

**OREGON GOVERNMENT ETHICS COMMISSION
MEETING AGENDA**

**November 17, 2017
9:00 a.m. – 2:00 pm.**

**2nd Floor Conference Room
3218 Pringle Rd SE, Suite 220, Salem, OR 97302-1544**

Note: The OGEC may take action on any agenda items listed below or related thereto and will engage in a working lunch.

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Lobbyist Penalty Correspondence

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Lobbyist Client Penalty Correspondence

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Statement of Economic Interest Correspondence

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DEFAULT FINAL ORDERS

None.

CONTESTED CASE PROPOSED FINAL ORDERS

None.

REPORTS OF INVESTIGATIONS

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CORRESPONDENCE RECEIVED/RESPONSES

Staff Opinions (non-action, informational only items)

None.

Staff Advice (non-action, informational only items)

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Other Correspondence (non-action, informational only items)

None.

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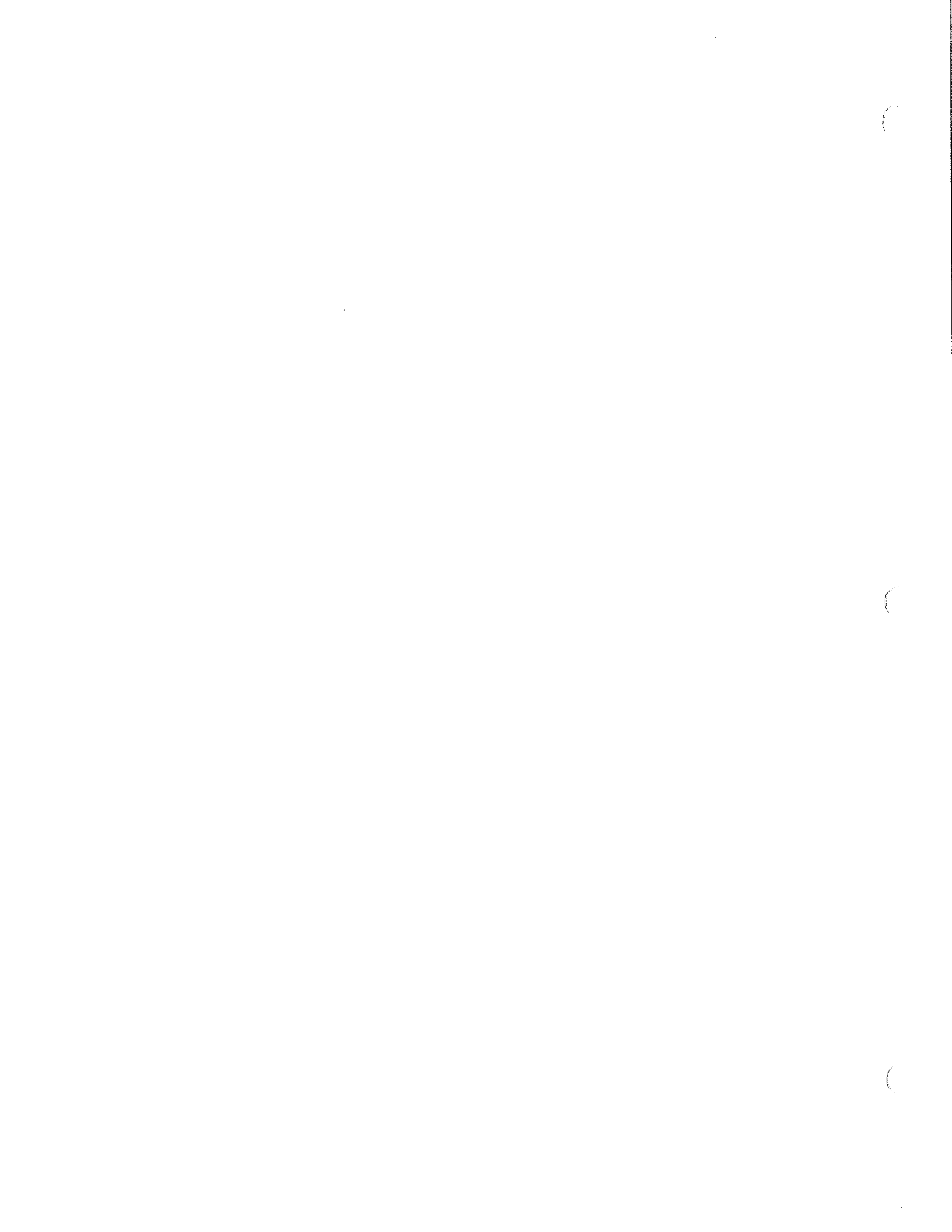
EXECUTIVE SESSION

To consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and ORS 192.685(1), to consider possible own-motion reviews pursuant to 244.260(2)(d) and to conduct the annual Executive Director performance review pursuant to ORS 192.660(2)(i).

ADJOURNMENT

NOTE:

THE NEXT MEETING DATE OF THE OREGON GOVERNMENT ETHICS COMMISSION IS SCHEDULED FOR **FRIDAY, JANUARY 5, 2018 AT 9:00 A.M.** IN THE 2nd FLOOR CONFERENCE ROOM OF THE MORROW CRANE BUILDING AT 3218 PRINGLE RD SE, #220, SALEM, OREGON.



OREGON GOVERNMENT ETHICS COMMISSION

MEETING MINUTES

October 6, 2017

9:00 a.m.

(:01)

Chair Dan Golden called the meeting to order at 9:00 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Chuck Lee, Richard Burke, Nathan Sosa, Charles Starr, Alison Kean, Daniel Mason, David Fiskum, and Kamala Shugar. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Training Coordinator Marie Scheffers, Investigator Diane Gould, Investigator Michael Thornicroft and Administrative Specialist Kathy Daniel.

Golden introduced and welcomed new Commissioner Kamala Shugar.

(3:00)

AGENDA ITEM 1, Approval of the minutes of the August 25, 2017 Commission meeting. Chair Golden called for approval of the minutes of the August 25, 2017 Commission meeting. The minutes were approved without correction.

CONSENT CALENDAR

(3:35)

Fiskum requested agenda items 3, 6, 8, 9 be pulled for discussion.

Burke moved for the approval of the balance of the Consent Calendar items and the staff recommendation on each as follows:

Reports of Investigation

None.

Lobbyist Penalty Correspondence

AGENDA ITEM 2, Hilda Rosselli, 2nd qtr 2017, Letter of Education

Lobbyist Client Penalty Correspondence

AGENDA ITEM 4, Central Oregon Intergovernmental Council, 2nd qtr 2017, Letter of Education

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Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 5, Jeff Seymour, 2017, Letter of Education

AGENDA ITEM 7, Pearl Sturm, 2017, Letter of Education

AGENDA ITEM 10, Eduardo Ortiz, 2017, Letter of Education

AGENDA ITEM 11, Chuck Schmidt, 2017, Letter of Education

AGENDA ITEM 12, Tyanna Billings-Aguilar, 2016, Letter of Education

AGENDA ITEM 13, Priscilla Atkin, 2017, Letter of Education

AGENDA ITEM 14, Jonathan Beeman, 2017, Letter of Education

AGENDA ITEM 15, Rafael Hernandez, 2017, Letter of Education

AGENDA ITEM 16, Larry Boatright, 2017, Letter of Education

AGENDA ITEM 17, Thomas Troutman, 2017, Letter of Education

Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

End of Consent Calendar

Lobbyist Penalty Correspondence

(5:47)

AGENDA ITEM 3, Don Loving, 1st qtr 2017, Letter of Education. The Commission generally discussed the matter. Fiskum moved to accept recommendation of Letter of Education for late filing of the 2017 SEI. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Statement of Economic Interest Penalty Correspondence

(10:00)

AGENDA ITEM 6, Mitzi Smith, 2017, Letter of Education. The Commission generally discussed the matter. Fiskum moved to accept recommendation of Letter of Education for late filing of the 2017 SEI. Roll call vote was taken as follows: Burke, aye; Kean, aye;

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Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(14:30)

AGENDA ITEM 8, Jack Sumner, 2017 Letter of Education. The Commission generally discussed the matter. Fiskum moved to accept recommendation of Letter of Education for late filing of the 2017 SEI. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(16:53)

AGENDA ITEM 9, William Taylor, 2017, Letter of Education. The Commission generally discussed the matter. Fiskum moved to accept recommendation of Letter of Education for late filing of the 2017 SEI. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(19:00)

The Commission convened into executive session at 9:20 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and to consider possible own-motion reviews pursuant to 244.260(2)(d) and 171.778(2)(d).

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review

(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

OTHER ITEMS

Reports of Preliminary Review
(removed from consent calendar)

(19:30)

AGENDA ITEM 41, 17-041MT, Steve Scruggs, Fire Chief, Wolf Creek Rural Fire Protection District. Thornicroft summarized the case. Scruggs did not address the Commission. Kean moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

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(22:08)

AGENDA ITEM 42, 17-044DG, Regan Molatore, Board of Directors, West Linn-Wilsonville School District. Gould summarized the case. Molatore did not address the Commission. Starr moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(24:10)

AGENDA ITEM 43, 17-045MT, DeAnn Cordes, Logistics Manager, Clackamas Fire District. Thornicroft summarized the case. Cordes did not address the Commission. Fiskum moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(28:00)

AGENDA ITEM 44, 17-047MT, Mike Dolan, City Councilor, City of St. Paul. Thornicroft summarized the case. Dolan did not address the Commission. Burke moved that the Commission find that there is a substantial, objective basis for believing that Mike Dolan may have violated ORS Chapter 244.040 and 244.120 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Preliminary Review Stipulated Final Orders
(discussion only, action in open session)

None.

Own Motion Preliminary Reviews

(39:40)

AGENDA ITEM 45, Possible own-motion preliminary review re Ted Hughes, lobbyist. The Commission generally discussed the matter. Burke moved that the Commission conduct a preliminary review to determine if there is cause to investigate whether Ted Hughes has violated ORS Chapter 171.740. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(44:00)

AGENDA ITEM 46, Possible own-motion preliminary review re Jennifer Stephens, Lake County Assistant Building Director. Stephens addressed the Commission by telephone.

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Burke moved that the Commission conduct a preliminary review to determine if there is cause to investigate whether Jennifer Stephens has violated ORS Chapter 244. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Other Items

(non-action informational only items)

AGENDA ITEM 47, Summary of Pending Cases. The Commission reviewed the summary of pending cases without comment.

10 a.m. Commissioner Lee joined the meeting. The Commission reconvened into Regular Session at 10 a.m. and recessed for a 10 minute break.

The Commission reconvened into Regular Session at 10:10 a.m.

Lobbyist Penalty Correspondence

(58:52)

AGENDA ITEM 18, Ross Ryan, quarters 1 & 3, 2016, and quarter 2, 2017. Letter of Education Q1 2016; \$90 Q3 2016; \$10 Q2 2017. The Commission generally discussed the matter. Fiskum moved to accept recommendation of Letter of Education for late filing of Q1 2016; \$90 Q3 2016; \$10 Q2 2017, to total \$100. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Lobbyist Client Penalty Correspondence

(1:05:00)

AGENDA ITEM 19, Oregon Association of Independent Accountants, quarters 1-4, 2016 and quarters 1-2, 2017. Bersin summarized and the Commission generally discussed the matter. Burke moved to issue a Letter of Education for late filing of Q1 2016; then 10% of the remaining penalties to total \$2,215. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, nay; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed 8 – 1.

