



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 18, 2017

Ramon Ramirez
300 Young St.
Woodburn OR 97071

Dear Mr. Ramirez:

The written explanation of why your Lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Qs 2 & 3, 2016 and Q1, 2017 (\$220) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 934
User Name: ramonramirez
Email: ramonramirez@pcun.org

Q1 2017 Filing: 4/17/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$20.00

Ramon Ramirez
300 Young St
Woodburn, OR 97071

Activity Log - Q1 2017 to Date

01/01/17 05:24:03.307 - User Failed to Authenticate -
01/01/17 05:24:11.670 - User Failed to Authenticate -
01/01/17 05:25:30.443 - User Successfully Authenticated -
01/01/17 05:27:41.043 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/10/17 08:09:18.440 - User Failed to Authenticate -
01/10/17 09:23:08.003 - User Failed to Authenticate -
01/10/17 09:23:24.143 - User Failed to Authenticate -
01/16/17 01:35:29.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:46.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 10:16:22.133 - User Failed to Authenticate -
01/17/17 10:48:06.993 - User Successfully Authenticated -
04/10/17 09:19:45.193 - User Successfully Authenticated -
04/15/17 01:30:51.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:29.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 09:34:56.587 - User Failed to Authenticate -
04/17/17 01:30:29.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 10:31:24.100 - User Successfully Authenticated -
04/17/17 10:32:43.083 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/02/17 12:57:01.800 - User Successfully Authenticated -
07/02/17 12:58:38.313 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/03/17 06:30:09.043 - User Successfully Authenticated -
07/10/17 07:00:13.050 - User Successfully Authenticated -
07/14/17 11:04:02.677 - User Successfully Authenticated -
07/14/17 02:27:40.053 - User Failed to Authenticate -
07/14/17 02:27:47.097 - User Successfully Authenticated -
09/18/17 03:37:35.403 - User Successfully Authenticated -
10/09/17 05:42:01.720 - User Successfully Authenticated -
10/09/17 05:42:55.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017
10/09/17 05:55:09.267 - User Successfully Authenticated -
10/11/17 12:43:51.407 - User Successfully Authenticated -
10/11/17 12:46:13.187 - User Profile Updated - The user's main profile was updated.
10/11/17 12:46:34.070 - User Profile Updated - User profile updated for Ramon Ramirez

PREVIOUS VIOLATIONS: Q2 2016 \$160
Q3 2016 \$40
Q1 2017 \$20

RECOMMENDATION:

Letter JED
540⁰⁰
20
Total \$60⁰⁰

Lobbyist Activity

Q3 2016

Lobbyist Code: 934
User Name: ramonramirez
Email: ramonramirez@pcun.org

Ramon Ramirez
300 Young St
Woodburn, OR 97071

Q3 2016 Filing: 10/19/2016
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$40.00

Activity Log - Q3 2016 to Date

07/16/16 01:30:27.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:25.173 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:32.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:31.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:28.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:49.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:28.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:30.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
08/03/16 01:30:11.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/03/16 07:58:27.350 - User Failed to Authenticate -
08/03/16 08:00:36.003 - User Successfully Authenticated -
08/03/16 08:04:55.593 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2016
10/15/16 01:33:13.807 - User Failed to Authenticate -
10/16/16 01:30:12.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:13.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:13.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 07:45:16.767 - User Failed to Authenticate -
10/19/16 07:45:54.367 - User Successfully Authenticated -
10/19/16 07:47:30.210 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
01/01/17 05:24:03.307 - User Failed to Authenticate -
01/01/17 05:24:11.670 - User Failed to Authenticate -
01/01/17 05:25:30.443 - User Successfully Authenticated -
01/01/17 05:27:41.043 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/10/17 08:09:18.440 - User Failed to Authenticate -
01/10/17 09:23:08.003 - User Failed to Authenticate -
01/10/17 09:23:24.143 - User Failed to Authenticate -
01/16/17 01:35:29.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:46.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 10:16:22.133 - User Failed to Authenticate -
01/17/17 10:48:06.993 - User Successfully Authenticated -
04/10/17 09:19:45.193 - User Successfully Authenticated -
04/15/17 01:30:51.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:29.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 09:34:56.587 - User Failed to Authenticate -
04/17/17 01:30:29.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 10:31:24.100 - User Successfully Authenticated -
04/17/17 10:32:43.083 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/02/17 12:57:01.800 - User Successfully Authenticated -
07/02/17 12:58:38.313 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/03/17 06:30:09.043 - User Successfully Authenticated -
07/10/17 07:00:13.050 - User Successfully Authenticated -
07/14/17 11:04:02.677 - User Successfully Authenticated -
07/14/17 02:27:40.053 - User Failed to Authenticate -
07/14/17 02:27:47.097 - User Successfully Authenticated -
09/18/17 03:37:35.403 - User Successfully Authenticated -
10/09/17 05:42:01.720 - User Successfully Authenticated -
10/09/17 05:42:55.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017
10/09/17 05:55:09.267 - User Successfully Authenticated -
10/11/17 12:43:51.407 - User Successfully Authenticated -
10/11/17 12:46:13.187 - User Profile Updated - The user's main profile was updated.
10/11/17 12:46:34.070 - User Profile Updated - User profile updated for Ramon Ramirez

