



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 25, 2018

Roland Iparraguirre
10121 SE Sunnyside Rd, Suite 300
Clackamas OR 97015

Re: 2018 SEI late filing

Dear Mr. Iparraguirre:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 267
User Name: RIparraguirre
Email: roland@rilawgroup.com

2018 Filing: 5/25/2018
2018 Penalty: \$310.00

Roland Iparraguirre
10121 SE Sunnyside Road, Suite 300
Clackamas, OR 97015

2018 Offices Held

HAPPY VALLEY (City), DESIGN REVIEW BOARD - Appointed 02/06/18

Activity Log - 2018 to Date

05/01/18 09:48:26.770 - Admin User Reset Initiated - An admin user reset was initiated.
05/01/18 10:15:48.577 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/01/18 10:16:09.230 - User Successfully Authenticated -
05/01/18 10:17:12.660 - User Profile Updated - User profile updated for Roland Iparraguirre
05/01/18 10:17:12.667 - User Profile Updated - The user's main profile was updated.
05/01/18 10:17:39.710 - Admin User Reset Initiated - An admin user reset was initiated.
05/07/18 01:30:11.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.227 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:08.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:07.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:09.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:08.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:09.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:09.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:07.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:07.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:06.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:07.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:07.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 09:32:54.780 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 09:32:54.870 - User Profile Updated - The user's main profile was updated.
05/25/18 09:43:42.507 - Admin User Reset Initiated - An admin user reset was initiated.
05/25/18 09:48:52.630 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/25/18 09:50:06.793 - User Successfully Authenticated -
05/25/18 09:50:37.310 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 09:50:37.330 - User Profile Updated - The user's main profile was updated.
05/25/18 10:22:37.177 - User Successfully Authenticated -
05/25/18 10:33:41.890 - Late SEI Report Filed - SEI Report Filed Late for year 2018
05/25/18 10:36:11.943 - User Password Reset - The user's password was reset from the "My Profile" page.
05/25/18 10:36:11.963 - User Profile Updated - The user's main profile was updated.
05/25/18 10:36:11.967 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 10:38:51.430 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 10:38:51.433 - User Profile Updated - The user's main profile was updated.
05/25/18 10:43:09.740 - User Profile Updated - The user's main profile was updated.
05/25/18 10:43:09.740 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 10:53:26.200 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 10:53:26.223 - User Profile Updated - The user's main profile was updated.
05/25/18 10:59:36.260 - User Profile Updated - The user's main profile was updated.
05/25/18 10:59:36.283 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 11:03:53.500 - User Security Questions Reset - The user's security questions were reset from the "My Profile" page.
05/25/18 11:03:53.513 - User Profile Updated - The user's main profile was updated.
05/25/18 11:03:53.530 - User Profile Updated - User profile updated for Roland Iparraguirre
05/25/18 11:04:20.807 - User Profile Updated - The user's main profile was updated.
05/25/18 11:04:20.813 - User Profile Updated - User profile updated for Roland Iparraguirre

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Ltr of Ed RB

HUNTER David * OGEC

From: Roland Iparraguirre <iparraguirreroland@gmail.com>
Sent: Friday, May 25, 2018 10:36 AM
To: OGEC Mail * OGEC
Cc: HUNTER David * OGEC
Subject: Request to waive penalty for late filing

Dear Commission

I serve on the Happy Valley design review board. Today, I spoke with David Hunter (Oregon Government Ethics Commission) who called to inquire if I needed assistance filling out my SEI form. I explained that I had not received any forms regarding this matter. A few months ago, I received an e-mail from Kara Kerpan (City Recorder/Happy Valley) who informed me that I would be receiving an e-mail from OGEC. About a month ago, I received a call from Ms. Kerpan asking if I had yet received any e-mail from OGEC. I explained that I had not. She told me that she would contact OGEC.

During my conversation with Mr. David Hunter, I asked him to verify the e-mail on record. He stated that it was iparraguirre@gmail.com. I explained that this was not my e-mail. I gave Mr. Hunter my correct e-mail and an alternate e-mail that would be easier to write. I immediately logged on to the OGEC website and filled out the SEI form. Under the circumstances, I hope you can waive my late charges.

Sincerely,

Roland Iparraguirre

Content from [Mail](#) for Windows 10

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Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 29, 2018

Forrest Stone
282 Dovetail Lane
Sutherlin OR 97479

Re: 2018 SEI late filing

Dear Mr. Stone:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 1608
User Name: Fstone
Email: f.stone@ci.sutherlin.or.us

2018 Filing: 5/29/2018
2018 Penalty: \$510.00

Forrest Stone
282 Dovetail Lane
Sutherlin, OR 97479

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:11.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:10.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.057 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.173 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 10:37:02.147 - User Profile Updated - User profile updated for Forrest Stone
05/29/18 10:37:02.197 - User Profile Updated - The user's main profile was updated.
05/29/18 10:41:24.033 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Letter of Ed. DG

RECEIVED

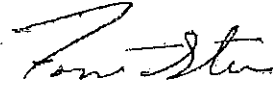
MAY 29 2018

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners of the Oregon Government Ethics Commission:

I have been a Councilor for the City of Sutherlin for several years and have always filled out my Ethics report on my income from lobbyist or anyone else. Somehow this year I missed my report. I am asking for a waiver of late penalty. I hope the commission can see that this has not happened in the past and will grant me leniency. Thank you for your time.

Forrest Stone



Date

5-29-18



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 29, 2018

Suzanne McCarthy
PO Box 708
Garibaldi OR 97118

Re: 2018 SEI late filing

Dear Ms. McCarthy:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 170
User Name: Suemac41
Email: mccarthyns@embarqmail.com

2018 Filing: 5/25/2018
2018 Penalty: \$310.00

Suzanne McCarthy
PO Box 708
Garibaldi, OR 971180708

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

03/12/18 02:40:13.580 - User Successfully Authenticated -
03/13/18 01:49:29.897 - Seat Vacated - Seat Vacated
03/13/18 02:02:35.990 - User Failed to Authenticate -
03/13/18 02:03:10.387 - User Successfully Authenticated -
03/13/18 02:04:52.920 - User Profile Updated - User profile updated for Suzanne McCarthy
03/13/18 02:04:52.930 - SEI Assigned to Seat - SEI Assigned to Seat
05/07/18 01:30:11.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:07.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:08.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:07.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:09.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:08.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:09.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:09.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:07.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:07.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:06.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:07.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:07.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 11:30:58.983 - User Profile Updated - The user's main profile was updated.
05/25/18 11:30:58.997 - User Profile Updated - User profile updated for Suzanne McCarthy
05/25/18 02:26:32.280 - User Successfully Authenticated -
05/25/18 02:36:13.060 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: letter of Ed DB

HUNTER David * OGEC

m: Suzanne McCarthy <mccarthysuzanne41@outlook.com>
sent: Monday, May 28, 2018 12:34 PM
To: OGEC Mail * OGEC
Subject: Filing Fee

To Whom it May Concern:

I was just informed by David Hunter that I had Ogec not filed my 2018 Statement of Economic Interest and that I owe \$310.00 in penalties. In mid March I attempted to file, but the web site wasn't open yet. I then left for vacation assuming that I would be notified when I could file. I returned in May and had forgotten all about it. Upon being notified, I immediately filed.

I have been mayor for 12 years and since I have never been late, I am asking to have the penalty waived.

Thank you for considering this request.

Suzanne McCarthy
Mayor, City of Garibaldi



Virus-free. www.avg.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Ronald Palmer
1226 Sixth Ave. N
Gold Hill OR 97525

Re: 2018 SEI late filing

Dear Mr. Palmer:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 6715
User Name: ronald59palmer
Email: ronald.palmer@ci.goldhill.or.us

2018 Filing: 5/29/2018
2018 Penalty: \$510.00

Ronald Palmer
1226 Sixth Ave N
Gold Hill, OR 97525

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

03/21/18 09:34:42.227 - User Profile Created - User profile created for Ronald Palmer
03/21/18 09:34:42.347 - User Profile Created - A new user profile was created.
03/21/18 09:34:42.387 - SEI Assigned to Seat - SEI Assigned to Seat
05/07/18 01:30:15.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:12.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:10.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:09.393 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:11.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:10.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
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05/21/18 01:30:09.420 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
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05/24/18 01:30:08.067 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
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05/26/18 01:30:07.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 02:39:50.983 - User Profile Updated - User profile updated for Ronald Palmer
05/29/18 02:39:51.057 - User Profile Updated - The user's main profile was updated.
05/29/18 02:45:13.487 - User Failed to Authenticate -
05/29/18 02:45:34.330 - Admin User Reset Initiated - An admin user reset was initiated.
05/29/18 02:46:46.047 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/29/18 02:47:22.137 - User Successfully Authenticated -
05/29/18 02:58:33.647 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Ltr of Ed JB

DANIEL Kathy * OGEC

From: Ronald Palmer <ronald.palmer@ci.goldhill.or.us>
Sent: Tuesday, May 29, 2018 3:09 PM
To: DANIEL Kathy * OGEC
Subject: Penalties

Dear Commissioners, please excuse my tardiness on Economic Interest Filing due to not understanding the process. This is my first time in this position. This will not happen again.

Thank you,

Ronald Palmer

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Sue Oliver
74442 Froberg Lane
Irrigon OR 97844

Re: 2018 SEI late filing

Dear Ms. Oliver:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 1226
User Name: Sue Oliver
Email: sueoliver819@gmail.com

2018 Filing: 5/29/2018
2018 Penalty: \$510.00

Sue Oliver
74442 Froberg Lane
Irrigon, OR 97844

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:11.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.153 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:09.793 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:10.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:07.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 03:19:21.077 - Late SEI Report Filed - SEI Report Filed Late for year 2018
05/29/18 03:23:48.063 - User Successfully Authenticated -
05/29/18 03:24:47.120 - User Profile Updated - User profile updated for Sue Oliver
05/29/18 03:24:47.143 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Ltr of Ed DB

DANIEL Kathy * OGEC

From: Sue Oliver <sueoliver819@gmail.com>
Sent: Tuesday, May 29, 2018 3:37 PM
To: DANIEL Kathy * OGEC
Subject: Sue Oliver's Request for late SEI Filing Penalty Waiver

Dear Kathy,

Thank you so much for assisting me today with getting my "Statement of Economic Interest" filed, albeit quite late. After finally successfully logging in, I was stunned to see an accrued penalty of \$510 for my failure to file on time. To the best of my knowledge, this is the first time I've filed late, and I do apologize for failing to fulfill this important obligation.

As I discussed with you, the original reminder emails were sent to an email account I rarely use any more, combined with the fact that I spent most of the month of March away from home due to an illness (and subsequent death) in the family, and then spent April and May just trying to catch up from being gone, all the while dealing with an ill husband at home and a new consulting job.

I would appreciate it very much if you would extend my apologies to the members of the Ethics Commission for my lapse, and request on my behalf that the penalty for late filing in my case be waived. I will strive to do better next year, and have entered a reminder into my calendar so I don't forget (and updated my email address!).

Thanks again,

Sue Oliver
Cell: 541-379-2767
Sueoliver819@gmail.com



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Paula Otzenberger
PO Box 171
Stanfield OR 97875

Re: 2018 SEI late filing

Dear Ms. Otzenberger:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 2662
User Name: Paula Otzenberger
Email: potzy54@gmail.com

2018 Filing: 5/29/2018
2018 Penalty: \$510.00

Paula Otzenberger
PO Box 171
Stanfield, OR 97875

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

03/30/18 08:13:00.237 - User Successfully Authenticated -
05/07/18 01:30:12.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:11.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.387 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 03:49:40.320 - User Successfully Authenticated -
05/29/18 03:55:38.117 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Letter of Ed DB

DANIEL Kathy * OGEC

om: Paula OtzenbergeEU. <potzy54@gmail.com>
Sent: Tuesday, May 29, 2018 4:04 PM
To: DANIEL Kathy * OGEC
Subject: Penalty for late filing

Dear Commissioners,

I was late filing this year because I used the electronic filing system on March 30, 2018 for the first time and thought I had completed the requirements. Obviously, I did not use the program efficiently.

Kathy has helped me fill in the electronic filing correctly today, May 29, 2018.

I have not been late in the past. Please consider waving my penalty for this year, I would greatly appreciate it.

Sincerely,
Paula Otzenberger



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Marilyn Morton
1312 B St.
Independence OR 97351

Re: 2018 SEI late filing

Dear Ms. Morton:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 2676
User Name: marilyn@minetfiber.com
Email: marilyn97351@gmail.com

2018 Filing: 5/29/2018
2018 Penalty: \$510.00

Marilyn Morton
1312 B St
Independence, OR 97351

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.393 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:11.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.560 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 03:15:39.450 - User Profile Updated - User profile updated for Marilyn Morton
05/29/18 03:15:39.457 - User Profile Updated - The user's main profile was updated.
05/29/18 03:25:18.593 - Admin User Reset Initiated - An admin user reset was initiated.
05/29/18 03:26:08.483 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/29/18 03:27:49.090 - User Successfully Authenticated -
05/29/18 03:28:14.570 - User Profile Updated - User profile updated for Marilyn Morton
05/29/18 03:28:14.573 - User Profile Updated - The user's main profile was updated.
05/29/18 03:37:02.727 - User Successfully Authenticated -
05/29/18 03:40:10.440 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: *Letter of ed. PB*

HUNTER David * OGEC

m: Marilyn Morton <marilyn97351@gmail.com>
Sent: Tuesday, May 29, 2018 3:52 PM
To: OGEC Mail * OGEC
Subject: To the commissioners, regarding late filing

Commissioners,

In my 16+ years as a councilor for the City of Independence I have never been late on filing an Ethics Commission Report. This reporting period, however, there were mitigating circumstances that caused me to be late. Here is what happened:

Since last year's filing the city council has begun using I-pads during council meetings. Though they are fine tools in other settings, I find them distracting. However, I do check my email on them, prior to council meetings, and respond to what is needed. I missed seeing the filing late notice as it came in after the last council meeting at which I had my I-pad. The next council meeting we held at Central High School, and I did not get my I-pad, which I leave at the city between meetings. Then we had no council meetings in the month of May. So here we are today, and I got a call from David Hunter at the Oregon Ethics Commission letting me know I was a non-filer.

The other change is that my home email of many years was changed and, though I put a notice to forward on the old email, it would have expired before I would have gotten any incoming mail from the Ethics Commission.

I offer my sincere apologies for missing this very important filing, and ask that you would consider waiving the penalty associated with non-filing. At your convenience I would be happy to continue a discussion of this matter.

Thank you.
Marilyn Morton
503 580-8525 (cell)
Home email: marilyn97351@gmail.com



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Rhonda Allen
821 Arrow Leaf Place
Harrisburg OR 97446

Re: 2018 SEI late filing

Dear Ms. Allen:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 6934
User Name: rkallen22
Email: rhonda.allen@lbleisd.k12.or.us

2018 Filing: 5/25/2018
2018 Penalty: \$50.00

Rhonda Allen
821 Arrow Leaf Pl
Harrisburg, OR 97446

2018 Offices Held

SCHOOL DISTRICT (Educational), HARRISBURG SD 7 - BUSINESS MANAGER - Appointed 03/05/18

Activity Log - 2018 to Date

05/24/18 09:58:37.037 - User Profile Created - User profile created for Rhonda Allen
05/24/18 09:58:37.227 - User Profile Created - A new user profile was created.
05/24/18 09:58:37.290 - SEI Assigned to Seat - SEI Assigned to Seat
05/24/18 10:12:46.523 - SEI Report Draft Saved - SEI Report Draft Saved for year 2018
05/25/18 01:30:11.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 08:04:32.597 - User Successfully Authenticated -
05/25/18 08:06:05.703 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Letter of ed. DB

HUNTER David * OGEC

m: Rhonda Allen <Rhonda.Allen@lblesd.k12.or.us>
Sent: Friday, May 25, 2018 11:25 AM
To: OGEC Mail * OGEC
Subject: Statement of Economic Interest Filing Fee - Rhonda Allen

Follow Up Flag: Follow up
Flag Status: Flagged

Good Morning,

I am writing to request that the now assessed \$50 late filing fee for the Statement of Economic Interest be waived. This is my first time filing and I did not realize the importance of the filing deadline and fees assessed. I am currently the interim business manager at Harrisburg School District which I started March 6, 2018 and it will end on June 30, 2018. I am not currently in a position at LBL-ESD that requires me to complete this report, so it was not on my radar of deadlines.

I appreciate your consideration of waiving the fee.

Thank you, Rhonda Allen

Rhonda Allen
Assistant Business Manager
in Benton Lincoln ESD
541-812-2643

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Julie Bettles
PO Box 196
Chiloquin OR 97624

Re: 2018 SEI late filing

Dear Ms. Bettles:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 6093
User Name: Avi6492
Email: juliebettles@centurylink.net

2018 Filing: 5/30/2018
2018 Penalty: \$560.00

Julie Bettles
PO Box 196
Chiloquin, OR 97624

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:11.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:09.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 11:08:45.967 - User Profile Updated - User profile updated for Julie Bettles
05/18/18 11:08:46.023 - User Profile Updated - The user's main profile was updated.
05/19/18 01:30:08.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:11.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:07.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 12:25:40.663 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATION: Appointed in Jan 2017, didn't create account in EFS until 6/12/17,
Filed 6/12/17, no penalty accrued

RECOMMENDATION: letter of Ed *DB*

DANIEL Kathy * OGEC

From: Julie Crampton <ssgtcrampton@gmail.com>
Sent: Wednesday, May 30, 2018 12:45 PM
To: OGEC Mail * OGEC
Subject: Fwd: Dear Oregon Government Ethics Commission
Attachments: image001.jpg; image001.jpg

----- Forwarded message -----

From: Julie Bettles <julie.bettles@klamathtribes.com>
Date: Wed, May 30, 2018, 12:38 PM
Subject: Dear Oregon Government Ethics Commission-
To: ssgtcrampton@gmail.com <ssgtcrampton@gmail.com>

Dear OGEC-

I was unaware that the SEI was an annual requirement and have not had access to my email account, as it was cancelled when the city moved/received a new phone system; the cancelled email was assigned to me last year. I was notified by the City of Chiloquin recorder's office this past week and was given information regarding the annual SEI requirement. I am respectfully requesting that the late fees / penalties for filing be waived; as I have corrected the action today and am now informed of the annual requirement. I will also be addressing this issue locally to ensure that new council members are aware of the timeline and annual requirement.

Respectfully,

Julie Bettles

Education & Employment Dept Director

The Klamath Tribes

501 South Chiloquin Blvd

P. O. Box 436

Chiloquin OR 97624

(541) 783-2219 Ext 109

Cell (541) 891-7540



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 30, 2018

Shawn Sealey
PO Box 281
Wallowa OR 97885

Re: 2018 SEI late filing

Dear Mr. Sealey:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 5756
User Name: scouncil
Email: ssealeywallowa@gmail.com

2018 Filing: 5/30/2018
2018 Penalty: \$560.00

Shawn Sealey
PO Box 281
Wallowa, OR 97885

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:11.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:12.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:09.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.387 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:10.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:07.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:05:27.817 - Admin User Reset Initiated - An admin user reset was initiated.
05/30/18 02:01:55.890 - Late SEI Report Filed - SEI Report Filed Late for year 2018
05/30/18 02:05:59.490 - User Profile Updated - User profile updated for Shawn Sealey
05/30/18 02:05:59.540 - User Profile Updated - The user's main profile was updated.

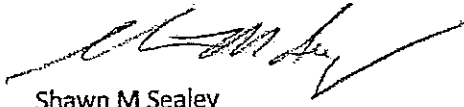
PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: *letter of ed DB*

To the Commissioners,

I currently hold a City Council position with the City of Wallowa. I am in my second year of my first time holding a political position. I failed to register at the April deadline. I really have no excuse. It was my lack of detail to the matter. In addition, I registered in 2017 with an email that I ended up not using. As a result, I also did not see the daily email reminders that your department was sending. I am sorry for adding another issue to your plates.

I ask if the Commissioners will waive the fees based on this being my first time late to register. Thank you for your consideration to this matter.