(1:16:20)

AGENDA ITEM 20, Oregon Self-Advocacy Coalition, quarters 2-3, 2016. Recommendation: Letter of Education Q2 2016; \$20 Q3 2016. Kean moved to accept recommendation of Letter of Education for late filing of Q2 2016; and \$20 Q3 2016. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

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(1:17:37)

AGENDA ITEM 21, Urban League of Portland, quarters 1-2, 2017. Recommendation: Letter of Education Q1 2017 and \$10 Q2 2017. Kean moved to accept recommendation of Letter of Education for late filing of Q1 2017; and \$20 Q2 2017. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Statements of Economic Interest Correspondence

(1:18:50)

AGENDA ITEM 22, Stanley Barenboim, 2016, Letter of Education; 2017, \$450. Bersin summarized his recommendation. The Commission generally discussed. Kean moved to issue Letters of Education for late filings of 2016 and 2017 SEIs. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(1:29:53)

AGENDA ITEM 23, Herman Ortmann, 2016 and 2017, \$500 penalty if paid by November 6, 2017 or revert to total of \$4,880. Bersin summarized his recommendation. The Commission generally discussed. Kean moved to maintain the full amount for late filing of SEI 2016 at \$150 and reduce penalty to \$500 for 2017 late SEI filing, to total \$650 and offer payment arrangements. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

STIPULATED FINAL ORDERS

(1:39:40)

AGENDA ITEM 24, 16-144EMT, Katherine Schacht, Emerald People's Utility District Board of Directors. Thornicroft summarized the case. Schacht did not address the Commission. The Commission generally discussed the case. Starr moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(1:42:00)

AGENDA ITEM 25, 16-145EMT, Penny Jordan, Emerald People's Utility District Board of Directors. Thornicroft summarized the case. Jordan did not address the Commission. The Commission generally discussed the case. Burke moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke,

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aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(1:45:20)

AGENDA ITEM 26, 17-102EDG, Laura Roach, Director of Secondary/Post-Secondary Transitions, Dept. of Education. Gould summarized the case. Roach did not address the Commission. The Commission generally discussed the case. Fiskum moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(1:46:50)

AGENDA ITEM 27, 17-143EMT, Jerry Williams, Lebanon Community Schools District Board of Directors. Thornicroft summarized the case. Williams did not address the Commission. The Commission generally discussed the case. Bersin reviewed the definition of class exceptions. Burke moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

(1:50:50)

AGENDA ITEM 28, 17-155SMT, Thia Estes, Mayor, City of Hubbard. Thornicroft summarized the case and noted that amended SEI has been filed. Estes did not address the Commission. The Commission generally discussed the case. Kean moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

AGENDA ITEM 29, 17-156SMT, Dan Estes, Planning Commission, City of Hubbard. Thornicroft summarized the case and noted that amended SEI has been filed. Estes did not address the Commission. The Commission generally discussed the case. Fiskum moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

DEFAULT FINAL ORDERS

None.

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CONTESTED CASE PROPOSED FINAL ORDERS

None.

REPORTS OF INVESTIGATION

(1:55:26)

AGENDA ITEM 30, 15-114EDG, Theodore Ross, former Fire Chief and former Executive Administrative Officer, Lakeside RFPD. Gould summarized the case. Ross did not address the Commission. The Commission and Counsel generally discussed the matter. Burke moved to follow staff recommendation of 2 violations of ORS 244.040(1) and 1 violation of 244.120(1)(c). Kean suggested that the vote on the violations be split to find 1 violation each for 244.040(1) and 244.120(1)(c) and then a separate vote for the 2nd violation of 244.040(1). Burke agreed to amend the motion and that the Commission find that there is sufficient evidence to find one violation each of ORS Chapter 244.040(1) and ORS 244.120(1)(c) by Theodore Ross, pertaining to Ross's purchase of a vehicle at auction, and if this evidence is not rebutted by contrary evidence, that this public official be offered a contested case proceeding. Roll call vote was taken as follows: Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

Kean moved that the Commission find that there is sufficient evidence to find one violation of ORS Chapter 244.040(1) by Theodore Ross, pertaining to Ross's personal use of the District's legal counsel, and if the evidence is not rebutted by contrary evidence, that this official be offered a contested case proceeding. Roll call vote was taken as follows: Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, nay; Shugar, nay; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed 7-2.

(2:16:58)

AGENDA ITEM 31, No. 15-115EDG, Fred Clauson, Board of Directors Chair, Lakeside Fire District. Gould summarized the case. The Commission generally discussed the case. Burke moved that the Commission find that there is sufficient evidence to find one violation of ORS Chapter 244.040(1) by Fred Clauson and if the evidence is not rebutted by contrary evidence, that this official be offered a contested case proceeding. Roll call vote was taken as follows: Roll call vote was taken as follows: Burke, aye; Lee, aye; Kean, aye; Shugar, aye; Mason, aye; Sosa, aye; Starr, aye; Fiskum, aye; Golden, aye. Motion passed unanimously.

ADVISORY OPINIONS

None.

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CORRESPONDENCE RECEIVED/RESPONSES

Staff Opinions
(non-action items)

None.

Staff Advice
(non-action, informational only items)

Bersin summarized the Staff Advice.

(2:21:00)

AGENDA ITEM 32, 17-051 State Representative Greg Smith re whether member of Legislative Assembly can contract to serve as Economic Development Director for county in the state--re serving as a public official in multiple capacities

AGENDA ITEM 33, 17-052 State Representative Greg Smith re whether member of Legislative Assembly's consulting firm can contract to provide economic development services to private transportation company that operates statewide.

AGENDA ITEM 34, 17-063 Betsy Earls, Oregon Business & Industry re whether Ethics law permits public officials to accept Oregon Business & Industry's invitation to attend its annual Statesman dinner at no cost.

AGENDA ITEM 35, 17-064 State Representative Greg Smith re application of Ethics laws where a legislative official wishes to engage his LLC in a private income producing opportunity as a contracted Project Manager for Albany-Millersburg Economic Development Corp, a non-profit.

AGENDA ITEM 36, 17-065 Ruben Cleaveland, counsel to Dumbeck Lane Domestic Water Supply District re application of Ethics laws when a public official who is a member of a governing body applies for a compensated position with the public entity the governing body oversees.

Other Correspondence
(non-action, informational only items)

None.

The Commission recessed for a working lunch at 11:40 a.m. and reconvened into regular session at 11:50 a.m.

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MISCELLANEOUS ITEMS

(2:26:25)

AGENDA ITEM 37, Trainer's Report. Weedn summarized the training activities.

(2:36:34)

AGENDA ITEM 38, Review of Commission's Annual Best Practices Survey. Bersin reviewed the survey.

(2:40:42)

AGENDA ITEM 39, Discussion of Director's Performance Review Process. The Director's annual review will be at the November Commission meeting. Lutz reviewed the process and stated she will electronically send the evaluation form. Lutz will compile the survey returns and send electronically to Commissioners prior to the November meeting.

(2:43:20)

AGENDA ITEM 40, Director's Report. Bersin discussed the following:

- Budget: Bersin reviewed the handout of the biennial financial plan. He discussed assessments to jurisdictions and how the OGEC is funded. Any balance at the end of the biennium goes to reduce the next biennium's assessments. Penalties that are collected by OGEC go to the General Fund.
- Case Management System: User Acceptance Testing on Phase 3 has begun. An "Order of Dismissal" has been created to record that the Commission has dismissed the matter and will be included in the CMS.
- Other:
 - Only 5 SEI non-filers, 2 Lobbyists and 1 Client have not filed for Q2 2017.
 - OGEC website redesign continues
 - New Commissioner Kamala Shugar has been confirmed by Senate and is replacing Marilyn Cover's vacancy.
 - Election for 2018 Chair and Vice Chair of the Ethics Commission will take place at the November meeting.
 - Bersin, Scheffers, and Alpaugh will be participating in a panel discussion at the Public Law Conference on October 24, 2017.

Chair Golden adjourned the meeting at 12:20 a.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, November 17, 2017, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, Suite 220, in Salem, Oregon.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 2, 2017

Anthony Taylor
1510 SW Friendly Ct.
McMinnville OR 97128

Dear Mr. Taylor:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$50) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 727
User Name: anthony taylor
Email: compassionateoregon@comcast.net

Q2 2017 Filing: 7/20/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$50.00

Anthony Taylor
1510 SW Friendly Ct.
McMinnville, OR 97128

Activity Log - Q2 2017 to Date

04/04/17 02:32:01.223 - User Failed to Authenticate -
04/04/17 02:32:52.490 - Admin User Reset Initiated - An admin user reset was initiated.
04/04/17 02:33:47.357 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/04/17 02:34:07.827 - User Successfully Authenticated -
04/04/17 02:35:31.297 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:11.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:12.227 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:10.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:10.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:10.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 08:53:50.747 - User Failed to Authenticate -
07/20/17 09:06:25.533 - User Failed to Authenticate -
07/20/17 09:06:47.290 - User Successfully Authenticated -
07/20/17 09:09:31.230 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter LFE
(P)

September 10, 2017

RECEIVED
OCT 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To: Oregon Government Ethics Commission
3210 Pringle Rd. SE, Suite 220
Salem, OR 97302

From: Anthony Taylor
1510 SW Friendly Ct.
McMinnville, OR 97128

To Whom it may Concern,

I am writing in response to the late fees for failing to meet the filing deadline for the last two quarters.

It is simply a case of not fully understanding the new electronic filing system and the dual capacity of lobbyist and client and the difficulty I have encountered in filing as both in the new system.

I have had assistance to be better able to manage my reporting responsibilities and now feel confident late filings are a thing of the past.

Thank you for your attention in this matter.

Anthony Taylor,
Compassionate Oregon
971.241.2707

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 9, 2017

Dana Hepper
1411 SW Morrison
Portland OR 97202

Dear Ms. Hepper:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$60) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1239
User Name: dana@childinst.org
Email: dana@childinst.org

Q2 2017 Filing: 7/21/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$60.00

Dana Hepper
1411 SW Morrison
Portland, OR 97202

Activity Log - Q2 2017 to Date

05/04/17 11:33:12.213 - User Profile Created - User profile created for Dana Hepper
05/04/17 11:33:24.117 - User Profile Created - A new user profile was created.
05/04/17 11:33:24.160 - Lobbyist Profile Created - A new lobbyist profile was created.
07/16/17 01:30:13.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 12:22:01.787 - User Profile Updated - User profile updated for Dana Hepper
07/17/17 12:22:14.987 - User Profile Updated - The user's main profile was updated.
07/18/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 09:30:38.420 - Admin User Reset Initiated - An admin user reset was initiated.
07/19/17 01:30:10.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 10:24:13.473 - Admin User Reset Initiated - An admin user reset was initiated.
07/20/17 01:30:09.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 11:17:15.710 - User Failed to Authenticate -
07/20/17 11:17:21.977 - User Failed to Authenticate -
07/20/17 11:17:25.810 - User Failed to Authenticate -
07/20/17 11:17:30.800 - User Failed to Authenticate -
07/20/17 11:18:34.210 - User Successfully Authenticated -
07/21/17 01:30:09.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 09:13:08.733 - User Successfully Authenticated -
07/21/17 09:13:56.430 - User Profile Updated - The user's main profile was updated.
07/21/17 09:14:12.100 - User Profile Updated - User profile updated for Dana Hepper
07/21/17 09:15:10.443 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
10/09/17 10:46:05.237 - User Successfully Authenticated -
10/09/17 10:47:52.280 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017
10/09/17 01:45:41.167 - User Successfully Authenticated -
10/09/17 01:45:48.687 - User Successfully Authenticated -
10/09/17 01:47:07.800 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(Signature)

DANIEL Kathy * OGEC

From: Carole Shellhart <carole@childinst.org>
Sent: Monday, October 09, 2017 2:06 PM
To: DANIEL Kathy * OGEC
Subject: Children's Institute Penalty abatement request

RECEIVED

OCT 09 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

I am writing to request the removal of \$60 in penalties on Lobbyist Dana Hepper's account accrued following late payment of the Q2 2017 filing. This was the first quarterly report due on a new account and there were problems in getting the password and login set correctly. The report was filed on July 21. Today, the 3Q report was successfully submitted and I have every reason to believe that future reports will be filed accurately and on time. I appreciate your consideration of this matter.

