authenticate -  
10/12/17 08:44:54.300 - User Successfully Authenticated -  
10/12/17 08:45:56.200 - Client Report Submitted - Client Report Submitted for Q3 2017  
12/04/17 10:09:43.403 - User Successfully Authenticated -  
12/04/17 10:09:44.190 - User Successfully Authenticated -

**PREVIOUS VIOLATIONS: NONE**

**RECOMMENDATION:**

*L. Howard Ed* 

From: ktoye@westernadvocates.com  
Sent: Monday, December 04, 2017 10:13 AM  
To: DANIEL Kathy \* OGEC  
Subject: Q4 Penalty - ACCO

RECEIVED

DEC 04 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

To Whom it May Concern:

Please accept my apologies for being late submitting the 2016 quarter 4 ethics report for the Agricultural Cooperative Council of Oregon. January 15<sup>th</sup> fell on a Sunday in 2017; I don't work on Friday's and I just missed the deadline, filing the report on Monday, January 16. I do take these reports seriously and try my best to file on time. You can see from your records, that this is only time I have been late. I appreciate you taking the time to consider removing the penalty in this instance. If you have any questions, please let me know.

Thank you,  
Kelly Toye

Kelly Toye  
Western Advocates, Inc.  
6745 SW Hampton St., Suite 101  
Portland, OR 97223  
503-924-1181

(

(

(



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 14, 2017

Southern Oregon University  
Attn: Craig Morris  
1250 Siskiyou Blvd.  
Ashland OR 97520

Dear Mr. Morris:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q1, 2017 (\$120) penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

## Q1 2017

Client Code: 1404  
User Name: Southern Oregon University  
Email: cmorris@sou.edu

Q1 2017 Filing: 4/27/2017  
Q1 2017 Expended: \$0.00  
Q1 2017 Penalty: \$120.00

Southern Oregon University  
Attn: Craig Morris  
1250 Siskiyou Blvd  
Ashland, OR 97520

### Activity Log - Q1 2017 to Date

01/30/17 09:50:28.610 - User Profile Created - A new user profile was created.  
01/30/17 09:50:28.800 - Client Profile Created - The client profile was created.  
01/30/17 09:50:32.383 - User Profile Created - User profile created for Craig Morris  
04/15/17 01:31:51.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:31:04.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:31:03.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/18/17 01:30:36.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 01:30:32.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/20/17 01:30:29.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/21/17 01:30:19.557 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/22/17 01:30:18.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/23/17 01:30:19.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/24/17 01:30:19.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/25/17 01:30:20.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/26/17 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/27/17 01:30:10.963 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/27/17 03:42:04.803 - User Successfully Authenticated -  
04/27/17 03:44:52.760 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/19/17 08:51:05.983 - Admin User Reset Initiated - An admin user reset was initiated.  
05/19/17 08:52:12.240 - User Profile Updated - The user's main profile was updated.  
05/19/17 08:52:22.807 - User Profile Updated - User profile updated for Craig Morris  
05/19/17 10:01:39.367 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
05/19/17 10:02:05.143 - User Successfully Authenticated -  
07/05/17 09:20:18.187 - User Successfully Authenticated -  
07/05/17 09:21:16.627 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/15/17 05:33:57.967 - User Successfully Authenticated -  
10/15/17 05:34:36.920 - Client Report Submitted - Client Report Submitted for Q3 2017

**PREVIOUS VIOLATIONS: NONE**

**RECOMMENDATION:**

*Letland Ed*  
*RE*

DANIEL Kathy \* OGEC

From: Treasa Sprague <spraguet@sou.edu>  
Sent: Thursday, December 14, 2017 7:18 AM  
To: DANIEL Kathy \* OGEC  
Subject: Q1 Late Filing

RECEIVED

DEC 14 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

Ms. Daniel,

My name is Craig Morris and I am writing to respectfully request a waiver of my \$120.00 in accrued penalties as the registered Client/Employer for Southern Oregon University ("SOU") at the time the Q1 expenditure report was due. While I temporarily assisted Southern Oregon University as a Client/Employer with respect to SOU's lobbying activities, Julie McFadden was hired to fill that role in January 2017. Our late filings were attributable to an honest misunderstanding of the transition of those responsibilities.

In addition, I mistakenly understood the notices I received to be referring to my obligation to file my Statement of Economic Interests, which I submitted prior to the deadline. Having filed that, I mistakenly believed that my filing obligations were complete and did not realize the expenditure obligation remained until after the April 15 deadline. I thereafter filed the report.

To the best of my knowledge, SOU has routinely submitted all of its filings on time and we expect this to be a singular and isolated mistake. In an effort to prevent this from happening in the future, Ms. McFadden's successor has been informed of the filing obligations and is tasked with making sure all registrants submit timely reports and the quarterly deadlines are now logged into that person's calendar.

In light of these considerations, I respectfully request waiver of my accrued penalties for my Q1 expenditure report.

Sincerely,

Craig Morris

Vice President for Finance and Administration

Southern Oregon University

--  
*Treasa Sprague*  
Administrative Services Coordinator  
Southern Oregon University  
1250 Siskiyou Boulevard  
Ashland, OR 97520  
(541) 552-6319

(

(

(





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

November 30, 2017

Philip Darrah  
12350 NW Main Street #102  
Banks OR 97106

Re: 2016, 2017 SEI Penalty

Dear Mr. Darrah:

The written explanation of why your 2016 & 2017 Statements of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3,980 penalties will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

## 2016

SEI Code: 2594  
User Name: pjdarrah  
Email: pdarrah@cityofbanks.org

2016 Filing: 8/7/2017  
2016 Penalty: \$50.00

Philip Darrah  
12350 NW Main Street #102  
Banks, OR 97106

## 2016 Offices Held

BANKS (City), PLANNING COMMISSION - Appointed 03/07/16

## Activity Log - 2016 to Date

03/15/16 11:37:12.450 - User Profile Created - User profile created for Philip Darrah  
03/15/16 11:37:12.543 - User Profile Created - A new user profile was created.  
03/15/16 11:37:12.573 - SEI Assigned to Seat - SEI Assigned to Seat  
06/14/16 01:30:27.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
06/14/16 02:10:28.600 - User Successfully Authenticated -  
06/14/16 02:21:29.433 - Late SEI Report Filed - SEI Report Filed Late for year 2016  
05/07/17 01:30:36.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/10/17 02:51:15.847 - User Profile Updated - User profile updated for Philip Darrah  
05/10/17 02:51:26.920 - User Profile Updated - The user's main profile was updated.  
05/11/17 01:30:13.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:01.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:10.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:11.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:12.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:13.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:23.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:12.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:14.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:14.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:12.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:10.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:11.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:11.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:17.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:12.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:10.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:10.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:11.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:10.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:11.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:11.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:11.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:13.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:13.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:11.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