Shawn M Sealey

RECEIVED
MAY 30 2018
OREGON GOVERNMENT
ETHICS COMMISSION



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 31, 2018

Matthew Lawyer
7055 Pierce Dr N
Keizer OR 97303

Re: 2018 SEI late filing

Dear Mr. Lawyer:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

SEI Activity

2018

SEI Code: 6267
User Name: matthew.lawyer@state.or.us
Email: mattlawyer82@gmail.com

2018 Filing: 5/31/2018
2018 Penalty: \$610.00

Matthew Lawyer
7055 Pierce Dr N
Keizer, OR 97303

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

02/01/18 08:26:18.457 - User Profile Updated - User profile updated for Matthew Lawyer
05/07/18 01:30:11.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:07.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:08.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:07.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:08.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:08.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:09.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:09.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:07.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:07.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:06.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:07.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:07.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:06.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:05.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:06.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 04:16:03.897 - Admin User Reset Initiated - An admin user reset was initiated.
05/30/18 04:16:14.907 - Admin User Reset Initiated - An admin user reset was initiated.
05/30/18 04:17:06.230 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/30/18 04:18:23.490 - User Successfully Authenticated -
05/30/18 04:19:10.817 - User Profile Updated - User profile updated for Matthew Lawyer
05/30/18 04:19:10.873 - User Profile Updated - The user's main profile was updated.
05/31/18 01:30:07.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 08:35:04.137 - User Failed to Authenticate -
05/31/18 08:35:20.313 - User Successfully Authenticated -
05/31/18 08:43:36.097 - SEI Report Draft Saved - SEI Report Draft Saved for year 2018
05/31/18 08:45:22.507 - User Profile Updated - User profile updated for Matthew Lawyer
05/31/18 08:45:22.577 - User Profile Updated - The user's main profile was updated.
05/31/18 08:55:11.110 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter filed

May 31, 2018

Dear Members of the Ethics Commission,

My name is Matt Lawyer, I am a Planning Commissioner for the City of Keizer. I am respectfully requesting waiver of the fines and fees I have been assessed. Please read below for information related to my request.

On May 30th 2018 I received a call from a Mr. David Hunter regarding my role in the City of Keizer as a Planning Commissioner.

When I was appointed on December 6, 2017 I spoke with the City of Keizer, City Recorder Ms. Tracy Davis and was told at the time I was not required to do an SEI for 2017.

That notwithstanding, Ms. Davis tried to add me to the system and at the time noticed I had an account. It had been her experience to not make any changes if any if someone had an account already established. What she was not aware of, the account was associated with a previous job I held with the Oregon Department of Energy as the Energy Facility Siting Council's SEI contact. As a result, the email matthew.lawyer@state.or.us is no longer accessible to me in my current job at the Oregon Department of Aviation where my email is matthew.a.lawyer@aviation.state.or.us.

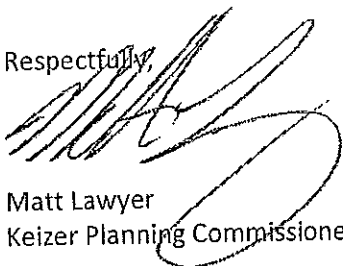
Up until May 30th 2018, I had received no contacts via phone, email and no attempt was made to contact Keizer's SEI contact describing my tardiness in completing the SEI for 2017.

When I spoke with Mr. Hunter I described the disparity between my role at Energy as the SEI contact and my totally separate and non-related position on the Planning Commission. I also described that I had not had access to the email matthew.lawyer@state.or.us in more than 18 months. We were able to get a new email associated with my account and I promptly completed the 2017 SEI the date of this letter.

It is not my intent nor has it ever been my intent to not follow through with my required filing, as mentioned, I was advised by the City Recorder, that I was not required to do a filing for 2017 due to the timing of my appointment. In addition no contact was made to any accessible email, phone number or SEI contact for the City of Keizer prior to May 30th 2018.

With all that being said, I again respectfully request a waiver of the fines and fees I have been assessed as a result of this failure in communication and commit to timely completion of my SEI requirements from this point forward.

Respectfully,



Matt Lawyer
Keizer Planning Commissioner



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 31, 2018

Neil Pattee
PO Box 8
Grass Valley OR 97029

Re: 2018 SEI late filing

Dear Mr. Pattee:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

SEI Activity

2018

SEI Code: 2912
User Name: Blacksmith
Email: nellpattee3855@gmail.com

2018 Filing: 5/27/2018
2018 Penalty: \$410.00

Neil Pattee
PO Box 8
Grass Valley, OR 97029

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.320 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:11.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.607 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.420 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 10:18:05.417 - User Failed to Authenticate -
05/27/18 10:19:03.987 - User Successfully Authenticated -
05/27/18 10:26:13.950 - Late SEI Report Filed - SEI Report Filed Late for year 2018
05/29/18 08:59:27.673 - User Successfully Authenticated -
05/29/18 09:02:39.323 - User Profile Updated - The user's main profile was updated.
05/29/18 09:02:39.340 - User Profile Updated - User profile updated for Neil Pattee

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Blacksmith
RP

DANIEL Kathy * OGEC

From: neil pattee <neilpattee3855@gmail.com>
Sent: Thursday, May 31, 2018 10:20 AM
To: DANIEL Kathy * OGEC
Subject: SEI Filer penalties & Sanctions, for Neil Pattee Mayor of Grass Valley, OR

2018 SEI report filed on 5 27 2018 successfully with penalties and sanctions of \$410.00 I have been using Century Link for all of our emails until this spring 2018. We had change to another carrier that doesnot allow all the junk mail Century link allows . I do not recall seeing any ogec notifications@ego.com this year until May 2018 when I was reminded to look for them by phone from your office. I received one on May 26, 27. I never did miss filing a paper one on time. I suggest sending your notices in a differant format , so it is more noticable and desnot look like another junk mail , looks important, send some to your selves and you see the differances.

I am requesting Oregon Government Ethics Commission credit my account \$410.00 , thank you for reading this request.

Neil

Pattee



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 6, 2018

Gloria Ingle
12016 Siletz Hwy
Lincoln City OR 97367

Re: 2018 SEI late filing

Dear Ms. Ingle:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 3624
User Name: gloria
Email: ingleg@wou.edu

2018 Filing: 6/6/2018
2018 Penalty: \$910.00

Gloria Ingle
12016 Siletz Way
Lincoln City, OR 97367

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.487 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:11.557 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:10.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:12.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.607 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/23/18 09:35:19.560 - User Profile Updated - The user's main profile was updated.
05/23/18 09:35:19.567 - User Profile Updated - User profile updated for Gloria Ingle
05/24/18 01:30:06.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:07.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 10:53:29.500 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Intent

RECEIVED

JUN 06 2018

OREGON GOVERNMENT
ETHICS COMMISSION

Good morning Kathy,

Thank you for helping me. I have continued to receive emails of penalties for not filing my Statement of Economic Interest. I have been timely in the past. I assumed that since April 18, 2018 was my last meeting and my term expired in April that this would be taken care of by notifying you that I was no longer a trustee with Western Oregon University. I think that having boards of trustees is new to our university system and so there can be some glitches that occur. If at all possible I would appreciate it if you could waive my penalties. Again, thank you for your help.

Gloria Ingle

WOU Board

12016 Siletz Hwy

Lincoln City, Oregon 97367

(541) 994-5953



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 8, 2018

Rosalie Emerson
PO Box 700
Stanfield OR 97875

Re: 2018 SEI late filing

Dear Ms. Emerson:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 2679
User Name: REMERSON
Email: remerson@hermlston.or.us

2018 Filing: 6/8/20
2018 Penalty: \$1,010.00

Rosalie Emerson
PO Box 700
Stanfield, OR 97875

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.760 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 03:12:51.237 - User Profile Updated - User profile updated for Rosalie Emerson
05/22/18 03:12:51.310 - User Profile Updated - The user's main profile was updated.
05/23/18 01:30:08.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:06.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:07.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:41:00.903 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/08/18 02:07:01.470 - User Profile Updated - User profile updated for Rosalie Emerson
06/08/18 02:07:01.483 - User Profile Updated - The user's main profile was updated.
06/08/18 02:07:19.020 - User Profile Updated - User profile updated for Rosalie Emerson
06/08/18 02:07:19.043 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of ED
(Signature)

DANIEL Kathy * OGEC

From: Rosalie Emerson <remerson1206@gmail.com>
Sent: Friday, June 08, 2018 1:53 PM
To: DANIEL Kathy * OGEC
Subject: Economic Interest File

RECEIVED

JUN 08 2018

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

First please accept my apology for the late filing.

I retired from Hermiston, however I stayed on with Stanfield as their Judge. I have filed on time previously. I am asking for the penalties to be waived because of previous punctuality. My husband has cancer and been on daily IV at the hospital for a bad knee replacement. Life has turned upside down rapidly. Today we are in Seattle for cancer stuff.

Thank you for your time and consideration regarding this matter.

Sincerely,

Rosalie Emerson
remerson1206@gmail.com
541-720-9509



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 11, 2018

Daria Land
PO Box 445
Talent OR 97540

Re: 2018 SEI late filing

Dear Ms. Land:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 6421
User Name: DarlaLand
Email: councilor1@cityoftalent.org

2018 Filing: 6/11/2018
2018 Penalty: \$1,160.00

Darla Land
PO Box 445
Talent, OR 97540

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

02/15/18 10:39:05.957 - User Profile Created - User profile created for Darla Land
02/15/18 10:39:06.197 - User Profile Created - A new user profile was created.
02/15/18 10:39:06.227 - SEI Assigned to Seat - SEI Assigned to Seat
02/16/18 07:35:07.803 - User Successfully Authenticated -
04/18/18 12:47:20.637 - User Successfully Authenticated -
05/07/18 01:30:15.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:11.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:10.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:09.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 07:59:02.320 - User Successfully Authenticated -
05/11/18 01:30:11.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:10.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:11.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:07.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:08.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:09.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:07.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:12:15.533 - User Successfully Authenticated -
06/11/18 01:19:19.647 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/11/18 02:10:37.077 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
(Signature)

DANIEL Kathy * OGEC

From: Councilor Land <Councilor1@cityoftalent.org>
Sent: Monday, June 11, 2018 2:19 PM
To: DANIEL Kathy * OGEC
Subject: SEI Filing: Daria Land

RECEIVED
JUN 11 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Ethics Commissioners,

I want to give you a heartfelt apology for missing the SEI filing deadline.

I am a new city councilor and this was my first time doing the SEI filing process. Each time I attempted to file, I did not understand the filing system process and struggled to complete, until Kathy Daniel was able to help me over the phone.

I personally do not have the funds to write a check and pay penalty fees. Would you consider waiving these penalties for this first filing process, knowing that I fully understand the process now and will not miss this deadline in the years to come?

Thank you for your consideration in this matter.

Warm regards,

Daria Land



Daria Land | Talent City Council

Councilor1@cityoftalent.org | 541.535.1566

City of Talent
PO Box 445
110 East Main St.
Talent, OR 97540
www.CityofTalent.org

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 11, 2018

Hyatt Lytle
2766 SW Pickford St. #94
Corvallis OR 97333

Re: 2018 SEI late filing

Dear Ms. Lytle:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 5838
User Name: hyattlytleward3
Email: hyatt.lytle@corvallisoregon.gov

2018 Filing: 6/11/2018
2018 Penalty: \$1,160.00

Hyatt Lytle
2766 SW Plckford Street, #94
Corvallis, OR 97333

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:11.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.153 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:09.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:10.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:07.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:09.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 03:08:38.543 - User Successfully Authenticated -
06/11/18 03:15:26.137 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter SEI
AD



RECEIVED
JUN 11 2018
OREGON GOVERNMENT
ETHICS COMMISSION

June 11, 2018

Hyatt Lytle
2766 SW Pickford St. Apt 94
Corvallis, OR 97333

RE: SEI Late Filing Letter of Explanation

Dear Commissioners,

My apologies in that I was late filing my 2018 Statement of Economic Interest. I received a call from Kathy Daniel today who brought to my attention that I was receiving daily emails reminding me to file. I could not recollect receiving such emails, and upon further discovery (thank you Kathy) I found the plethora of reminder emails sitting in my junk mail folder. I am not sure why they were sent there, as I received the SEI reminders last year and promptly filed.

As this is my first violation (compliments of my Microsoft Outlook account deciding to reroute emails to spam); I am requesting a waiver of the accrued penalties since the May deadline.

As this is still my first term as a City Councilor, it did not occur me to seek the filing on my own sans a reminder. I can attest that I have already entered it into my calendar for 2019, if something like this were to happen again I will indeed have a reminder. Again, my apologies and I appreciate your time.

Sincerely,

Hyatt Lytle, M.A.
Corvallis City Councilor
(541) 760-0647



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 11, 2018

Wayne Luzier
PO Box 1327
Sutherlin OR 97479

Re: 2018 SEI late filing

Dear Mr. Luzier:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 3476
User Name: Mulligan
Email: w.luzier@cl.sutherlin.or.us

2018 Filing: 6/11/2018
2018 Penalty: \$1,160.00

Wayne Luzier
PO Box 1327
Sutherlin, OR 97479

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

- 05/07/18 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/08/18 01:30:09.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/09/18 01:30:08.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/10/18 01:30:07.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/11/18 01:30:09.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/12/18 01:30:09.607 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/13/18 01:30:08.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/14/18 01:30:11.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/15/18 01:30:10.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/16/18 01:30:10.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/17/18 01:30:07.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/18/18 01:30:11.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/19/18 01:30:07.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/20/18 01:30:08.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/21/18 01:30:08.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/22/18 01:30:07.560 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/23/18 01:30:08.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/24/18 01:30:06.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/25/18 01:30:08.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/26/18 01:30:06.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/27/18 01:30:07.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/28/18 01:30:07.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/29/18 01:30:06.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/30/18 01:30:06.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/31/18 01:30:08.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/01/18 01:30:07.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/02/18 01:30:08.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/03/18 01:30:06.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/04/18 01:30:07.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/05/18 01:30:07.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/06/18 01:30:07.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/07/18 01:30:06.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/08/18 01:30:07.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/09/18 01:30:07.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/10/18 01:30:06.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/11/18 01:30:07.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 06/11/18 02:52:26.923 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Luzier et al

Wayne Luzier
PO Box 1327, Sutherlin, OR 97479
541-459-2611

RECEIVED
JUN 11 2018
OREGON GOVERNMENT
ETHICS COMMISSION

June 11, 2018

Kathy Daniel
Oregon Ethic Commission
kathy.daniel@oregon.gov

Dear Ethic Commissioners,

I apologize for being late on my 2018 filing. I filed my 2016 and 2017 filings on time but due to my complete lack of attention to e-mails I missed 2018 filing. I did file by phone this date. I am requesting that you waive the penalty this time.

Thanking you in advance for your consideration

Sincerely,

Wayne Luzier

Sutherlin City Councilor

PS: Please acknowledge receipt of this letter



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 12, 2018

Dawn Parm
Gilliam County
221 S. Oregon St.
Condon OR 97823

Re: 2018 SEI late filing

Dear Ms. Parm:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 6630
User Name: Dawn
Email: dawn.parm@co.gilliam.or.us

2018 Filing: 6/12/2018
2018 Penalty: \$1,210.00

Dawn Parm
PO Box 54
Condon, OR 978230054

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

03/13/18 01:59:53.113 - User Profile Created - User profile created for Dawn Parm
03/13/18 01:59:53.483 - User Profile Created - A new user profile was created.
03/13/18 01:59:53.517 - SEI Assigned to Seat - SEI Assigned to Seat
05/01/18 11:15:33.957 - User Successfully Authenticated -
05/07/18 01:30:15.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:10.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:09.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:11.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:10.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.590 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:11.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:07.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:08.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:09.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:07.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:08.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 11:13:55.503 - User Profile Updated - User profile updated for Dawn Parm
06/12/18 11:13:55.520 - User Profile Updated - The user's main profile was updated.
06/12/18 11:19:17.283 - User Successfully Authenticated -
06/12/18 11:23:20.407 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/12/18 11:24:27.520 - User Password Reset - The user's password was reset from the "My Profile" page.
06/12/18 11:24:27.717 - User Profile Updated - User profile updated for Dawn Parm
06/12/18 11:24:27.723 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed RD

DANIEL Kathy * OGEC

From: Dawn Parm <dawn.parm@co.gilliam.or.us>
Sent: Tuesday, June 12, 2018 11:35 AM
To: OGEC Mail * OGEC
Subject: Dawn Parm

RECEIVED
JUN 12 2018
OREGON GOVERNMENT
ETHICS COMMISSION

To whom it may concern,
I thought I had completed my file the first time I signed up on line.
My email account was hacked and I had not received emails letting me know it was incomplete.
Also I don't answer phone numbers I don't recognize on my phone.
I am sorry, I am hoping you would consider erasing my \$1210.00 in fines.
As soon as Kathy contacted me at work I did go on line and correct the email address and update the form.

Sincerely,
Dawn Parm

Dawn Parm
Gilliam County Deputy Clerk
221 S Oregon ST
Condon, OR 97823
541.384.2311
dawn.parm@co.gilliam.or.us



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 12, 2018

Dr. Carla McKelvey
PO Box 66
Coos Bay OR 97420

Re: 2018 SEI late filing

Dear Dr. McKelvey:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 1387
User Name: cdmckelvey
Email: drmcKelvey@coastcommunityhealth.org

2018 Filing: 6/12/2018
2018 Penalty: \$1,210.00

Carla McKelvey
PO Box 66
Coos Bay, OR 97420

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:11.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:09.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:10.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.387 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:06.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 04:46:01.373 - Admin User Reset Initiated - An admin user reset was initiated.
05/31/18 04:46:43.643 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/31/18 04:47:40.173 - User Successfully Authenticated -
05/31/18 04:48:08.787 - User Profile Updated - User profile updated for Carla McKelvey
05/31/18 04:48:08.793 - User Profile Updated - The user's main profile was updated.
06/01/18 01:30:06.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:07.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:06.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:05.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:06.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 08:39:58.490 - User Profile Updated - User profile updated for Carla McKelvey
06/12/18 08:39:58.733 - User Profile Updated - The user's main profile was updated.
06/12/18 09:30:12.817 - Admin User Reset Initiated - An admin user reset was initiated.
06/12/18 09:30:55.083 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/12/18 09:31:13.167 - User Successfully Authenticated -
06/12/18 09:31:36.650 - User Profile Updated - The user's main profile was updated.
06/12/18 09:31:36.670 - User Profile Updated - User profile updated for Carla McKelvey
06/12/18 09:32:07.230 - Admin User Reset Initiated - An admin user reset was initiated.
06/12/18 09:33:14.660 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/12/18 09:34:16.127 - User Successfully Authenticated -

SEI Activity

2018

Code: 1387
User Name: cdmckelvey
Email: drmckelvey@coastcommunityhealth.org


2018 Filing: 6/12/2018
2018 Penalty: \$1,210.00

Carla McKelvey
PO Box 66
Coos Bay, OR 97420

06/12/18 09:35:31.363 - User Profile Updated - The user's main profile was updated.
06/12/18 09:35:31.367 - User Profile Updated - User profile updated for Carla McKelvey
06/12/18 09:37:52.263 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/12/18 09:40:16.303 - User Password Reset - The user's password was reset from the "My Profile" page.
06/12/18 09:40:16.380 - User Profile Updated - The user's main profile was updated.
06/12/18 09:40:16.407 - User Profile Updated - User profile updated for Carla McKelvey

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter AEd


DANIEL Kathy * OGEC

From: Carla McKelvey <DrMcKelvey@CoastCommunityHealth.org>
Sent: Tuesday, June 12, 2018 9:49 AM
To: DANIEL Kathy * OGEC
Subject: Request for waiver of penalties

RECEIVED
JUN 12 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Members of Ethics Commission:

I am requesting that the penalties of \$1,210 that were assessed for not submitting my annual disclosure be waived. I changed jobs June 1, 2017 and notified Oregon Health Authority staff of the change in position as well of my new email. Unfortunately this change was made only to the Oregon Health Authority database.

When I received notification of the missing document I responded immediately both to the Ethics Office and to the OHPB Liaison. My impression was they were working on determining what the issue was. When I received a second call I once again responded immediately. It turns out that the email was incorrect and I was not receiving any notifications regarding this annual disclosure.

This has been resolved. My account has been updated. I have not been late in the past and do not anticipate this will be an issue again.

Thank you for your consideration.

Sincerely,

Carla McKelvey, MD, MPH
Vice-Chair, Oregon Health Policy Board



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 12, 2018

David Nelson
1407 NW Horn Ave.
Pendleton OR 97801

Re: 2018 SEI late filing

Dear Mr. Nelson:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 4529
User Name: dnelson1@eou.edu
Email: dnelson1@eou.edu

2018 Filing: 6/12/2018
2018 Penalty: \$1,210.00

David Nelson
1407 NW Horn Ave
Pendleton, OR 97801

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:13.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:12.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:11.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:07.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 11:47:54.190 - Admin User Reset Initiated - An admin user reset was initiated.
06/12/18 11:48:36.960 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/12/18 11:49:00.190 - User Successfully Authenticated -
06/12/18 11:49:19.157 - User Profile Updated - The user's main profile was updated.
06/12/18 11:49:19.170 - User Profile Updated - User profile updated for David Nelson
06/12/18 11:49:31.197 - Admin User Reset Initiated - An admin user reset was initiated.
06/12/18 11:54:27.273 - User Profile Updated - User profile updated for David Nelson
06/12/18 11:54:27.283 - User Profile Updated - The user's main profile was updated.
06/12/18 02:45:48.473 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: Letter of ed. *DB*

DANIEL Kathy * OGEC

From: David Nelson <dnelson1@eou.edu>
Sent: Tuesday, June 12, 2018 3:01 PM
To: DANIEL Kathy * OGEC
Subject: Filing of Economic Interest

RECEIVED
JUN 12 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Commissioners:

Please accept my apologies for a late filing of the Statement of Economic Interest for 2018. I had filed in the two previous years of 2016 and 2017 as provided by law while serving on the Board of Trustees for Eastern Oregon University.