Best regards,

Carole Shellhart

Director of Finance & Operations

Children's Institute

503.219.9034

childinst.org | [Facebook](#) | [Twitter](#) | [Blog](#) | [Enews](#)



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 17, 2017

JPMorgan Chase Holdings LLC
Attn: Thomas Perrick and Nadine Shech
270 Park Ave., Floor 4
New York NY 10017

Dear Mr. Perrick:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$10) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 416
User Name: TPerrick1595
Email: thomas.a.perrick@jpmchase.com

Q3 2017 Filing: 10/16/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$10.00

Thomas Perrick
888 Southwest 5th
Portland, OR 972042012

Activity Log - Q3 2017 to Date

07/10/17 09:09:57.707 - User Successfully Authenticated -
07/10/17 09:26:29.563 - Lobbyist Report Saved - Lobbyist Report Saved for Q2 2017
07/10/17 09:40:34.267 - Lobbyist Report Saved - Lobbyist Report Saved for Q2 2017
07/14/17 08:41:39.703 - User Successfully Authenticated -
07/14/17 08:42:55.480 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
10/16/17 01:30:12.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/16/17 06:25:56.597 - User Successfully Authenticated -
10/16/17 06:27:11.450 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017
10/16/17 09:50:19.543 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter I led
(P)

RECEIVED

OCT 17 2017

DANIEL Kathy * OGEC

OREGON GOVERNMENT
ETHICS COMMISSION

From: Shech, Nadine <nadine.shech@jpmorgan.com>
Sent: Tuesday, October 17, 2017 11:38 AM
To: OGEC Mail * OGEC
Cc: Perrick, Thomas A
Subject: Important: JPMorgan Chase Holdings LLC & Thomas Perrick 2017 Q3 Report Late Report Penalty

Oregon Ethics Commission,

A late fee of \$10.00 was applied to the JPMorgan Chase Holdings client and Thomas Perrick (copied above) in-house lobbyist accounts for filing the Oregon Lobbying 2017 Q3 report 1 day late, on October 16, 2017. These reports were due on October 15, which fell on a Sunday and were inadvertently filed late, on the next business day. We sincerely apologize for this honest mistake and kindly request that you consider waiving these fees. No activity or expenses were reported for this period. Please recognize the efforts we made in order to alleviate the situation quickly and consider our impeccable record of filing lobbying reports timely when making your decision.

Thank you kindly for your time.

Best regards,
Nadine Shech

Nadine Shech | Corporate Responsibility | JPMorgan Chase Holdings LLC
70 Park Avenue, Floor 4, New York, NY, 10017
12-270-5906 | nadine.shech@jpmorgan.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 17, 2017

Sadie Carney
635 Capitol St NE, Suite 150
Salem OR 97301

Dear Ms. Carney:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$10) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 434
User Name: Sadie Carney
Email: sadie.carney@state.or.us

Sadie Carney
635 Capitol Street NE
Portland, OR 97301


Q3 2017 Filing: 10/16/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$10.00

Activity Log - Q3 2017 to Date

- 07/05/17 09:21:29.707 - User Failed to Authenticate -
- 07/05/17 11:49:35.223 - User Failed to Authenticate -
- 07/05/17 11:49:40.587 - User Successfully Authenticated -
- 07/05/17 11:51:10.617 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
- 10/16/17 01:30:12.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
- 10/16/17 09:16:26.620 - User Failed to Authenticate -
- 10/16/17 09:18:04.677 - User Successfully Authenticated -
- 10/16/17 09:18:57.180 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

L. Hansted




Oregon

Kate Brown, Governor

Department of Land Conservation and Development

635 Capitol Street NE, Suite 150

Salem, Oregon 97301-2540

Phone: (503) 373-0050

Fax: (503) 378-5518

www.oregon.gov/LCD



RECEIVED
OCT 16 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544
October 16, 2017

Dear Commissioners:

I am writing this letter to ask that you forgive the ten dollar civil penalty I incurred by filing my Q3 lobbyist report on October 16th, one day after the reporting period closed. I was out of the office on vacation from September 29 to October 11, and missed the notification that the reporting window was open (sent 10/1/2017) and likewise, the reminder that was sent on 10/10/2017. As a .75 employee, my regular schedule does not have me in the office on Fridays. As a result, my only day in the office during this period was 10/12/2017. As the day was primarily filled with meetings, I did not have an opportunity to review all unread email or to file my Q3 report with the Oregon Government Ethics Commission.

In the future, I will make every reasonable effort to file reports on time. Thank you for your consideration and understanding.

Sincerely,

Sadie K Carney



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 20, 2017

Sal Peralta
925 SE Davis St.
McMinnville OR 97128

Dear Mr. Peralta:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$50) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 1169
User Name: Sal
Email: speralta@oregonlitho.com

Q3 2017 Filing: 10/20/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$50.00


Sal Peralta
925 SE Davis St
McMinnville, OR 97128

Activity Log - Q3 2017 to Date

07/19/17 09:36:23.813 - User Successfully Authenticated -
07/19/17 09:41:34.110 - User Successfully Authenticated -
07/19/17 09:46:29.117 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
10/16/17 01:30:13.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:11.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:11.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:11.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:11.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 11:23:52.420 - User Failed to Authenticate -
10/20/17 11:23:58.143 - User Successfully Authenticated -
10/20/17 11:27:06.040 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter AEd


DANIEL Kathy * OGEC

From: Salvador Peralta <oregon.properties@yahoo.com>
Sent: Friday, October 20, 2017 11:35 AM
To: DANIEL Kathy * OGEC
Subject: Letter of Explanation

RECEIVED
OCT 20 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

We were late filing the lobbyist quarterly report and client report for the Independent Party of Oregon and were charged late filing fees of \$50 for the client and \$50 for me as a lobbyist.

There was no activity on the account during the period in question and the reason for late filing was due to email related issues on the work account associated that is tied to the reporting system.

I resolved the late filing within 24 hours of the first notice that I received (which was actually the third notice that was sent).

I am requesting a waiver of the \$100 penalties. This is a first-time late filing.

Thanks for your consideration,

Sal Peralta

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 25, 2017

Jen Hernandez
1830 NW 24th Pl #9
Portland OR 97210

Dear Ms. Hernandez:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$100) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 1177
User Name: Jen Hernandez
Email: jen.hernandez@seiuoregon.org

Q3 2017 Filing: 10/25/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$100.00

Jen Hernandez
1830 NW 24th pl #9
Portland, OR 97210

Activity Log - Q3 2017 to Date

07/10/17 11:02:31.873 - User Successfully Authenticated -
07/10/17 11:02:33.120 - User Successfully Authenticated -
07/10/17 11:03:42.373 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
10/16/17 01:30:13.970 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:12.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:11.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:11.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:11.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:10.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:10.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:11.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 04:14:18.457 - User Failed to Authenticate -
10/25/17 04:14:18.907 - User Failed to Authenticate -
10/25/17 04:14:27.507 - User Failed to Authenticate -
10/25/17 04:14:33.847 - User Failed to Authenticate -
10/25/17 04:15:39.930 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
ED

DANIEL Kathy * OGEC

From: Jennifer Hernandez-Elorza <hernandezjg3@gmail.com>
Sent: Wednesday, October 25, 2017 4:26 PM
To: DANIEL Kathy * OGEC
Subject: Lobbyist Quarter Reporting

RECEIVED

OCT 25 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commission Members,

I received a call from the OGEC office this afternoon letting me know about the lapse in my reporting as a previous lobbyist with the Oregon Ethics Commission. I apologize for this incident. I terminated my employment with SEIU Oregon State Council in July, prior to the end of the reporting period, and have not received any of the emails from the commission since that time. When I received a voicemail this afternoon, I immediately got in contact with staff to resolve this issue. I am asking for a waiver for the penalties that have accrued as I do not have a record of missing deadlines and apologize for the incident.

Please don't hesitate to reach out if you have any questions.

Best,
Jennifer Hernandez
503.954.0254

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 30, 2017

Kenneth Yates
25812 SW Canyon Creek Rd
Wilsonville OR 97070

Dear Mr. Yates:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$100) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 948
User Name: kyates@oregondental.org
Email: kyates@oregondental.org

Q3 2017 Filing: 10/25/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$100.00

Kenneth Yates
8699 SW Sun Place
Wilsonville, OR 97070

Activity Log - Q3 2017 to Date

07/03/17 01:51:35.373 - User Successfully Authenticated -
07/03/17 01:52:38.803 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
08/29/17 02:51:32.757 - Admin User Reset Initiated - An admin user reset was initiated.
10/16/17 01:30:13.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:11.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:10.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:11.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:11.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:10.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:10.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:11.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 01:30:11.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 03:37:57.787 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter d'ED
(RP)

From: Kenneth Yates
Sent: Wednesday, October 25, 2017 4:26 PM
To: kathy.daniel@or.gov
Cc: Brian Fredricks
Subject: Q3/2017 Lobbyist Filing
Importance: High

RECEIVED
OCT 30 2017
OREGON GOVERNMENT
ETHICS COMMISSION
Hi KATHY
for some
reason
didn't go
on email
THANK YOU
AGAIN!

Dear Members of the Oregon Government Ethics Commission,

My Q3/2017 lobbyist report is late because I am no longer employed at the Oregon Dental Association (ODA). There were no lobbyist expenditures under my name for Q3. I did not receive notices as my old ODA email was my record of contact for OGEC. For the same reason I do not have access to my OGEC passcode to file reports. I have copied ODA this letter so they may file or amend their employer/client or other lobbyist expenditure reports, if they haven't already.

I greatly appreciate today's call to my personal cell phone by Kathy Daniel, who filed my Q3 report today. No further reports will be required in my capacity at ODA after Q3.

I respectfully request the Commission waive any penalties as I have not been active with ODA in Q3, and have no prior violations. Thank you for your consideration.

Sincerely,

Ken

Kenneth M. Yates
25812 SW Canyon Creek Road
Wilsonville, OR 97070

Sent from Mail for Windows 10



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 2, 2017

Clyde Saiki
250 Mountain Vista Ave SE
Salem OR 97306

Dear Mr. Saiki:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$150) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 419
User Name: csalki
Email: csalki1@gmail.com

Q3 2017 Filing: 10/30/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$150.00


Clyde Saiki
250 MOUNTAIN VISTA AVE SE
SALEM, OR 97306

Activity Log - Q3 2017 to Date

07/05/17 09:27:07.333 - User Failed to Authenticate -
07/05/17 09:27:36.580 - User Failed to Authenticate -
07/05/17 09:27:48.910 - User Failed to Authenticate -
07/05/17 09:29:20.557 - User Failed to Authenticate -
07/05/17 09:29:32.640 - User Successfully Authenticated -
07/05/17 09:31:06.893 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
10/16/17 01:30:12.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:11.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:10.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:10.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:10.900 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:10.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:10.230 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:10.733 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 01:30:11.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/26/17 01:30:10.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/27/17 01:30:15.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/28/17 01:30:09.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/29/17 01:30:09.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/30/17 01:30:12.527 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/30/17 08:27:46.333 - User Successfully Authenticated -
10/30/17 08:58:53.663 - User Successfully Authenticated -
10/30/17 09:02:09.597 - User Profile Updated - The user's main profile was updated.
10/30/17 09:02:34.833 - User Profile Updated - User profile updated for Clyde Saiki
10/30/17 09:03:32.010 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed


DANIEL Kathy * OGEC

From: BERSIN Ron A * OGEC
Sent: Thursday, November 02, 2017 11:49 AM
To: DANIEL Kathy * OGEC
Subject: FW: Late Expenditure Report Filing

RECEIVED
NOV 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Please process.

Ronald A. Bersin
Executive Director
Oregon Government Ethics Commission
(503) 378-5105

***The Oregon Government Ethics Commission believes your comments are important to our success. We would appreciate you taking a few minutes to participate in a brief survey. [Click here to access customer survey.](#)

From: Clyde Saiki [mailto:csaiki1@gmail.com]
Sent: Thursday, November 02, 2017 9:57 AM
To: BERSIN Ron A * OGEC <Ron.A.Bersin@oregon.gov>
Subject: Late Expenditure Report Filing

To: Director Bersin and Members of the Oregon Ethics Commission

I am requesting that the late fees assessed to me for late filing of the third quarter expenditure report be waived.

After 31 years of state service I retired on September 30, 2017. My last day in the office was September 22, 2017.