Lobbyist Activity

Q2 2016

Lobbyist Code: 934
User Name: ramonramirez
Email: ramonramirez@pcun.org

Q2 2016 Filing: 8/3/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$160.00

Ramon Ramirez
300 Young St
Woodburn, OR 97071

Activity Log - Q2 2016 to Date

04/01/16 09:58:47.840 - Lobbyist Report Draft Canceled - Lobbyist Report Draft Canceled
04/06/16 09:59:05.520 - User Profile Updated - User profile updated for Ramon Ramirez
04/06/16 09:59:05.550 - User Profile Updated - The user's main profile was updated.
04/06/16 10:00:41.073 - User Profile Updated - User profile updated for Ramon Ramirez
04/06/16 10:00:41.120 - User Profile Updated - The user's main profile was updated.
04/18/16 07:55:27.153 - User Profile Updated - User profile updated for Ramon Ramirez
04/18/16 07:55:27.167 - User Profile Updated - The user's main profile was updated.
04/18/16 07:56:39.547 - User Profile Updated - User profile updated for Ramon Ramirez
04/18/16 07:56:59.673 - User Profile Updated - User profile updated for Ramon Ramirez
04/18/16 07:56:59.703 - User Profile Updated - The user's main profile was updated.
04/18/16 11:06:16.163 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/16 11:08:34.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/18/16 11:13:17.177 - User Failed to Authenticate -
04/18/16 11:13:51.453 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/16 11:15:36.757 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/18/16 11:17:33.163 - User Successfully Authenticated -
04/22/16 07:37:45.770 - Lobbyist Report Submitted - Lobbyist Report Submitted
06/21/16 03:39:07.757 - User Profile Updated - The user's main profile was updated.
06/21/16 03:39:07.757 - User Profile Updated - User profile updated for Ramon Ramirez
06/21/16 03:45:58.190 - User Profile Created - User profile created for Ramon Ramirez
06/21/16 03:45:59.313 - User Profile Created - A new user profile was created.
06/21/16 03:45:59.327 - Lobbyist Profile Created - A new lobbyist profile was created.
06/21/16 03:48:13.783 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator
06/21/16 03:50:05.267 - Lobbyist Report Submitted - Lobbyist Report Submitted
07/16/16 01:30:27.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:25.173 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:32.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:31.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:28.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:49.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:28.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:30.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
08/03/16 01:30:11.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/03/16 07:58:27.350 - User Failed to Authenticate -
08/03/16 08:00:36.003 - User Successfully Authenticated -
08/03/16 08:04:55.593 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2016
10/15/16 01:33:13.807 - User Failed to Authenticate -
10/16/16 01:30:12.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:13.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:13.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 07:45:16.767 - User Failed to Authenticate -
10/19/16 07:45:54.367 - User Successfully Authenticated -
10/19/16 07:47:30.210 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
01/01/17 05:24:03.307 - User Failed to Authenticate -
01/01/17 05:24:11.670 - User Failed to Authenticate -
01/01/17 05:25:30.443 - User Successfully Authenticated -
01/01/17 05:27:41.043 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/10/17 08:09:18.440 - User Failed to Authenticate -
01/10/17 09:23:08.003 - User Failed to Authenticate -
01/10/17 09:23:24.143 - User Failed to Authenticate -
01/16/17 01:35:29.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:46.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4