## 2016

Code: 2594  
User Name: pjdarrah  
Email: pdarrah@cityofbanks.org

2016 Filing: 8/7/2017  
2016 Penalty: \$50.00

Phillip Darrah  
12350 NW Main Street #102  
Banks, OR 97106

06/24/17 01:30:12.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:14.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:15.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:11.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 02:58:16.043 - User Profile Updated - The user's main profile was updated.  
06/27/17 02:58:29.760 - User Profile Updated - User profile updated for Phillip Darrah  
06/28/17 01:30:11.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:11.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:57.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:10.973 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:10.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:10.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:10.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:17.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:13.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:12.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:10.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:11.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/04/17 01:30:10.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/05/17 01:30:50.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/06/17 01:30:11.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 12:28:25.533 - User Failed to Authenticate -  
08/07/17 12:44:13.613 - Admin User Reset Initiated - An admin user reset was initiated.  
08/07/17 12:48:15.483 - Late SEI Report Filed - SEI Report Filed Late for year 2017

# SEI Activity

2017

SEI Code: 2594  
User Name: pjdarrah  
Email: pdarrah@cityofbanks.org

2017 Filing: 8/7/2017  
2017 Penalty: \$3,930.00

Philip Darrah  
12350 NW Main Street #102  
Banks, OR 97106

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:36.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/10/17 02:51:15.847 - User Profile Updated - User profile updated for Philip Darrah  
05/10/17 02:51:26.920 - User Profile Updated - The user's main profile was updated.  
05/11/17 01:30:13.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:01.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:10.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:11.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:12.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:13.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:23.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:12.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:14.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:14.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:12.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:10.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:11.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:11.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:17.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:12.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:10.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:10.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:11.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:10.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:11.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:11.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:11.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:13.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:13.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:11.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:12.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:14.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:15.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:11.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 02:58:16.043 - User Profile Updated - The user's main profile was updated.  
06/27/17 02:58:29.760 - User Profile Updated - User profile updated for Philip Darrah

# SEI Activity

2017

Code: 2594  
User Name: pjdarrah  
Email: pdarrah@cityofbanks.org

2017 Filing: 8/7/2017  
2017 Penalty: \$3,930.00

Philip Darrah  
12350 NW Main Street #102  
Banks, OR 97106

06/28/17 01:30:11.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:11.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:57.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:10.973 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:10.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:10.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:10.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:17.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:13.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:12.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:10.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:11.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/04/17 01:30:10.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/05/17 01:30:50.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/06/17 01:30:11.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 12:28:25.533 - User Failed to Authenticate -  
08/07/17 12:44:13.613 - Admin User Reset Initiated - An admin user reset was initiated.  
08/07/17 12:48:15.483 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: Filed SEIs late, for 2016 and 2017

RECOMMENDATION:

*Letter of Ed*  
*RD*

**DANIEL Kathy \* OGEC**

---

**From:** pdarra@cityofbanks.org  
**Sent:** Thursday, November 30, 2017 11:50 AM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** Fwd: SEI filing

RECEIVED  
NOV 30 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

----- Original Message -----

Subject: SEI filing  
Date: 08.07.2017 1:18 PM  
From: pdarra@cityofbanks.org  
To: orec.mail@oregon.gov

Dear Commissioners,

Please wave any penalties for late filing of SEI. I am a very infrequent user of E-mail and was unaware of the filing deadline.

I will set a calendar alert to look for this filing each March 15th.

thank you, Philip Darrah RPh



# Oregon

Kate Brown, Governor

Government Ethics Commission  
3218 Pringle Rd SE, Ste 220  
Salem, OR 97302-1544  
Telephone: 503-378-5105  
Fax: 503-373-1456  
E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)  
Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 12, 2017

Beth Niquette  
PO Box 145  
Independence OR 97351

Re: 2017 SEI Penalty

Dear Ms. Niquette:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 3074  
User Name: BethNiquette  
Email: beth@niquette.net

2017 Filing: 5/7/2017  
2017 Penalty: \$10.00

Beth Niquette  
PO Box 145  
Independence, OR 97351

## 2017 Offices Held

*No data to report.*

## Activity Log - 2017 to Date

05/07/17 01:30:37.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/07/17 06:39:59.137 - User Successfully Authenticated -  
05/07/17 06:42:35.047 - Late SEI Report Filed - SEI Report Filed Late for year 2017

**PREVIOUS VIOLATIONS: NONE**

**RECOMMENDATION:**

*Letter at Ed*  
*(14)*



**DANIEL Kathy \* OGEC**

---

**From:** Beth Niquette <beth@niquette.net>  
**Sent:** Monday, December 11, 2017 5:08 PM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** RE: Late filing penalty

Dear Commissioners,

I apologize for filing my 2017 SEI late. I have had a myriad of things to face which are out of the normal for me and the non-profit organization I run alone. Though it has been since October of 2014, I am still learning how to do things since my beloved husband passed away.

If you need details on what has taken place in my life, I would be glad to elaborate. However, in the meantime, I am asking you to wipe the penalty of \$10. I love volunteering for the Historic Preservation Commission in my beautiful town of Independence. It is an honor to serve here. Again, I am deeply sorry for missing the deadline for filing the paperwork needed, and ask for your leniency.

Sincerely,

Beth Niquette

---

**From:** DANIEL Kathy \* OGEC [mailto:Kathy.DANIEL@oregon.gov]  
**Sent:** Monday, December 11, 2017 11:35 AM  
**To:** beth@niquette.net  
**Subject:** Late filing penalty

**Current Positions Held:**

- **HISTORIC PRESERVATION COMMISSION, INDEPENDENCE** - Appointed 11/12/2002

**You have outstanding penalties or sanctions!** Our records indicate that you currently have a balance of **\$10.00** in penalties.

Hello Ms. Niquette. As you can see from the above, your electronic account for filing your Annual Verified Statement of Economic Interest has a late filing penalty of \$10. You may send in a check or money order to pay this amount, or you can write a Letter of Explanation to the Commission with the reason why you were late and ask for waiver of the penalty.

Our system does not accept electronic payments. If you have any questions, please give me a call. Thank you

**Kathy Daniel**

OR Government Ethics Commission  
3218 Pringle Rd SE, Suite 220  
Salem OR 97302-1544  
Phone: 503-378-2170

C

C

(



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 12, 2017

Jeff Bachrach  
921 SW Washington St. #320  
Portland OR 97205

Re: 2017 SEI Penalty

Dear Mr. Bachrach:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 121  
User Name: Jeff Bachrach  
Email: jeffb@bachrachlaw.com

2017 Filing: 5/8/2017  
2017 Penalty: \$10.00

Jeff Bachrach  
921 SW Washington St #320  
Portland, OR 97205

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:34.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/08/17 08:18:19.370 - Admin User Reset Initiated - An admin user reset was initiated.  
05/08/17 08:19:58.690 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
05/08/17 08:20:14.447 - User Successfully Authenticated -  
05/08/17 08:25:18.303 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Let the SEI  
@*

**DANIEL Kathy \* OGEC**

---

**From:** jeff bachrach <jeffb@bachrachlaw.com>  
**Sent:** Monday, December 11, 2017 6:56 PM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** Late filing penalty - Letter of Explanation

To the Commission:

Please accept this as my Letter of Explanation and Request For Waiver of \$10 Late-Fee Penalty.