As I left staff told me that they would monitor my email box and contact me if there were any issue that needed my attention. I received an email from staff on October 27, 2017 informing me that I needed to file an expenditure report. I was not informed by staff until after the October 15 deadline that the report was due.

I have since filed the report and would also like to add that during the 31 years in state service I have never missed a filing deadline.

Your kindest consideration of this request would be greatly appreciated. Please feel free to contact me if you have any questions at this email address or at (503)569-3526.

Sincerely,

Clyde Saiki

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 6, 2017

Samantha Klausen
303 23rd St. NE
Salem OR 97301

Dear Ms. Klausen:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$500) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2017

Lobbyist Code: 973
User Name: samantha@statestreetsolutions.com
Email: samanthaklausen@gmail.com

Q3 2017 Filing: 11/6/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$500.00

Samantha Klausen
303 23rd St NE
Salem, OR 97301

Activity Log - Q3 2017 to Date

07/03/17 09:39:42.460 - User Successfully Authenticated -
07/03/17 09:41:32.627 - Lobbyist Report Saved - Lobbyist Report Saved for Q2 2017
07/15/17 08:16:51.413 - User Successfully Authenticated -
07/15/17 08:17:53.650 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
10/16/17 01:30:13.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:11.800 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:10.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:11.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:11.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:10.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:10.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:11.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 01:30:11.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/26/17 01:30:10.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/27/17 01:30:15.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/28/17 01:30:09.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/29/17 01:30:10.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/30/17 01:30:12.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/31/17 01:30:15.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/01/17 01:30:11.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/02/17 01:30:09.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/03/17 01:30:09.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/04/17 01:30:15.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/04/17 09:40:54.500 - User Successfully Authenticated -
11/05/17 01:31:02.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/06/17 01:30:16.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q3
11/06/17 11:05:26.680 - User Successfully Authenticated -
11/06/17 11:06:33.787 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017
11/06/17 11:08:43.573 - User Password Reset - The user's password was reset from the "My Profile" page.
11/06/17 11:08:44.410 - User Profile Updated - The user's main profile was updated.
11/06/17 11:09:10.167 - User Profile Updated - User profile updated for Samantha Klausen

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Let her stay
ED
ED

DANIEL Kathy * OGEC

From: Samantha Klausen <saklausen@willamette.edu>
Sent: Monday, November 06, 2017 11:53 AM
To: DANIEL Kathy * OGEC
Subject: Late Filing

RECEIVED

NOV 06 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Committee Members,

I am writing today because I am late filing my lobbyist expenditure report. I terminated my work in the lobby in July to go back to school. I no longer have access to the email address listed on my account so I did not receive any prior notices regarding the registration period. Fortunately, Kathy was diligent in reaching out to me to let me know that my account was past due. When I spoke to her she helped me update my account so that I would receive notices from now on and so that I am now terminated under the clients I used to represent. I've also submitted my report.

I am so sorry for my late filing. I realize now that I should have changed my status upon termination. Kathy also let me know that I will need to file again in the next period and I will be careful to watch for the next filing period so that this does not happen again.

Thank you for your time and please let me know if you need any more information from me.

Sincerely,

Samantha Klausen
JD Candidate '20
Willamette University College of Law
saklausen@willamette.edu
503.569.3849

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 2, 2017

Compassionate Oregon
Attn: Anthony Taylor
1510 SW Friendly St.
McMinnville OR 97128

Dear Mr. Taylor:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 4, 2016 (\$90) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q4 2016

Client Code: 1246
User Name: compassionate oregon
Email: compassionateoregon@comcast.net

Q4 2016 Filing: 1/24/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$90.00

Compassionate Oregon
Attn: Anthony Taylor
1510 SW Friendly Ct.
McMinnville, OR 97128

Activity Log - Q4 2016 to Date

10/03/16 11:58:00.437 - User Profile Created - User profile created for Anthony Taylor
10/03/16 11:58:05.427 - User Profile Created - A new user profile was created.
10/03/16 11:58:05.650 - Client Profile Created - The client profile was created.
01/15/17 04:42:36.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:55.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:40:10.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:32.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:40:08.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:55.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:37.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:40.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/21/17 01:30:32.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/22/17 01:30:40.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/23/17 01:30:16.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/24/17 01:30:18.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/24/17 10:50:48.837 - Client Report Submitted - Client Report Submitted for Q4 2016
04/04/17 02:42:52.133 - User Failed to Authenticate -
04/04/17 02:43:43.200 - User Failed to Authenticate -
04/04/17 02:43:58.693 - Admin User Reset Initiated - An admin user reset was initiated.
04/04/17 02:45:14.173 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/04/17 02:45:49.243 - User Failed to Authenticate -
04/04/17 02:46:03.247 - User Successfully Authenticated -
04/04/17 02:48:35.113 - Client Report Submitted - Client Report Submitted for Q1 2017
07/14/17 09:19:28.580 - User Successfully Authenticated -
07/14/17 09:21:29.373 - Client Report Submitted - Client Report Submitted for Q2 2017
07/20/17 08:54:32.350 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter L&EJ
RD*

September 10, 2017

RECEIVED
OCT 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To: Oregon Government Ethics Commission
3210 Pringle Rd. SE, Suite 220
Salem, OR 97302

From: Anthony Taylor
1510 SW Friendly Ct.
McMinnville, OR 97128

To Whom it may Concern,

I am writing in response to the late fees for failing to meet the filing deadline for the last two quarters.

It is simply a case of not fully understanding the new electronic filing system and the dual capacity of lobbyist and client and the difficulty I have encountered in filing as both in the new system.

I have had assistance to be better able to manage my reporting responsibilities and now feel confident late filings are a thing of the past.

Thank you for your attention in this matter.

Anthony Taylor,
Compassionate Oregon
971.241.2707



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 9, 2017

Silver Falls School District
Attn: Superintendent Andy Bellando
612 Schlador St.
Silverton OR 97381

Dear Mr. Bellando:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for quarter 1, 2017 (\$20) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 599
User Name: Andy Bellando
Email: bellando_andy@silverfalls.k12.or.us

Q1 2017 Filing: 4/17/2017
Q1 2017 Expended: \$2,175.00
Q1 2017 Penalty: \$20.00

Silver Falls School District
Attn: Andy Bellando
612 Schlador Street
Silverton, OR 97381

Activity Log - Q1 2017 to Date

01/10/17 08:46:24.513 - User Successfully Authenticated -
01/10/17 08:50:57.270 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:37:15.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:36:21.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:31:12.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:41.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:42.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 08:37:47.140 - User Successfully Authenticated -
04/17/17 08:40:41.433 - Client Report Submitted - Client Report Submitted for Q1 2017
06/20/17 06:38:48.190 - Removed Client other ID - Removed SEIProfileID 1615 from Client account.
07/10/17 09:27:43.887 - User Successfully Authenticated -
07/10/17 09:32:05.580 - Client Report Submitted - Client Report Submitted for Q2 2017
10/04/17 10:23:44.167 - User Successfully Authenticated -
10/04/17 10:27:59.097 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
AD

HUNTER David * OGEC

From: Andy Bellando <bellando_andy@silverfalls.k12.or.us>
Sent: Wednesday, October 04, 2017 10:36 AM
To: OGEC Mail * OGEC
Subject: Request to remove OGEC penalty

OCT 04 2017
10:36 AM
OGEC MAIL * OGEC

Dear Oregon Government Ethics Commission:

I am requested removal of the \$20.00 Delinquent Report Penalty listed on my account. This is the only penalty on my account and accrued as a result of being two days late for the employer quarterly filing requirement. I have two accounts which require reporting to the OGEC and I was experiencing difficulties navigating the electronic system when this penalty was given. I appreciate your consideration of my request.

Thank you.

Andy Bellando
Superintendent
Silver Falls School District

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 17, 2017

Swallowtail Spirits LLC
Attn: Kevin Barrett
233 S Street
Springfield OR 97477

Dear Mr. Barrett:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3 2017 (\$20) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1616
User Name: Kevbarrett72
Email: kevin@swallowtailspirits.com

Q3 2017 Filing: 10/17/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$20.00


Swallowtail Spirits LLC
Attn: Kevin Barrett
233 S ST
Springfield, OR 97477

Activity Log - Q3 2017 to Date

07/28/17 01:52:53.437 - User Profile Created - User profile created for Kevin Barrett
07/28/17 01:53:06.770 - User Profile Created - A new user profile was created.
07/28/17 01:53:07.037 - Client Profile Created - The client profile was created.
10/16/17 01:30:17.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:14.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:55:19.850 - User Failed to Authenticate -
10/17/17 01:55:29.713 - User Failed to Authenticate -
10/17/17 01:56:12.563 - User Successfully Authenticated -
10/17/17 01:58:01.017 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED


RECEIVED
OCT 17 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

Kevin Barrett
Swallowtail Spirits
5250 Highbanks Rd. STE 300
Springfield, OR 97478

October 17, 2017

Dear Commission, This was the first time that I had ever had to file quarterly report and I was unaware of needing to file for both. Kathy, an employee from the commission, was very gracious in helping me to navigate and understand the process from here on.

I would appreciate your willingness to waive the first penalty.

Thank you!

Kevin Barrett - Founder - CEO
Swallowtail Spirits LLC
DSP-OR-20047
Secretary - Oregon Distillers Guild
5250 Highbanks Rd. STE 300
Springfield, OR 97478
www.swallowtailsprits.com



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 17, 2017

JPMorgan Chase Holdings LLC
Attn: Thomas Perrick and Nadine Shech
270 Park Ave., Floor 4
New York NY 10017

Dear Mr. Perrick:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$10) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1320
User Name: JPMCH0700
Email: thomas.a.perrick@jpmchase.com

Q3 2017 Filing: 10/16/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$10.00

JPMorgan Chase Holdings LLC
Attn: Thomas Perrick
270 Park Avenue
New York, NY 10017

Activity Log - Q3 2017 to Date

07/10/17 09:42:21.733 - User Successfully Authenticated -
07/10/17 09:45:16.947 - Client Report Saved - Client Report Saved for Q2 2017
07/14/17 08:46:08.297 - User Successfully Authenticated -
07/14/17 08:47:47.397 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:16.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/16/17 06:29:02.567 - User Successfully Authenticated -
10/16/17 06:29:24.217 - Client Report Submitted * Client Report Submitted for Q3 2017 -
10/16/17 09:53:38.273 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter at Ed
(AP)

RECEIVED

OCT 17 2017

DANIEL Kathy * OGEC

OREGON GOVERNMENT
ETHICS COMMISSION

From: Shech, Nadine <nadine.shech@jpmorgan.com>
Sent: Tuesday, October 17, 2017 11:38 AM
To: OGEC Mail * OGEC
Cc: Perrick, Thomas A
Subject: Important: JPMorgan Chase Holdings LLC & Thomas Perrick 2017 Q3 Report Late Report Penalty

Oregon Ethics Commission,

A late fee of \$10.00 was applied to the JPMorgan Chase Holdings client and Thomas Perrick (copied above) in-house lobbyist accounts for filing the Oregon Lobbying 2017 Q3 report 1 day late, on October 16, 2017. These reports were due on October 15, which fell on a Sunday and were inadvertently filed late, on the next business day. We sincerely apologize for this honest mistake and kindly request that you consider waiving these fees. No activity or expenses were reported for this period. Please recognize the efforts we made in order to alleviate the situation quickly and consider our impeccable record of filing lobbying reports timely when making your decision.

Thank you kindly for your time.