Lobbyist Activity

Q2 2016

Lobbyist Code: 934
User Name: ramonramirez
Email: ramonramirez@pcun.org

Ramon Ramirez
300 Young St
Woodburn, OR 97071

Q2 2016 Filing: 8/3/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$160.00

01/17/17 10:16:22.133 - User Failed to Authenticate -
01/17/17 10:48:06.993 - User Successfully Authenticated -
04/10/17 09:19:45.193 - User Successfully Authenticated -
04/15/17 01:30:51.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:29.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 09:34:56.587 - User Failed to Authenticate -
04/17/17 01:30:29.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 10:31:24.100 - User Successfully Authenticated -
04/17/17 10:32:43.083 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/02/17 12:57:01.800 - User Successfully Authenticated -
07/02/17 12:58:38.313 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/03/17 06:30:09.043 - User Successfully Authenticated -
07/10/17 07:00:13.050 - User Successfully Authenticated -
07/14/17 11:04:02.677 - User Successfully Authenticated -
07/14/17 02:27:40.053 - User Failed to Authenticate -
07/14/17 02:27:47.097 - User Successfully Authenticated -
09/18/17 03:37:35.403 - User Successfully Authenticated -
10/09/17 05:42:01.720 - User Successfully Authenticated -
10/09/17 05:42:55.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2017
10/09/17 05:55:09.267 - User Successfully Authenticated -
10/11/17 12:43:51.407 - User Successfully Authenticated -
10/11/17 12:46:13.187 - User Profile Updated - The user's main profile was updated.
10/11/17 12:46:34.070 - User Profile Updated - User profile updated for Ramon Ramirez

DANIEL Kathy * OGEC

Lobbyist

From: Ramon Ramirez <ramonramirez@pcun.org>
Sent: Wednesday, October 18, 2017 11:08 AM
To: DANIEL Kathy * OGEC
Subject: OGEC Fines

Dear Kathy,

I am appying for a waiver or a pardon on my fines. I have been late because i didn't realize I have 3 accounts. I know now to navigate the system but did'nt at that time. Thanks for your attention on this matter.

**
Lobbyist
Client
SET Filer

Ramon Ramirez



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 2, 2017

Newberg Public Schools
Attn: Dr. Kym LeBlanc-Esparza
714 E 6th St.
Newberg OR 97132

Dear Dr. LeBlanc-Esparza:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarters 3 and 4, 2016, and Quarter 2, 2017 (\$650) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2017

Client Code: 602
User Name: leblancesparzak@newberg.k12.or.us
Email: schampm@newberg.k12.or.us

Q2 2017 Filing: 8/8/2017
Q2 2017 Expended: \$1,400.00
Q2 2017 Penalty: \$600.00

Newberg School District
Attn: Kym LeBlanc-Esparza
714 E 6th St.
Newberg, OR 97132

Activity Log - Q2 2017 to Date

04/03/17 05:06:35.100 - User Successfully Authenticated -
04/05/17 08:23:43.803 - User Successfully Authenticated -
04/05/17 08:52:43.470 - Client Report Saved - Client Report Saved for Q1 2017
04/05/17 03:18:30.577 - User Successfully Authenticated -
04/06/17 03:50:13.810 - User Successfully Authenticated -
04/06/17 03:57:40.593 - Client Report Submitted - Client Report Submitted for Q1 2017
05/09/17 04:31:52.193 - User Successfully Authenticated -
06/20/17 06:38:48.257 - Removed Client other ID - Removed SEIProfileID 1581 from Client account.
07/16/17 01:30:14.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:11.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:11.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:10.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 01:30:10.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/29/17 01:30:10.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/30/17 01:30:11.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/31/17 01:30:12.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/01/17 01:30:10.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/02/17 01:30:10.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/03/17 01:30:10.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/04/17 01:30:09.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/05/17 01:30:49.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/06/17 01:30:10.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/07/17 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/07/17 12:32:57.223 - User Failed to Authenticate -
08/07/17 12:33:04.587 - User Failed to Authenticate -
08/08/17 01:30:10.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/08/17 09:33:15.110 - User Failed to Authenticate -
08/08/17 09:33:29.533 - User Failed to Authenticate -
08/08/17 09:34:40.803 - User Successfully Authenticated -
08/08/17 09:36:48.020 - Client Report Submitted - Client Report Submitted for Q2 2017
08/08/17 09:37:51.167 - User Profile Updated - The user's main profile was updated.
08/08/17 09:38:08.757 - User Profile Updated - User profile updated for Kym LeBlanc-Esparza
09/28/17 08:32:52.947 - User Failed to Authenticate -
09/28/17 08:33:02.490 - User Failed to Authenticate -
09/28/17 08:34:23.493 - Admin User Reset Initiated - An admin user reset was initiated.
09/28/17 08:35:00.057 - User Successfully Authenticated -
09/28/17 08:52:30.800 - User Successfully Authenticated -
09/28/17 09:28:38.650 - User Successfully Authenticated -

Past Violations:

Q3 2016 \$20
Q4 2016 \$30
Q2 2017 \$600

Recommendations:

Letter + Ed
\$30
\$120
Total 150
No contact
CR

Client/Employer Activity

Q4 2016

Client Code: 602
User Name: leblancesparzak@newberg.k12.or.us
Email: schampm@newberg.k12.or.us

Q4 2016 Filing: 1/18/2017
Q4 2016 Expended: \$1,450.00
Q4 2016 Penalty: \$30.00

Newberg School District
Attn: Kym LeBlanc-Esparza
714 E 6th St.
Newberg, OR 97132