In addition to my full-time job, I estimate that I spend roughly 14-18 hours a month as a volunteer member of the Portland Planning and Sustainability Commission. Needless to say, there is no financial remuneration for that service, just the karma of trying to serve my community.

Somehow, it appears, I was late filing one of the several different disclosure and conflict-of-interest forms I'm required to submit to the city and/or to the state. Perhaps, in the interest of encouraging volunteer community service, and applying the no-harm-no-foul rule - and taking into account it's the holiday season - I request that see your way to waiving the \$10 penalty.

Thank you for your consideration of my request.

Happy holidays.

Jeff Bachrach

---

**Jeff Bachrach | Bachrach.Law, P.C.**  
921 SW Washington Street, Suite 320 | Portland, OR 97205  
Office: 503.295.7797 | cell: 503.799.0242 | [jeffb@bachrachlaw.com](mailto:jeffb@bachrachlaw.com)

<http://www.bachrachlaw.com/>

This message (including any attachments) is intended solely for the specific individual(s) or entity(ies) named above, and may contain legally privileged and confidential information. If you are not the intended recipient, please notify the sender immediately by replying to this message and then delete it. Any disclosure, copying, or distribution of this message, or the taking of any action based on it, by other than the intended recipient, is strictly prohibited.

---

**From:** DANIEL Kathy \* OGEC <Kathy.DANIEL@oregon.gov>  
**Sent:** Monday, December 11, 2017 2:04 PM  
**To:** jeff bachrach  
**Subject:** Late filing penalty

**Current Positions Held:**

- **PLANNING AND SUSTAINABILITY COMMISSION, PORTLAND** - Appointed 9/16/2015

**You have outstanding penalties or sanctions!** Our records indicate that you currently have a balance of **\$10.00** in penalties.

Hello Mr. Bachrach. As you can see from the above, your electronic account for filing your 2017 Annual Verified Statement of Economic Interest has a late filing penalty of \$10. You may send in a check or money order to pay this amount, or you can write a Letter of Explanation to the Commission with the reason why you were late and ask for waiver of the penalty.

Our system does not accept electronic payments. If you have any questions, please give me a call. Thank you,

**Kathy Daniel**

OR Government Ethics Commission

3218 Pringle Rd SE, Suite 220

Salem OR 97302-1544

Ph: 503-378-2170



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 12, 2017

Sherilyn Aasen  
638 Evergreen Loop  
Reedsport OR 97467

Re: 2017 SEI Penalty

Dear Ms. Aasen:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 982  
User Name: saasen  
Email: saasen@luhonline.com

2017 Filing: 5/8/2017  
2017 Penalty: \$10.00

Sherilyn Aasen  
638 Evergreen Loop  
Reedsport, OR 97467

## 2017 Offices Held


No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:35.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/08/17 03:14:12.220 - User Failed to Authenticate -  
05/08/17 03:15:37.097 - User Successfully Authenticated -  
05/08/17 03:18:41.457 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed* 



RECEIVED

DEC 12 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

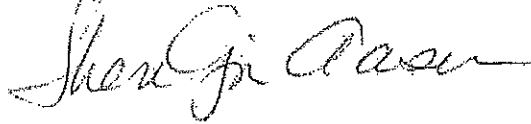
December 11, 2017

Oregon Government Ethics Commission  
3218 Pringle Road, SE No. 220  
Salem, OR 97302-1544

Dear Commission

Please reverse the \$10 late filing fee assigned to me as a result of a late filing of Statement of Economic Interest. I have had problems with my email and did not receive the timely email sent. Once I received an email, I submitted my Statement right away. I have filed timely over the past several years and hope that you will consider excusing my tardiness for this year's filing.

Sincerely,



Sherilyn Aasen  
638 Evergreen Loop  
Reedsport, OR 97467  
541-662-1101





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 14, 2017

Cathi Forsythe  
3661 NE Alton Court  
Fairview OR 97024

Re: 2017 SEI Penalty

Dear Ms. Forsythe:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 5256  
User Name: cathiforsythe  
Email: forsythec@cl.fairview.or.us

2017 Filing: 5/8/2017  
2017 Penalty: \$10.00

Cathi Forsythe  
3661 NE Alton Court  
Fairview, OR 97024

## 2017 Offices Held

FAIRVIEW (City), COUNCIL - Appointed 01/01/17

## Activity Log - 2017 to Date

01/22/17 02:48:02.330 - User Profile Created - User profile created for Cathi Forsythe  
01/22/17 02:48:10.483 - User Profile Created - A new user profile was created.  
01/22/17 02:48:10.703 - SEI Assigned to Seat - SEI Assigned to Seat  
04/15/17 10:24:28.583 - User Successfully Authenticated -  
04/25/17 07:31:35.630 - User Failed to Authenticate -  
05/07/17 01:30:43.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/08/17 01:44:55.560 - User Successfully Authenticated -  
05/08/17 01:54:57.883 - Late SEI Report Filed - SEI Report Filed Late for year 2017  
12/14/17 11:59:36.123 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter DED*  
*Ⓜ*

From: Cathi Forsythe <forsythec@ci.fairview.or.us>  
Sent: Thursday, December 14, 2017 12:10 PM  
To: DANIEL Kathy \* OGEC  
Subject: Re: Late filing penalty

RECEIVED  
DEC 14 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

Good afternoon Kathy,

I am writing to request consideration for a penalty waiver associated with the filing of my Annual Verified Statement of Economic Interest. In good faith, I had responded to the request issued in March and thought the submission had gone through. It wasn't until I received the late notification in April that I realized there had been a technical glitch and my submission had not been saved. I responded immediately with the required submission, however, it was a day after the deadline resulting in a penalty. Given my previous communication with the Ethics Commission office, I thought I had already been granted a waiver for the fee. I was taken off guard when I received the most recent communication that I had an outstanding penalty fee owing. Please consider the circumstances and let me know if a waiver is possible.

Respectfully,

Cathi Forsythe  
Fairview City Councilor

---

From: DANIEL Kathy \* OGEC <Kathy.DANIEL@oregon.gov>  
Sent: Monday, December 11, 2017 10:48 AM  
To: Cathi Forsythe  
Subject: Late filing penalty

**Current Positions Held:**

- **COUNCIL, FAIRVIEW** - Appointed 1/1/2017  
**You have outstanding penalties or sanctions!** Our records indicate that you currently have a balance of **\$10.00** in penalties.

Hello Ms. Forsythe. As you can see from the above, your electronic account for filing your Annual Verified Statement of Economic Interest has a late filing penalty of \$10. You may send in a check or money order to pay this amount, or you can write a Letter of Explanation to the Commission with the reason why you were late and ask for waiver of the penalty.