Best regards,
Nadine Shech

Nadine Shech | Corporate Responsibility | JPMorgan Chase Holdings LLC
270 Park Avenue, Floor 4, New York, NY, 10017
-270-5906 | nadine.shech@jpmorgan.com

This message is confidential and subject to terms at: <http://www.jpmorgan.com/emaildisclaimer> including on confidentiality, legal privilege, viruses and monitoring of electronic messages. If you are not the intended recipient, please delete this message and notify the sender immediately. Any unauthorized use is strictly prohibited.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 17, 2017

National Psoriasis Foundation
Attn: Patrick Stone
6600 SW 92nd Ave, Suite 300
Portland OR 97223

Dear Mr. Stone:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 2 and 3, 2017 (\$30) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

Cc: Katie Olson
National Psoriasis Foundation
1800 Diagonal Rd, Suite 360
Alexandria VA 22314



Client/Employer Activity

Q3 2017

Client Code: 1536
User Name: pstone82
Email: pstone@psoriasis.org

Q3 2017 Filing: 10/16/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$10.00

National Psoriasis Foundation
Attn: Patrick Stone
6600 SW 92nd Avenue, Suite 300
portland, OR 972237195

Activity Log - Q3 2017 to Date

07/16/17 01:30:17.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:16.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 11:15:18.760 - User Successfully Authenticated -
07/17/17 11:16:10.457 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:17.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/16/17 11:40:14.053 - User Failed to Authenticate -
10/16/17 11:41:01.193 - Admin User Reset Initiated - An admin user reset was initiated.
10/16/17 11:41:53.290 - User Failed to Authenticate -
10/16/17 11:57:07.393 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/16/17 11:57:17.670 - User Successfully Authenticated -
10/16/17 11:58:00.413 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: Late Q2 2017 filing

RECOMMENDATION:

Waived
(P)

Client/Employer Activity

Q2 2017

Client Code: 1536
User Name: pstone82
Email: pstone@psoriasis.org

National Psoriasis Foundation
Attn: Patrick Stone
6600 SW 92nd Avenue, Suite 300
portland, OR 972237195

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Activity Log - Q2 2017 to Date

04/15/17 01:32:00.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/15/17 10:25:49.633 - User Successfully Authenticated -
04/15/17 10:25:53.407 - User Successfully Authenticated -
04/15/17 10:27:31.623 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:17.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:16.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 11:15:18.760 - User Successfully Authenticated -
07/17/17 11:16:10.457 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:17.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/16/17 11:40:14.053 - User Failed to Authenticate -
10/16/17 11:41:01.193 - Admin User Reset Initiated - An admin user reset was initiated.
10/16/17 11:41:53.290 - User Failed to Authenticate -
10/16/17 11:57:07.393 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/16/17 11:57:17.670 - User Successfully Authenticated -
10/16/17 11:58:00.413 - Client Report Submitted - Client Report Submitted for Q3 2017

DANIEL Kathy * OGEC

From: Katie Olson <kolson@psoriasis.org>
Sent: Tuesday, October 17, 2017 12:42 PM
To: OGEC Mail * OGEC
Subject: Penalties Mitigation Request

RECEIVED ()
OCT 17 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Hello,

Based on the recommendation from a Commission employee, I would like to request a waiver of penalties in reference to our penalties accrued in Q2 (\$20) and Q3 (\$10).

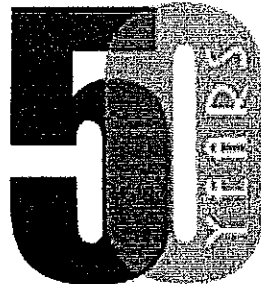
- Q2's penalties occurred because we could not find the registration number needed to create an online account, and the registered lobbyist was out-of-town, which delayed our filing process.
- Q3's penalties occurred due to human error, as they thought they hit the submit button but didn't, and did not know to expect a follow-up email.

Please let me know if you have any questions. Contact information:

National Psoriasis Foundation
Katie Olson ; Patrick Stone
1800 Diagonal Road, Suite 360
Alexandria, VA 22314
Tel: 503-546-5554
kolson@psoriasis.org

Thank you!

Katie Olson | *Advocacy Coordinator*
National Psoriasis Foundation
1800 Diagonal Road, Suite 360
Alexandria, VA 22314
Tel: 503-546-5554
kolson@psoriasis.org





Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 16, 2017

Attorney General's Office
Attn: Sandi Lopez
1162 Court St. NE
Salem OR 97301

Dear Ms. Lopez:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$10) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 697
User Name: DOJ
Email: Sandi.Lopez@doj.state.or.us

Q3 2017 Filing: 10/16/2017
Q3 2017 Expended: \$1,039.77
Q3 2017 Penalty: \$10.00

Oregon Department of Justice
Attn: Sandi Lopez
1162 Court St. NE
Salem, OR 97301

Activity Log - Q3 2017 to Date

07/07/17 03:45:48.050 - User Successfully Authenticated -
07/12/17 11:43:50.627 - User Successfully Authenticated -
07/12/17 12:18:50.580 - User Successfully Authenticated -
07/12/17 12:35:53.587 - Client Report Submitted - Client Report Submitted for Q2 2016
07/12/17 12:42:11.007 - Client Report Submitted - Client Report Submitted for Q1 2017
07/12/17 12:48:04.490 - Client Report Submitted - Client Report Submitted for Q2 2017
10/11/17 03:02:20.873 - User Successfully Authenticated -
10/11/17 03:05:23.060 - User Successfully Authenticated -
10/16/17 01:30:15.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/16/17 08:48:46.563 - User Successfully Authenticated -
10/16/17 08:52:46.420 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(10/17)

From: Lopez Sandi <Sandi.LOPEZ@state.or.us>
Sent: Monday, October 16, 2017 11:23 AM
To: OGEC Mail * OGEC
Subject: Request for waiver
Attachments: 20171016095320471.pdf

RECEIVED
OCT 16 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Good Morning,

I've attached the copy of the report I believed I filed last week as the DOJ Lobbyist Client/Employer. You will find the date I printed this report: 10/11/2017

However when I arrived this morning (I don't have remote access) and checked email for the first time since the report was due, I found two more requests to file.

Of course, I logged in immediately to find my report and it wasn't there. So I pulled this hard copy, filed (again) and called you to follow up.

I notice there is a fee attached for not having received my report until today.

As I don't find an email receipt, I imagine it is more likely I never hit submit upon completing the requested information.

Would you please consider waiving the fee?

I will anxiously await your decision.

Thank you for considering,
Sandi Lopez
Attorney General's Office Executive Assistant and Reception
1162 Court St. NE, Salem OR 97301
503.378.6002

*****CONFIDENTIALITY NOTICE*****

This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply e-mail, keep the contents confidential, and immediately delete the message and any attachments from your system.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 18, 2017

NW Treeplanters and Farmworkers United
Attn: Ramon Ramirez
300 Young St.
Woodburn OR 97071

Dear Mr. Ramirez:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 2, 2016 (\$210) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2016

Client Code: 1064
User Name: PCUN
Email: ramonramirez@pcun.org

Q2 2016 Filing: 8/4/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$210.00

Northwest Treeplanter's and Farmworkers United
Attn: Ramon Ramirez
300 Young St
Woodburn, OR 97071

Activity Log - Q2 2016 to Date

04/01/16 11:10:55.090 - User Successfully Authenticated -
04/01/16 05:01:22.117 - Client Report Submitted - Client Report Submitted
04/13/16 06:05:39.417 - User Successfully Authenticated -
04/18/16 10:59:50.153 - User Successfully Authenticated -
06/21/16 03:48:13.800 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator.
07/16/16 01:30:29.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:28.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:26.670 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:29.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:27.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:33.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:32.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:29.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:51.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:30.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:31.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
08/03/16 01:30:11.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/04/16 01:30:12.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/04/16 04:20:19.163 - User Successfully Authenticated -
08/04/16 04:21:50.387 - Client Report Submitted - Client Report Submitted for Q2 2016
10/10/16 11:07:47.070 - User Successfully Authenticated -
10/15/16 01:33:22.790 - User Successfully Authenticated -
10/15/16 01:34:20.513 - Client Report Submitted - Client Report Submitted for Q3 2016
10/16/16 08:51:11.190 - User Successfully Authenticated -
10/17/16 06:31:46.243 - User Successfully Authenticated -
10/18/16 05:51:07.550 - User Successfully Authenticated -
01/10/17 09:25:33.103 - User Failed to Authenticate -
01/10/17 09:26:04.980 - User Failed to Authenticate -
01/10/17 09:32:36.043 - User Successfully Authenticated -
01/10/17 09:34:31.970 - Client Report Submitted - Client Report Submitted for Q4 2016
01/15/17 02:05:18.797 - User Successfully Authenticated -
01/16/17 01:39:46.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 09:47:46.310 - User Successfully Authenticated -
01/17/17 01:39:27.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 11:12:55.983 - User Successfully Authenticated -
04/01/17 12:06:00.680 - User Successfully Authenticated -
04/01/17 12:07:07.057 - Client Report Submitted - Client Report Submitted for Q1 2017
04/16/17 09:35:11.507 - User Successfully Authenticated -
06/20/17 06:38:48.270 - Removed Client other ID - Removed SEIProfileID 2035 from Client account.
07/14/17 12:31:50.170 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 01:49:50.507 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/14/17 02:24:25.457 - User Successfully Authenticated -
07/14/17 02:25:22.077 - Client Report Submitted - Client Report Submitted for Q2 2017
07/14/17 02:26:45.080 - User Successfully Authenticated -
10/13/17 02:46:33.323 - User Successfully Authenticated -
10/13/17 02:47:19.180 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(RD)

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DANIEL Kathy * OGEC

From: Ramon Ramirez <ramonramirez@pcun.org>
Sent: Wednesday, October 18, 2017 11:08 AM
To: DANIEL Kathy * OGEC
Subject: OGEC Fines

Client

Dear Kathy,

I am applying for a waiver or a pardon on my fines. I have been late because i didn't realize I have 3 accounts. I know now to navigate the system but didn't at that time. Thanks for your attention on this matter.

*Lobbyist
Client
SEI filer*

Ramon Ramirez

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 19, 2017

Trillium Family Services
Attn: Kim Scott & Marilyn McLain
3415 SE Powell Blvd
Portland OR 97202

Dear Ms. McLain:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 4, 2016 (\$10) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity
Q4 2016

Client Code: 1004
User Name: trillium1
Email: dmcummings@trilliumfamily.org

Q4 2016 Filing: 1/16/2017
Q4 2016 Expended: \$2,500.00
Q4 2016 Penalty: \$10.00

Trillium Family Services
Attn: Kim Scott
3415 SE Powell Blvd
Portland, OR 97202

Activity Log - Q4 2016 to Date

- 10/10/16 09:52:33.580 - User Successfully Authenticated -
- 10/10/16 09:53:20.630 - Client Report Submitted - Client Report Submitted for Q3 2016
- 01/15/17 04:42:36.437 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
- 01/15/17 06:11:44.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
- 01/16/17 01:39:13.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
- 01/16/17 12:48:39.363 - User Successfully Authenticated -
- 01/16/17 12:49:33.217 - User Successfully Authenticated -
- 01/16/17 12:58:55.820 - Client Report Submitted - Client Report Submitted for Q4 2016
- 01/17/17 01:38:57.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
- 04/13/17 11:17:32.443 - User Successfully Authenticated -
- 04/13/17 12:58:12.810 - User Successfully Authenticated -
- 04/13/17 01:00:00.477 - Client Report Submitted - Client Report Submitted for Q1 2017
- 07/03/17 08:45:33.777 - User Successfully Authenticated -
- 07/05/17 09:03:32.803 - User Successfully Authenticated -
- 07/05/17 09:25:16.023 - Client Report Saved - Client Report Saved for Q2 2017
- 07/05/17 10:05:17.223 - User Successfully Authenticated -
- 07/05/17 11:08:54.900 - User Successfully Authenticated -
- 07/05/17 11:13:02.247 - Client Report Submitted - Client Report Submitted for Q2 2017
- 10/15/17 09:47:07.193 - User Successfully Authenticated -
- 10/15/17 09:48:11.660 - Client Report Submitted - Client Report Submitted for Q3 2017
- 10/18/17 04:38:19.970 - User Successfully Authenticated -
- 10/19/17 06:50:48.843 - User Successfully Authenticated -
- 10/19/17 06:53:44.563 - Client Report Submitted - Client Report Submitted for Q3 2017
- 10/19/17 08:16:10.027 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter d/d
[Signature]

OCT 19 2017

DANIEL Kathy * OGEC

OREGON GOVERNMENT
ETHICS COMMISSION

From: OGEC Mail * OGEC
To: Marilyn McLain
Subject: RE: Penalty Fee for the Oregon Government Ethics Commission site

Thank you, I will submit this to the Commissioners at their next meeting, November 17.