Activity Log - Q4 2016 to Date

10/16/16 01:30:13.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:13.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:59:05.210 - User Failed to Authenticate -
10/17/16 01:59:19.803 - User Failed to Authenticate -
10/17/16 01:59:27.670 - User Failed to Authenticate -
10/17/16 01:59:48.680 - Admin User Reset Initiated - An admin user reset was initiated.
10/17/16 02:24:01.777 - User Failed to Authenticate -
10/17/16 02:25:23.653 - User Successfully Authenticated -
10/17/16 02:26:31.793 - Client Report Submitted - Client Report Submitted for Q3 2016
01/15/17 04:42:35.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:33.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:24.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:49.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 08:10:21.590 - User Failed to Authenticate -
01/18/17 08:10:59.517 - User Failed to Authenticate -
01/18/17 08:14:35.647 - User Failed to Authenticate -
01/18/17 03:50:58.297 - User Failed to Authenticate -
01/18/17 04:24:32.560 - User Successfully Authenticated -
01/18/17 05:04:23.140 - Client Report Submitted - Client Report Submitted for Q4 2016
04/03/17 05:06:35.100 - User Successfully Authenticated -
04/05/17 08:23:43.803 - User Successfully Authenticated -
04/05/17 08:52:43.470 - Client Report Saved - Client Report Saved for Q1 2017
04/05/17 03:18:30.577 - User Successfully Authenticated -
04/06/17 03:50:13.810 - User Successfully Authenticated -
04/06/17 03:57:40.593 - Client Report Submitted - Client Report Submitted for Q1 2017
05/09/17 04:31:52.193 - User Successfully Authenticated -
06/20/17 06:38:48.257 - Removed Client other ID - Removed SEIProfileID 1581 from Client account.
07/16/17 01:30:14.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:11.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:11.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:10.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 01:30:10.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/29/17 01:30:10.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/30/17 01:30:11.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/31/17 01:30:12.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/01/17 01:30:10.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/02/17 01:30:10.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/03/17 01:30:10.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/04/17 01:30:09.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/05/17 01:30:49.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/06/17 01:30:10.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/07/17 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/07/17 12:32:57.223 - User Failed to Authenticate -
08/07/17 12:33:04.587 - User Failed to Authenticate -
08/08/17 01:30:10.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/08/17 09:33:15.110 - User Failed to Authenticate -
08/08/17 09:33:29.533 - User Failed to Authenticate -

Client/Employer Activity

Q4 2016

Client Code: 602
User Name: leblancesparzak@newberg.k12.or.us
Email: schampm@newberg.k12.or.us

Q4 2016 Filing: 1/18/2017
Q4 2016 Expended: \$1,450.00
Q4 2016 Penalty: \$30.00

Newberg School District
Attn: Kym LeBlanc-Esparza
714 E 6th St.
Newberg, OR 97132

08/08/17 09:34:40.803 - User Successfully Authenticated -
08/08/17 09:36:48.020 - Client Report Submitted - Client Report Submitted for Q2 2017
08/08/17 09:37:51.167 - User Profile Updated - The user's main profile was updated.
08/08/17 09:38:08.757 - User Profile Updated - User profile updated for Kym LeBlanc-Esparza
09/28/17 08:32:52.947 - User Failed to Authenticate -
09/28/17 08:33:02.490 - User Failed to Authenticate -
09/28/17 08:34:23.493 - Admin User Reset Initiated - An admin user reset was initiated.
09/28/17 08:35:00.057 - User Successfully Authenticated -
09/28/17 08:52:30.800 - User Successfully Authenticated -
09/28/17 09:28:38.650 - User Successfully Authenticated -

Client/Employer Activity

Q3 2016

Client Code: 602
User Name: leblancesparzak@newberg.k12.or.us
Email: schampm@newberg.k12.or.us

Q3 2016 Filing: 10/17/2016
Q3 2016 Expended: \$1,200.00
Q3 2016 Penalty: \$20.00

Newberg School District
Attn: Kym LeBlanc-Esparza
714 E 6th St.
Newberg, OR 97132