Our system does not accept electronic payments. If you have any questions, please give me a call. Thank you,

**Kathy Daniel**  
OR Government Ethics Commission  
218 Pringle Rd SE, Suite 220  
Salem OR 97302-1544  
Ph: 503-378-2170

(

(

(



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 15, 2017

Sharon Kintrea  
PO Box 64  
Shaniko OR 97057

Re: 2016 SEI Penalty

Dear Ms. Kintrea:

The written explanation of why your 2016 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1150 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2016

SEI Code: 5175  
User Name: skintrea  
Email: sharonkintrea@gmail.com

2016 Filing: 4/19/2017  
2016 Penalty: \$1,150.00

Sharon Kintrea  
PO Box 64  
Shaniko, OR 97057

## 2016 Offices Held

SHANIKO (City), COUNCIL - Appointed 01/09/17

## Activity Log - 2016 to Date

07/01/16 10:09:01.470 - User Profile Created - User profile created for Sharon Kintrea  
07/01/16 10:09:01.580 - User Profile Created - A new user profile was created.  
07/01/16 10:09:01.613 - SEI Assigned to Seat - SEI Assigned to Seat  
07/11/16 01:30:32.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/12/16 01:30:31.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/13/16 01:30:26.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/14/16 01:30:30.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/15/16 01:30:35.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/16/16 01:30:30.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/17/16 01:30:30.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/18/16 01:30:27.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/19/16 01:30:30.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/20/16 01:30:28.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/21/16 01:30:34.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/22/16 01:30:33.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/23/16 01:30:30.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/24/16 01:30:52.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/25/16 01:30:30.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/25/16 09:13:45.390 - Admin User Reset Initiated - An admin user reset was initiated.  
07/25/16 10:55:53.790 - User Failed to Authenticate -  
07/25/16 10:56:13.557 - User Failed to Authenticate -  
07/25/16 11:00:57.490 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
07/25/16 11:01:33.850 - User Successfully Authenticated -  
07/25/16 11:16:12.370 - User Successfully Authenticated -  
07/25/16 11:27:46.787 - Late SEI Report Filed - SEI Report Filed Late for year 2016  
02/12/17 10:02:32.297 - Date Appointed Updated - Date Appointed Updated  
04/14/17 08:44:07.333 - User Failed to Authenticate -  
04/14/17 01:05:08.020 - Admin User Reset Initiated - An admin user reset was initiated.  
04/14/17 01:19:07.247 - User Failed to Authenticate -  
04/14/17 01:22:56.913 - User Successfully Authenticated -  
04/14/17 05:36:16.010 - Admin User Reset Initiated - An admin user reset was initiated.  
04/15/17 09:21:15.140 - User Successfully Authenticated -  
04/15/17 09:33:26.477 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017  
04/19/17 12:49:03.150 - User Successfully Authenticated -  
04/19/17 12:51:20.520 - SEI Report Filed - SEI Report Filed for year 2017 *timely*

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(Ed)*



RECEIVED

DEC 15 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

Dear Sirs,

I am Sharon Kintre  
a proud city councilor in  
Shaniko, Oregon. I have been  
working in other towns. There  
are no jobs in Shaniko since  
the hotel closed in 2007. I have  
been on the council for many  
years now. I could not afford  
to drive 40 miles in and 40  
miles back to Shaniko every  
day to check the mail. Our  
Post office closed by 3 p.m.  
open at 9:00 a.m. my work  
schedule was not 9-3. I am  
currently saving for a computer.  
I enjoy being on the Council.  
When the new system came  
out. I asked our city Recorder  
for help. She replied, go on  
the computer and it is  
easy figure it out.

I am making a big  
effort to be by a computer  
from now on,

city councilor

Sharon Kintre

Dec. 11, 2017





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 8, 2017

Christopher Friend  
0330 SW Curry St.  
Portland OR 97239

Dear Mr. Friend:

The written explanation of your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$110 penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

Q1 2017

Lobbyist Code: 987  
User Name: cfriend  
Email: christopher.friend@cancer.org

Q1 2017 Filing: 4/17/2017  
Q1 2017 Expended: \$62.39  
Q1 2017 Penalty: \$20.00

Christopher Friend  
0330 SW Curry St  
Portland, OR 97239

## Activity Log - Q1 2017 to Date

01/03/17 10:53:13.947 - User Successfully Authenticated -  
01/03/17 10:55:39.890 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016  
01/16/17 01:35:46.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/17/17 01:33:55.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
02/02/17 02:14:12.767 - User Failed to Authenticate -  
02/02/17 02:39:47.167 - User Failed to Authenticate -  
02/02/17 02:40:02.030 - User Successfully Authenticated -  
04/15/17 01:30:56.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:32.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:32.320 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:41:36.407 - User Failed to Authenticate -  
04/17/17 02:55:39.353 - User Successfully Authenticated -  
04/17/17 02:58:38.983 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
07/16/17 01:30:12.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:10.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 12:47:58.730 - User Failed to Authenticate -  
07/19/17 12:49:16.213 - User Successfully Authenticated -  
07/19/17 12:52:08.270 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017  
10/16/17 01:30:13.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:10.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 01:30:11.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:47:29.387 - User Failed to Authenticate -  
10/20/17 11:47:39.747 - User Failed to Authenticate -  
10/20/17 11:47:51.807 - User Failed to Authenticate -  
10/20/17 11:50:04.560 - User Successfully Authenticated -  
10/20/17 11:52:43.833 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017  
10/25/17 12:21:57.777 - User Failed to Authenticate -  
10/25/17 12:22:14.783 - User Successfully Authenticated -

# Lobbyist Activity

Q2 2017

Lobbyist Code: 987  
Lobbyist Name: cfriend  
Email: christopher.friend@cancer.org

Christopher Friend  
0330 SW Curry St  
Portland, OR 97239

Q2 2017 Filing: 7/19/2017  
Q2 2017 Expended: \$0.00  
Q2 2017 Penalty: \$40.00

## Activity Log - Q2 2017 to Date

04/15/17 01:30:56.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:32.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:32.320 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:41:36.407 - User Failed to Authenticate -  
04/17/17 02:55:39.353 - User Successfully Authenticated -  
04/17/17 02:58:38.983 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
07/16/17 01:30:12.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:10.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 12:47:58.730 - User Failed to Authenticate -  
07/19/17 12:49:16.213 - User Successfully Authenticated -  
07/19/17 12:52:08.270 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017  
10/16/17 01:30:13.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:10.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 01:30:11.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:47:29.387 - User Failed to Authenticate -  
10/20/17 11:47:39.747 - User Failed to Authenticate -  
10/20/17 11:47:51.807 - User Failed to Authenticate -  
10/20/17 11:50:04.560 - User Successfully Authenticated -  
10/20/17 11:52:43.833 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017  
10/25/17 12:21:57.777 - User Failed to Authenticate -  
10/25/17 12:22:14.783 - User Successfully Authenticated -

# Lobbyist Activity

Q3 2017

Lobbyist Code: 987  
User Name: cfriender  
Email: christopher.friend@cancer.org

Christopher Friend  
0330 SW Curry St  
Portland, OR 97239

Q3 2017 Filing: 10/20/2017  
Q3 2017 Expended: \$286.00  
Q3 2017 Penalty: \$50.00