Kathy Daniel

OR Government Ethics Commission
3218 Pringle Rd SE, Suite 220
Salem OR 97302-1544
Ph: 503-378-2170 Fx: 503-373-1456

From: Marilyn McLain [mailto:mmclain@trilliumfamily.org]
Sent: Thursday, October 19, 2017 1:27 PM
To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>
Subject: RE: Penalty Fee for the Oregon Government Ethics Commission site

I would like to ask if we could get this penalty fee waived? I understand that we tried to get it waived at the time due to inclement weather and not being able to get into the office, but nobody responded. This was during the time we had all the snow coming down.

Thank you so much!

Marilyn McLain

Administrative Assistant to Executive Offices
Trillium Family Services
3415 SE Powell Blvd.
Portland, OR 97202

mmclain@trilliumfamily.org



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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 20, 2017

Independent Party of Oregon

Attn: Sal Peralta

925 SE Davis St.

McMinnville OR 97128

Dear Mr. Peralta:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$50) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1614
User Name: indparty
Email: speralta@oregonlitho.com

Q3 2017 Filing: 10/20/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$50.00

Independent Party of Oregon
Attn: Salvador Peralta
925 SE Davis St
McMinnville, OR 97128

Activity Log - Q3 2017 to Date

- 07/19/17 09:46:28.263 - User Profile Created - A new user profile was created.
- 07/19/17 09:46:28.650 - Client Profile Created - The client profile was created.
- 07/19/17 09:46:44.743 - User Profile Created - User profile created for Salvador Peralta
- 10/16/17 01:30:17.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
- 10/17/17 01:30:14.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
- 10/18/17 01:30:12.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
- 10/19/17 01:30:12.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
- 10/20/17 01:30:12.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
- 10/20/17 11:27:53.967 - User Successfully Authenticated -
- 10/20/17 11:27:56.617 - User Successfully Authenticated -
- 10/20/17 11:28:27.533 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter Attached
(Signature)

DANIEL Kathy * OGEC

RECEIVED

From: Salvador Peralta <oregon.properties@yahoo.com>
Sent: Friday, October 20, 2017 11:35 AM
To: DANIEL Kathy * OGEC
Subject: Letter of Explanation

OCT 20 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

We were late filing the lobbyist quarterly report and client report for the Independent Party of Oregon and were charged late filing fees of \$50 for the client and \$50 for me as a lobbyist.

There was no activity on the account during the period in question and the reason for late filing was due to email related issues on the work account associated that is tied to the reporting system.

I resolved the late filing within 24 hours of the first notice that I received (which was actually the third notice that was sent).

I am requesting a waiver of the \$100 penalties. This is a first-time late filing.

Thanks for your consideration,

Sal Peralta

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 24, 2017

Grant ESD
Attn: Robert Waltenburg - NCESD
835A S. Canyon Blvd.
John Day OR 97825

Dear Mr. Waltenburg:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$90) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 504
User Name: rwaltenburg
Email: rwaltenburg@ncesd.k12.or.us

Q3 2017 Filing: 10/24/2017
Q3 2017 Expended: \$6,250.00
Q3 2017 Penalty: \$90.00

North Central Education Service District
Attn: Robert Waltenburg
PO Box 637
Condon, OR 97823

Activity Log - Q3 2017 to Date

07/03/17 01:40:21.060 - User Successfully Authenticated -
07/03/17 01:41:15.100 - Client Report Submitted - Client Report Submitted for Q2 2017
07/05/17 06:44:51.323 - User Successfully Authenticated -
10/16/17 01:30:15.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:12.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:11.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:11.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:11.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:11.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:11.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:11.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:34:44.477 - User Successfully Authenticated -
10/24/17 01:51:57.240 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter AED
(B)

DANIEL Kathy * OGEC

From: Robert Waltenburg <waltenburg@granted.k12.or.us>
Sent: Tuesday, October 24, 2017 1:55 PM
To: DANIEL Kathy * OGEC
Cc: Robert Waltenburg
Subject: Penalty mitigation

RECEIVED
OCT 24 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Good afternoon Kathy –

Thank you so much for your assistance today with the North Central ESD filing. Unfortunately, as of June 30, 2017, I no longer am employed with North Central ESD. The new half-time superintendent is Penny Grotting (pgrotting@ncesd.k12.or.us). I apologize for the inconvenience and heartache caused by no longer having a North Central email account. Had I still had access to that account, I'm sure that I would have received a warning email. Unfortunately, I no longer have access. As you can see in the history of that account, this is our first violation and ask for leniency.

Please consider this request for mitigation of the \$90.00.

Thank you so much for your time.

Robert Waltenburg, Superintendent
Grant County ESD



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 31, 2017

Northwest Permanente, PC
Attn: Molly Herrmann
500 NE Multnomah St.
Portland OR 97232

Dear Ms. Herrmann:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$100) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 122
User Name: NW Permanente
Email: vicki.l.sweeney@kp.org

Q3 2017 Filing: 10/25/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$100.00


Northwest Permanente, P.C.
Attn: Molly Herrmann
500 NE Multnomah St.
Portland, OR 97232

Activity Log - Q3 2017 to Date

07/07/17 01:50:43.937 - User Failed to Authenticate -
07/07/17 01:51:02.727 - User Failed to Authenticate -
07/07/17 01:51:18.193 - User Failed to Authenticate -
07/07/17 01:53:40.377 - User Failed to Authenticate -
07/07/17 01:54:24.303 - User Failed to Authenticate -
07/07/17 01:57:48.063 - User Successfully Authenticated -
07/07/17 01:58:25.653 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:14.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:12.670 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:11.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:11.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:11.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:10.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:10.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:11.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 01:30:12.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/25/17 01:55:38.087 - User Successfully Authenticated -
10/25/17 01:59:37.130 - Client Report Submitted - Client Report Submitted for Q3 2017
10/25/17 02:03:19.277 - User Profile Updated - User profile updated for Molly Herrmann
10/25/17 02:03:34.820 - User Profile Updated - The user's main profile was updated.
10/25/17 02:03:54.030 - User Profile Updated - User profile updated for Molly Herrmann
10/25/17 02:04:09.597 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed


DANIEL Kathy * OGEC

From: Molly B. Herrmann <Molly.B.Herrmann@kp.org>
Sent: Tuesday, October 31, 2017 3:39 PM
To: DANIEL Kathy * OGEC
Cc: Vicki L. Sweeney
Subject: Receipt of Late Quarterly Report

RECEIVED
OCT 31 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission;

I filed the Oregon Government Ethics Commission E-Filing for 2017, Q3 on October 25, 2017 for NW Permanente, P.C.

This filing was late due to a couple of circumstances.

Ms. Trish Spinar, who was the contact for the lobbyist reporting, left the company in early 2017 and Ms. Amy Fauver, NW Permanente, P.C.'s lobbyist, also left the company recently. For these reasons, the notices to Ms. Spinar regarding the filing were not received and Ms. Fauver's knowledge of the filing was not known. Therefore, we were not aware of the required filing until we received a call from Ms. Daniel.

Because of these circumstances and since NW Permanent, P.C. has not had any previous violations, I would like to request that the \$100.00 penalty be waived.

Thank you,

Molly Burns Herrmann, J.D, CCEP, SDRM Certificate-Healthcare
Vice President, General Counsel
Compliance Official, HIPAA Privacy & Security
Office of Legal Affairs
NW Permanente Physicians & Surgeons P.C.
503-813-2645 (office)
503-490-7694 (cell)
503-813-2276 (Fax)

NOTICE TO RECIPIENT: If you are not the intended recipient of this e-mail, you are prohibited from sharing, copying, or otherwise using or disclosing its contents. If you have received this e-mail in error, please notify the sender immediately by reply e-mail and permanently delete this e-mail and any attachments without reading, forwarding or saving them. Thank you.

NOTICE TO RECIPIENT: If you are not the intended recipient of this e-mail, you are prohibited from sharing, copying, or otherwise using or disclosing its contents. If you have received this e-mail in error, please notify the sender immediately by reply e-mail and permanently delete this e-mail and any attachments without reading, forwarding or saving them. Thank you.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 2, 2017

Expedia, Inc.
Attn: Amber Knott
1120 G Street NW
Washington DC 20009

Dear Ms. Knott:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q3, 2017 (\$40) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1347
User Name: joyelangley
Email: aknott@expedia.com

Q3 2017 Filing: 10/19/2017
Q3 2017 Expended: \$20,000.00
Q3 2017 Penalty: \$40.00

Expedia, Inc.
Attn: Amber Knott
1120 G Street NW
Washington, DC 20009

Activity Log - Q3 2017 to Date

07/14/17 09:42:32.393 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 09:43:41.220 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/14/17 09:44:05.217 - User Successfully Authenticated -
07/14/17 09:44:14.223 - User Profile Updated - User profile updated for Joy Langley
07/14/17 09:44:25.780 - User Profile Updated - The user's main profile was updated.
07/14/17 09:44:50.900 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 09:46:42.713 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/14/17 09:47:05.590 - User Successfully Authenticated -
07/14/17 10:09:04.333 - Name Change Requested - User with ID 7633 requested a name change to Pedigo, Amanda Esther.
07/14/17 10:09:12.530 - Name Change Requested - User with ID 7633 requested a name change to Langley, Joy .
07/14/17 10:09:15.617 - Name Change Requested - User with ID 7633 requested a name change to Langley, Joy .
07/14/17 10:10:57.560 - User Profile Updated - User profile updated for Joy Langley
07/14/17 10:11:10.060 - User Profile Updated - The user's main profile was updated.
07/14/17 10:29:08.820 - User Profile Updated - User profile updated for Amanda Pedigo
07/14/17 10:29:21.527 - User Profile Updated - The user's main profile was updated.
07/14/17 10:45:53.673 - User Successfully Authenticated -
07/14/17 10:46:25.677 - Client Report Submitted - Client Report Submitted for Q2 2017
07/21/17 02:20:17.963 - User Profile Updated - User profile updated for Amanda Pedigo
07/21/17 02:20:19.000 - Admin User Reset Initiated - An admin user reset was initiated.
07/21/17 02:20:30.913 - User Profile Updated - The user's main profile was updated.
07/21/17 02:21:30.657 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/21/17 02:21:50.933 - User Successfully Authenticated -
07/21/17 02:22:18.610 - User Profile Updated - User profile updated for Amanda Pedigo
07/21/17 02:22:31.307 - User Password Reset - The user's password was reset from the "My Profile" page.
07/21/17 02:22:31.517 - User Profile Updated - The user's main profile was updated.
07/21/17 02:24:11.307 - Admin User Reset Initiated - An admin user reset was initiated.
07/21/17 02:34:41.613 - User Profile Updated - User profile updated for Amber Knott
07/21/17 02:34:54.540 - User Profile Updated - The user's main profile was updated.
07/21/17 02:36:12.933 - User Failed to Authenticate -
07/21/17 02:36:41.523 - User Failed to Authenticate -
07/21/17 02:37:12.357 - Admin User Reset Initiated - An admin user reset was initiated.
07/21/17 02:37:34.017 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/21/17 02:37:48.740 - User Successfully Authenticated -
07/21/17 02:38:28.047 - User Profile Updated - The user's main profile was updated.
07/21/17 02:38:43.757 - User Profile Updated - User profile updated for Amber Knott
07/24/17 07:55:08.763 - User Failed to Authenticate -
07/24/17 07:55:12.207 - User Failed to Authenticate -
07/24/17 07:55:22.367 - User Failed to Authenticate -
07/24/17 07:55:50.633 - User Failed to Authenticate -
07/24/17 07:56:00.747 - User Failed to Authenticate -
07/24/17 07:56:12.277 - User Failed to Authenticate -
07/24/17 09:01:41.387 - User Profile Updated - User profile updated for Amber Knott
07/24/17 09:01:55.040 - User Profile Updated - The user's main profile was updated.
07/24/17 09:12:29.737 - User Profile Updated - User profile updated for Amber Knott
07/24/17 09:12:42.723 - User Profile Updated - The user's main profile was updated.
07/24/17 09:14:49.003 - User Profile Updated - User profile updated for Amber Knott
07/24/17 09:15:01.723 - User Profile Updated - The user's main profile was updated.
10/02/17 07:40:15.403 - User Failed to Authenticate -
10/02/17 07:40:33.187 - User Failed to Authenticate -
10/02/17 07:40:35.583 - User Failed to Authenticate -
10/02/17 07:40:41.540 - User Failed to Authenticate -
10/16/17 01:30:16.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:14.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:12.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:12.230 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3