Activity Log - Q3 2016 to Date

07/07/16 10:15:19.557 - User Successfully Authenticated -
07/07/16 10:33:33.307 - Client Report Saved - Client Report Saved
07/07/16 10:34:39.643 - Client Report Submitted - Client Report Submitted
09/23/16 08:06:29.747 - User Successfully Authenticated -
09/23/16 08:07:41.620 - User Successfully Authenticated -
09/23/16 08:12:06.383 - User Profile Updated - User profile updated for Kym LeBlanc-Esparza
09/23/16 08:12:10.323 - User Password Reset - The user's password was reset from the "My Profile" page.
09/23/16 08:12:11.227 - User Profile Updated - The user's main profile was updated.
10/16/16 01:30:13.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:13.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:59:05.210 - User Failed to Authenticate -
10/17/16 01:59:19.803 - User Failed to Authenticate -
10/17/16 01:59:27.670 - User Failed to Authenticate -
10/17/16 01:59:48.680 - Admin User Reset Initiated - An admin user reset was initiated.
10/17/16 02:24:01.777 - User Failed to Authenticate -
10/17/16 02:25:23.653 - User Successfully Authenticated -
10/17/16 02:26:31.793 - Client Report Submitted - Client Report Submitted for Q3 2016
01/15/17 04:42:35.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:33.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:24.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:49.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 08:10:21.590 - User Failed to Authenticate -
01/18/17 08:10:59.517 - User Failed to Authenticate -
01/18/17 08:14:35.647 - User Failed to Authenticate -
01/18/17 03:50:58.297 - User Failed to Authenticate -
01/18/17 04:24:32.560 - User Successfully Authenticated -
01/18/17 05:04:23.140 - Client Report Submitted - Client Report Submitted for Q4 2016
04/03/17 05:06:35.100 - User Successfully Authenticated -
04/05/17 08:23:43.803 - User Successfully Authenticated -
04/05/17 08:52:43.470 - Client Report Saved - Client Report Saved for Q1 2017
04/05/17 03:18:30.577 - User Successfully Authenticated -
04/06/17 03:50:13.810 - User Successfully Authenticated -
04/06/17 03:57:40.593 - Client Report Submitted - Client Report Submitted for Q1 2017
05/09/17 04:31:52.193 - User Successfully Authenticated -
06/20/17 06:38:48.257 - Removed Client other ID - Removed SEIProfileID 1581 from Client account.
07/16/17 01:30:14.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:11.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:11.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:10.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 01:30:10.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/29/17 01:30:10.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/30/17 01:30:11.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/31/17 01:30:12.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/01/17 01:30:10.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/02/17 01:30:10.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/03/17 01:30:10.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/04/17 01:30:09.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2

Client/Employer Activity

Q3 2016

Client Code: 602
User Name: leblancesparzak@newberg.k12.or.us
Email: schampm@newberg.k12.or.us

Q3 2016 Filing: 10/17/2016
Q3 2016 Expended: \$1,200.00
Q3 2016 Penalty: \$20.00

Newberg School District
Attn: Kym LeBlanc-Esparza
714 E 6th St.
Newberg, OR 97132

08/05/17 01:30:49.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/06/17 01:30:10.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/07/17 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/07/17 12:32:57.223 - User Failed to Authenticate -
08/07/17 12:33:04.587 - User Failed to Authenticate -
08/08/17 01:30:10.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/08/17 09:33:15.110 - User Failed to Authenticate -
08/08/17 09:33:29.533 - User Failed to Authenticate -
08/08/17 09:34:40.803 - User Successfully Authenticated -
08/08/17 09:36:48.020 - Client Report Submitted - Client Report Submitted for Q2 2017
08/08/17 09:37:51.167 - User Profile Updated - The user's main profile was updated.
08/08/17 09:38:08.757 - User Profile Updated - User profile updated for Kym LeBlanc-Esparza
09/28/17 08:32:52.947 - User Failed to Authenticate -
09/28/17 08:33:02.490 - User Failed to Authenticate -
09/28/17 08:34:23.493 - Admin User Reset Initiated - An admin user reset was initiated.
09/28/17 08:35:00.057 - User Successfully Authenticated -
09/28/17 08:52:30.800 - User Successfully Authenticated -
09/28/17 09:28:38.650 - User Successfully Authenticated -



NEWBERG

PUBLIC SCHOOLS

INSPIRE. INNOVATE. SUCCEED.

September 28, 2017

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem OR 97302-1544

RECEIVED
OCT 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To the Commissioners:

I am writing to request that you waive the penalties assessed to the Newberg School District for our late filings for 2016 quarters 3 and 4, and 2017 quarter 2. Two things contributed to our failure to report in a timely manner. The person hired last October to be responsible for these filings did not notify superiors about the late filing or the penalties in quarters 3 and 4 of 2016. That person subsequently ended employment with us in June of 2017. While we received notification for quarter 2 filing on 7/1/2017, and reminders on 7/10/2017 and 7/15/2017, those notifications went to the former employee's email account. As soon as we were aware, we made the filings on August 8, 2017, and changed the email notification as well as our internal procedure to ensure that these filings will be made on time from here on out.

We apologize for this error, and ask that you waive the accrued penalties of \$650. Our new procedures will not allow this to happen again.