Evidently, my email address was incorrectly forwarded to your offices, thus I never received the email of 3/15/2018 and the reminder on 4/21/2018. The email address has been corrected.

I humbly request a waiver of the \$1,210.00 penalty because of the email error.

Thank you for your consideration.

Sincerely,

David Nelson
1407 n.w. horn ave
Pendleton, Or 97801



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 13, 2018

Zachariah Dell
PO Box 145
Gold Hill OR 97525

Re: 2018 SEI late filing

Dear Mr. Dell:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 5736
User Name: ZDell
Email: zach.dell@cl.goldhill.or.us

2018 Filing: 6/11/2018
2018 Penalty: \$1,160.00

Zachariah Dell
po box 145
Gold Hill, OR 97525

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:11.093 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:12.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:08.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:10.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:09.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 03:46:19.480 - Admin User Reset Initiated - An admin user reset was initiated.
06/04/18 03:46:30.963 - User Profile Updated - The user's main profile was updated.
06/04/18 03:46:30.973 - User Profile Updated - User profile updated for Zachariah Dell
06/05/18 01:30:07.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 11:03:38.947 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/13/18 08:16:22.600 - Admin User Reset Initiated - An admin user reset was initiated.
06/13/18 08:18:38.333 - User Profile Updated - User profile updated for Zachariah Dell
06/13/18 08:18:38.633 - User Profile Updated - The user's main profile was updated.

PAST VIOLATION: None

RECOMMENDATION: Letter of Ed DE

DANIEL Kathy * OGEC

From: Zach Dell <zach.dell@ci.goldhill.or.us>
Sent: Tuesday, June 12, 2018 6:12 PM
To: DANIEL Kathy * OGEC
Subject: Re: Late filing penalty

Please, I would like to be considered for late filing fee dismissal. I had some difficulties with the login and the reset hyperlink, sent to me, to retrieve my password. I have no previous history of late filing nor will I have future. Thank you very much for your consideration.

Sincerely Zachariah Dell

On Jun 11, 2018 11:09 AM, DANIEL Kathy * OGEC <Kathy.DANIEL@oregon.gov> wrote:

Hello Zach. If you choose to request a waiver of your late penalties, please send a Letter of Explanation to the Ethics Commissioners at this address. I will see that it gets on the agenda for their next meeting if I receive it by the end of this week.

Kathy Daniel

OR Government Ethics Commission

3218 Pringle Rd SE, Suite 220

Salem OR 97302-1544

Ph: 503-378-2170

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 14, 2018

John Luquette
9445 Walz Hill Rd
Bay City OR 97141

Re: 2018 SEI late filing

Dear Mr. Luquette:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 2849
User Name: jluquette
Email: jluquette@portofgaribaldi.org

2018 Filing: 6/13/20
2018 Penalty: \$1,260.00

John Luquette
9445 Walz Hill Rd
Bay City, OR 97107

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.303 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:11.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.590 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:08.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:07.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:06.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:07.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 07:40:36.117 - User Failed to Authenticate -
06/12/18 07:41:03.733 - User Failed to Authenticate -
06/12/18 07:41:09.860 - User Failed to Authenticate -
06/12/18 07:41:20.140 - User Failed to Authenticate -
06/13/18 01:30:08.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 09:49:14.813 - Admin User Reset Initiated - An admin user reset was initiated.
06/13/18 09:49:43.947 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/13/18 09:49:55.533 - User Successfully Authenticated -
06/13/18 09:51:54.700 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: letter of ed DB

Include in the
letter of education
that he ~~has~~ solved
the email issue.
DB

John Luquette
9445 Walz Hill Road
Bay City, OR 97141

June 14, 2018

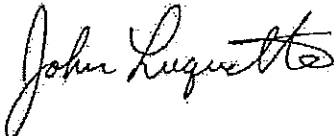
Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

RECEIVED
JUN 14 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commission:

I am writing in regards to requesting a waiver of the \$1260.00 in penalty fees accrued for not filing my Statement of Interest on time. This was due to a human error and assumption, on my part, that the emails to my Port of Garibaldi account were being forwarded to my regular daily use email account. Due to the emails not being forwarded, I was unaware that I was receiving notices that I needed to complete this form and that I was overdue. I received a voice message on my home phone informing me of this of which then I quickly resolved the issue and submitted the form. Clearly, this was an error on my part but I would appreciate your understanding and consideration of waiving the late filing fine.

Sincerely,



John Luquette
Port of Garibaldi Commissioner
503.812.6967
jluquette@portofgaribaldi.org



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 15, 2018

Jim Helmen
PO Box 478
Clatskanie OR 97016

Re: 2018 SEI late filing

Dear Mr. Helmen:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 6921
User Name: Jim Helmen
Email: jhelmen@csd.k12.or.us

2018 Filing: 6/14/2018
2018 Penalty: \$1,310.00

Jim Helmen
PO BOX 478
Clatskanie, OR 97016

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/04/18 03:16:32.347 - User Profile Created - User profile created for Jim Helmen
05/04/18 03:16:32.593 - User Profile Created - A new user profile was created.
05/04/18 03:16:32.640 - SEI Assigned to Seat - SEI Assigned to Seat
05/04/18 03:33:11.323 - User Profile Updated - User profile updated for Jim Helmen
05/04/18 03:33:11.333 - User Profile Updated - The user's main profile was updated.
05/07/18 01:30:15.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:12.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:10.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:09.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:11.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:11.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:09.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:13.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:14.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:09.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:08.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:11.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:07.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:08.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:07.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:08.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:09.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:09.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:09.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:07.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:08.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:07.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/14/18 01:30:06.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/14/18 04:42:21.053 - Admin User Reset Initiated - An admin user reset was initiated.
06/14/18 04:43:29.297 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/14/18 04:43:42.837 - User Successfully Authenticated -
06/14/18 04:51:46.743 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION: letter of ed DB

HUNTER David * OGEC

m: Jim Helmen <jhelmen@csd.k12.or.us>
Sent: Thursday, June 14, 2018 8:41 PM
To: OGEC Mail * OGEC
Subject: Request for penalty waiver

Dear Oregon Government Ethics Commission,

It is with my deepest regret that I did not meet the required deadlines for filing the Annual Verified Statement of Economic Interest (SEI). Within my initialing filing attempt, I was unable to access the correct web based platform and my request did not submit. I was notified by the commission and was provided with the directions for correct filing process and Internet Explorer platform, but I did not follow through. As I am first time city council member, I did not realize the importance of the SEI due to not having any business interest in the community so I did not place a high priority on completion of submission. I understand that these are excuses for my actions, but I ask the commission to please waive the financial penalty. In return, I will meet all future related government request deadlines.

Thank you for your consideration of my request,

Jim Helmen Ed.S.
7-12 Assistant Principal
7-12 Special Education Director
Clatskanie Middle High School
503-728-2146 Ext. 2260

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(

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 15, 2018

Oregon Cannabis Business Council
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland OR 97219

Dear Mr. Morse:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q4 2016, Q2 2017 and Q4, 2017 (\$1,670) penalties will be extended to coincide with the June 29th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q4 2016

Client Code: 561
User Name: Donald Morse
Email: don@oregoncbc.com

Q4 2016 Filing: 1/20/2017
Q4 2016 Expended: \$2,100.00
Q4 2016 Penalty: \$50.00

Oregon Cannabis Business Council
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland, OR 97219

Activity Log - Q4 2016 to Date

10/05/16 05:24:58.947 - User Failed to Authenticate -
10/05/16 05:25:54.717 - User Failed to Authenticate -
10/05/16 05:29:06.000 - User Successfully Authenticated -
10/05/16 05:39:21.543 - Client Report Submitted - Client Report Submitted for Q3 2016
01/12/17 01:52:58.837 - User Successfully Authenticated -
01/12/17 02:22:50.783 - User Successfully Authenticated -
01/15/17 04:42:35.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:33.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:37:49.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:23.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:37:23.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:48.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:33.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:35.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 05:50:45.303 - User Successfully Authenticated -
01/20/17 06:18:42.750 - Client Report Submitted - Client Report Submitted for Q4 2016
04/14/17 09:04:16.627 - User Successfully Authenticated -
04/14/17 09:08:29.813 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:14.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:55:58.900 - User Successfully Authenticated -
07/17/17 02:12:18.943 - Client Report Submitted - Client Report Submitted for Q2 2017
09/21/17 01:59:13.403 - User Successfully Authenticated -
10/04/17 02:47:38.443 - User Successfully Authenticated -
10/04/17 02:51:38.560 - Client Report Submitted - Client Report Submitted for Q3 2017
12/26/17 10:06:48.520 - Lobbyist Client Registration Renewal Initiated - The lobbyist/client registration renewal was initiated.
12/26/17 10:50:30.487 - User Failed to Authenticate -
12/26/17 10:50:38.657 - Admin User Reset Initiated - An admin user reset was initiated.
12/26/17 10:51:59.507 - Admin User Password Reset Completed - A user reset their password using an admin reset.
12/26/17 10:52:32.700 - User Successfully Authenticated -
01/01/18 01:32:16.097 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/16/18 02:58:11.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/17/18 03:00:32.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/18/18 01:30:11.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/19/18 01:30:09.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/20/18 01:30:09.667 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/20/18 12:48:44.847 - User Failed to Authenticate -
01/20/18 12:50:08.867 - User Failed to Authenticate -
01/20/18 12:53:32.270 - User Failed to Authenticate -
01/21/18 01:30:13.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/22/18 01:30:09.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/23/18 01:30:12.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/24/18 01:30:11.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/25/18 01:30:10.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/26/18 01:30:09.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/27/18 01:30:08.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/28/18 01:30:08.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/29/18 01:30:09.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/30/18 01:30:08.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
01/31/18 01:30:07.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/01/18 01:30:11.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/02/18 01:30:08.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/03/18 01:30:06.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/04/18 01:30:07.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4

Client/Employer Activity

Q4 2016

.nt Code: 561
User Name: Donald Morse
Email: don@oregoncbc.com

Q4 2016 Filing: 1/20/2017
Q4 2016 Expended: \$2,100.00
Q4 2016 Penalty: \$50.00

Oregon Cannabis Business Council
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland, OR 97219

02/05/18 01:30:07.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/06/18 01:30:08.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/07/18 01:30:07.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/08/18 01:30:07.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/09/18 01:30:10.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/10/18 01:30:16.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/11/18 01:30:08.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/12/18 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/13/18 01:30:06.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/14/18 01:30:08.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/15/18 01:30:08.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/16/18 01:30:10.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/17/18 01:30:07.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/18/18 01:30:09.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/19/18 01:30:06.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/20/18 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/21/18 01:30:09.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/22/18 01:30:09.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/23/18 01:30:08.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/24/18 01:30:07.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/25/18 01:30:19.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/26/18 01:30:37.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/27/18 01:30:16.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/27/18 04:50:25.183 - Admin User Reset Initiated - An admin user reset was initiated.
02/28/18 01:30:08.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/28/18 11:45:08.130 - Admin User Password Reset Completed - A user reset their password using an admin reset.
02/28/18 11:45:31.953 - User Successfully Authenticated -
02/28/18 11:52:37.670 - Client Report Submitted - Client Report Submitted for Q4 2017
04/03/18 02:50:27.103 - Admin User Reset Initiated - An admin user reset was initiated.
04/03/18 02:56:58.003 - User Successfully Authenticated -
04/03/18 03:03:29.380 - Client Report Submitted - Client Report Submitted for Q1 2018
06/15/18 07:46:13.293 - User Failed to Authenticate -
06/15/18 07:46:58.380 - User Failed to Authenticate -
06/15/18 07:47:09.847 - User Failed to Authenticate -
06/15/18 07:49:24.493 - User Successfully Authenticated -

PAST VIOLATIONS: Q4 2016 owes \$50
Q2 2017 owes \$20
Q4 2017 owes \$1,600

RECOMMENATION:

Client/Employer Activity

Q2 2017

Client Code: 561
User Name: Donald Morse
Email: don@oregoncbc.com

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$4,000.00
Q2 2017 Penalty: \$20.00

Oregon Cannabis Business Council
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland, OR 97219

Activity Log - Q2 2017 to Date

04/14/17 09:04:16.627 - User Successfully Authenticated -
04/14/17 09:08:29.813 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:14.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:55:58.900 - User Successfully Authenticated -
07/17/17 02:12:18.943 - Client Report Submitted - Client Report Submitted for Q2 2017
09/21/17 01:59:13.403 - User Successfully Authenticated -
10/04/17 02:47:38.443 - User Successfully Authenticated -
10/04/17 02:51:38.560 - Client Report Submitted - Client Report Submitted for Q3 2017
12/26/17 10:06:48.520 - Lobbyist Client Registration Renewal Initiated - The lobbyist/client registration renewal was initiated.
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12/26/17 10:50:38.657 - Admin User Reset Initiated - An admin user reset was initiated.
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01/19/18 01:30:09.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q4
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01/20/18 12:48:44.847 - User Failed to Authenticate -
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02/09/18 01:30:10.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
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02/14/18 01:30:08.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/15/18 01:30:08.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/16/18 01:30:10.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/17/18 01:30:07.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/18/18 01:30:09.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/19/18 01:30:06.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/20/18 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4

Client/Employer Activity

Q2 2017

Client Code: 561
Client Name: Donald Morse
Email: don@oregoncbc.com

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$4,000.00
Q2 2017 Penalty: \$20.00

Oregon Cannabis Business Council
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland, OR 97219

02/21/18 01:30:09.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/22/18 01:30:09.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
02/23/18 01:30:08.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4
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02/28/18 11:52:37.670 - Client Report Submitted - Client Report Submitted for Q4 2017
04/03/18 02:50:27.103 - Admin User Reset Initiated - An admin user reset was initiated.
04/03/18 02:56:58.003 - User Successfully Authenticated -
04/03/18 03:03:29.380 - Client Report Submitted - Client Report Submitted for Q1 2018
06/15/18 07:46:13.293 - User Failed to Authenticate -
06/15/18 07:46:58.380 - User Failed to Authenticate -
06/15/18 07:47:09.847 - User Failed to Authenticate -
06/15/18 07:49:24.493 - User Successfully Authenticated -

Client/Employer Activity

Q4 2017

Client Code: 561
User Name: Donald Morse
Email: don@oregoncbc.com

Q4 2017 Filing: 2/28/2018
Q4 2017 Expended: \$3,000.00
Q4 2017 Penalty: \$1,600.00

Oregon Cannabis Business Council -
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland, OR 97219

Activity Log - Q4 2017 to Date

- 10/04/17 02:47:38.443 - User Successfully Authenticated -
- 10/04/17 02:51:38.560 - Client Report Submitted - Client Report Submitted for Q3 2017
- 12/26/17 10:06:48.520 - Lobbyist Client Registration Renewal Initiated - The lobbyist/client registration renewal was initiated.
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- 02/27/18 01:30:16.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q4

Client/Employer Activity

Q4 2017

Client Code: 561
Client Name: Donald Morse
Email: don@oregoncbc.com

Q4 2017 Filing: 2/28/2018
Q4 2017 Expended: \$3,000.00
Q4 2017 Penalty: \$1,600.00

Oregon Cannabis Business Council
Attn: Donald Morse
9220 SW Barbur Blvd. #107
Portland, OR 97219

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06/15/18 07:47:09.847 - User Failed to Authenticate -
06/15/18 07:49:24.493 - User Successfully Authenticated -

HUNTER David * OGEC

From: Don Morse <don@oregoncbc.com>
Sent: Friday, June 15, 2018 8:09 AM
To: OGEC Mail * OGEC
Subject: Oregon Cannabis Business Council

RECEIVED
JUN 15 2018
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Sirs,

I am very sorry for having taken so long to clear up and explain my penalties. I respectfully request a one time forgiveness of the larger amount for Q4 2017 in the amount of \$1600.00. I am today mailing a check in the amount of \$70.00 to clear up the remaining balance. If you have any questions please call me at 503-956-1540.

Sincerely,

Donald Morse

--
Donald Morse
Chairman
Oregon Cannabis Business Council
9220 SW Barbur Blvd, Suite 107
Portland, OR 97219
O: 971.279.7855
C: 503.956.1540
www.oregoncbc.com.org

"The Oregon Cannabis Business Council (OCBC) is a member-driven trade association that provides professional assistance to post-cultivation cannabis businesses and representation for the industry in state legislative and regulatory decision making."

1st viol Letter of Ed Q4 2016
2nd viol \$20⁰⁰ (Minimum) Q2 2017
3rd viol \$320⁰⁰ (20%) Q4 2017

\$340 total

Q4 2016 50.-
Q2 2017 20.-
Q4 2017 1,600-

Administration communication with Don Morse:

9/21/17 Called and left message

9/21/17 He called and I discussed option for paying his late penalties. He said he has an assistant that is responsible for filing the reports. Said he wasn't aware of his late penalties. His assistant called and said he will put a check in the mail for the total amount.

11/14/17 I called and spoke with Don letting him know we've never received a check. Said he'll talk to his assistant about this.

12/14/17 I emailed him regarding his late penalties, and asked for a return call.

2/27/18 I called and spoke with Don. He had not filed his Q4 2017 report yet, so I urged him to file to stop penalty. I sent him a password reset. He said his email address is correct however he doesn't check it.

4/3/18 I called and spoke to Don. I went over his account history. He said the company doesn't really exist anymore, even though he has an active lobbyist registration. He said he doesn't pay her anything so I explained the registration requirements.

6/14/18 I had not heard anything back for two months. I emailed his lobbyist and asked her to try to reach him. I then received this letter of explanation.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 14, 2018

Aritone Minthorn
52006 Cayuse Rd
Adams OR 97810

Re: 2018 SEI late filing

Dear Mr. Minthorn:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 5124
User Name: Minthorn
Email: antone.minthorn@aol.com

2018 Filing: 5/9/2018
2018 Penalty: \$30.00

Antone Minthorn
52006 Cayuse Rd
Adams, OR 97810

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:35:15.263 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS:

2012 – Filed 11/8/12 as a Candidate for Senator (I see no late filing penalty)

2013 – Late filing \$110. Paid 7/26/13.

2014 – Filed 4/16/14

2015 – Never filed.

2016 - Commission reduced penalty from \$150 to \$50. Not paid

2017 - Owes \$20 for late filing

2018 - Owes \$30 for late filing

RECOMMENDATION:

No reduction @

HUNTER David * OGEC

m: Antone Minthorn <AntoneMinthorn@ctuir.org>
Sent: Thursday, May 10, 2018 11:53 AM
To: OGEC Mail * OGEC
Subject: FW: 2018 SEI filing-OGEC

Commissioners,

I did not understand the procedures for filing an SEI; that is, I always came to the word, "Password," and did not know what that meant. In frustration, I called 503 378 5105 and got these instruction. That is basically why I am late with filing; also, I request a reduction in penalty fees.

That is all. Antone.

From: HUNTER David * OGEC [mailto:David.HUNTER@oregon.gov]
Sent: Wednesday, May 9, 2018 1:44 PM
To: Antone Minthorn <AntoneMinthorn@ctuir.org>
Subject: 2018 SEI filing-OGEC

Hi Antone,

Thank you for calling and glad we got you filed today.

Please send an email to: ogec.mail@oregon.gov (click on this link)

Write a letter addressed to the Commissioners, explain why your SEI filing was late and ask for a reduction in the penalty fees.

Please let me know if you have any questions.

Best Regards...

David R Hunter
Oregon Government Ethics Commission
3218 Pringle Rd SE STE 220
Salem OR 97302-1544
Direct 503-378-5105
Fax 503-373-1456

The opinions expressed by the author are his or her own and are not necessarily those of the Confederated Tribes of the Umatilla Indian Reservation. The information, contents and attachments in this email are Confidential and Private.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 21, 2018

Krista Peterson
125 Tracy Lane
Medford OR 97501

Re: 2018 SEI late filing

Dear Ms. Peterson:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 5843
User Name: kristalp71
Email: kristalp71@hotmail.com

2018 Filing: 5/14/2018
2018 Penalty: \$80.00

KRISTA PETERSON
125 Tracy Ln
Medford, OR 97501

2018 Offices Held


No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:11.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
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05/14/18 12:15:31.597 - User Failed to Authenticate -
05/14/18 12:16:25.037 - User Failed to Authenticate -
05/14/18 12:16:41.763 - User Failed to Authenticate -
05/14/18 12:20:00.213 - User Successfully Authenticated -
05/14/18 12:29:09.483 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PAST VIOLATIONS: 2017 Letter of Education, waived \$3,730

RECOMMENDATION:

\$ 50 ⁰⁰ 

Krista Peterson
125 Tracy Lane
Medford, OR 97501

RECEIVED
MAY 21 2018
OREGON GOVERNMENT
ETHICS COMMISSION

May 19, 2018

Oregon Government Ethics Commission
3218 Pringle Rd SE #220
Salem, OR 97302.