## Activity Log - Q3 2017 to Date


07/16/17 01:30:12.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:10.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 12:47:58.730 - User Failed to Authenticate -  
07/19/17 12:49:16.213 - User Successfully Authenticated -  
07/19/17 12:52:08.270 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017  
10/16/17 01:30:13.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:10.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 01:30:11.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:47:29.387 - User Failed to Authenticate -  
10/20/17 11:47:39.747 - User Failed to Authenticate -  
10/20/17 11:47:51.807 - User Failed to Authenticate -  
10/20/17 11:50:04.560 - User Successfully Authenticated -  
10/20/17 11:52:43.833 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017  
10/25/17 12:21:57.777 - User Failed to Authenticate -  
10/25/17 12:22:14.783 - User Successfully Authenticated -

Q1 2017 \$20

Q2 \$40

Q3 \$50

RECOMMENDATION:

*No recommendation* 

RECEIVED

DEC 08 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

Oregon Ethics Commissioners,

As a new member of the Oregon lobby, the 2017 legislative session provided an intense learning opportunity. Not only am I relatively new to my position as ACS CAN's Government Relations Director, I also was learning the intricacies of politics in my new state. As a result, of being a new member of Oregon's lobby I also learned the need to report lobbyist expenditures on time.

I recognize my failure to accurately report according to deadlines. In retrospect, I can attribute late reports on my part due to an email error. When I received reminder emails for the Oregon Ethics Commission online reporting website, the emails were tagged as "spam". While I should have had a reminder in my calendar to ensure I filed on time, the secondary reminder from the email system was lost in my "spam" folder.

To ensure timely reporting in the future, I have added a series of reminders to my calendar and removed the Oregon Ethics Commission email address from "spam". With these steps in place, I am confident future ethics reports will be submitted on time. As someone who is a believer of transparency in political influence, I am committed to reporting on time.

I humbly ask for the ethics commission to waive or reduce fees from late ethics reporting.

Thank you for your consideration.

Christopher Friend

Oregon Government Relations Director

ACS CAN

*Lobbyist*

(

(

(





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 5, 2017

Living Opportunities, Inc.  
Attn: Jason Hansen  
PO Box 1105  
Medford OR 97501

Dear Mr. Hansen:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q2 and Q4, 2016 and Q1 2017 (\$210) penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

## Q2 2016

Client Code: 819  
User Name: emiliewylde  
Email: jasonh@livingopps.org  
  
Living Opportunities  
Attn: Jason Hansen  
PO Box 1105  
Medford, OR 97501

Q2 2016 Filing: 8/3/2016  
Q2 2016 Expended: \$600.00  
Q2 2016 Penalty: \$160.00

### Activity Log - Q2 2016 to Date

05/03/16 09:50:37.800 - User Failed to Authenticate -  
05/03/16 09:57:35.290 - User Successfully Authenticated -  
05/03/16 09:59:23.043 - Client Report Submitted - Client Report Submitted  
07/16/16 01:30:28.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/17/16 01:30:28.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/18/16 01:30:26.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/19/16 01:30:29.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/20/16 01:30:27.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/21/16 01:30:32.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/21/16 03:06:52.937 - User Failed to Authenticate -  
07/22/16 01:30:31.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/23/16 01:30:28.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/24/16 01:30:50.963 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/25/16 01:30:29.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
07/26/16 01:30:31.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2  
08/03/16 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2  
08/03/16 03:39:37.850 - User Failed to Authenticate -  
08/03/16 03:39:56.293 - User Successfully Authenticated -  
08/03/16 03:42:27.033 - Client Report Submitted - Client Report Submitted for Q2 2016  
10/10/16 08:44:22.140 - User Failed to Authenticate -  
10/10/16 02:49:37.607 - User Failed to Authenticate -  
10/10/16 02:49:45.143 - User Successfully Authenticated -  
10/10/16 02:52:31.413 - Client Report Submitted - Client Report Submitted for Q3 2016  
01/03/17 10:15:16.633 - User Failed to Authenticate -  
01/15/17 04:42:36.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:40.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:38:43.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 09:51:04.103 - User Failed to Authenticate -  
01/16/17 09:52:24.957 - User Successfully Authenticated -  
01/16/17 09:53:55.673 - Client Report Submitted - Client Report Submitted for Q4 2016  
01/17/17 01:38:27.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
02/20/17 10:40:28.717 - User Failed to Authenticate -  
02/20/17 10:42:47.773 - User Failed to Authenticate -  
02/20/17 10:42:55.367 - User Successfully Authenticated -  
04/15/17 01:31:27.517 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:48.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:48.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/18/17 01:30:28.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 01:30:25.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 09:47:10.797 - User Failed to Authenticate -  
04/19/17 09:47:18.490 - User Successfully Authenticated -  
04/19/17 09:48:31.767 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/11/17 08:16:29.087 - User Profile Updated - User profile updated for Emilie Wylde  
05/11/17 08:16:40.220 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:10:33.390 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 10:10:44.490 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:31:12.133 - User Failed to Authenticate -  
05/11/17 10:31:25.130 - User Successfully Authenticated -  
05/11/17 10:32:08.713 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 10:32:19.847 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:26:36.427 - Admin User Reset Initiated - An admin user reset was initiated.  
05/11/17 12:45:32.713 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
05/11/17 12:51:56.993 - User Failed to Authenticate -  
05/11/17 12:52:20.457 - User Successfully Authenticated -  
05/11/17 12:54:10.603 - Client Report Submitted - Client Report Submitted for Q2 2016

# Client/Employer Activity

## Q2 2016

---

Client Code: 819  
Client Name: emilliewylde  
Email: jasonh@livingopps.org

Q2 2016 Filing: 8/3/2016  
Q2 2016 Expended: \$600.00  
Q2 2016 Penalty: \$160.00

Living Opportunities  
Attn: Jason Hansen  
PO Box 1105  
Medford, OR 97501

---

05/11/17 12:54:32.927 - Client Report Submitted - Client Report Submitted for Q3 2016  
05/11/17 12:55:06.970 - Client Report Submitted - Client Report Submitted for Q4 2016  
05/11/17 12:55:29.310 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/11/17 12:56:10.270 - User Password Reset - The user's password was reset from the "My Profile" page.  
05/11/17 12:56:10.333 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:56:19.917 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 12:56:49.887 - User Password Reset - The user's password was reset from the "My Profile" page.  
05/11/17 12:56:49.933 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:56:59.627 - User Profile Updated - User profile updated for Jason Hansen  
06/07/17 09:53:46.213 - User Successfully Authenticated -  
07/05/17 08:23:21.667 - User Successfully Authenticated -  
07/05/17 08:24:50.343 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/04/17 01:27:38.960 - User Successfully Authenticated -  
10/04/17 01:30:01.627 - Client Report Submitted - Client Report Submitted for Q3 2017

# Client/Employer Activity

## Q4 2016

Client Code: 819  
User Name: emillewylde  
Email: jasonh@livingopps.org

Q4 2016 Filing: 1/16/2017  
Q4 2016 Expended: \$600.00  
Q4 2016 Penalty: \$10.00