Sincerely,

Dr. Kym LeBlanc-Esparza
Superintendent
Newberg School District



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 30, 2017

Trillium Public Charter School
Attn: Patrice Mays
5420 N. Interstate Ave.
Portland OR 97217

Dear Ms. Mays:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarter 3, 2017 (\$90) penalty will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1408
User Name: Trillum
Email: patrice@trillumcharterschool.org

Trillum Public Charter School
Attn: Patrice Mays
5420 N Interstate Ave
Portland, OR 97217


Q3 2017 Filing: 10/24/2017
Q3 2017 Expended: \$0.00
Q3 2017 Penalty: \$90.00

Activity Log - Q3 2017 to Date

07/14/17 12:46:26.283 - User Failed to Authenticate -
07/14/17 12:46:35.460 - User Failed to Authenticate -
07/14/17 12:58:44.670 - User Failed to Authenticate -
07/14/17 12:58:50.733 - User Failed to Authenticate -
07/14/17 12:58:56.873 - User Failed to Authenticate -
07/14/17 01:03:59.537 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 01:06:22.797 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/14/17 01:07:57.887 - User Successfully Authenticated -
07/14/17 01:08:45.627 - User Profile Updated - User profile updated for Patrice Mays
07/14/17 01:08:58.587 - User Profile Updated - The user's main profile was updated.
07/14/17 01:11:53.967 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:17.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:14.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:12.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:12.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:12.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 11:16:58.467 - Client Profile Updated - The client profile was updated.
10/20/17 03:22:02.247 - Admin User Reset Initiated - An admin user reset was initiated.
10/20/17 03:22:10.493 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/20/17 03:22:29.933 - User Successfully Authenticated -
10/20/17 03:23:10.967 - User Password Reset - The user's password was reset from the "My Profile" page.
10/20/17 03:23:11.060 - User Profile Updated - The user's main profile was updated.
10/20/17 03:23:35.847 - User Profile Updated - User profile updated for Patrice Mays
10/20/17 03:24:56.913 - Admin User Reset Initiated - An admin user reset was initiated.
10/21/17 01:30:11.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:11.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:12.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:30:11.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/24/17 01:54:15.497 - Admin User Reset Initiated - An admin user reset was initiated.
10/24/17 02:03:01.273 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/24/17 02:04:07.167 - User Successfully Authenticated -
10/24/17 02:25:36.513 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS: Q1 2017, \$10 waived Letter of Education (previous administration)

RECOMMENDATION:

50 

RECEIVED
OCT 30 2017
OREGON GOVERNMENT
ETHICS COMMISSION

5420 N. Interstate
Portland, OR 97217

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

October 24th, 2017

Dear Oregon Government Ethics Commission,

I recently became aware that we were not in compliance with our quarterly report on what we pay for lobbyist work. I have since reported what we have spent on lobbying fees for Q3 which is \$0.00.

I am requesting that our penalty fees be reversed for the following reasons:

I am in an interim position and this is one of the things that was lost between the transferring of duties between the former executive director (Kieran Connolly) and myself.

I have taken care of the problem within a reasonable amount of time.

I will be more on top of the filing next quarter.

In looking over the communication that was sent out, Kieran must have been receiving the emails. The dates mentioned in your communication do not match up with dates in my email history. Is it possible that they were embedded in other emails from the lobbyist.

I commit to doing better and setting things up so that we are in compliance. I request that our fees be reassessed. Our intention is to be better moving forward.

Sincerely,



Patrice Mays



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 30, 2017

Oregon Residential Provider Association
Attn: Jennifer Sewitsky
3587 Heathrow Way
Medford OR 97504

Dear Ms. Sewitsky:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q 2, 2016 (\$210) and Q4, 2016 (\$20) penalties will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity
Q4 2016

Client Code: 88
User Name: oregonrpa
Email: jsewitsky@columbiacare.org

Oregon Residential Providers Association
Attn: Jennifer Sewitsky
3587 Heathrow Way
Medford, OR 97504