Dear OGEC,

I am writing to ask for you to waive an \$80 late fee that I have received for late filing. Unfortunately I thought I had this taken care of and after I called found out that I was in the error by trying to file as a lobbyist. I called and spoke to Kathy who told me what I needed to do to file correctly.

Last year I had late fees of \$3500. You graciously waived them and I am hoping you will be so kind as to waive the \$80.00. I am a volunteer on the City of Phoenix Planning Commission and so I am volunteering my time, not being paid. I did not intentionally mean to not file. To be completely honest your website is confusing and I thought I had done it correctly by filing as a lobbyist.

Please know that I don't mean to waste your time, but to pay a late fee on this would discourage me from volunteering my time to my City.

Best regards and intentions,
Krista Peterson
City of Phoenix, OR 97535





Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 22, 2018

Richard Davison
626 Crestview Drive
Reedsport OR 97467

Re: 2018 SEI late filing

Dear Mr. Davison:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 2771
User Name: Richard Davison
Email: stanzi@charter.net

2018 Filing: 5/21/2018
2018 Penalty: \$150.00

Richard Davison
626 Crestview Dr
Reedsport, OR 97467

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:12.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:09.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:10.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:11.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 04:11:15.567 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATIONS: 2017, 3 days late, paid \$30 by check

RECOMMENDATION:

~~By~~
Letter of Ed (Due to medical conditions)
(19)

May 21, 2018

RECEIVED
MAY 22 2018
OREGON GOVERNMENT
ETHICS COMMISSION

RICHARD DAVISON
626 Crestview Drive,
Reedsport, Oregon 97467
1541 707-0207

Oregon Government Ethics Commission
3218 Pringle Rd SE STE 220,
Salem, OR 97302

Dear Commissioners,

On April 6th last month, I unfortunately experienced a severe medical emergency. I was diagnosed with an Aortic Dissection, Type B and was in the hospital for over a week. They have me on heavy medications and watch me closely in case my Aorta gives way entirely and ruptures. They are controlling it with 6 blood pressure medications, and will periodically do CAT scans to monitor it. If it gets worse, they'll operate.

This past month and a half I have been cognitively impaired due to all the medications and activity limitations put upon me by the doctors. I apologize for not filing my yearly statement by April 15. It's only been this week that I've been able to go through my mail and e mail.

I have resigned from my position with the Reedsport City Planning Commission. I had my wife send a letter last month, e mailed last week and spoke on the phone today with the city manager Jonathan Wright and discussed my resignation.

I ask that because of the above unusual situation, that you please consider waiving the Daily Penalties Accruals, considering that I have resigned and was medically challenged from the beginning of April until this past week?

If so I'd be grateful indeed.

Sincerely,

Richard Davison



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 23, 2018

Ruth Stole
PO Box 257
Waldport OR 97394

Re: 2018 SEI late filing

Dear Ms. Stole:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2018

SEI Code: 1041
User Name: ruthstole
Email: familyfabrics@yahoo.com

2018 Filing: 5/23/2018
2018 Penalty: \$210.00

Ruth Stole
PO Box 257
Waldport, OR 97394

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:11.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:08.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:08.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:07.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:08.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:09.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:09.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:09.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:06.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:10.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:07.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:07.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/23/18 12:26:30.770 - Late SEI Report Filed - SEI Report Filed Late for year 2018
05/23/18 12:28:02.707 - User Profile Updated - User profile updated for Ruth Stole
05/23/18 12:28:02.730 - User Profile Updated - The user's main profile was updated.
05/23/18 12:34:50.453 - User Profile Updated - User profile updated for Ruth Stole
05/23/18 12:34:50.480 - User Profile Updated - The user's main profile was updated.
05/23/18 12:35:06.113 - Admin User Reset Initiated - An admin user reset was initiated.
05/23/18 12:37:09.200 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/23/18 12:37:27.173 - User Successfully Authenticated -
05/23/18 12:37:57.773 - User Profile Updated - User profile updated for Ruth Stole
05/23/18 12:37:57.837 - User Profile Updated - The user's main profile was updated.

DANIEL Kathy * OGEC

From: RUTH STOLE <familyfabrics@yahoo.com>
Sent: Wednesday, May 23, 2018 1:36 PM
To: DANIEL Kathy * OGEC
Subject: penalty

Once again I find myself asking for concessions from you relative to my failure to file the ethics report timely. I had a phone call from you today and I have filed now. Apparently messages have been going out daily to an email address I no longer have access to. My former business partner changed the password and refused to give it to me. She's probably enjoyed knowing I was being fined as a result of not responding to those messages. Adding to the chaos that is my life, I moved my fabric shop in April to a smaller, more affordable building, and am still unpacking boxes and bags of stuff. One thing that hasn't shown up yet is the files regarding my taxes and such - I had to ask for an extension as I haven't found my 1099's, financial records for before the dissolution of the partnership, tax refunds from last year, etc. I realize it is my responsibility keep track of all this. I have been concerned about this filing but have received no notice so I guess I convinced myself it wasn't due yet. I have simply been overwhelmed with everything that has happened recently and I'm old - 78 in July - but I don't think that's a good excuse. I did not realize, either, that volunteering to be on the planning commission would create these issues. I certainly cannot afford to pay \$210 for the privilege. It will take months for my fabric shop to recover from the moving expenses so my pensions are my total income right now. Please abate or reduce the penalty.

Ruth Stole

PAST VIOLATIONS: Late filing 2017, Letter of Education, penalty waived.

RECOMMENDATION: \$50⁰⁰ DG

DANIEL Kathy * OGEC

From: RUTH STOLE <familyfabrics@yahoo.com>
Sent: Thursday, July 20, 2017 11:17 AM
To: OGEC Mail * OGEC
Subject: penalties

Follow Up Flag: Follow up
Flag Status: Flagged

To the Commissioner:

I just completed a call from your office regarding my filing the proper items as a member of Waidport Planning Commission. We did the filing during the phone conversation, but I was also informed that substantial penalties are assessed for late filing. I beg you to waive these penalties. I did not receive an email with the reminder that filing was due as my business partner and I dissolved the partnership in March 2017 and I am now a sole proprietor. She has changed the passwords on all the emails as well as merchant accounts and it's been a nightmare trying to run my business. I was beginning to feel that I had fixed everything until the call this morning reminded me that I had not sent the new e-mail address to you. I certainly cannot afford a \$3000 penalty and I certainly would have filed immediately if I had received the notice. Thank you for considering this request for abatement of the penalty.

Ruth E. Stole
503-260-7654

*Last year's
Letter of Explanation*



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 7, 2018

Gaylor Hescock
PO Box 1249
Chiloquin OR 97624

Re: 2017 & 2018 SEI late filing

Dear Mr. Hescock:

The written explanation of why your 2017 and 2018 Statements of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

*No history
of Letter of Ed*



SEI Activity
2018

SEI Code: 4270
User Name: ghescock
Email: gungahescock@gmail.com

2018 Filing: 5/24/2018
2018 Penalty: \$260.00

Gaylor Hescock
PO Box 1249
Chiloquin, OR 97624

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

- 05/07/18 01:30:12.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/08/18 01:30:09.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/09/18 01:30:08.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/10/18 01:30:07.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/11/18 01:30:09.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/12/18 01:30:09.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/13/18 01:30:08.600 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/14/18 01:30:12.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/15/18 01:30:10.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/16/18 01:30:10.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/17/18 01:30:07.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/18/18 01:30:12.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/19/18 01:30:07.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/20/18 01:30:08.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/21/18 01:30:08.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/22/18 01:30:07.667 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/23/18 01:30:08.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/24/18 01:30:06.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/24/18 03:14:12.713 - Late SEI Report Filed - SEI Report Filed Late for year 2018
- 05/24/18 03:18:16.797 - Admin User Reset Initiated - An admin user reset was initiated.
- 05/24/18 03:20:48.113 - User Profile Updated - User profile updated for Gaylor Hescock
- 05/24/18 03:20:48.120 - User Profile Updated - The user's main profile was updated.
- 05/24/18 03:21:13.743 - Admin User Reset Initiated - An admin user reset was initiated.
- 05/24/18 03:22:04.263 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 05/24/18 03:24:44.410 - User Profile Updated - User profile updated for Gaylor Hescock
- 05/24/18 03:24:44.440 - User Profile Updated - The user's main profile was updated.
- 05/24/18 03:26:00.307 - User Successfully Authenticated -
- 05/24/18 03:26:28.120 - User Profile Updated - User profile updated for Gaylor Hescock
- 05/24/18 03:26:28.163 - User Profile Updated - The user's main profile was updated.
- 05/24/18 03:27:16.263 - Admin User Reset Initiated - An admin user reset was initiated.
- 06/07/18 10:02:23.693 - User Profile Updated - User profile updated for Gaylor Hescock
- 06/07/18 10:02:23.740 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATION: Owes \$20 for 2017 (filed 2 days late)

RECOMMENDATION: \$50⁰⁰ if pd timely with previous \$20⁰⁰
or no waiver
no consent calendar

RECEIVED

JUN 06 2018

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Ethics Comiteee,

June 2, 2018

My name is Gaylor Hescoock and I am on the Chiloquin City Council. I'm writing this letter to you on behalf of my fine for not getting my paper work updated. I got it updated now and would like to explain why it took so long. My email address was the wrong one and I did not recieve any notice that it was due to be updated. City Hall did not tell me anything. Do not know if they knew or not, so I was completely unaware. So I would like to ask the committee if you would waive or reduce any fines related to this incident. Since this occured I have gotten my paper work updated and will be more aware of things. Thank you.

Gaylor Hescoock

Gaylor Hescoock

G. HESCOCK

P.O. Box 1249

Chiloquin, OR,

97624



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 7, 2018

Brandon Hammond
PO Box 229
Boardman OR 97818

Re: 2018 SEI late filing

Dear Mr. Hammond:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2018

SEI Code: 759
User Name: Brandon Hammond
Email: hammondb@cityofboardman.com

2018 Filing: 5/31/2018
2018 Penalty: \$610.00

Brandon Hammond
Po Box 229
Boardman, OR 97818

2018 Offices Held


No data to report.

Activity Log - 2018 to Date

- 05/07/18 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/08/18 01:30:08.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/09/18 01:30:08.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/10/18 01:30:07.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/11/18 01:30:08.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/12/18 01:30:09.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/13/18 01:30:07.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/14/18 01:30:09.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/15/18 01:30:08.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/16/18 01:30:10.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/17/18 01:30:06.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/18/18 01:30:10.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/19/18 01:30:07.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/20/18 01:30:08.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/21/18 01:30:07.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/22/18 01:30:07.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
- 05/23/18 01:30:07.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/24/18 01:30:06.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/24/18 02:36:58.730 - User Profile Updated - User profile updated for Brandon Hammond
- 05/24/18 02:36:58.747 - User Profile Updated - The user's main profile was updated.
- 05/25/18 01:30:07.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/26/18 01:30:06.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/27/18 01:30:07.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/28/18 01:30:07.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/29/18 01:30:06.067 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/30/18 01:30:06.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/31/18 01:30:08.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
- 05/31/18 09:58:40.073 - User Successfully Authenticated -
- 05/31/18 10:01:30.927 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PREVIOUS VIOLATION: 2017 Commission issued a Letter of Education

RECOMMENDATION:

\$7 / 102nd  *non consent calendar*

From: Brandon Hammond <hammondfamily3@hotmail.com>
Sent: Monday, June 04, 2018 9:17 PM
To: OGEC Mail * OGEC
Subject: Failing to timely file the 2018 SEI

Dear Commissioner Ronald A. Bersin,

My name is Brandon Hammond and am a City Councilman for Boardman, Oregon. I am contacting you in regards for my failure to timely file the 2018 SEI. I regret that this is my second offense and realize the conditions for my waiver may be difficult. I would like to explain a few of the circumstances leading up to this late filing; this in no means excuses the late filing, but gives an increased awareness regarding my tardiness.

Several months ago, I lost contact with my city email account. Due to a busy schedule, I failed to take the necessary steps to gain access to my messages. This was one reason why I was not thinking about the filing. The second reason was due the illness of my father who passed away May 13th. During the SEI penalizing time, our family made two trips to Utah which included supporting my mother and getting my father's affairs in order. Again, this is not an excuse, but rather the reasoning for having a full plate and not thinking about the filing. It did not come to my attention about the filing until I received a phone call from the state reminding me of my late status which I then took the proper steps to gain access to my email account and complete my filing.

I spoke with Tammy Hedrick who informed me of the possibility of receiving annual training based around the SEI process. My hope is to complete this training and request one final waiver for the late penalty. If you have any additional questions, please feel free to contact me. I understand that I am held to a higher standard and have not met these obligations, but hope for your consideration for the listed reasons.

Sincerely,
Brandon Hammond
541-303-5557



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 11, 2018

Lisa Clement
1840 SE Third St.
Astoria OR 97103

Re: 2017 & 2018 SEI late filing

Dear Ms. Clement:

The written explanation of why your 2017 and 2018 Statements of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 4913
User Name: lclement
Email: lclement@co.clatsop.or.us

2018 Filing: 6/11/2018
2018 Penalty: \$1,160.00

Lisa Clement
1840 SE Third St.
Astoria, OR 97103

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:13.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:12.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 12:52:14.590 - User Successfully Authenticated -
06/11/18 01:30:07.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 08:27:50.330 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/11/18 08:28:58.343 - User Profile Updated - User profile updated for Lisa Clement
06/11/18 08:28:58.433 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: 2017 filed but not paid for being late

RECOMMENDATION:

2017 - letter of ED, 2018 \$200^{ea} in consist (for calendar

SEI Activity

2017

SEI Code: 4913
User Name: lclement
Email: lclement@co.clatsop.or.us

2017 Filing: 6/11/2018
2017 Penalty: \$1,880.00

Lisa Clement
1840 SE Third St.
Astoria, OR 97103

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/04/17 09:27:46.227 - User Profile Updated - The user's main profile was updated.
05/04/17 09:27:55.607 - User Profile Updated - User profile updated for Lisa Clement
05/07/17 01:30:41.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:14.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:16.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:12.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:15.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:31.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:16.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:13.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:18.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:11.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:15.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:12.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:12.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:20.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:13.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:12.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:12.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:15.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 12:29:45.027 - User Successfully Authenticated -
06/08/17 01:30:12.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:11.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 02:14:21.190 - Admin User Reset Initiated - An admin user reset was initiated.
06/10/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:11.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:12.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:14.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:13.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:12.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:12.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:12.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:14.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:12.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:12.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:12.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:13.863 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:16.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:16.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:12.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2017

SEI Code: 4913
User Name: lclement
Email: lclement@co.clatsop.or.us

2017 Filing: 6/11/2017
2017 Penalty: \$1,880.00

Lisa Clement
1840 SE Third St.
Astoria, OR 97103

06/27/17 09:30:43.700 - User Successfully Authenticated -
06/27/17 09:35:09.383 - Late SEI Report Filed - SEI Report Filed Late for year 2017
06/27/17 02:47:38.343 - User Profile Updated - The user's main profile was updated.
06/27/17 02:47:51.923 - User Profile Updated - User profile updated for Lisa Clement
05/07/18 01:30:13.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:12.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:09.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 12:52:14.590 - User Successfully Authenticated -
06/11/18 01:30:07.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 08:27:50.330 - Late SEI Report Filed - SEI Report Filed Late for year 2018
06/11/18 08:28:58.343 - User Profile Updated - User profile updated for Lisa Clement
06/11/18 08:28:58.433 - User Profile Updated - The user's main profile was updated.

RECEIVED

JUN 11 2018

OREGON GOVERNMENT
ETHICS COMMISSION

June 11, 2018

Dear Oregon Government Ethics Commission,

I am very sorry for all of my errors.

I did not understand about the ethics commission economic interest filing and the severity of my not filing as it is something we have never talked about on the commission. I did not understand that this was a mandatory requirement for me and I just assumed it was something that the county did for the commissioners.

There is so much to understand and learn and we are all just volunteer commissioners that it has been quite confusing to me. Having an 60 hour work week and a family to raise also gives me zero time to manage anything else and I have made a large error in not finding the time to seek out what this filing was for.

I have accrued a lot of penalties for not filing and paying and I am sorry. I just don't have the resources to pay for the fees from these late filings in 2017 and 2018. All of the money I earn goes to raising my family.

Please forgive me for my errors and for not seeking out the guidance I needed to understand what this was for. I am very sorry for bothering you and for taking up your time with my mistakes and with this letter. It is not my intent to cause any problems.

If there is a way to get my fees waived I would appreciate it, for I am not certain how I would pay you for this.

Sincerely,

Lisa Clement
Clatsop County Commissioner
503-298-0897
amstfxc@gmail.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 13, 2018

Melinda McVey
94275 Sevey Rd.
Gold Beach OR 97444

Re: 2018 SEI late filing

Dear Ms. McVey:

The written explanation of why your 2018 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 4911
User Name: melindamcmey
Email: mmcvey@goldbeachoregon.gov

2018 Filing: 6/12/2018
2018 Penalty: \$1,210.00

Melinda McVey
94275 Sevey Rd
Gold Beach, OR 97444

2018 Offices Held

No data to report.

Activity Log - 2018 to Date

05/07/18 01:30:13.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:10.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:13.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:11.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:08.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:09.047 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:08.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:07.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/30/18 01:30:07.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:08.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:08.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.253 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 04:51:42.073 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PAST VIOLATION: 2017 late filing penalty waived, Letter of Education issued

RECOMMENDATION: no recommendation, off consent calendar.

DB



Gold Beach

City of Gold Beach

29592 Ellensburg Avenue • Gold Beach, OR 97444

Administration: 541-247-7029 • Police: 541-247-6671 • www.goldbeachoregon.gov

Visitor Center: 541-247-7526 • www.visitgoldbeach.com

Tuesday, June 12, 2018

Kathy Daniel
Oregon Government Ethics Commission

RE: Councilor Melinda McVey Late SEI filing

Dear Kathy:

Thank you so much for call today regarding Councilor Melinda McVey. As the City's Jurisdictional Contact, I should have followed up with Councilor McVey to ensure she was able to access and successfully complete her online SEI form. I feel her inability to submit her online response is ultimately my responsibility.

I would like to respectfully request that the OGEC consider pardoning her fine sanction, or in the alternative, consider reducing the fine amount. Councilor McVey is one of our elderly councilors and does not have access to the internet at her home (I'm not sure she even owns a computer). We have laptops or iPads for our council members, but Councilor McVey and the Mayor still receive paper hardcopies of our monthly council packets because they are not comfortable utilizing the digital technology resources. Unlike the Mayor though, Councilor McVey lives alone and does not have other more tech savvy family members to assist her. I should have assisted her in filing the report from here at the office because I know she doesn't have help at home.

As a side note, Councilor McVey announced at our monthly council meeting last night that she was going to be resigning from the council at the end of September because she will be moving to the Ashland area to live closer to her daughter—so she will only be on the council a few months longer. You said that you had spoken with Councilor McVey this afternoon and she was not fully aware of the gravity of the filing error. I'm quite sure that is true. And I can say with certainty that her not filing was not due in any way to her trying to avoid her responsibility as a council member. She does take her public service very seriously and I think this issue has only come up since the paper SEI forms were eliminated in favor of the online reporting—I don't recall that she was ever a late reporting when she filed by mail.

I will commit to being more diligent with assisting our more technologically challenged members for next year's filing to prevent a situation like this from occurring again. I would like to respectfully reiterate the request that the Commission considering forgiving or

The City of Gold Beach is dedicated to enhancing quality of life, while promoting the health, safety, and welfare of our citizens, businesses, and visitors in the most fiscally responsible manner. In doing this, the City will respect the past, respond to current concerns, and plan for the future, while maintaining environmental sensitivity in our beach oriented community.

**Wild Rivers
Coast**
101 MILES OF NATURE'S BEST

reducing Councilor McVey's fine sanction. I realize that ignorance of the law (or inability to comply for technology reasons) is not an excuse, but it is an explanation and one I hope that that the Commission will consider and accept.

Thank you again for assisting Melinda today.