Client/Employer Activity

Q3 2017

Client Code: 1347
Client Name: joyelangley
Email: aknott@expedia.com

Q3 2017 Filing:	10/19/2017
Q3 2017 Expended:	\$20,000.00
Q3 2017 Penalty:	\$40.00

Expedia, Inc.
Attn: Amber Knott
1120 G Street NW
Washington, DC 20009

10/19/17 11:36:17.663 - User Profile Updated - The user's main profile was updated.
10/19/17 11:36:42.450 - User Profile Updated - User profile updated for Amber Knott
10/19/17 11:43:31.177 - Admin User Reset Initiated - An admin user reset was initiated.
10/19/17 01:32:46.630 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/19/17 01:33:30.120 - User Successfully Authenticated -
10/19/17 01:37:35.247 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(PK)

DANIEL Kathy * OGEC

From: Amber Knott (ELCA) <aknott@expedia.com>
Sent: Thursday, November 02, 2017 6:51 AM
To: DANIEL Kathy * OGEC
Subject: Request for penalty waiver
Attachments: RE: Urgent: OR Filing; RE: Urgent: OR Filing; Re: Urgent: OR Filing

RECEIVED
NOV 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission,

I respectfully request Expedia's penalties be waived for our recent late Q3 filing. We did not have access to our account and had problems receiving a password reset link. On October 2, we emailed OGEC.Mail@oregon.gov requesting a password reset. We received a reply from David Hunter stating that the reset link would come to my email address. I did not receive the link. On October 17, I emailed David directly letting him know I had not received the link. I followed up a second time on October 19. We learned he was on vacation during this time. Had we received the reset link on the 2nd, when we initially requested it, we would not have filed late. I have attached the email exchange I have referenced. I appreciate your consideration of my request.

Thank you,

Amber Knott

Director, State & Local Government Affairs
EXPEDIA, INC.

1011 W. Fifth Street | Suite 300 | Austin, TX 78703 | Direct: 512-505-1545
aknott@expedia.com | expedia.com

DANIEL Kathy * OGEC

From: Amber Knott (ELCA) <aknott@expedia.com>
Sent: Tuesday, October 03, 2017 7:31 AM
To: Christina Beckman (ELCA)
Subject: RE: Urgent: OR Filing

When should I expect to receive this? Didn't get anything yesterday.

From: Christina Beckman
Sent: Monday, October 02, 2017 1:03 PM
To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>
Cc: Amber Knott (ELCA) <aknott@expedia.com>
Subject: RE: Urgent: OR Filing

I don't, but she can send to me once she gets it.

Thanks so much!

From: HUNTER David * OGEC [<mailto:David.HUNTER@oregon.gov>] On Behalf Of OGEC Mail * OGEC
Sent: Monday, October 2, 2017 12:30 PM
To: Christina Beckman <cbeckman@expedia.com>
Subject: RE: Urgent: OR Filing

Christina,
The email address the password reset will go to is aknott@expedia.com
Do you have access to that account?

Best Regards...

David R Hunter
Oregon Government Ethics Commission
3218 Pringle Rd SE STE 220
Salem OR 97302-1544
Direct 503-378-5105
Fax 503-373-1456

From: Christina Beckman [<mailto:cbeckman@expedia.com>]
Sent: Monday, October 02, 2017 7:43 AM
To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>
Subject: Urgent: OR Filing

Hi there –

I am reaching out on behalf of Expedia, Inc. Can you send me a password rest for our electronic filings? Our contact with the password reset answers (Joy Langley), is no longer with the company.

Email: cbeckman@expedia.com
Username: joyelangley.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 2, 2017

Darin Fowler
101 NW A Street
Grants Pass OR 97526

Re: 2017 SEI Penalty

Dear Mr. Fowler:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1480 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 4541
User Name: 10854596
Email: dfowler33@hotmail.com

2017 Filing: 6/19/2017
2017 Penalty: \$1,480.00

Darin Fowler
101 NW A Street
Grants Pass, OR 97526

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:39.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:14.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/10/17 04:29:32.673 - User Profile Updated - User profile updated for Darin Fowler
05/10/17 04:29:43.763 - User Profile Updated - The user's main profile was updated.
05/11/17 01:30:15.760 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:12.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 05:21:03.093 - User Successfully Authenticated -
05/18/17 05:29:12.960 - SEI Report Amended - SEI Report Amended for year 2016
05/19/17 01:30:15.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:29.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:15.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:12.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:13.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:17.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:14.973 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:12.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:19.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:13.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:11.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:14.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:11.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:11.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:11.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:11.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:12.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:11.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:11.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:13.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:12.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:12.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:12.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:14.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 07:44:19.507 - User Successfully Authenticated -
06/19/17 07:46:49.443 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Submitted
RP

10/02/2017

DANIEL Kathy * OGEC

From: Darin Fowler <dfowler33@hotmail.com>
Sent: Friday, September 29, 2017 6:10 AM
To: DANIEL Kathy * OGEC
Subject: Ethics Form Submittal

RECEIVED
OCT 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners:

I request a review of the fines applied to my ethics report. Because of the change to online reporting only, combined with a change from my old personal email address to a new city government email, I was delayed in my reporting. Two attempts were made to submit form online, but they did not go through and delayed my compliance. Please consider waiving the penalty or some other form of mitigation.

Darin James Fowler
Mayor of Grants Pass
541-660-3696



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 9, 2017

Jim Whitlock
PO Box 1237
Jacksonville OR 97530

Re: 2017 SEI Penalty

Dear Mr. Whitlock:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$780 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 3598
User Name: Jimwhit
Email: commissionerwhitlock@jacksonvilleor.us

2017 Filing: 6/4/2017
2017 Penalty: \$780.00

Jim Whitlock
PO Box 1237
Jacksonville, OR 97530

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/04/17 02:48:17.103 - User Profile Updated - The user's main profile was updated.
05/04/17 02:48:26.120 - User Profile Updated - User profile updated for Jim Whitlock
05/07/17 01:30:37.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:14.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.227 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:14.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:26.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:14.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:14.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:12.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:12.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:14.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:11.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:14.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:11.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:11.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:18.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:12.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:11.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:11.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 06:31:08.170 - User Failed to Authenticate -
06/04/17 06:33:56.877 - User Failed to Authenticate -
06/04/17 06:34:06.283 - User Failed to Authenticate -
06/04/17 06:34:14.143 - User Failed to Authenticate -
06/04/17 06:34:21.473 - User Failed to Authenticate -
06/04/17 06:37:28.480 - User Successfully Authenticated -
06/04/17 06:48:04.507 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Reviewed
(Signature)

October 2, 2017

Kathy Daniel
OR Government Ethics Commission
3218 Pringle Rd. SE Suite 220
Salem, OR 97302-1544

Kathy.daniel@oregon.gov

Dear Ms. Daniel:


I would like to ask for a waiver of the late filing penalty to be removed from my account. If you would be so kind as to pass this request on to the appropriate person(s), I would greatly appreciate it.

The e-mail notifying me that it was time to file the annual report was sent to my e-mail address for the City of Jacksonville where I am a member of the planning commission. I do not regularly check that e-mail as they (the City) do notify me on my personal and business e-mail accounts of upcoming meetings and by telephone of meetings and I had not had a reason to go to the City e-mail to check on agendas or such as we were not having any meetings.

I will identify this annual report as something I need to take care of in March of every year on my scheduling calendar so that this will not happen again.

Thanking you in advance for your consideration of lifting this penalty. I have no previous violations and do not expect to have any in the future.

Respectfully,


Jim R. Whitlock
advancedhomebuilders@charter.net
541-702-2170
541-944-7421



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 2, 2017

Michael Maffei
PO Box 243
Powers OR 97466

Re: 2017 SEI Penalty

Dear Mr. Maffei:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$200 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 6130
User Name: MMaffei
Email: michaelmaffel63@yahoo.com

2017 Filing: 6/20/2017
2017 Penalty: \$200.00

Michael Maffei
PO Box 243
Powers, OR 97466

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

06/16/17 10:31:52.907 - User Profile Created - User profile created for Michael Maffei
06/16/17 10:32:05.163 - User Profile Created - A new user profile was created.
06/16/17 10:32:05.207 - SEI Assigned to Seat - SEI Assigned to Seat
06/17/17 01:30:13.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:13.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:15.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 09:22:25.577 - Late SEI Report Filed - SEI Report Filed Late for year 2017
06/20/17 09:38:31.093 - User Profile Updated - User profile updated for Michael Maffei
06/20/17 09:38:43.460 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(P)

RE: PENALTIES

RECEIVED SEPT 25 2017
OCT 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

DEAR COMMISSIONERS;

I DEEPLY REGRET MY TARDINESS
IN HAVING FAILED TO FILE, AND THEN
COMPLICATING THE MATTER BY NOT WRITING
SOONER.

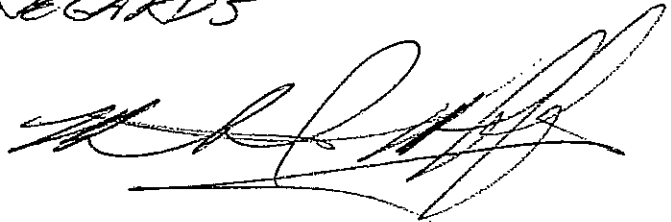
MY POSITION AS A COUNCILMAN IS STRICTLY
VOLUNTEER, AS THIS COMMUNITY IS IN
DECLINE, THOUGH WE ARE ATTEMPTING
TO STAY THAT, AND HOPEFULLY REVERSE
IT. MY CONDITION, (MEDICALLY), IS POOR,
AS IS MY PROGNOSIS, AM CURRENTLY NOT

VERY ACTIVE DUE TO AN AVERAGE BLOOD
PRESSURE OF 60/40. WHILE I'M REPORTED
TO GIVE GOOD ADVICE, MY MEMORY
CAPABILITIES ARE AT BEST VERY POOR.
PLEASE FORGIVE MY LACK OF TIMELINESS,
AND RESULTANT CARELESSNESS, IT WAS
NOT INTENTIONAL, BUT A RESULT OF MY
TERMINAL HEART CONDITION, WHICH LEAVES
ME FAIRLY INACTIVE MUCH OF THE TIME,
AND CAPABLE OF POTENTIALLY FORGETTING
MY HEAD IF IT WEREN'T ATTACHED.

I'M HOPING THAT I CAN BE WAIVED
OF ANY PENALTIES DUE TO MY UNINTENTIONAL
ERR, BEING THAT I'M JUST INTERESTED
IN DOING WHAT I CAN FOR MY AILING
COMMUNITY WHILE I CAN.

THANK YOU SO MUCH FOR YOUR
KINDNESS, AND CONSIDERATION,

BEST REGARDS



MICHAEL W. MAFFEI
470 POPLAR ST.
P.O. 243
POWERS OR. 97466



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 10, 2017

Jeffrey Payne
PO Box 700
Bend OR 97709

Re: 2017 SEI Penalty

Dear Mr. Payne:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$130 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



JEFFREY L. PAYNE

October 6, 2017

Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302-1544

OCT 10 2017
Ethics Commission

Re: Late filing fee

With respect for your office and task, I am not happy with the imposition of filing reports and certainly upset about being assessed a fine.

My commission term for the City of Bend Planning Commission began about seven months ago. I have served the public on other boards without approach and certainly without the potential for having to pay to be a volunteer.

I don't believe my volunteer position should be subject to such oversight. No one advised me of this condition before I agreed to serve.