Q4 2016 Filing: 1/17/2017
Q4 2016 Expended: \$9,900.00
Q4 2016 Penalty: \$20.00

Activity Log - Q4 2016 to Date

10/11/16 11:12:32.027 - User Successfully Authenticated -
10/11/16 11:17:07.017 - Client Report Submitted - Client Report Submitted for Q3 2016
12/12/16 09:50:17.397 - User Successfully Authenticated -
12/12/16 11:15:18.747 - User Successfully Authenticated -
12/12/16 12:52:47.527 - User Successfully Authenticated -
01/15/17 04:42:34.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:16.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:36:14.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:11.600 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:34:28.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 07:14:50.930 - User Failed to Authenticate -
01/17/17 07:15:39.163 - User Successfully Authenticated -
01/17/17 07:18:31.677 - Client Report Submitted - Client Report Submitted for Q4 2016
01/18/17 12:02:19.897 - User Successfully Authenticated -
04/05/17 11:43:34.500 - User Successfully Authenticated -
04/05/17 11:58:35.783 - Client Report Submitted - Client Report Submitted for Q1 2017
07/05/17 10:18:55.230 - User Successfully Authenticated -
07/05/17 10:25:55.380 - Client Report Submitted - Client Report Submitted for Q2 2017
10/09/17 09:52:12.960 - User Successfully Authenticated -
10/09/17 11:07:10.440 - User Successfully Authenticated -
10/09/17 11:07:14.417 - User Successfully Authenticated -
10/09/17 11:08:28.163 - Client Report Submitted - Client Report Submitted for Q3 2017
10/30/17 01:50:31.500 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: Q2 2016 \$210

Q4 2016 \$20

All other quarters to date filed on time

RECOMMENDATION:

*Q2 better of ED
Q4 \$20⁰⁰*

Client/Employer Activity

Q2 2016

Client Code: 88
User Name: oregonrpa
Email: jsewitsky@columbiacare.org

Oregon Residential Providers Association
Attn: Jennifer Sewitsky
3587 Heathrow Way
Medford, OR 97504

Q2 2016 Filing: 8/4/2016
Q2 2016 Expended: \$9,900.00
Q2 2016 Penalty: \$210.00

Activity Log - Q2 2016 to Date

04/13/16 10:00:14.960 - User Failed to Authenticate -
04/13/16 10:00:27.177 - User Failed to Authenticate -
04/13/16 10:00:32.153 - User Failed to Authenticate -
04/14/16 10:51:32.690 - User Account Unlocked - The user's account was unlocked.
05/03/16 09:53:17.223 - User Failed to Authenticate -
05/03/16 09:53:22.887 - User Failed to Authenticate -
05/03/16 09:53:29.780 - User Failed to Authenticate -
05/03/16 09:53:32.620 - User Failed to Authenticate -
05/03/16 09:56:45.097 - User Failed to Authenticate -
05/03/16 09:56:56.737 - User Failed to Authenticate -
05/03/16 09:57:09.577 - User Failed to Authenticate -
05/03/16 09:57:20.717 - User Failed to Authenticate -
05/03/16 09:57:30.140 - User Failed to Authenticate -
05/03/16 09:57:40.750 - User Failed to Authenticate -
05/03/16 10:00:13.203 - Admin User Reset Initiated - An admin user reset was initiated.
05/03/16 10:01:20.003 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/03/16 10:01:35.000 - User Successfully Authenticated -
05/03/16 10:06:53.983 - Client Report Submitted - Client Report Submitted
07/16/16 01:30:28.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:25.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:32.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:31.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:28.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:50.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:29.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:30.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
08/03/16 01:30:11.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/04/16 01:30:12.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/04/16 09:46:07.950 - User Successfully Authenticated -
08/04/16 11:22:44.577 - User Successfully Authenticated -
08/04/16 11:24:18.060 - Client Report Submitted - Client Report Submitted for Q2 2016
10/11/16 11:12:32.027 - User Successfully Authenticated -
10/11/16 11:17:07.017 - Client Report Submitted - Client Report Submitted for Q3 2016
12/12/16 09:50:17.397 - User Successfully Authenticated -
12/12/16 11:15:18.747 - User Successfully Authenticated -
12/12/16 12:52:47.527 - User Successfully Authenticated -
01/15/17 04:42:34.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:16.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:36:14.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:11.600 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:34:28.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 07:14:50.930 - User Failed to Authenticate -
01/17/17 07:15:39.163 - User Successfully Authenticated -
01/17/17 07:18:31.677 - Client Report Submitted - Client Report Submitted for Q4 2016
01/18/17 12:02:19.897 - User Successfully Authenticated -
04/05/17 11:43:34.500 - User Successfully Authenticated -
04/05/17 11:58:35.783 - Client Report Submitted - Client Report Submitted for Q1 2017
07/05/17 10:18:55.230 - User Successfully Authenticated -
07/05/17 10:25:55.380 - Client Report Submitted - Client Report Submitted for Q2 2017
10/09/17 09:52:12.960 - User Successfully Authenticated -
10/09/17 11:07:10.440 - User Successfully Authenticated -
10/09/17 11:07:14.417 - User Successfully Authenticated -

Client/Employer Activity

Q2 2016

Client Code: 88
User Name: oregonrpa
Email: jsewitsky@columbiacare.org

Q2 2016 Filing: 8/4/2016
Q2 2016 Expended: \$9,900.00
Q2 2016 Penalty: \$210.00

Oregon Residential Providers Association
Attn: Jennifer Sewitsky
3587 Heathrow Way
Medford, OR 97504