Most Sincerely,



Jodi Fritts

City Administrator

jfritts@goldbeachoregon.gov

The City of Gold Beach is dedicated to enhancing quality of life, while promoting the health, safety, and welfare of our citizens, businesses, and visitors in the most fiscally responsible manner. In doing this, the City will respect the past, respond to current concerns, and plan for the future, while maintaining environmental sensitivity in our beach oriented community.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

Email: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 18, 2018

Carla Mikkelson
17244 Arbor Grove Rd NE
Woodburn OR 97071

Re: 2017 & 2018 SEI late filing

Dear Ms. Mikkelson:

The written explanation of why your 2017 & 2018 Statement of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2018

SEI Code: 4657
User Name: carlamikke
Email: carlajmikkelson@gmail.com

2018 Filing: 6/18/2018
2018 Penalty: \$1,510.00

Carla Mikkelson
17244 Arbor Grove Road NE
Woodburn, OR 970719330

2018 Offices Held

MARION CO (County), PLANNING COMMISSION - Appointed 04/07/04

Activity Log - 2018 to Date

05/07/18 01:30:13.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:12.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:11.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 03:00:06.913 - User Profile Updated - User profile updated for Carla Mikkelson
05/29/18 03:00:06.940 - User Profile Updated - The user's main profile was updated.
05/30/18 01:30:07.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:07.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 12:45:16.797 - Admin User Reset Initiated - An admin user reset was initiated.
06/13/18 12:45:57.780 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/13/18 12:46:15.173 - User Successfully Authenticated -
06/13/18 12:46:40.673 - User Profile Updated - User profile updated for Carla Mikkelson
06/13/18 12:46:40.683 - User Profile Updated - The user's main profile was updated.
06/14/18 01:30:06.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/15/18 01:30:05.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/16/18 01:30:05.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 01:30:05.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 05:22:09.517 - User Successfully Authenticated -
06/18/18 01:30:06.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/18/18 08:39:10.527 - Late SEI Report Filed - SEI Report Filed Late for year 2017

SEI Activity

2018


Code: 4657
User Name: carlamikke
Email: carlajmikkelson@gmail.com

2018 Filing: 6/18/2018
2018 Penalty: \$1,510.00

Carla Mikkelson
17244 Arbor Grove Road NE
Woodburn, OR 970719330

06/18/18 09:02:41.397 - User Password Reset - The user's password was reset from the "My Profile" page.
06/18/18 09:02:41.517 - User Profile Updated - User profile updated for Carla Mikkelson
06/18/18 09:02:41.583 - User Profile Updated - The user's main profile was updated.
06/18/18 09:06:37.970 - Late SEI Report Filed - SEI Report Filed Late for year 2018

PAST VIOLATIONS: Filed late 2017 & 2018 (both filed 6/18/18)

RECOMMENDATION: *Waive all penalties due to JC error.* 

She has served four consecutive terms as Marion County Commissioner, with no past late filings. For some reason, the Jurisdictional Contact (no longer the JC) changed her appointment date to May 2017, eliminating the need to file 2017. At that time of reassignment, the email address was entered incorrectly so she never received notifications. When I corrected her assignment date to reflect consecutive service, and corrected her email address, she got into her account and filed 2017 & 2018.

SEI Activity

2017

SEI Code: 4657
User Name: carlamikke
Email: carlajmikkelson@gmail.com

2017 Filing: 6/18/2018
2017 Penalty: \$4,780.00

Carla Mikkelson
17244 Arbor Grove Road NE
Woodburn, OR 970719330

2017 Offices Held

MARION CO (County), PLANNING COMMISSION - Appointed 04/07/04

Activity Log - 2017 to Date

05/04/17 10:22:03.470 - User Profile Updated - The user's main profile was updated.
05/04/17 10:22:12.863 - User Profile Updated - User profile updated for Carla Mikkelson
05/07/17 01:30:40.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:14.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:15.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:12.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:15.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:29.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:16.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:12.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:13.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:17.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:11.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:15.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:12.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:12.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:19.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:13.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:12.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:12.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:14.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:11.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:11.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:12.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:11.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:13.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:12.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:12.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:12.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:14.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:14.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:12.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:12.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:13.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:15.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:16.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:12.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/28/17 01:30:12.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/29/17 01:30:12.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2017

SEI Code: 4657
User Name: carlamikke
Email: carlajmikkelson@gmail.com

2017 Filing: 6/18/2018
2017 Penalty: \$4,780.00

Carla Mikkelson
17244 Arbor Grove Road NE
Woodburn, OR 970719330

08/24/17 11:15:02.540 - Date Appointed Updated - Date Appointed Updated
05/07/18 01:30:13.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/08/18 01:30:10.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/09/18 01:30:09.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/10/18 01:30:08.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/11/18 01:30:09.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/12/18 01:30:10.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/13/18 01:30:08.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/14/18 01:30:12.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/15/18 01:30:11.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/16/18 01:30:10.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/17/18 01:30:07.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/18/18 01:30:13.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/19/18 01:30:07.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/20/18 01:30:08.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/21/18 01:30:08.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/22/18 01:30:07.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2018
05/23/18 01:30:08.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/24/18 01:30:06.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/25/18 01:30:09.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/26/18 01:30:06.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/27/18 01:30:07.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/28/18 01:30:08.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/29/18 01:30:06.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
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05/30/18 01:30:07.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
05/31/18 01:30:08.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/01/18 01:30:07.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/02/18 01:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/03/18 01:30:06.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/04/18 01:30:07.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/05/18 01:30:07.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/06/18 01:30:07.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/07/18 01:30:06.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/08/18 01:30:07.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/09/18 01:30:07.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/10/18 01:30:06.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/11/18 01:30:07.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/12/18 01:30:07.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 01:30:08.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/13/18 12:45:16.797 - Admin User Reset Initiated - An admin user reset was initiated.
06/13/18 12:45:57.780 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/13/18 12:46:15.173 - User Successfully Authenticated -
06/13/18 12:46:40.673 - User Profile Updated - User profile updated for Carla Mikkelson
06/13/18 12:46:40.683 - User Profile Updated - The user's main profile was updated.
06/14/18 01:30:06.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/15/18 01:30:05.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/16/18 01:30:05.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 01:30:05.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/17/18 05:22:09.517 - User Successfully Authenticated -
06/18/18 01:30:06.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2018
06/18/18 08:39:10.527 - Late SEI Report Filed - SEI Report Filed Late for year 2017
06/18/18 09:02:41.397 - User Password Reset - The user's password was reset from the "My Profile" page.
06/18/18 09:02:41.517 - User Profile Updated - User profile updated for Carla Mikkelson
06/18/18 09:02:41.583 - User Profile Updated - The user's main profile was updated.
06/18/18 09:06:37.970 - Late SEI Report Filed - SEI Report Filed Late for year 2018

DANIEL Kathy * OGEC

m: Carla Mikkelson <carlamikke@yahoo.com>
Sent: Monday, June 18, 2018 11:03 AM
To: DANIEL Kathy * OGEC
Subject: Re: Filing required Statements of Economic Interest

Ms. Daniel:

Thank you so much for your assistance this morning. I appreciate all our help and information. The following is the explanation you requested:

Dear Commissioners:

I want to apologize for my complete misunderstanding of how the automated SEI system was going to work after the conversion from paper filing to electronic filing in 2016. I was very used to receiving my form in the mail and returning it to you. The paper forms did not force me to remember the due date for the SEI - I simply received it near the time it was due. As instructed, I created the electronic account in 2016 and assumed I would receive email reminders rather than calendaring it for myself. Unfortunately there was an error in the email address sent to you and I did not receive the email reminders. There was also a problem with my phone. In addition, there is a problem with my brain and how I perceive time. It really didn't seem that long ago that I filled out the last form. The Marion County Planning Commission has not been meeting often and while I would never blame their staff for my lapses, we might not have met in time to receive a timely reminder and apparently I need a lot of them.

I have been on the Marion County Planning Commission for four consecutive terms and owed SEI's for 2017 and 2018. I have filed those forms now. I hope that late is better than never and I leave it to the Commission to decide my appropriate penalty.

Thank you for your consideration.

Carla Mikkelson



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 12, 2018

Scott Miller
PO Box 62
Wasco, OR 97065

Re: 17-127SMT 2013, 2014 and 2017 SEI Penalties

Dear Mr. Miller:

The written explanation of why your 2013, 2014 and 2017 Statements of Economic Interest reports were filed late have been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter 503-378-5105.

Sincerely,

Commission Staff



17-127SMT MILLER, SCOTT

2013, 2014 and 2017 SEI FAIL TO FILE TIMELY

2013: Mayor, City of Wasco

- Original SEI form mailed 3/15/2013 (Friday), due date 4/15/2013 (Monday)
- 1st reminder mailed 4/26/2013 (Friday), due date 5/20/2013 (Monday)
- Penalty Accrual Notice 5/21/2013 (Tuesday)
- No record of return mail.
- **2013 SEI filed 5/29/18**
- Penalty Accrued: \$5000

2014: Mayor, City of Wasco

- Original SEI form mailed 3/14/14 (Friday), due date 4/15/14/14 (Tuesday)
- 1st reminder mailed 4/23/14 (Wednesday), due date 5/15/14 (Thursday)
- Penalty Accrual Notice 5/16/14 (Thursday)
- No record of return mail
- **2014 SEI filed 2/27/18**
- Penalty Accrued: \$5000

2017: Council, City of Wasco

- Appointed 1/19/17
- Assigned by JC on 3/15/17. Email on personal profile was the same as the city.
- 5/18/17 SEI Filer Invitation to Create Account Reminder
- 6/15/17 NIC report received that indicated people who still had not created their personal profiles. OGEC staff created account and emailed username ScottMiller123 and password Sei2017*
- 6/16/17 Automated Late Fee Applied. Automated messages sent from 6/16/17 through 12/31/17 max penalty met.
- 7/25/17 OGEC staff emailed JC Ali Roark asking for assistance in contacting Mr. Miller to file 2017 SEI report. User Profile Updated
- 8/15/17 OGEC staff called JC Ali Roark left message to call regarding email on Mr. Miller's personal profile. OGEC staff found that the email on Mr. Miller's personal profile was the same as the JC's. The purpose of the call was to advise that Mr. Miller had still not filed the required 2017 SEI report.
- 8/15/17 Telephone call to Mr. Miller's phone, the phone number provided is the same as the City of Wasco.
- 1/29/18 Certified OGEC letter to
- 3/26/18 OGEC letter
- 4/4/18 Admin User Reset Initiated, Admin User Password Reset Completed, User Successfully Authenticated
- **2017 SEI REPORT FILED 4/4/18**
- Penalty Accrued: \$5000

Councilor Scott Miller
PO Box 62
Wasco, OR 97065

June 11, 2018

Oregon Government Ethics Commission
3218 Pringle Rd SE Ste. 220
Salem, OR 97302-1544

RE: Statement of Economic Interest Stipulated Final Order Case No. 17-127SMT

Dear Commission Review Board;

I am fully aware of my failure to file my Statements in 2013, 2014 and 2017; the truth is that I did not really understand the process and with my position being one of no monetary gain, filing didn't seem necessary. It might have been an act of rebellion on my part, to not file on time as required. I thought my only obligation was to the residence of the City I was representing. I know understand that the Commission is only acting in the best interest of not only the position holders but the voters who place them there.

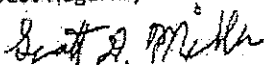
I have not accepted the proposal to pay the reduced amount of \$1,500 simply because I cannot afford to pay it. My wife recently passed away and her Social Security income was our main source of income. I work part time driving truck for a local Construction business, I bring home anywhere from \$300 to \$700 a month. My wife's Social Security was a survivor income, so it will not transfer over to be an income for myself. I am trying to work out how to pay my existing monthly bills; I would not be able to pay the \$1,500 additional bill.

If you accept my counter-offer to not pay any violation fees I will do the following: Promise to file on time every year going forward that I am in office and I will do any training necessary that the Commission deems appropriate.

I promise to always uphold the high standards of the Office I hold, to do my best to listen to the residence I represent and hold their trust in high regard. I now know filing with the Ethics Commission helps me do all these things, to be transparent about my finances so the voters know I am being honest and trustworthy.

I look forward to hearing from you and I hope you will accept my apology and my willingness to learn and move forward.

Best Regards,



Scott Miller
City of Wasco Councilor



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

June 19, 2018

Mace Cadwell
PO Box 87
Cove, OR 97824

Re: 17-132SMT SEI Penalties

Dear Mr. Cadwell:

The written explanation of why your 2013, 2014, 2015 and 2016 Statements of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 29, 2018 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend in person or by telephone. If you wish to appear via telephone, you will need to provide your telephone number prior to the scheduled meeting. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter 503-378-5105.

Sincerely,

Commission Staff



17-132SMT CADWELL, MACE

2013, 2014, 2015 AND 2016 SEI FAIL TO FILE TIMELY

2013: Planning Commission, Union County

- Original SEI form mailed 3/15/2013 (Friday), due date 4/15/2013 (Monday)
- 1st reminder mailed 4/26/2013 (Friday), due date 5/20/2013 (Monday)
- Penalty Accrual Notice 5/21/2013 (Tuesday)
- No record of return mail.
- **2013 SEI filed 3/9/17**
- Penalty Accrued: \$5000

2014: Planning Commission, Union County

- Original SEI form mailed 3/14/14 (Friday), due date 4/15/14/14 (Tuesday)
- 1st reminder mailed 4/23/14 (Wednesday), due date 5/15/14 (Thursday)
- Penalty Accrual Notice 5/16/14 (Thursday)
- No record of return mail
- **2014 SEI filed 3/9/17**
- Penalty Accrued: \$5000

2015: Planning Commission, Union County

- Original SEI form mailed 3/13/15 (Friday), due date 4/15/14 (Wednesday)
- 1st reminder mailed 4/23/14 (Thursday), due date 5/15/14 (Friday)
- Penalty Accrual Notice 5/21/14 (Thursday)
- No record of return mail
- **2015 SEI filed 3/9/17**
- Penalty Accrued: \$5000

2016: Planning Commission, Union County- Electronic Filing System notes

- **8/24/16 OGEC** called # on file, non-working. I called County and received a new # and was able to speak with Mace. Hard year, business shut down, has no money. Emails going into spam. I see his account was set up for him due to not opening his own acct. User name is misspelled. I sent him a password reset. I explained the EFS and clarified the filing requirements and the need to file asap. Once filed, I recommended sending in a letter of explanation. He did say he was late filing in the past.
- **8/24/2016 SEI Filer Invitation to Create Account.** Michelle created account as filer had not created for self.
- **9/9/16 OGEC** mailed a paper form on this day.

- 10/4/16 OGEC called and left a VM asking him to call and that I will help him with filing.
- 2016 SEI filed 3/27/18

Case Management Notes:

2018-03-27 12:12PM Telephone call to Mr. Cadwell requesting information. Mr. Cadwell 03/27/2018 was mailed a proposed settlement offer on 1/25/18 to PO Box 429, La Grande, OR 97850. Return mail from the postal service received 2/16/17 "Box Closed".

3/28/2018 I did not receive a return call from message left on Mr. Cadwell's phone. Email sent requesting contact and information regarding his 2016 SEI, specifically question #2 Source of income. TH Good morning Mr. Cadwell, Thank you for filing your required Statements of Economic Interest reports. In review of the 2016 filing, you indicated that there was no source of income. Could you please provide me with what resources your household was utilizing for payments at that time? If there was none, could you please elaborate in an email exchange with me as to why? Upon receipt of this information I can generate a proposed stipulated final order. Without this information I will be unable to 03/28/2018 prepare a proposal. In addition, could you please provide me with your current mailing address? I look forward to hearing from you. Tammy R. Hedrick Program Analyst/Trainer Oregon Government Ethics Commission (503) 378-6802 ogec.training@oregon.gov *Disclaimer* ***** This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

Telephone call to Union County, 541-963-1006, spoke with jurisdictional contact Robin Church, she indicated that she would speak with the planning director and will call me 05/03/2018 back. Robin indicated that these are appointed positions with no term limits. My question, is Mr. Cadwell still participating as a member, Robin indicated that he does attend the meetings. TH

Status of this case: Mr. Cadwell has not filed for 2018, as such, executive director will not 05/03/2018 negotiate a settlement offer on the previous late filings for 2013, 2014, 2015 and 2016. I called Union County and spoke with Lisa, the deputy director, she indicated that she would contact the planning director to discuss this matter. TH

Telephone call to Mr. Cadwell, left a detailed message requesting a return call. Phone 05/03/2018 number retrieved from paper filing records 2015 filed on 3/9/17. Email to Mr. Cadwell requesting contact mace21@bronsonent.com requesting contact. TH

Received telephone call from Robin Church, Union County, she indicated that the 05/03/2018 planning director spoke with Mr. Cadwell regarding his filing requirements, Mr. Cadwell indicated that he would be contacting OGEC today. TH

05/03/2018 Received telephone call from Mr. Cadwell he lmtc 541-377-2121. TH

Return call to Mr. Cadwell at 541-377-2121, spoke with Mr. Cadwell, filed his 2018 report, and explained stipulated settlement process for 2013, 2014, 2015 and 2016. Will
05/03/2018 email this proposal and mail the original. In confirming mailing address, Mr. Cadwell provided another address: PO Box 87, Cove OR 97824. Address and phone number confirmed 541-377-2121. Former address: PO Box 429, La Grande OR 97850. TH

05/30/2018 Did not receive a response to settlement proposal offer, telephone call to Mr. Cadwell 541-377-2121, lmtc. TH

05/30/2018 Email to Mr. Cadwell requesting contact, mace21@bronsonent.com. TH

06/01/2018 Received telephone call from Mr. Cadwell, he left a message on my voicemail date/time stamp 5/31/2018 at 4:06 PM. He indicated that he would call again on Friday 6/1/18. TH

2018-06-17 Received email with request for waiver of civil penalties. This information
06/18/2018 will be placed in the meeting book materials for the June 29, 2018 Commission meeting.
TH

HEDRICK Tammy R * OGEC

From: Mace A. Cadwell <mace21@bronsonent.com>
Date: Sunday, June 17, 2018 8:49 PM
To: HEDRICK Tammy R * OGEC
Subject: Re: Oregon Government Ethics Commission RE: Letter of Explanation 17-132SMT CADWELL LTR EXP 3-9-17.pdf

Government Ethics Commission
Tammy Hedrick

I am writing in response to your proposed stipulated final order concerning my late filings of ethics forms.

As you are probably aware after reading my prior letter from March 2017 that was requested by Michael Thornicroft and that explained the cause of my late filings, my financial situation will not sustain our living expenses and fund your proposed settlement offer.

Our financial situation is only slightly improved from 1 year ago.

I am requesting a none monetary settlement.

If I remain on the Union County Planning Commission, I would pledge to make all future filings on time and any phone training necessary to accomplish filing on time.

Please contact me by phone on June 29th during your hearing with any questions you may have.

I look forward to discussing this matter via phone on June 29th.

Thank you for your time and consideration.

Sincerely,

Mace A. Cadwell
Sent from my U.S. Cellular® Smartphone

----- Original message -----

From: HEDRICK Tammy R * OGEC <Tammy.R.HEDRICK@oregon.gov>
Date: 6/7/18 3:29 PM (GMT-08:00)
To: "Mace A. Cadwell" <mace21@bronsonent.com>
Subject: Oregon Government Ethics Commission RE: Letter of Explanation 17-132SMT CADWELL LTR EXP 3-9-17.pdf

Here you go....

Government Ethics Commission
Michael Thornicroft

RE: Mace Cadwell
Union Planning Commission
Case no. 17-132SMT

RECEIVED

MAR 09 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Sirs:

Per the requested response from your letter dated February 8th 2017, I am writing to explain the late filing of my annual verified statements of economic interest.

For the years of 2013, 2014, and 2015 I was the President and Operations Manager of Bronson Lumber Co. and Royal Rock. We had an office staff of several people, one of who's partial responsibility was to over see paper work and forms from outside entities such as these Ethics Commission forms.

Obviously she did not do a very good job on these documents as well as several unrelated issues that have surfaced.

After 51 years in business, our company filed a Chapter 11 in early 2015. The US Bankruptcy Court dismissed the case and the case was returned to the local Union County Court. The local court allowed one of our banks the right to immediately seize all assets and properties. We lost all access to all records, paperwork, and computers that afternoon. Until late last year my only computer access was a smart phone. To date we have not recovered those records, however the IRS has joined us in that battle to retain those records from the local bank who forced the court actions. In those records were the past copies and files pertaining to my past ethics forms.

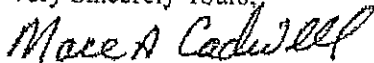
When I began receiving calls from your offices sometime in 2016 was the first I knew of the late form filing issue. Granted, I did not sign forms in those missing years but without being brought to my attention by our office gal, I would not have remembered the need of the forms to be completed.

As our company financial issues unfolded over the course of 2015-2016 I had decided not to extend my planning commission seat beyond my term ending December 2016. Then in mid December, the Union County Planning Dept asked if I would continue my position on the commission for another term. After discussions with Department staff and much thought I decided I would help through another term.

So with all that said, I'd like to resolve the issue of the late filings. I have not had a paycheck since mid 2015 and as you might expect, our financial situation is bleak, to say the least. A fine of most any amount would force my resignation from the Union County Planning Commission.