Living Opportunities  
Attn: Jason Hansen  
PO Box 1105  
Medford, OR 97501

### Activity Log - Q4 2016 to Date

10/10/16 08:44:22.140 - User Failed to Authenticate -  
10/10/16 02:49:37.607 - User Failed to Authenticate -  
10/10/16 02:49:45.143 - User Successfully Authenticated -  
10/10/16 02:52:31.413 - Client Report Submitted - Client Report Submitted for Q3 2016  
01/03/17 10:15:16.633 - User Failed to Authenticate -  
01/15/17 04:42:36.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:40.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:38:43.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 09:51:04.103 - User Failed to Authenticate -  
01/16/17 09:52:24.957 - User Successfully Authenticated -  
01/16/17 09:53:55.673 - Client Report Submitted - Client Report Submitted for Q4 2016  
01/17/17 01:38:27.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
02/20/17 10:40:28.717 - User Failed to Authenticate -  
02/20/17 10:42:47.773 - User Failed to Authenticate -  
02/20/17 10:42:55.367 - User Successfully Authenticated -  
04/15/17 01:31:27.517 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:48.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:48.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/18/17 01:30:28.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 01:30:25.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 09:47:10.797 - User Failed to Authenticate -  
04/19/17 09:47:18.490 - User Successfully Authenticated -  
04/19/17 09:48:31.767 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/11/17 08:16:29.087 - User Profile Updated - User profile updated for Emilie Wylde  
05/11/17 08:16:40.220 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:10:33.390 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 10:10:44.490 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:31:12.133 - User Failed to Authenticate -  
05/11/17 10:31:25.130 - User Successfully Authenticated -  
05/11/17 10:32:08.713 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 10:32:19.847 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:26:36.427 - Admin User Reset Initiated - An admin user reset was initiated.  
05/11/17 12:45:32.713 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
05/11/17 12:51:56.993 - User Failed to Authenticate -  
05/11/17 12:52:20.457 - User Successfully Authenticated -  
05/11/17 12:54:10.603 - Client Report Submitted - Client Report Submitted for Q2 2016  
05/11/17 12:54:32.927 - Client Report Submitted - Client Report Submitted for Q3 2016  
05/11/17 12:55:06.970 - Client Report Submitted - Client Report Submitted for Q4 2016  
05/11/17 12:55:29.310 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/11/17 12:56:10.270 - User Password Reset - The user's password was reset from the "My Profile" page.  
05/11/17 12:56:10.333 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:56:19.917 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 12:56:49.887 - User Password Reset - The user's password was reset from the "My Profile" page.  
05/11/17 12:56:49.933 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:56:59.627 - User Profile Updated - User profile updated for Jason Hansen  
06/07/17 09:53:46.213 - User Successfully Authenticated -  
07/05/17 08:23:21.667 - User Successfully Authenticated -  
07/05/17 08:24:50.343 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/04/17 01:27:38.960 - User Successfully Authenticated -  
10/04/17 01:30:01.627 - Client Report Submitted - Client Report Submitted for Q3 2017

**Client/Employer Activity**  
**Q1 2017**

Client Code: 819  
Client Name: emilliewylde  
Email: jasonh@livingopps.org  
  
Living Opportunities  
Attn: Jason Hansen  
PO Box 1105  
Medford, OR 97501


Q1 2017 Filing: 4/19/2017  
Q1 2017 Expended: \$600.00  
Q1 2017 Penalty: \$40.00

**Activity Log - Q1 2017 to Date**

01/03/17 10:15:16.633 - User Failed to Authenticate -  
01/15/17 04:42:36.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:40.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:38:43.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 09:51:04.103 - User Failed to Authenticate -  
01/16/17 09:52:24.957 - User Successfully Authenticated -  
01/16/17 09:53:55.673 - Client Report Submitted - Client Report Submitted for Q4 2016  
01/17/17 01:38:27.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
02/20/17 10:40:28.717 - User Failed to Authenticate -  
02/20/17 10:42:47.773 - User Failed to Authenticate -  
02/20/17 10:42:55.367 - User Successfully Authenticated -  
04/15/17 01:31:27.517 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:48.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:48.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/18/17 01:30:28.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 01:30:25.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/19/17 09:47:10.797 - User Failed to Authenticate -  
04/19/17 09:47:18.490 - User Successfully Authenticated -  
04/19/17 09:48:31.767 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/11/17 08:16:29.087 - User Profile Updated - User profile updated for Emille Wylde  
05/11/17 08:16:40.220 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:10:33.390 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 10:10:44.490 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:31:12.133 - User Failed to Authenticate -  
05/11/17 10:31:25.130 - User Successfully Authenticated -  
05/11/17 10:32:08.713 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 10:32:19.847 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:26:36.427 - Admin User Reset Initiated - An admin user reset was initiated.  
05/11/17 12:45:32.713 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
05/11/17 12:51:56.993 - User Failed to Authenticate -  
05/11/17 12:52:20.457 - User Successfully Authenticated -  
05/11/17 12:54:10.603 - Client Report Submitted - Client Report Submitted for Q2 2016  
05/11/17 12:54:32.927 - Client Report Submitted - Client Report Submitted for Q3 2016  
05/11/17 12:55:06.970 - Client Report Submitted - Client Report Submitted for Q4 2016  
05/11/17 12:55:29.310 - Client Report Submitted - Client Report Submitted for Q1 2017  
05/11/17 12:56:10.270 - User Password Reset - The user's password was reset from the "My Profile" page.  
05/11/17 12:56:10.333 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:56:19.917 - User Profile Updated - User profile updated for Jason Hansen  
05/11/17 12:56:49.887 - User Password Reset - The user's password was reset from the "My Profile" page.  
05/11/17 12:56:49.933 - User Profile Updated - The user's main profile was updated.  
05/11/17 12:56:59.627 - User Profile Updated - User profile updated for Jason Hansen  
06/07/17 09:53:46.213 - User Successfully Authenticated -  
07/05/17 08:23:21.667 - User Successfully Authenticated -  
07/05/17 08:24:50.343 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/04/17 01:27:38.960 - User Successfully Authenticated -  
10/04/17 01:30:01.627 - Client Report Submitted - Client Report Submitted for Q3 2017

**PREVIOUS VIOLATIONS: None prior to 2016**

**RECOMMENDATION: Q2 2016 (\$160)**  
**Q4 2016 (\$10)**  
**Q1 2017 (\$40)**

*\$30* 

RECEIVED

DEC 05 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

December 5, 2017

Oregon Government Ethics Commission  
3218 Pringle Rd. SE, Suite 220  
Salem, OR 97302-1544

Dear Commissioners,

There is a total of \$210.00 in late filing fees on our account. I was filing these reports when they were done on paper, and I used to use the form that was sent to us as a reminder to file the report. I knew that the move to electronic filing was approaching, but thought that it was happening later than it did.

Evidently, the notices for electronic filing went to our CEO, who didn't know that I had historically filed these reports, and she was not familiar with them. She's very busy and didn't really understand the reporting requirements when she first received it. I should have realized much earlier that we hadn't filed reports in quite some time, but didn't as we have many reporting requirements, and keeping track of them all can be a bit daunting.