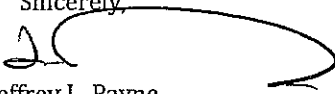
Nonetheless, the report was confusing to me when I first learned about in March/April.

Apparently, the report I was linked to file was for 2016, the year prior to my service. I didn't understand until much later that there was a 2017 report, though it being identical.

It doesn't seem to me to be an ethics violation but a clerical misunderstanding.

Please consider this letter acknowledgement of this responsibility and request NOT to assess a potential fine.

Sincerely,



Jeffrey L. Payne

SEI Activity

2017

FI Code: 5904
User Name: Jpayne@bendoregon.gov
Email: jpayne@bendoregon.gov

2017 Filing: 5/22/2017
2017 Penalty: \$130.00

Jeff Payne
PO Box 700
Bend, OR 97709

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

04/10/17 10:17:23.533 - User Profile Created - A new user profile was created.
04/10/17 10:17:23.580 - SEI Assigned to Seat - SEI Assigned to Seat
04/10/17 10:17:31.247 - User Profile Created - User profile created for Jeff Payne
04/24/17 10:05:02.283 - User Failed to Authenticate -
04/24/17 10:05:09.543 - User Failed to Authenticate -
04/24/17 10:05:33.553 - User Successfully Authenticated -
04/24/17 10:20:45.520 - SEI Report Filed - SEI Report Filed for year 2016
05/05/17 03:45:30.903 - User Profile Updated - User profile updated for Jeff Payne
05/05/17 03:45:41.650 - User Profile Updated - The user's main profile was updated.
05/07/17 01:30:44.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:18.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:04.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 04:17:50.257 - User Profile Updated - The user's main profile was updated.
05/12/17 04:17:59.847 - User Profile Updated - User profile updated for Jeff Payne
05/14/17 01:30:13.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:14.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:17.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:36.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:16.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 08:04:25.800 - User Failed to Authenticate -
05/22/17 08:04:55.987 - User Successfully Authenticated -
05/22/17 08:08:16.433 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter J Ed
AD

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 13, 2017

Jill Prewitt
PO Box 196
Chiloquin OR 97624

Re: 2017 SEI Penalty

Dear Ms. Prewitt:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$400 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 4267
User Name: jprewitt
Email: chiloquin@centurylink.net

2017 Filing: 6/20/2017
2017 Penalty: \$400.00

Jill Prewitt
PO Box 196
Chiloquin, OR 97624

2017 Offices Held

CHILOQUIN (City), COUNCIL - Appointed 01/24/17
CHILOQUIN (City), COUNCIL - Appointed 01/01/17
CHILOQUIN (City), COUNCIL - Appointed 01/14/13

Activity Log - 2017 to Date

02/21/17 10:43:07.193 - Seat Vacated - Seat Vacated
02/21/17 11:42:23.363 - Seat Vacated - Seat Vacated
06/13/17 01:30:11.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:13.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:12.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:12.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:12.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:14.563 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:14.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 10:52:11.297 - Late SEI Report Filed - SEI Report Filed Late for year 2017
06/20/17 10:56:53.623 - User Profile Updated - User profile updated for Jill Prewitt
06/20/17 10:57:04.700 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter sent
JD

RECEIVED

OCT 12 2017

OREGON GOVERNMENT
ETHICS COMMISSION

OCT 9 17

to whom it may concern
I am asking for you to
Waive my penalty fees
I did not fill out my papers
because of my health also
my husband passed away.
Thank you

Jill Hewitt
Chlogun
City Council
541-783-3393

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 19, 2017

Michael Smith
847 N 8th Streert
Lakeview OR 97630

Re: 2017 SEI Penalty

Dear Mr. Smith:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3030 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 3886
User Name: michaelsmith
Email: msmith847@centurylink.net

2017 Filing: 7/20/2017
2017 Penalty: \$3,030.00

Michael Smith
847 N. 8th Street
Lakeview, OR 97630

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

02/07/17 03:56:39.583 - User Failed to Authenticate -
03/16/17 09:01:14.310 - Admin User Reset Initiated - An admin user reset was initiated.
03/16/17 09:05:03.257 - Admin User Password Reset Completed - A user reset their password using an admin reset.
03/16/17 09:05:16.270 - User Successfully Authenticated -
03/16/17 09:05:45.837 - User Profile Updated - The user's main profile was updated.
03/16/17 09:05:53.103 - User Profile Updated - User profile updated for Michael Smith
03/16/17 09:36:54.990 - Admin User Reset Initiated - An admin user reset was initiated.
03/16/17 09:41:32.827 - Admin User Password Reset Completed - A user reset their password using an admin reset.
03/16/17 09:41:41.480 - User Successfully Authenticated -
03/16/17 09:42:12.367 - User Profile Updated - The user's main profile was updated.
03/16/17 09:42:19.343 - User Profile Updated - User profile updated for Michael Smith
05/07/17 01:30:38.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:15.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 08:28:06.983 - User Profile Updated - User profile updated for Michael Smith
05/17/17 08:28:18.237 - User Profile Updated - The user's main profile was updated.
05/18/17 01:30:12.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:15.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:27.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:14.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:15.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:12.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:15.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:11.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:14.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:12.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:11.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:18.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:12.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:11.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:11.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:14.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:11.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:11.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:10.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:11.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:11.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:12.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:12.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:11.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2017

SEI Code: 3886
Jser Name: michaelsmith
Email: msmith847@centurylink.net

2017 Filing: 7/20/2017
2017 Penalty: \$3,030.00

Michael Smith
847 N. 8th Street
Lakeview, OR 97630

06/19/17 01:30:14.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:14.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:12.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:11.930 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:12.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:13.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:15.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:16.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:12.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/28/17 01:30:11.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/29/17 01:30:11.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/30/17 01:30:11.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/01/17 01:30:57.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/02/17 01:30:11.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/03/17 01:30:13.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/04/17 01:30:11.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/05/17 01:30:11.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/06/17 01:30:12.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/07/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/08/17 01:30:11.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/09/17 01:35:18.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/10/17 01:30:11.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/11/17 01:30:11.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/12/17 01:30:10.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/13/17 01:30:11.563 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/14/17 01:30:11.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/15/17 01:30:10.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/16/17 01:30:19.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/17/17 01:30:18.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/18/17 01:30:14.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/19/17 01:30:13.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/20/17 01:30:13.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/20/17 03:25:56.197 - Late SEI Report Filed - SEI Report Filed Late for year 2017
07/20/17 03:36:59.167 - User Profile Updated - User profile updated for Michael Smith
07/20/17 03:37:12.123 - User Profile Updated - The user's main profile was updated.
10/19/17 10:19:48.360 - User Profile Updated - The user's main profile was updated.
10/19/17 10:20:06.550 - Admin User Reset Initiated - An admin user reset was initiated.
10/19/17 10:20:13.170 - User Profile Updated - User profile updated for Michael Smith
10/19/17 10:21:50.263 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/19/17 10:22:03.997 - User Successfully Authenticated -
10/19/17 10:22:33.043 - User Profile Updated - User profile updated for Michael Smith
10/19/17 10:22:33.917 - Admin User Reset Initiated - An admin user reset was initiated.
10/19/17 10:22:48.337 - User Password Reset - The user's password was reset from the "My Profile" page.
10/19/17 10:22:48.490 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(M)

Fwd: Change of Email Request and Letter to Commissioners

MIKE <msmith847@centurylink.net>

Wed 10/18/2017 9:19 AM

To: dan_henderson444@hotmail.com <dan_henderson444@hotmail.com>;

RECEIVED
OCT 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

From: "MIKE" <msmith847@centurylink.net>
To: "Kathy Daniel" <Kathy.Daniel@orgeon.gov>
Sent: Wednesday, October 11, 2017 4:15:16 PM
Subject: Change of Email Request and Letter to Commissioners

My Email Address is msmith847@centurylink.net

My mailing address is:

Michael Smith
847 N.th 8th Street
Lakeview Oregon
97630

My Phone Number is

Home:
541-947-2434

Work:
541-947-2210

Letter To Commissioners:

Dear Commissioners,

I Really do not know what happcned with my email address and phone number and home address\.

Between me, Town Hall and you, But this will never happen again. I know it has to be done and I understand the process. I have it posted to this by April 15 on all calendars.

I sent all my Information to Kathy Daniels to change my omail, phone number and mailing address.

Thank you.

Michael Smith



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 6, 2017

Sam Robinson
PO Box 917
Sutherlin OR 97479

Re: 2017 SEI Penalty

Dear Mr. Robinson:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3500 penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 6127
User Name: SamRobinson
Email: samandgladys2@hotmail.com

2017 Filing: 8/25/2017
2017 Penalty: \$3,500.00

Sam Robinson
PO Box 917
Sutherlin, OR 97479

2017 Offices Held

SUTHERLIN (City), PLANNING COMMISSION - Appointed 01/09/17

Activity Log - 2017 to Date

06/16/17 09:17:08.470 - User Profile Created - User profile created for Sam Robinson
06/16/17 09:17:20.733 - User Profile Created - A new user profile was created.
06/16/17 09:17:20.773 - SEI Assigned to Seat - SEI Assigned to Seat
06/17/17 01:30:13.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:13.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:15.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:13.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:13.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:13.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:14.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:17.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:17.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/28/17 01:30:13.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/29/17 01:30:13.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/30/17 01:30:12.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/01/17 01:30:59.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/02/17 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/03/17 01:30:14.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/04/17 01:30:12.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/05/17 01:30:12.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/06/17 01:30:13.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/07/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/08/17 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/09/17 01:35:19.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/10/17 01:30:12.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/11/17 01:30:11.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/12/17 01:30:11.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/13/17 01:30:12.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/14/17 01:30:12.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/15/17 01:30:11.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/16/17 01:30:20.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/17/17 01:30:19.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/18/17 01:30:15.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/19/17 01:30:14.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/20/17 01:30:14.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/21/17 01:30:13.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/22/17 01:30:16.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/23/17 01:30:13.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/24/17 01:30:17.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/25/17 01:30:15.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/26/17 01:30:13.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/27/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/28/17 01:30:12.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/29/17 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/30/17 01:30:13.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/31/17 01:30:14.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/01/17 01:30:11.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/02/17 01:30:12.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/03/17 01:30:11.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/04/17 01:30:11.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2017

SEI Code: 6127
Operator Name: SamRobinson
Email: samandgladys2@hotmail.com


2017 Filing: 8/25/2017
2017 Penalty: \$3,500.00

Sam Robinson
PO Box 917
Sutherlin, OR 97479

08/05/17 01:30:51.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/06/17 01:30:11.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/07/17 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/08/17 01:30:11.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/09/17 01:30:11.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/10/17 01:30:13.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/11/17 01:30:12.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/12/17 01:30:11.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/13/17 01:30:14.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/14/17 01:30:13.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/15/17 01:30:13.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/16/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/17/17 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/18/17 01:30:11.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/19/17 01:30:12.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/20/17 01:30:10.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/21/17 01:30:13.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/22/17 01:30:12.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/23/17 01:30:11.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/24/17 01:30:11.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/24/17 04:21:06.313 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017
08/24/17 04:48:01.960 - User Successfully Authenticated -
08/24/17 05:01:19.363 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017
08/25/17 01:30:12.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/25/17 09:19:49.857 - User Successfully Authenticated -
08/25/17 09:23:30.533 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017
08/25/17 10:41:15.447 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017
08/25/17 10:48:31.870 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed


RECEIVED

NOV 06 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

I have just been informed of a debt that I owe to the State of Oregon in the amount of \$3500.00. Due to: not furnishing the proper paperwork, and information to the state after being appointed as the Planning commissioner of the City of Sutherlin Oregon.

I was not informed that this paperwork needed to be done for the being appointed to the planning commission till I got back to the states.

I was overseas from January 2017 to June 2017 building a gymnasium free of charge for the community of Chuuk. I have been very busy trying to catch up on all my paperwork and hoping you will understand.

Due to the lack of being informed in enough time, and the circumstances of being out of country this was overlooked. I am asking for a waiver for the amount of \$3500.00 due to these facts.

I hope you can find it in your hearts to grant me this waiver.

Sincerely,


Sam Robinson