I do understand the seriousness of this issue. I ask that you would consider the series of circumstances on our end that allowed this issue to get so out of hand.

Very Sincerely Yours,



Mace A. Cadwell

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Celia Claybourn)

) **STIPULATED FINAL ORDER**

) **CASE NO. 17-118SDG**

1. **PURPOSE:** The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Celia Claybourn.

2. **JURISDICTION:** At all material times, Celia Claybourn was a member of the City of Powers Planning Commission. Celia Claybourn was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. **STIPULATED FACTS:**
 - A. As a Planning Commission member, Celia Claybourn was required by ORS 244.050(1)(k) to file an annual verified statement of economic interest on or before April 15 of each year.

 - B. Celia Claybourn failed to file the required statement in timely fashion on April 15 of 2013. The statement was filed on May 7, 2018.

 - C. The action described in paragraph B above constituted one distinct violation of ORS 244.050.

 - D. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5000 for each late filing of an annual verified statement of economic interest, for a total of \$5,000 in civil penalties for the late filing described in paragraph B above.

- E. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.050.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On March 10, 2017, the Commission acted to find violations of ORS 244.050 and move to a negotiated settlement or a contested case hearing. The March 10, 2017 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of Oregon Government Ethics law by Celia Claybourn.
- B. In lieu of a civil penalty, as authorized by ORS 244.350, Celia Claybourn will receive a letter of education in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Celia Claybourn within the scope of the above-referenced proceedings.
- D. Celia Claybourn will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of

the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Celia Claybourn agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Celia-Claybourn agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Celia Claybourn
Celia Claybourn

5/8/18
Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

David Smith)

STIPULATED FINAL ORDER

CASE NO. 18-051SMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against David Smith.

2. JURISDICTION: At all material times, David Smith was a member of the Curry County Commission. David Smith was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:

A. As a County Commissioner, David Smith was required by ORS 244.050(1)(j) to file an annual verified statement of economic interest on or before April 15 of each year.

B. David Smith failed to file the required statement in timely fashion on April 15 of 2014. The statement was filed on May 31, 2018.

C. The action described in paragraph B above constitute a distinct violation of ORS 244.050.

D. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5000 for each late filing of an annual verified statement of economic interest, for a total of \$5,000 in civil penalties for the late filing described in paragraph B above.

E. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.050.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. Pursuant to ORS 244.350(4)(b), failure to file the required statement of economic interest in timely fashion is prima facie evidence of a violation of ORS 244.050.
- B. In lieu of a civil penalty, as authorized by ORS 244.350, David Smith will receive a letter of education in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against David Smith within the scope of the above-referenced proceedings.
- D. David Smith will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

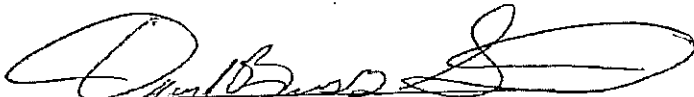
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, David Smith agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, David Smith agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



David Smith

6/15/2018

Date

Alison R. Kean, Chairperson
Oregon Government Ethics Commission

Date

OREGON GOVERNMENT ETHICS COMMISSION

INVESTIGATION

CASE NO: 17-093XMT

DATE: June 18, 2018

RESPONDENT: HAMSHER, Jim, Mayor, City of Prairie City

COMPLAINANT: BECKER, Dan

RECOMMENDED ACTION: Make a Preliminary Finding of 1 Violation of ORS 192.660

1 **SYNOPSIS:** Jim Hamsher served as Mayor and a member of the City Council (Council)
2 for the City of Prairie City (City) and participated in an executive session relevant to this
3 investigation. The focus of this investigation was to determine if there was a
4 preponderance of evidence to indicate that the topics discussed in a relevant executive
5 session by Council violated the executive session provisions of Oregon Public Meetings
6 law.

7
8 There is sufficient evidence available to indicate that the respondent, as a member of the
9 governing body of Prairie City, participated in one (1) executive session held on 8/9/2017
10 in which a topic not authorized to be discussed in executive session under ORS
11 192.660(2) was discussed.

12
13 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
14 issues addressed herein:

15
16 **192.610 "Definitions for ORS 192.610 to 192.690.** As used in ORS 192.610 to
17 192.690."

1 192.610(2) "Executive session' means any meeting or part of a meeting of a
2 governing body which is closed to certain persons for deliberation on certain
3 matters."

4
5 192.610(3) "Governing body' means the members of any public body which
6 consists of two or more members, with the authority to make decision for or
7 recommendations to a public body on policy or administration."

8
9 192.610(4) "Public body' means the state, any regional council, county, city or
10 district, or any municipal or public corporation, or any board, department,
11 commission, council, bureau, committee or subcommittee or advisory group or any
12 other agency thereof."

13
14 192.640(1) "The governing body of a public body shall provide for and give public
15 notice, reasonably calculated to give actual notice to interested persons including
16 news media which have requested notice, of the time and place for holding regular
17 meetings. The notice shall also include a list of the principal subjects anticipated
18 to be considered at the meeting, but this requirement shall not limit the ability of a
19 governing body to consider additional subjects."

20
21 192.640(2) "If an executive session only will be held, the notice shall be given to
22 the members of the governing body, to the general public and to news media which
23 have requested notice, stating the specific provision of law authorizing the
24 executive session."

25
26 **ORS 192.660 "Executive sessions permitted on certain matters; procedures;**
27 **news media representatives' attendance; limits. (1) ORS 192.610 to 192.690**
28 **do not prevent the governing body of a public body from holding executive session**
29 **during a regular, special or emergency meeting, after the presiding officer has**
30 **identified the authorization under ORS 192.610 to 192.690 for holding the**
31 **executive session."**

1 192.660(2) "The governing body of a public body may hold an executive session:"

2
3 192.660(2)(a) "To consider the employment of a public officer, employee, staff
4 member or individual agent."

5
6 192.660(3) "Labor negotiations shall be conducted in open meetings unless
7 negotiators for both sides request that negotiations be conducted in executive
8 session. Labor negotiations conducted in executive session are not subject to the
9 notification requirements of ORS 192.640."

10
11 192.660(7) "The exception granted by subsection (2)(a) of this section does not
12 apply to:

13
14 (a) "The filling of a vacancy in an elective office."

15 (b) "The filling of a vacancy on any public committee, commission or other
16 advisory group."

17 (c) "The consideration of general employment policies."

18 (d) "The employment of the chief executive officer, other public officers,
19 employees and staff members of a public body unless:"

20 (A) "The public body has advertised the vacancy;"

21 (B) "The public body has adopted regular hiring procedures;"

22 (C) "In the case of an officer, the public has had the opportunity to
23 comment on the employment of the officer; and"

24 (D) "In the case of a chief executive officer, the governing body has
25 adopted hiring standards, criteria and policy directives in meetings
26 open to the public in which the public has had the opportunity to
27 comment on the standards, criteria and policy directives."

28
29 **192.685 "Additional enforcement of alleged violations of ORS 192.660. (1)**
30 Notwithstanding ORS 192.680, complaints of violations of ORS 192.660 alleged
31 to have been committed by public officials may be made to the Oregon

1 Government Ethics Commission for review and investigation as provided by ORS
2 244.260 and for possible imposition of civil penalties as provided by ORS 244.350.”

3
4 192.685(2) “The commission may interview witnesses, review minutes and other
5 records and may obtain and consider any other information pertaining to executive
6 sessions of the governing body of a public body for purposes of determining
7 whether a violation of ORS 192.660 occurred. Information related to an executive
8 session conducted for a purpose authorized by ORS 192.660 shall be made
9 available to the Oregon Government Ethics Commission for its investigation but
10 shall be excluded from public disclosure.”

11
12 244.350(2)(a) “Except as provided in paragraph (b) of this subsection, the
13 commission may impose civil penalties not to exceed \$1,000 for any violation of
14 any provision of ORS 192.660.”

15
16 244.350(2)(b) “A civil penalty may not be imposed under this subsection if the
17 violation occurred as a result of the governing body of the public body acting upon
18 the advice of the public body’s counsel.”

19
20 244.350(5) “In lieu of or in conjunction with finding a violation of law or any
21 resolution or imposing a civil penalty under this section, the commission may issue
22 a written letter of reprimand, explanation or education.”

23
24 **INVESTIGATION:** The Oregon Government Ethics Commission (Commission) initiated
25 a preliminary review based on information in a signed complaint from Dan Becker
26 received on 11/9/2017. (#PR1). The complainant alleged that the respondent, a member
27 of the City Council, along with five other Council members, violated executive session
28 provisions of Oregon Public Meetings law when participating in an executive session on
29 8/9/2017. The Commission found cause to investigate on 1/5/2018 after considering the
30 information developed in the preliminary review. The focus of the investigation was to
31 determine if there is sufficient evidence to find that respondent participated in an

1 executive session held on 8/9/2017 discussing topics that are not statutorily authorized
2 to be discussed in executive session. The complainant and the respondent have been
3 notified of the Commission actions in this matter. Both have been invited to provide any
4 information which would assist the Commission in conducting this investigation.

5
6 According to the 8/9/2017 City Council agenda, there was no executive session
7 scheduled for that Council meeting; however, the last sentence at the bottom of the
8 agenda reads, "The City Council reserves the right to recess to Executive Session as may
9 be required any time during this meeting, ORS 192.660(2)." The minutes from the
10 8/9/2017 meeting reflect the following:

11
12 "At 7:41pm, Chris Camarena [Public Works Director] asked for an Executive
13 Session pursuant to ORS 192.660 (2A)(3) [sic]. He handed the City Recorder the
14 request. The audience was asked to leave the council chambers (except the news
15 media, which there was none).

16
17 The council reconvened at 8pm. MSP: to approve \$72,000 this year and pick up
18 his comp. Next year, it will go to \$75,000 with the agreement that he will stay with
19 the city five (5) years without taking any other offers from any other cities. At the
20 five years the council will get back to him, and re-discuss his salary. He will still get
21 his COLA and any other raises the employees might get. Councilor Patterson /
22 Councilor Garrison. All in favor." (#PR2)

23
24 During the preliminary review phase, the Commission was furnished an audio recording
25 of the executive session on 8/9/2017. The audio was of poor quality and difficult to
26 understand; however, it appeared that Mr. Camarena requested an executive session
27 under "ORS 192.660(2)(a) and (3)." During the executive session, Mr. Camarena notified
28 the Council that the City of Joseph had approached him with a job offer, and the amount
29 of money the City of Joseph was offering was more than what he was being paid by the
30 City. He needed to give the City of Joseph an answer by 8/11/2017. He gave this as the
31 reason he asked for an executive session.

1 On 6/7/2018, the Commission received a clearer audio copy of the 8/9/2017 executive
2 session. As reflected in the audio, the Mayor called for the executive session and read,
3 in its entirety, the script to announce executive session including the reasons and
4 statutes. The Mayor announced the purpose of the meeting was to consider the
5 employment of an employee or individual agent "and to conduct labor negotiations." It
6 appears no decisions were made in the Executive Session. (#INV1)

7
8 **CONCLUSIONS:** Jim Hamsher, Mayor, was a member of the City Council, which is the
9 governing body of the City of Prairie City. The complaint in this case originated from an
10 executive session called on 8/9/2017 by the City Council. The complainant also alleged
11 that members of the City Council failed to provide proper public notice of the executive
12 session in violation of ORS 192.640. The Commission has no jurisdiction to enforce the
13 requirements of ORS 192.640 and does not address that allegation.

14
15 Mr. Hamsher participated in an executive session on 8/9/2017. As a member of a
16 governing body of a public body, Mr. Hamsher is required to comply with the executive
17 session provisions of Oregon Public Meetings law found in ORS 192.660.

18
19 An executive session is a meeting or part of a meeting held by a governing body which is
20 closed to certain persons for deliberation on certain matters. [ORS 192.610(2)]. ORS
21 192.660 contains specific provisions allowing members of the governing body of a public
22 body to convene and participate in executive sessions to discuss specific topics once
23 certain conditions and prerequisites are met.

24
25 The Commission has jurisdiction to enforce ORS 192.660(1), which requires the presiding
26 officer to publicly identify the statutory authority or lawful basis prior to holding an
27 executive session during a regular, special or emergency meeting. Information indicates
28 that the executive session at issue in this case was held during a regular City Council
29 meeting.

30
31 Mr. Camarena requested an executive session during the regular meeting. In turn, the

1 presiding officer publicly identified the statutory authority for the executive session as
2 ORS 192.660(2)(a), which allows members of a governing body to consider the
3 employment of a public officer, employee, staff member or individual agent, and ORS
4 192.660(3), which allows for labor negotiations to be conducted in executive session only
5 if negotiators for both sides request that negotiations be conducted in executive session.
6

7 The Commission follows the advice given by the Department of Justice concerning
8 executive session matters and uses the *Attorney General's Public Records and Meeting*
9 *Manual* (AG Manual) (2014) as an authority. As pertinent to ORS 192.660(2)(a), the AG
10 Manual states:

11 "ORS 192.660(2)(a) does not apply to consideration of general employment
12 policies, but relates only to the initial hiring of specific individuals. We have
13 concluded that this provision does not allow discussion of an officer's salary to be
14 conducted in executive session..." [AG Manual, p. 157-158]
15

16 The investigation revealed that the discussion in the executive session held on 8/9/2017
17 did not concern the initial hiring of Mr. Camarena; he was already employed by the City.
18 Rather, the discussion concerned Mr. Camarena's job offer from another jurisdiction, his
19 current salary, and the salary he was being offered. ORS 192.660(2)(a) did not authorize
20 this discussion to take place in executive session.
21

22 The City also relied on ORS 192.660(3) as authority for the executive session. As to ORS
23 192.660(3), the AG Manual states:

24 "ORS 192.660(3) requires labor negotiations to be conducted in open meetings
25 unless the negotiators for both sides request that the negotiations be conducted in
26 executive session. Such executive sessions, if held, are not subject to the
27 notification requirements of ORS 192.640."
28

29 * * * [T]his subsection * * * authorizes governing bodies to engage in labor
30 negotiations with employees' representatives in executive session. * * * * *"
31

"Labor negotiations take place only between employee representatives, such as

1 labor organizations, and employers. * * * * * ." [AG Manual, p. 166-167]

2
3 The executive session on 8/9/2017 was held by the governing body to discuss the salary
4 of one of its employees with that employee, it was not for the purpose of labor negotiations
5 between employee representatives, such as a labor organization, and the governing
6 body. ORS 192.660(3), therefore, did not authorize the executive session.


7
8 In conclusion, information is sufficient to indicate that a topic not authorized for
9 consideration in executive session by ORS 192.660(2)(a) or ORS 192.660(3) was
10 discussed at the executive session held by Prairie City Council on 8/9/2017 and that Jim
11 Hamsher participated in that executive session.

12
13 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
14 preliminary finding that Jim Hamsher participated in one (1) unauthorized executive
15 session resulting in one (1) violation of ORS 192.660(2). [Motion 10]

16
17 **ASSOCIATED DOCUMENTS:**

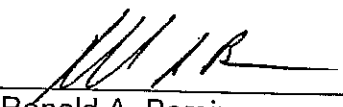
18 #PR1 Complaint submitted by Dan Becker, received on 11/9/2017.
19 #PR2 Response from City of Prairie City, City Council, received on 11/29/2017.
20 #INV1 Investigation response and executive session recording from City of Prairie
21 City, City Council, received on 6/7/2018.

PREPARED BY


Michael S. Thornicroft
Investigator

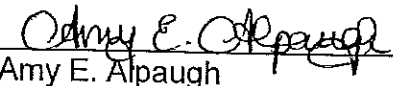
6-18-2018
Date

APPROVED BY


Ronald A. Bersin
Executive Director

4/18/18
Date

REVIEWED BY


Amy E. Alpaugh
Assistant Attorney General

6/18/18
Date

memo

City of Prairie City – City Council Members

To: Michael Thornicroft, Investigator – Oregon Ethics Commission
From: Carole Garrison, City Councilor – City of Prairie City
Date: November 29, 2017
Re: Open Complaints – OGEC

Subject: Response to Open OGEC Complaint Cases Nos.: 17-093MT; 17-094MT; 17-095MT; 17-096MT; 17-097; 17-098

This statement is in response to Ethics Complaints about the August 9, 2017 meeting of the City Council of the City of Prairie City, Oregon. Since the City Council is acting as a governing body, not as individuals, we do not understand how you are able to file this complaint against us as individuals. The Mayor and City Councilors are volunteer positions and receive absolutely no compensation for doing their jobs.

We, the undersigned City of Prairie City Councilors, were acting in good faith. We did not willfully and knowingly violate ethical standards either as individuals or as a governing body. We knew nothing about this Executive Session until it was called during the regular August 9, 2017 meeting. We had no prior knowledge that it was scheduled until the moment that it was called. It was not on the agenda and Councilors were unaware that an Executive Session was scheduled.

Given that we are required to respond to this allegation without the advice of the legal counsel for the City of Prairie City, we feel that we are very much at a disadvantage. Council members of small cities are often at the mercy of City staff to make sure that we follow the prescribed rules for conducting meetings and doing business. To that end, we relied heavily on our City Recorder/Treasurer of three years to provide us with the proper information prior to scheduling Executive Sessions or other special meetings.

This meeting was scheduled by the City Recorder/Treasurer because one of our employees had received a job offer with a considerable increase in salary. This employee oversees the City's Public Works Department and is the only person on staff with knowledge and certification to operate the water and sewer systems. We were on the verge of declaring a state of emergency for the water system because of damage sustained in a wind and lightning storm. The employee wished to ask if the City of Prairie City could meet the offer presented by the other city. Since the decision had to be made immediately and the Recorder/Treasurer may have considered it an emergency she scheduled it to be discussed during this meeting.

Less than thirty minutes before the regular Council meeting was to begin, the Recorder/Treasurer informed the Mayor (presiding officer) that she had arranged for an Executive Session to negotiate the employee's new contract. When asked, by the Mayor, about the Executive Session notice the Recorder/Treasurer said we were covered by the statement on the bottom of the Agenda and Meeting Notice. The Mayor trusted that the Recorder/Treasurer had acted with due diligence on our behalf and that her information was correct.



No other Council members were aware of the special Executive Session until it was requested by the employee during the regular Council meeting.

The session in reference was held in accordance with the information given to the Mayor by the City Recorder/Treasurer. The Council trusted our Recorder/Treasurer to act with due diligence on our behalf. That Recorder/Treasurer is no longer employed by the City of Prairie City and is unavailable to provide us with details of her decision to schedule the Executive Session. Nor has she assisted with information as to why the item discussed was allowed.

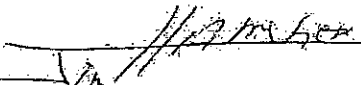
The Mayor called for the Executive Session and read, in its entirety, the script to announce Executive Session including the reasons and statutes. The visitors were told the Council would return to regular session at the close of the Executive Session and the public would be welcomed back in. No decisions were made in the Executive Session.


Remarks leading up to the Executive Session are presented on the attached digital recording. The entire meeting, both open and closed sessions, are recorded on the same tape. It is too large to enclose as an attachment, so we have attached a digital recording of the pertinent information to refute the claims that certain announcements were not made.


So, we have no other defense except to say that in the future we will make every effort to be better informed and consult the City Attorney prior to future Executive Sessions.

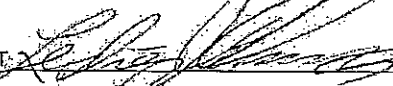
We will exercise greater diligence in adhering to public meeting laws.

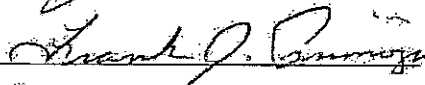
This is the consensus of all and respectfully submitted by:

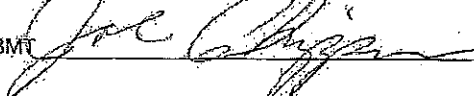
Mayor, Jim Hamsher: 17-093MT  Date: 11/29/2017

Councilor, Carole Garrison: 17-094MT  Date: 11-29-2017

Councilor, Georgia Patterson: 17-095MT  Date: 11/29/17

Councilor, Les Church: 17-096MT  Date: 11/29/17

Councilor, Frank Primozic: 17-097MT  Date: 11/29/17

Councilor, Joe Chippen: 17-098MT  Date: 11-29-17

OREGON GOVERNMENT ETHICS COMMISSION
INVESTIGATION

CASE NO: 17-094XMT

DATE: June 18, 2018

RESPONDENT: GARRISON, Carole, City Councilor, City of Prairie City

COMPLAINANT: BECKER, Dan

RECOMMENDED ACTION: Make a Preliminary Finding of 1 Violation of ORS 192.660

1 **SYNOPSIS:** Carole Garrison, City Councilor for the City of Prairie City (City), participated
2 in an executive session relevant to this investigation. The focus of this investigation was
3 to determine if there was a preponderance of evidence to indicate that the topics
4 discussed in a relevant executive session by Council violated the executive session
5 provisions of Oregon Public Meetings law.