We certainly take our reporting responsibilities seriously, and I've made changes to ensure that deadlines are no longer missed by including them on my calendar, adding my email address to the notifications that we receive from the Oregon Government Ethics Commission, and changing the password for online reporting so that I can log in and do the reporting.

We throw ourselves upon the mercy of the Commission, and respectfully request abatement of the penalties. Any amounts you see fit to abate will be dollars we can spend on supporting individuals who experience autism to work, live and recreate in our community. We understand that the decision is yours to make, and we will respect that decision.

Happy Holidays to you and yours. Please feel free to contact us with any questions or concerns you may have.

Sincerely,

Jason Hansen, CPA, CFO  
Living Opportunities, Inc.  
Ph.: (541) 772-1503  
Fax: (541) 772-4116  
Email: [jasonh@livingoppo.org](mailto:jasonh@livingoppo.org)



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

December 8, 2017

American Cancer Society Cancer Action Network  
Attn: Christopher Friend  
0330 SW Curry St.  
Portland OR 97239

Dear Mr. Friend:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, January 5, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q4, 2016 and Q1, Q2, Q3 2017 (\$140) penalty will be extended to coincide with the January 5<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

## Q4 2016

Client Code: 137  
User Name: ACSCANOregon  
Email: christopher.friend@cancer.org

Q4 2016 Filing: 1/18/2017  
Q4 2016 Expended: \$0.00  
Q4 2016 Penalty: \$30.00

American Cancer Society Cancer Action Network  
Attn: Christopher Friend  
0330 SW Curry St.  
Portland, OR 97239

### Activity Log - Q4 2016 to Date

10/12/16 12:46:34.497 - User Failed to Authenticate -  
10/12/16 12:51:10.153 - User Successfully Authenticated -  
10/12/16 01:35:30.750 - User Successfully Authenticated -  
10/12/16 01:39:59.430 - User Profile Updated - The user's main profile was updated.  
10/12/16 01:40:01.823 - User Profile Updated - User profile updated for Luis Rodriguez  
10/12/16 01:40:42.463 - User Profile Updated - The user's main profile was updated.  
10/12/16 01:40:44.933 - User Profile Updated - User profile updated for Luis Rodriguez  
10/12/16 01:41:12.530 - User Profile Updated - The user's main profile was updated.  
10/12/16 01:41:15.117 - User Profile Updated - User profile updated for Christopher Friend  
10/12/16 01:41:43.067 - Admin User Reset Initiated - An admin user reset was initiated.  
10/12/16 01:49:23.963 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
10/12/16 01:49:42.190 - User Successfully Authenticated -  
10/13/16 08:25:00.933 - User Successfully Authenticated -  
10/13/16 02:22:53.483 - User Successfully Authenticated -  
10/13/16 02:31:29.330 - User Successfully Authenticated -  
10/13/16 02:44:18.230 - Client Report Saved - Client Report Saved for Q3 2016  
10/13/16 02:46:26.317 - Client Report Submitted - Client Report Submitted for Q3 2016  
10/13/16 02:58:00.367 - User Successfully Authenticated -  
11/03/16 01:52:53.543 - User Successfully Authenticated -  
11/03/16 02:13:10.160 - User Successfully Authenticated -  
01/15/17 04:42:34.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:17.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:36:16.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 06:20:12.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/17/17 01:34:33.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/18/17 01:30:39.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/18/17 09:05:17.570 - User Successfully Authenticated -  
01/18/17 09:06:54.717 - Client Report Saved - Client Report Saved for Q4 2016  
01/18/17 09:11:09.247 - Client Report Saved - Client Report Saved for Q4 2016  
01/18/17 09:14:09.417 - Client Report Submitted - Client Report Submitted for Q4 2016  
02/02/17 02:13:49.410 - User Successfully Authenticated -  
02/02/17 02:54:21.057 - User Successfully Authenticated -  
04/15/17 01:31:06.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:38.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:38.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 12:31:44.577 - User Successfully Authenticated -  
04/17/17 01:09:08.597 - User Successfully Authenticated -  
04/17/17 01:32:10.890 - Client Report Submitted - Client Report Submitted for Q1 2017  
07/16/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:14.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:11.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 11:52:18.430 - User Successfully Authenticated -  
07/19/17 11:57:43.963 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/16/17 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:11.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 03:02:10.190 - User Profile Updated - The user's main profile was updated.  
10/19/17 03:02:35.293 - User Profile Updated - User profile updated for Christopher Friend  
10/20/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:25:28.623 - User Successfully Authenticated -  
10/20/17 11:35:59.837 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:41:08.560 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:42:43.750 - Client Report Submitted - Client Report Submitted for Q3 2017



# Client/Employer Activity

## Q4 2016

---

Client Code: 137  
Client Name: ACSCANOregon  
Email: christopher.friend@cancer.org

American Cancer Society Cancer Action Network  
Attn: Christopher Friend  
0330 SW Curry St.  
Portland, OR 97239

Q4 2016 Filing: 1/18/2017  
Q4 2016 Expended: \$0.00  
Q4 2016 Penalty: \$30.00

---

10/20/17 11:44:32.037 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/20/17 11:45:51.680 - Client Report Submitted - Client Report Submitted for Q1 2017  
10/25/17 12:59:29.523 - User Failed to Authenticate -  
10/25/17 12:59:38.287 - User Successfully Authenticated -

# Client/Employer Activity

## Q1 2017

Client Code: 137  
User Name: ACSCANOregon  
Email: christopher.friend@cancer.org

Q1 2017 Filing: 4/17/2017  
Q1 2017 Expended: \$5,043.75  
Q1 2017 Penalty: \$20.00

American Cancer Society Cancer Action Network  
Attn: Christopher Friend  
0330 SW Curry St.  
Portland, OR 97239

### Activity Log - Q1 2017 to Date

01/15/17 04:42:34.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:17.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:36:16.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 06:20:12.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/17/17 01:34:33.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/18/17 01:30:39.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/18/17 09:05:17.570 - User Successfully Authenticated -  
01/18/17 09:06:54.717 - Client Report Saved - Client Report Saved for Q4 2016  
01/18/17 09:11:09.247 - Client Report Saved - Client Report Saved for Q4 2016  
01/18/17 09:14:09.417 - Client Report Submitted - Client Report Submitted for Q4 2016  
02/02/17 02:13:49.410 - User Successfully Authenticated -  
02/02/17 02:54:21.057 - User Successfully Authenticated -  
04/15/17 01:31:06.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:38.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:38.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 12:31:44.577 - User Successfully Authenticated -  
04/17/17 01:09:08.597 - User Successfully Authenticated -  
04/17/17 01:32:10.890 - Client Report Submitted - Client Report Submitted for Q1 2017  
07/16/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:14.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:11.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 11:52:18.430 - User Successfully Authenticated -  
07/19/17 11:57:43.963 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/16/17 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:11.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 03:02:10.190 - User Profile Updated - The user's main profile was updated.  
10/19/17 03:02:35.293 - User Profile Updated - User profile updated for Christopher Friend  
10/20/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:25:28.623 - User Successfully Authenticated -  
10/20/17 11:35:59.837 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:41:08.560 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:42:43.750 - Client Report Submitted - Client Report Submitted for Q3 2017  
10/20/17 11:44:32.037 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/20/17 11:45:51.680 - Client Report Submitted - Client Report Submitted for Q1 2017  
10/25/17 12:59:29.523 - User Failed to Authenticate -  
10/25/17 12:59:38.287 - User Successfully Authenticated -

# Client/Employer Activity

## Q2 2017

Client Code: 137  
Client Name: ACSCANOregon  
Email: christopher.friend@cancer.org

Q2 2017 Filing: 7/19/2017  
Q2 2017 Expended: \$0.00  
Q2 2017 Penalty: \$40.00

American Cancer Society Cancer Action Network  
Attn: Christopher Friend  
0330 SW Curry St.  
Portland, OR 97239

### Activity Log - Q2 2017 to Date

04/15/17 01:31:06.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/16/17 01:30:38.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 01:30:38.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1  
04/17/17 12:31:44.577 - User Successfully Authenticated -  
04/17/17 01:09:08.597 - User Successfully Authenticated -  
04/17/17 01:32:10.890 - Client Report Submitted - Client Report Submitted for Q1 2017  
07/16/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:14.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:11.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 11:52:18.430 - User Successfully Authenticated -  
07/19/17 11:57:43.963 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/16/17 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:11.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 03:02:10.190 - User Profile Updated - The user's main profile was updated.  
10/19/17 03:02:35.293 - User Profile Updated - User profile updated for Christopher Friend  
10/20/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:25:28.623 - User Successfully Authenticated -  
10/20/17 11:35:59.837 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:41:08.560 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:42:43.750 - Client Report Submitted - Client Report Submitted for Q3 2017  
10/20/17 11:44:32.037 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/20/17 11:45:51.680 - Client Report Submitted - Client Report Submitted for Q1 2017  
10/25/17 12:59:29.523 - User Failed to Authenticate -  
10/25/17 12:59:38.287 - User Successfully Authenticated -

# Client/Employer Activity

Q3 2017

Client Code: 137  
User Name: ACSCANOregon  
Email: christopher.friend@cancer.org

Q3 2017 Filing: 10/20/2017  
Q3 2017 Expended: \$4,876.00  
Q3 2017 Penalty: \$50.00

American Cancer Society Cancer Action Network  
Attn: Christopher Friend  
0330 SW Curry St.  
Portland, OR 97239

## Activity Log - Q3 2017 to Date

07/16/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:14.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:11.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:11.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 11:52:18.430 - User Successfully Authenticated -  
07/19/17 11:57:43.963 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/16/17 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/17/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/18/17 01:30:11.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/19/17 03:02:10.190 - User Profile Updated - The user's main profile was updated.  
10/19/17 03:02:35.293 - User Profile Updated - User profile updated for Christopher Friend  
10/20/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3  
10/20/17 11:25:28.623 - User Successfully Authenticated -  
10/20/17 11:35:59.837 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:41:08.560 - Client Report Saved - Client Report Saved for Q3 2017  
10/20/17 11:42:43.750 - Client Report Submitted - Client Report Submitted for Q3 2017  
10/20/17 11:44:32.037 - Client Report Submitted - Client Report Submitted for Q2 2017  
10/20/17 11:45:51.680 - Client Report Submitted - Client Report Submitted for Q1 2017  
10/25/17 12:59:29.523 - User Failed to Authenticate -  
10/25/17 12:59:38.287 - User Successfully Authenticated -

Q4 2016 \$30

Q1 2017 \$20

Q2 \$40

Q3 \$50

RECOMMENDATION:

*No recommendation* @

RECEIVED

DEC 08 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

Oregon Ethics Commissioners,

As a new member of the Oregon lobby, the 2017 legislative session provided an intense learning opportunity. Not only am I relatively new to my position as ACS CAN's Government Relations Director, I also was learning the intricacies of politics in my new state. As a result, of being a new member of Oregon's lobby I also learned the need to report lobbyist expenditures on time.

I recognize my failure to accurately report according to deadlines. In retrospect, I can attribute late reports on my part due to an email error. When I received reminder emails for the Oregon Ethics Commission online reporting website, the emails were tagged as "spam". While I should have had a reminder in my calendar to ensure I filed on time, the secondary reminder from the email system was lost in my "spam" folder.

To ensure timely reporting in the future, I have added a series of reminders to my calendar and removed the Oregon Ethics Commission email address from "spam". With these steps in place, I am confident future ethics reports will be submitted on time. As someone who is a believer of transparency in political influence, I am committed to reporting on time.

I humbly ask for the ethics commission to waive or reduce fees from late ethics reporting.

Thank you for your consideration.

Christopher Friend

Oregon Government Relations Director

ACS CAN

*client/employer*

(

(

(

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of )

Roger Thompson )

) STIPULATED FINAL ORDER

) CASE NO. 17-153EDG

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Roger Thompson.

2. JURISDICTION: At all material times, Roger Thompson was a Port Commissioner for the Port of Brookings Harbor. Roger Thompson was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:

A. On 7/7/15, Roger Thompson, acting in his official capacity as a Port Commissioner, attended an executive session meeting of the governing body of the Port of Brookings Harbor. During this executive session, Mr. Thompson offered to purchase two recently vacated buildings located on Port property.

B. ORS 244.040(1) prohibits any public official from using or attempting to use their official position or office to obtain financial gain for themselves, their relative or household member, or any business with which they are associated.

- C. The action described in paragraph A above constituted one (1) violation of ORS 244.040(1).
- D. ORS 244.050(1)(s) requires Port Commissioners to file an annual verified Statement of Economic Interest (SEI) each April 15, in which they disclose their financial interests from the prior calendar year. Roger Thompson timely filed his 2016 and 2017 SEIs, but failed to disclose the name of a business under which his household member did business, as required by ORS 244.060(2).
- E. Roger Thompson amended his 2016 and 2017 SEIs on 11/21/17 to include the business name under which his then-household member did business.
- F. The actions described in paragraph D above constituted two violations of ORS 244.060(2).
- G. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 for each violation.
- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find 1 violation of ORS 244.040(1) and 2 violations of ORS 244.060(2).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/17/17, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case



hearing. The 11/17/17 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by Roger Thompson.

- B. In lieu of a civil penalty, as authorized by ORS 244.350, Mr. Thompson will receive a letter of education to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Roger Thompson within the scope of the above-referenced proceedings.
- D. Roger Thompson will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

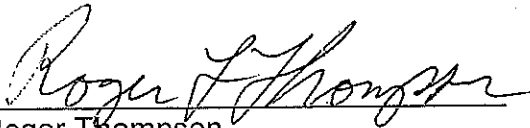
This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Roger Thompson agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this

matter shall become part of the record.

By signing this agreement, Roger Thompson agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

  
\_\_\_\_\_  
Roger Thompson

12/06/2017  
Date

\_\_\_\_\_  
Alison R. Kean, Chairperson  
Oregon Government Ethics Commission

\_\_\_\_\_  
Date