6
7 There is sufficient evidence available to indicate that the respondent, as a member of the
8 governing body of Prairie City, participated in one (1) executive session held on 8/9/2017
9 in which a topic not authorized to be discussed in executive session under ORS
10 192.660(2) was discussed.

11
12 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
13 issues addressed herein:

14
15 **192.610 “Definitions for ORS 192.610 to 192.690. As used in ORS 192.610 to**
16 **192.690.”**

17 **///**

1 labor organizations, and employers. * * * * ." [AG Manual, p. 166-167]

2
3 The executive session on 8/9/2017 was held by the governing body to discuss the salary
4 of one of its employees with that employee, it was not for the purpose of labor negotiations
5 between employee representatives, such as a labor organization, and the governing
6 body. ORS 192.660(3), therefore, did not authorize the executive session.

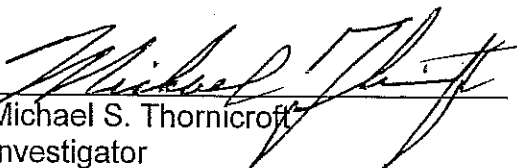
7
8 In conclusion, information is sufficient to indicate that a topic not authorized for
9 consideration in executive session by ORS 192.660(2)(a) or ORS 192.660(3) was
10 discussed at the executive session held by Prairie City Council on 8/9/2017 and that
11 Carole Garrison participated in that executive session.

12
13 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
14 preliminary finding that Carole Garrison participated in one (1) unauthorized executive
15 session resulting in one (1) violation of ORS 192.660(2). [Motion 10]

16
17 **ASSOCIATED DOCUMENTS:**

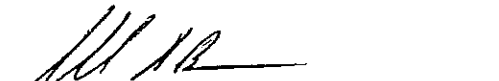
- 18 #PR1 Complaint submitted by Dan Becker, received on 11/9/2017.
19 #PR2 Response from City of Prairie City, City Council, received on 11/29/2017.
20 #INV1 Investigation response and executive session recording from City of Prairie
21 City, City Council, received on 6/7/2018.

PREPARED BY


Michael S. Thornicroft
Investigator


6-18-2018
Date

APPROVED BY


Ronald A. Bersin
Executive Director

6/18/18
Date

REVIEWED BY


Amy E. Alpaugh
Assistant Attorney General

6/18/18
Date

OREGON GOVERNMENT ETHICS COMMISSION
INVESTIGATION

CASE NO: 17-095XMT

DATE: June 18, 2018

RESPONDENT: PATTERSON, Georgia, City Councilor, City of Prairie City

COMPLAINANT: BECKER, Dan

RECOMMENDED ACTION: Make a Preliminary Finding of 1 Violation of ORS 192.660

1 **SYNOPSIS:** Georgia Patterson, City Councilor for the City of Prairie City (City),
2 participated in an executive session relevant to this investigation. The focus of this
3 investigation was to determine if there was a preponderance of evidence to indicate that
4 the topics discussed in a relevant executive session by Council violated the executive
5 session provisions of Oregon Public Meetings law.

6
7 There is sufficient evidence available to indicate that the respondent, as a member of the
8 governing body of Prairie City, participated in one (1) executive session held on 8/9/2017
9 in which a topic not authorized to be discussed in executive session under ORS
10 192.660(2) was discussed.

11
12 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
13 issues addressed herein:

14
15 **192.610 "Definitions for ORS 192.610 to 192.690.** As used in ORS 192.610 to
16 192.690:"

(7 **///**

1 labor organizations, and employers. *****." [AG Manual, p. 166-167]

2
3 The executive session on 8/9/2017 was held by the governing body to discuss the salary
4 of one of its employees with that employee, it was not for the purpose of labor negotiations
5 between employee representatives, such as a labor organization, and the governing
6 body. ORS 192.660(3), therefore, did not authorize the executive session.


7
8 In conclusion, information is sufficient to indicate that a topic not authorized for
9 consideration in executive session by ORS 192.660(2)(a) or ORS 192.660(3) was
10 discussed at the executive session held by Prairie City Council on 8/9/2017 and that
11 Georgia Patterson participated in that executive session.

12
13 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
14 preliminary finding that Georgia Patterson participated in one (1) unauthorized executive
15 session resulting in one (1) violation of ORS 192.660(2). [Motion 10]

16
17 **ASSOCIATED DOCUMENTS:**

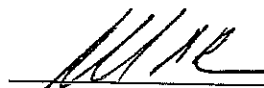
18 #PR1 Complaint submitted by Dan Becker, received on 11/9/2017.
19 #PR2 Response from City of Prairie City, City Council, received on 11/29/2017.
20 #INV1 Investigation response and executive session recording from City of Prairie
21 City, City Council, received on 6/7/2018.

PREPARED BY


Michael S. Thornicroft
Investigator

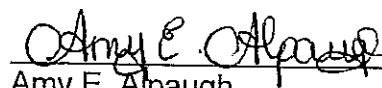
6-18-2018
Date

APPROVED BY


Ronald A. Bersin
Executive Director

6/18/18
Date

REVIEWED BY


Amy E. Alpaugh
Assistant Attorney General

6/18/18
Date

OREGON GOVERNMENT ETHICS COMMISSION
INVESTIGATION

CASE NO: 17-096XMT

DATE: June 18, 2018

RESPONDENT: CHURCH, Les, City Councilor, City of Prairie City

COMPLAINANT: BECKER, Dan

RECOMMENDED ACTION: Make a Preliminary Finding of 1 Violation of ORS 192.660

1 **SYNOPSIS:** Les Church, City Councilor for the City of Prairie City (City), participated in
2 an executive session relevant to this investigation. The focus of this investigation was to
3 determine if there was a preponderance of evidence to indicate that the topics discussed
4 in a relevant executive session by Council violated the executive session provisions of
5 Oregon Public Meetings law.

6
7 There is sufficient evidence available to indicate that the respondent, as a member of the
8 governing body of Prairie City, participated in one (1) executive session held on 8/9/2017
9 in which a topic not authorized to be discussed in executive session under ORS
10 192.660(2) was discussed.

11
12 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
13 issues addressed herein:

14
15 **192.610** "Definitions for ORS 192.610 to 192.690. As used in ORS 192.610 to
16 192.690:"

(7 **///**

1 labor organizations, and employers. * * * * * ." [AG Manual, p. 166-167]

2
3 The executive session on 8/9/2017 was held by the governing body to discuss the salary
4 of one of its employees with that employee, it was not for the purpose of labor negotiations
5 between employee representatives, such as a labor organization, and the governing
6 body. ORS 192.660(3), therefore, did not authorize the executive session.

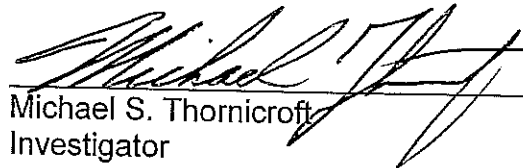
7
8 In conclusion, information is sufficient to indicate that a topic not authorized for
9 consideration in executive session by ORS 192.660(2)(a) or ORS 192.660(3) was
10 discussed at the executive session held by Prairie City Council on 8/9/2017 and that Les
11 Church participated in that executive session.

12
13 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
14 preliminary finding that Les Church participated in one (1) unauthorized executive session
15 resulting in one (1) violation of ORS 192.660(2). [Motion 10]

16
17 **ASSOCIATED DOCUMENTS:**

18 #PR1 Complaint submitted by Dan Becker, received on 11/9/2017.
19 #PR2 Response from City of Prairie City, City Council, received on 11/29/2017.
20 #INV1 Investigation response and executive session recording from City of Prairie
21 City, City Council, received on 6/7/2018.

PREPARED BY


Michael S. Thornicroft
Investigator

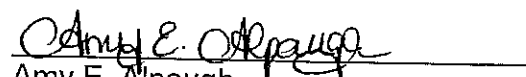
6-18-2018
Date

APPROVED BY


Ronald A. Bersin
Executive Director

6/18/18
Date

REVIEWED BY


Amy E. Alpaugh
Assistant Attorney General

6/18/18
Date

OREGON GOVERNMENT ETHICS COMMISSION
INVESTIGATION

CASE NO: 17-097XMT

DATE: June 18, 2018

RESPONDENT: PRIMOZIC, Frank, City Councilor, City of Prairie City

COMPLAINANT: BECKER, Dan

RECOMMENDED ACTION: Make a Preliminary Finding of 1 Violation of ORS 192.660

1 **SYNOPSIS:** Frank Primozić, City Councilor for the City of Prairie City (City), participated
2 in an executive session relevant to this investigation. The focus of this investigation was
3 to determine if there was a preponderance of evidence to indicate that the topics
4 discussed in a relevant executive session by Council violated the executive session
5 provisions of Oregon Public Meetings law.

6
7 There is sufficient evidence available to indicate that the respondent, as a member of the
8 governing body of Prairie City, participated in one (1) executive session held on 8/9/2017
9 in which a topic not authorized to be discussed in executive session under ORS
10 192.660(2) was discussed.

11
12 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
13 issues addressed herein:

14
15 **192.610 "Definitions for ORS 192.610 to 192.690. As used in ORS 192.610 to**
16 **192.690:"**

17 **///**

1 labor organizations, and employers. * * * * * ." [AG Manual, p. 166-167]

2
3 The executive session on 8/9/2017 was held by the governing body to discuss the salary
4 of one of its employees with that employee, it was not for the purpose of labor negotiations
5 between employee representatives, such as a labor organization, and the governing
6 body. ORS 192.660(3), therefore, did not authorize the executive session.

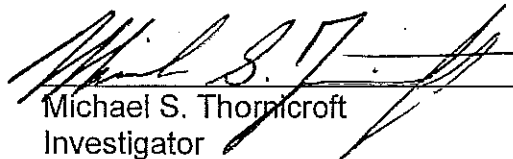
7
8 In conclusion, information is sufficient to indicate that a topic not authorized for
9 consideration in executive session by ORS 192.660(2)(a) or ORS 192.660(3) was
10 discussed at the executive session held by Prairie City Council on 8/9/2017 and that Frank
11 Primozic participated in that executive session.

12
13 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
14 preliminary finding that Frank Primozic participated in one (1) unauthorized executive
15 session resulting in one (1) violation of ORS 192.660(2). [Motion 10]

16
17 **ASSOCIATED DOCUMENTS:**

- 18 #PR1 Complaint submitted by Dan Becker, received on 11/9/2017.
19 #PR2 Response from City of Prairie City, City Council, received on 11/29/2017.
20 #INV1 Investigation response and executive session recording from City of Prairie
21 City, City Council, received on 6/7/2018.

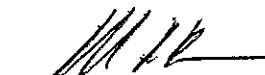
PREPARED BY


Michael S. Thornicroft
Investigator

6-18-2018

Date

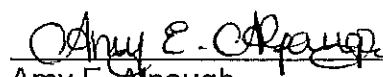
APPROVED BY


Ronald A. Bersin
Executive Director

6/18/18

Date

REVIEWED BY


Amy E. Alpaugh
Assistant Attorney General

6/18/18

Date

OREGON GOVERNMENT ETHICS COMMISSION
INVESTIGATION

CASE NO: 17-098XMT

DATE: June 18, 2018

RESPONDENT: PHIPPEN, Joe, City Councilor, City of Prairie City

COMPLAINANT: BECKER, Dan

RECOMMENDED ACTION: Make a Preliminary Finding of 1 Violation of ORS 192.660

1 **SYNOPSIS:** Joe Phippen, City Councilor for the City of Prairie City (City), participated in
2 an executive session relevant to this investigation. The focus of this investigation was to
3 determine if there was a preponderance of evidence to indicate that the topics discussed
4 in a relevant executive session by Council violated the executive session provisions of
5 Oregon Public Meetings law.

6
7 There is sufficient evidence available to indicate that the respondent, as a member of the
8 governing body of Prairie City, participated in one (1) executive session held on 8/9/2017
9 in which a topic not authorized to be discussed in executive session under ORS
10 192.660(2) was discussed.

11
12 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
13 issues addressed herein:

14
15 **192.610 "Definitions for ORS 192.610 to 192.690.** As used in ORS 192.610 to
16 192.690:"

17 **///**

1 labor organizations, and employers. * * * * * ." [AG Manual, p. 166-167]

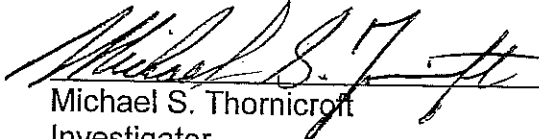
2
3 The executive session on 8/9/2017 was held by the governing body to discuss the salary
4 of one of its employees with that employee, it was not for the purpose of labor negotiations
5 between employee representatives, such as a labor organization, and the governing
6 body. ORS 192.660(3), therefore, did not authorize the executive session.

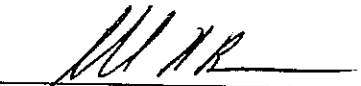
7
8 In conclusion, information is sufficient to indicate that a topic not authorized for
9 consideration in executive session by ORS 192.660(2)(a) or ORS 192.660(3) was
10 discussed at the executive session held by Prairie City Council on 8/9/2017 and that Joe
11 Phippen participated in that executive session.

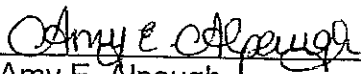
12
13 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
14 preliminary finding that Joe Phippen participated in one (1) unauthorized executive
15 session resulting in one (1) violation of ORS 192.660(2). [Motion 10]

16
17 **ASSOCIATED DOCUMENTS:**

- 18 #PR1 Complaint submitted by Dan Becker, received on 11/9/2017.
19 #PR2 Response from City of Prairie City, City Council, received on 11/29/2017.
20 #INV1 Investigation response and executive session recording from City of Prairie
21 City, City Council, received on 6/7/2018.

PREPARED BY  6-18-2018
Michael S. Thornicroft Date
Investigator

APPROVED BY  6/18/18
Ronald A. Bersin Date
Executive Director

REVIEWED BY  6/18/18
Amy E. Alpaugh Date
Assistant Attorney General

OREGON GOVERNMENT ETHICS COMMISSION
INVESTIGATION

CASE NO: 17-157XDG

DATE: June 20, 2018

RESPONDENT: HOLMAN, Daily, Director of the Board, Barlow Water Improvement District

COMPLAINANT: HERLOCKER, John, Former Manager, Barlow Water Improvement District

RECOMMENDED ACTION: Make a Preliminary Finding of Fourteen Violations of ORS 192.660(1) and Three Violations of ORS 192.660(2).

1 **SYNOPSIS:** Daily Holman served as member of the Board of Directors for the Barlow
2 Water Improvement District and participated in the fourteen executive sessions relevant
3 to this investigation. The focus of this investigation was to determine if there was a
4 preponderance of evidence to indicate that the members of the Barlow Water
5 Improvement District Board 1) failed to satisfy prerequisites for holding an executive
6 session and/or 2) discussed topics not permitted by the executive session provisions of
7 Oregon Public Meetings law.

8
9 A review of information available indicates that Daily Holman participated as a member
10 of the Board of Directors in executive sessions on fourteen occasions when the presiding
11 officer failed to announce the statutory authority or lawful basis for convening the
12 executive session as required by ORS 192.660(1). In addition, during three of those
13 fourteen executive sessions, Mr. Holman participated as a member of the Board when
14 topics not permitted by ORS 192.660(2) were discussed.

1 **RELEVANT LAWS AND RULES:** The following Oregon Revised Statutes and Oregon
2 Administrative Rules are applicable to the issues addressed herein:

3
4 **192.660 Executive sessions permitted on certain matters; procedures; news media
5 representatives' attendance; limits.**

6 (1) ORS 192.610 to 192.690 do not prevent the governing body of a public body
7 from holding executive session during a regular, special or emergency meeting,
8 after the presiding officer has identified the authorization under ORS 192.610 to
9 192.690 for holding the executive session.

10 (2) The governing body of a public body may hold an executive session:

11 (a) To consider the employment of a public officer, employee, staff member
12 or individual agent.

13 (b) To consider the dismissal or disciplining of, or to hear complaints or
14 charges brought against, a public officer, employee, staff member or
15 individual agent who does not request an open hearing...

16 (d) To conduct deliberations with persons designated by the governing body
17 to carry on labor negotiations.

18 (e) To conduct deliberations with persons designated by the governing body
19 to negotiate real property transactions.

20 (f) To consider information or records that are exempt by law from public
21 inspection...

22 (h) To consult with counsel concerning the legal rights and duties of a public
23 body with regard to current litigation or litigation likely to be filed.

24 (i) To review and evaluate the employment-related performance of the chief
25 executive officer of any public body, a public officer, employee or staff
26 member who does not request an open hearing.

27 (j) To carry on negotiations under ORS chapter 293 with private persons or
28 businesses regarding proposed acquisition, exchange or liquidation or
29 public investments...

30 (n) To discuss information about review or approval of programs relating
31 to...[g]eneration, storage or conveyance of... [w]ater.

1 (3) Labor negotiations shall be conducted in open meetings unless negotiators for
2 both sides request that negotiations be conducted in executive session. Labor
3 negotiations conducted in executive session are not subject to the notification
4 requirements of ORS 192.640.

5 (4) Representatives of the news media shall be allowed to attend executive
6 sessions other than those held under subsection (2)(d) of this section relating to
7 labor negotiations or executive session held pursuant to ORS 332.061 (2) but the
8 governing body may require that specified information be undisclosed.

9 (5) When a governing body convenes an executive session under subsection
10 (2)(h) of this section relating to conferring with counsel on current litigation or
11 litigation likely to be filed, the governing body shall bar any member of the news
12 media from attending the executive session if the member of the news media is a
13 party to the litigation or is an employee, agent or contractor of a news media
14 organization that is a party to the litigation.

15 (6) No executive session may be held for the purpose of taking any final action or
16 making any final decision.

17 (7) The exception granted by subsection (2)(a) of this section does not apply to:

18 (a) The filling of a vacancy in an elective office.

19 (b) The filling of a vacancy on any public committee, commission or other
20 advisory group.

21 (c) The consideration of general employment policies.

22 (d) The employment of the chief executive officer, other public officers,
23 employees and staff members of a public body unless:

24 (A) The public body has advertised the vacancy;

25 (B) The public body has adopted regular hiring procedures;

26 (C) In the case of an officer, the public has had the opportunity to
27 comment on the employment of the officer; and

28 (D) In the case of a chief executive officer, the governing body has
29 adopted hiring standards, criteria and policy directives in meetings
30 open to the public in which the public has had the opportunity to
31 comment on the standards, criteria and policy directives.

1 (8) A governing body may not use an executive session for purposes of evaluating
2 a chief executive officer or other officer, employee or staff member to conduct a
3 general evaluation of an agency goal, objective or operation or any directive to
4 personnel concerning agency goals, objectives, operations or programs.
5

6 **199-040-0020 Permitted topics for executive session.**

7 (2) Compensation, including salaries and benefits, must not be discussed or
8 negotiated during an executive session under ORS 192.660(2)(a), (b) or (i)....
9

10 **199-040-0030 Notice to public official under ORS 192.660(2)(b) or (i).**

11 (1) In order to afford to the chief executive officer of any public body, a public
12 officer, employee, staff member or individual agent the opportunity to request an
13 open hearing under ORS 192.660(2)(b) or (i), the public official must receive
14 written notice of the meeting no less than one business day or 24 hours, whichever
15 is greater, in advance of the meeting.

16 (2) At a minimum, the written notice shall include:

17 (a) Identification of the governing body before which the matter will be
18 considered;

19 (b) The time, date and location of the meeting;

20 (c) The purpose for which the governing body proposes to convene the
21 executive session, including the citation to the applicable section of ORS
22 192.660 and the fact that the governing body will be considering the
23 dismissal or disciplining of, hearing complaints or charges against, or
24 reviewing and evaluating the performance of the public official receiving the
25 notice;

26 (d) Information on how the public official may make a request for an open
27 hearing.
28

29 **INVESTIGATION:** The Oregon Government Ethics Commission (Commission) initiated
30 a preliminary review based on a signed complaint from John Herlocker, former Manager
31 of the Barlow Water Improvement District (BWID), on 11/12/17. Mr. Herlocker alleged that

1 Daily Homan, member of the Board of Directors for the BWID, and eight other Board
2 Members, may have violated the executive session provisions of ORS 192.660 by
3 participating in multiple executive sessions held in 2016 and 2017 at which topics not
4 authorized for executive session were discussed or statutory prerequisites were not met
5 (#PR1). The Commission found cause to investigate on 1/5/18 after considering the
6 information developed in the preliminary review. The investigation focused on whether
7 there is enough information to find by a preponderance of the evidence that the nine
8 members of the BWID Board of Directors violated the executive session provisions of
9 ORS 192.660 at any of its meetings held from April 2016 to September 2017. The
10 respondents and complainants have been notified of Commission actions in this matter.
11 They have been invited to provide any information that would assist the Commission in
12 conducting this investigation.

13
14 BWID minutes indicate that executive sessions were held on the following dates: 4/9/16
15 (two executive sessions were held), 9/10/16, 10/8/16, 11/19/16, 12/10/16, 1/14/17,
16 2/11/17, 3/11/17, 4/8/17, 5/13/17, 6/10/17, 7/8/17, 8/12/17, and 9/9/17. The BWID
17 minutes also indicate that Mr. Holman participated in every executive session held on the
18 dates noted above (#PR1; #INV1).

19
20 During preliminary review and investigation, the BWID office was contacted in an attempt
21 to obtain records pertaining to the executive sessions themselves. In a telephone
22 conversation with Commission staff on 11/21/17 Ms. Denney, BWID's Office Assistant,
23 explained that she is the person who takes the meeting minutes, and she said that she
24 had been instructed that meeting minutes could not be taken for executive session
25 meetings, and she was not allowed to attend those sessions. Ms. Denney excuses
26 herself from the room when the Board convenes an executive session and returns when
27 the executive session is finished. Ms. Denney was present at eight of the nine public
28 meetings held in 2017 for which records were provided. Ms. Denney confirmed that in
29 her experience, the presiding officer of the Board does not publicly announce any
30 statutory authorization or lawful basis prior to convening the executive sessions. (#PR2).
31 In a subsequent conversation with Ms. Denney, she informed Commission staff that audio

1 recordings are not available for the meetings (#INV2).

2

3 Several Board members, including Daily Holman, Steve Gordon, and Larry Warren,
4 specifically made statements indicating that it was never BWID Board practice to identify
5 the proper statutory authorization prior to convening executive session:

6

7 "...Since before my time on BWID Board, 'identified authorization' to hold executive
8 sessions has never been done.... This and all past Boards were unaware of this
9 requirement...." (Holman)

10

11 "I have been on the board with 3 different chairpersons, never did we spell out
12 what was going to be discussed in the executive session if we had one."
13 (Gordon)

14

15 "...To my knowledge, the BWID Boards, both past and present, have never used
16 identifying authorizations and were unaware that we needed to post these."
17 (Warren). (#INV3)

18

19 As for executive session details, all of the 2017 and two of the 2016 meeting minutes
20 (10/8/16,12/10/16, 1/14/17, 2/11/17, 3/11/17, 4/8/17, 5/13/17, 6/10/17, 7/8/17, 8/12/17,
21 and 9/9/17) refer only to the fact that an executive session took place and the length of it.
22 Below is an example of a typical entry from the 2/11/17 meeting minutes:

23

24 "The regular session was adjourned at 11:42am, followed by an executive session.
25 Open meeting was reconvened at 12:42pm and adjourned at 12:43pm."

26

27 Only three of the fourteen meeting minutes included in the complaint contain any detail
28 as to topic(s) discussed in executive session; they are excerpted below:

29

30 The 4/9/16 meeting minutes have the following entry:

31 "The regular meeting was adjourned at 11:37 followed by an executive session to

1 discuss BWID personnel and customer billing issues. Open meeting was re-
2 convened at 12:34....

3 [O]pen meeting was adjourned to Executive session at 1:55. During session,
4 District manager was given 2016, Q1 performance evaluation. Executive session
5 was adjourned and open session was re-convened at 2:15. The regular 4/9/16
6 meeting was adjourned at 2:16pm.”

7
8 The 9/10/16 meeting minutes have the following entry:

9 “The regular meeting was adjourned at 11:35 followed by an executive session to
10 allow John M. to report on his training assignment the past month. He gave John
11 H. high marks on the training process and information he learned which will allow
12 him to function as a backup to John H. in the future.... Open meeting was re-
13 convened at 12:15 and adjourned at 12:16 with no further discussion.”

14
15 The 11/19/16 meeting minutes have the following entry:

16 “The regular meeting was adjourned at 1:00pm followed by a short executive
17 session to discuss staff job duties and office hours.

- 18 • Per request from John H., the board decided to formalize the existing board
19 duties and responsibilities and have all board members and employees sign
20 that document which will then be re-posted on the BWID website. Violations
21 of such guidelines may be grounds for disciplinary actions by the board.
- 22 • The board discussed the need to have current scorecard goals for staff with
23 quarterly evaluations completed and used during annual performance
24 reviews. Manager annual review to be completed and approved by board
25 before year end. John will update his 2016 scorecard for board
26 performance review (target prior to next meeting). John requested that
27 Daily, Gary H., and Sandi participate in his performance review.
- 28 • John formally requested the board to change his annual vacation from 2 to
29 3 weeks per year based on his 6+ years of service. He also requested sick
30 time accrual of 4 hours per month (not to carry over a max accrual of 48
31 hours/yr). Decision on requested changes deferred until Daily can complete

1 review of comparable employers.

2 Open meeting was re-convened at 2:08 and adjourned at 2:09 with no further
3 discussion.”

4

5 The open public meeting portion of the 12/10/16 BWID meeting minutes contain the
6 following entry:

7 “John Herlocker made a formal request to have his 2016 performance review
8 completed by the board during the regular meeting, under new business and to
9 record that review. Performance reviews are normally completed during executive
10 session as confidential and personal information can be part of the review process.
11 After considerable discussion among board members, and feelings that such an
12 addition should not be made to the agenda (new business) without advance notice,
13 a motion was made to defer his 2016 appraisal for 90 days. All board members
14 voted for the delay except Gary H. who abstained from voting.” (#PR1; #INV1)

15 Subsequent 1/14/17 and 2/11/17 meeting minutes make note of the fact that the board
16 agreed that the wording of the above paragraph be amended to read: “Gary said he did
17 not recall anyone deferring John’s performance review for 90 days.” (#PR1; #INV1)

18

19 Subject to receiving notice from the Commission that a complaint had been filed, Daily
20 Holman submitted a response on 11/22/17, which is provided in its entirety to the
21 Commissioners, and is excerpted below:

22

23 “...Since before my time on BWID Board, ‘identified authorization’ to hold executive
24 sessions has never been done. Mr. Herlocker is the person responsible for
25 creating meeting agendas and has failed to provide this information. This and all
26 past Boards were unaware of this requirement... Board is looking into this
27 requirement and will correct any deficiencies starting with December 2017 Board
28 meeting. Several meetings including November 2017 did not have an executive
29 session.”

30

31 “...Items of executive sessions have always been to only discuss member and

1 employee issues where confidential personal information has been shared. In the
2 last 2 years the majority of executive sessions have been to discuss District
3 Manager employee issues."

4
5 "...Mr. Herlocker was given a[n] employee evaluation on...[4/9/16], asked to sign
6 which he did, and was given a copy to place in his employee file which he did not."

7
8 "...It is the belief of this Board member that Mr. Herlocker is filing frivolous
9 complaints and lawsuits in retaliation for his dismissal." (#PR3)

10
11 After reading the preliminary review report, Mr. Holman submitted a response to
12 Commission staff on 1/2/18, which is also excerpted below:

13
14 "BWID Board does not deny that numerous executive sessions were held without
15 proper notification.... This has since been corrected and is being followed starting
16 with November 2017.... Mr. Herlocker, who was directly responsible for agenda
17 preparation, was obviously knowledgeable of these deficiencies...but failed to
18 share/notify the Board, with intent of filing a complaint in the future which he has
19 done.... Although the Board is ultimately responsible for these deficiencies, it is a
20 wanton failure on Mr. Herlocker's part because he withheld knowledge of these
21 procedural mistakes" (#INV3).

22
23 Regarding the 4/9/17 executive session, Mr. Holman stated:

24 "Mr. Herlocker...was given a performance review based on his poor job
25 performance. This falls under ORS 192.660(2)(b).... The Board preferred to shield
26 Mr. Herlocker from embarrassing and confidential information so chose to hold
27 evaluation during closed executive session" (#INV3).

28
29 Regarding the 11/19/16 executive session, Mr. Holman stated:

30 "Mr. Herlocker...requested additional vacation and sick leave. No prior notification
31 was given to the Board of this request.... Another wanton failure on Mr. Herlocker's

1 part, not the Board's" (#INV3).

2
3 Regarding the 12/10/16 executive session, Mr. Holman stated:

4 "Only during the meeting did Mr. Herlocker request to have his evaluation in open
5 session.... The Board not knowing the legality of employee evaluations in open
6 session, chose to postpone Mr. Herlocker's evaluation. Later findings were his
7 request was legal as long as proper notice is given, which was not the case,
8 another wanton failure on Mr. Herlocker's part, not the Board's" (#INV3).

9
10 **CONCLUSIONS:** Daily Holman was a member of the Board of Directors of the BWID
11 during the period relevant to this investigation. Under the definitions in ORS 192.610,
12 BWID is a public body, and the Board of Directors is its governing body. As a Board
13 member, Mr. Holman is required to comply with the executive session provisions of
14 Oregon Public Meetings law. The Commission is authorized to investigate complaints of
15 violations of ORS 192.660 alleged to have been committed by public officials. ORS
16 192.685.

17
18 An executive session is a meeting or a part of a meeting of a governing body that is closed
19 to certain persons for deliberation on certain matters. ORS 192.610(2). ORS 192.660
20 allows a governing body to hold an executive session to discuss specific topics once
21 certain conditions and prerequisites are met.

22
23 For all but three of the executive sessions held during this time (on 4/9/16, 9/10/16,
24 11/19/16 as discussed below), BWID records indicate simply that an executive session
25 was held and state nothing regarding the authorization, purpose, or what was discussed.

26
27 Per ORS 192.660(1), the governing body of a public body may hold an executive session
28 during a regular, special or emergency meeting, "after the presiding officer has identified
29 the authorization under ORS 192.610 to 192.690 for holding the executive session."
30 Regarding the relevant time frame at issue (April 2016 – September 2017), none of the
31 fourteen Board minutes or agendas reflects that a specific statutory authorization or lawful

1 basis was announced prior to the BWID Board entering an executive session. BWID
2 Board members, Holman, Gordon, and Warren personally confirmed to the Commission
3 in their written submissions that the Board routinely held executive sessions without first
4 publicly identifying the lawful basis for their executive sessions, because they were
5 unaware of the requirement to do so. Also, Ms. Denney, the current BWID office
6 assistant, explained that in her experience of taking the minutes at the public portion of
7 the Board meetings in 2017, the presiding officer of the Board does not publicly announce
8 any statutory authorization or lawful basis prior to convening the executive sessions.
9 Information appears sufficient to constitute a preponderance of evidence that the Board
10 failed to comply with ORS 192.660(1) prior to convening the fourteen executive sessions
11 relevant to this case, and that Daily Holman participated in each of these executive
12 sessions.

13
14 Over the course of the public meeting on 4/9/16, the Board convened in executive session
15 twice—the first time to discuss “personnel and customer billing issues;” the other to
16 conduct a “2016, Q1 performance evaluation” of BWID’s District Manager (the
17 complainant, Mr. Herlocker). Even if it is assumed that the Board’s meeting in executive
18 session to discuss “personnel” was permissible under one of ORS 192.660(2)’s relevant
19 subsections (a) (relating to employment), (b) (relating to discipline), or (i) (relating to
20 performance evaluation), the information provided indicates that the others were not.

21
22 Customer billing is not a permissible topic to discuss in executive session under ORS
23 192.660. With regard to water distribution, ORS 192.660(2)(n) only permits relevant
24 governing bodies to meet in executive session “to discuss information about review or
25 approval of programs relating to...[g]eneration, storage or conveyance of... [w]ater,”
26 which does not include customer billing.

27
28 Furthermore, with regard to the District Manager’s 2016, Q1 performance evaluation in
29 the second executive session on 4/9/16, the minutes of the 12/10/16 Board meeting and
30 statements by Mr. Holman to Commission staff during preliminary review and
31 investigation, indicate that the Board did not meet the prerequisite for holding an

1 executive session under that subsection, because the Board was not aware that
2 employees should be given an opportunity to request an open hearing instead of the
3 executive session.

4
5 During an open public meeting held on 9/10/16, the Board convened in executive session
6 to hear a BWID staff member's report on a "training assignment" in which the staff
7 member appears to have been learning to serve as backup to the BWID's District
8 Manager (the complainant). Hearing a report on an employee's job training is not a
9 permissible purpose for convening an executive session under ORS 192.660. Even if the
10 Board had attempted to enter executive session under ORS 192.660(2)(i) "to review and
11 evaluate the employment-related performance of... [a] staff member who does not
12 request an open hearing," it would not have been sufficient. Hearing an employee's report
13 and reviewing and evaluating an employee's performance (as required by the statute) are
14 not the same thing. Moreover, there is no indication that the Board would have met the
15 prerequisite for holding an executive session under that subsection, because there is no
16 indication that the employee was given an opportunity to request an open hearing instead
17 of the executive session.

18
19 During the open public meeting held on 11/19/16, the Board convened in executive
20 session to discuss staff job duties and office hours, during which time Board members
21 formalized "existing board duties and responsibilities," discussed "scorecard goals...
22 quarterly evaluations... annual performance reviews (for staff)," and considered the
23 District Manager's request to expand his vacation and sick-time. Even standing alone,
24 none of these things are among the permissible topics for convening an executive session
25 under ORS 192.660. Even if the Board had attempted to enter executive session under
26 ORS 192.660(2)(i) "to review and evaluate the employment-related performance of... [a]
27 staff member who does not request an open hearing," it would not have been sufficient.
28 For one, the Board did not discuss the performance-related matters of an individual
29 employee, but rather the Board and staff as a whole. ORS 192.660(8) specifies that ORS
30 192.660(2)(i) may not be used "to conduct a general evaluation of an agency goal,
31 objective or operation or any directive to personnel concerning agency goals, objectives,

1 operations or programs." To the extent that the District Manager's vacation and sick-time
2 request was discussed, it too was improperly considered—199-040-0020(2) states that
3 "benefits...must not be discussed or negotiated during an executive session under ORS
4 192.660(2)(a), (b) or (i)".


5
6 As described above, it appears by a preponderance of evidence that Daily Holman
7 violated the executive session provisions of ORS 192.660(1) on fourteen occasions and
8 ORS 192.660(2) on three occasions by participating in executive sessions when the
9 prerequisites were not met and/or topics not permitted by law were discussed.

10
11 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a
12 preliminary finding that Daily Holman participated, as a Barlow Water Improvement
13 District Board Member, in fourteen executive sessions when the presiding officer failed to
14 first announce the statutory authority or lawful basis for convening the session, in violation
15 of 192.660(1), and that on three of those occasions, topics not authorized for executive
16 session were discussed, in violation of ORS 192.660(2). [Motion 10]

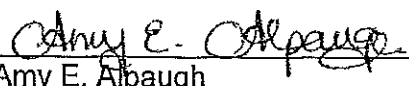
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1 **ASSOCIATED DOCUMENTS:**

- 2 #PR1 Complaint filed electronically by John Herlocker, received 11/12/17.
3 #PR2 Investigator's 11/21/17 memo summarizing contact with Dawn Denney,
4 Office Assistant, Barlow Water Improvement District.
5 #PR3 Daily Holman's letter to Commissioners in response to the complaint,
6 received via email on 11/22/17.
7 #INV1 Minutes and agendas for all BWID meetings (April 2016 – September
8 2017), received via email from BWID staff (Dawn Denney) on 6/1/18.
9 #INV2 Communication from BWID staff (Dawn Denney), received via email on
10 6/11/18.
11 #INV3 Responses from BWID Board members Daily Holman, Steve Gordon, and
12 Larry Warren.

PREPARED BY  6/22/18
Hayley Weedn Investigator Date

APPROVED BY  6/21/18
Ronald A. Bersin Executive Director Date

REVIEWED BY  6/21/18
Amy E. Alpaugh Assistant Attorney General Date

GOULD Diane * OGEC

From: daily holman <phdaily7@gmail.com>
Sent: Tuesday, January 02, 2018 4:17 PM
To: GOULD Diane * OGEC
Subject: Case No. 17-157DG

Ms Diane Gould,

It is my understanding that your investigation was only preliminary and on January 5, 2018 Ethics Commission will decide to either conclude or further investigate this case.

After reading your preliminary review, I would like to add to, clarify or correct a few points.

Point#1, BWID Board does not deny that numerous executive sessions were held without proper notification, stating statutory authorization, nor authorized purpose. To make clear the Board being all unpaid community service volunteers were unaware of requirements of ORS 192.660. This has since been corrected and is being followed starting with November 2017 meeting. It is noted for future reference, executive sessions are not normally needed. My direct point is that Mr Herlocker, who was directly responsible for agenda preparation, was obviously knowledgeable of these deficiencies (as late as Dec 10, 2016 meeting) but failed to share/notify the Board, with intent of filing a complaint in the future which he has done. Mr Herlocker was responsible for composing monthly meeting agenda's. Mr Herlocker was seldom timely in providing meeting agenda's to the Board, even after being requested multiple times to provide earlier. Many meeting agenda's were only provided to the Board between 18 hours before and the start of meetings by Mr Herlocker. Public notification was only at the start of meetings. These have been the practices since before my being selected as a board member on Nov 10, 2012 not just 2016-2017. Although the Board is ultimately responsible for these deficiencies, it is a wanton failure on Mr Herlocker's part because he withheld knowledge of these procedural mistakes.

Point#2, Mr Herlocker's other specific allegation was he claims his April 9, 2017 performance review was based on agency goals, objectives, and operations. This is false, as he was given a performance review based on his poor job performance. This falls under ORS 192.660(2)(b) "To consider dismissal or disciplining of an employee". The Board preferred to shield Mr Herlocker from embarrassing and confidential information so chose to hold evaluation during closed executive session. At this time, Mr Herlocker was given a fail on his employee evaluation and counseled if no improvement is shown possible termination could follow. Mr Herlocker was also given a letter of reprimand in conjunction with his evaluation at this time, signed, dated, and he did not take the opportunity to submit a written response. If needed, I can provide copies of both documents for your review.

Point#3, Mr Herlocker at Nov 19, 2016 executive session requested additional vacation and sick leave. No prior notification was given to the Board of this request and is a violation of ORS

192.660(3) "labor negotiations". Another wanton failure on Mr Herlocker's part, not the Board's.

Point#4, In reviewing Dec 10, 2016 meeting agenda provided by Mr Herlocker, I do not see his request to hold employee evaluation in open session. Only during the meeting did Mr Herlocker request to have his evaluation in open session. Mr Herlocker arranged to have 4 member/friends of his in attendance that were hostile toward the Board. The Board not knowing the legality of employee evaluations in open session, chose to postponed Mr Herlocker's evaluation. Later findings were his request was legal as long as proper notice is given, which was not the case, another wanton failure on Mr Herlocker's part, not the Board's. On June 6, 2017 Mr Herlocker was given another failure on his employee evaluation and counseled on needed improvement or possible termination. Mr Herlocker did not submit a written response to his evaluation. He was subsequently placed on two consecutive 60 day probation periods which he showed no improvement.

Mr Herlocker was notified verbally of his Dec 10, 2016 and June 6, 2017 evaluations, and notified in writing of his Oct 14, 2017 evaluation. Mr Herlocker chose to leave Oct 14, 2017 meeting before his evaluation began. Mr Herlocker did not include his evaluation on the meeting agenda as instructed. Another wanton failure on Mr Herlocker's part, not the Board's.

Point#5, In the primary review of Ms Denny's phone interview, it was not mentioned that it was Mr Herlocker that instructed Ms Denny that she was not allowed to attend or take minutes for executive sessions. A fault of Mr Herlocker, not the Board's.

Mr Herlocker was terminated on Oct 14, 2017 due to insubordination, failing to preform job duties, failing to accomplish Board assigned tasks, and trespass BWID property after being suspended. Since then Oregon Unemployment Division has denied benefits and an appeal due to "employee misconduct". Mr Herlocker has filed a complaint with Wasco County Health Dept that BWID was operating without a licensed operator, sent letters from his lawyer for evidence spoilage, wrongful termination due to "discrimination based on disability", threatened lawsuit of wrongful termination based on "Board Chair creating hostile work environment". Mr Herlocker's wife Denise whom he hired (an ethics violation), filed suit against BWID in January 2017 for wrongful termination. This suit was soon dropped by BOLI as having no merit.

Mr Herlocker has failed to respond to 3 requests to return several thousand dollars worth of missing tools since his termination. We the Board are also currently investigating Mr Herlocker's personal use of BWID visa without reimbursement.

In closing, this entire Board views Mr Herlocker as an extremely poor employee using the "system" to replace personal responsibility for his willful actions. I apologize for bringing information not relevant to this complaint, but thought it helpful to put actions of both parties in perspective. I hope you will consider all information provided to help the Commission make the best possible determination.

Thank You