10/09/17 11:08:28.163 - Client Report Submitted - Client Report Submitted for Q3 2017
10/30/17 01:50:31.500 - User Successfully Authenticated -



RECEIVED
OCT 30 2017
OREGON GOVERNMENT
ETHICS COMMISSION

October 30th, 2017

Oregon Government Ethics Commission
3218 Pringle Road, SE, Suite 220
Salem, OR 97302-1544

Re: Penalties and Sanctions

To Whom it May Concern;

On behalf of the Oregon Residential Provider Association (ORPA), I am requesting that the OGEC waive the penalties and sanctions that are attached to our account from 2016 Quarter 2, and 2016 Quarter 4.

With the exception of these two quarters, ORPA has always maintained timely compliance with filing/reporting. During the referenced quarters, I had some difficulty logging in which delayed reporting, and then some subsequent confusion around whether or not I had successfully reported. This error was completely on my end and I apologize for the delay.

When I called and spoke with a OGEC representative, she mentioned that you might consider waiving the late fees, given our otherwise good standing.

Please let me know if this is possible.

Thank you very much,

Jennifer Sewitsky, ORPA Secretary
ColumbiaCare Services, Inc.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 31, 2017

Workday

Attn: Jewel Shoberg

6140 Stoneridge Mall Rd.

Pleasanton CA 94588

Dear Ms. Shoberg:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q2, 2017 (\$20) and Q3, 2017 (\$20) penalties will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1330
User Name: Workday
Email: jewel.shoberg@workday.com

Q3 2017 Filing: 10/17/2017
Q3 2017 Expended: \$14,400.00
Q3 2017 Penalty: \$20.00

Workday
Attn: Jewel Shoberg
6140 Stoneridge Mall Rd
Pleasanton, CA 94588

Activity Log - Q3 2017 to Date

07/16/17 01:30:16.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:16.173 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 09:14:29.880 - User Failed to Authenticate -
07/17/17 09:15:17.057 - User Successfully Authenticated -
07/17/17 09:18:58.450 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:16.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:14.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 09:46:56.060 - User Successfully Authenticated -
10/17/17 09:48:43.113 - User Profile Updated - User profile updated for Jennifer Petre
10/17/17 09:48:58.343 - User Profile Updated - The user's main profile was updated.
10/17/17 09:49:00.490 - User Profile Updated - The user's main profile was updated.
10/17/17 09:49:25.177 - User Profile Updated - User profile updated for Jewel Shoberg
10/17/17 09:53:59.263 - User Successfully Authenticated -
10/17/17 09:55:17.347 - Client Report Submitted - Client Report Submitted for Q3 2017

PAST VIOLATIONS: Q2 2017 \$20
Q3 2017 \$20

RECOMMENDATION:

No. change (waiver)

Client/Employer Activity

Q2 2017

Client Code: 1330
User Name: Workday
Email: jewel.shoberg@workday.com

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$14,400.00
Q2 2017 Penalty: \$20.00

Workday
Attn: Jewel Shoberg
6140 Stoneridge Mall Rd
Pleasanton, CA 94588

Activity Log - Q2 2017 to Date

04/01/17 10:09:25.020 - User Failed to Authenticate -
04/01/17 10:09:35.217 - User Failed to Authenticate -
04/01/17 10:10:10.893 - User Failed to Authenticate -
04/01/17 10:10:32.767 - User Successfully Authenticated -
04/10/17 09:23:48.020 - User Successfully Authenticated -
04/10/17 09:49:04.643 - User Successfully Authenticated -
04/10/17 09:55:05.287 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:16.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:16.173 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 09:14:29.880 - User Failed to Authenticate -
07/17/17 09:15:17.057 - User Successfully Authenticated -
07/17/17 09:18:58.450 - Client Report Submitted - Client Report Submitted for Q2 2017
10/16/17 01:30:16.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:14.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 09:46:56.060 - User Successfully Authenticated -
10/17/17 09:48:43.113 - User Profile Updated - User profile updated for Jennifer Petre
10/17/17 09:48:58.343 - User Profile Updated - The user's main profile was updated.
10/17/17 09:49:00.490 - User Profile Updated - The user's main profile was updated.
10/17/17 09:49:25.177 - User Profile Updated - User profile updated for Jewel Shoberg
10/17/17 09:53:59.263 - User Successfully Authenticated -
10/17/17 09:55:17.347 - Client Report Submitted - Client Report Submitted for Q3 2017

DANIEL Kathy * OGEC

From: Jewel Shoberg <jewel.shoberg@workday.com>
Sent: Tuesday, October 31, 2017 9:32 AM
To: OGEC Mail * OGEC
Cc: Chad Duffy
Subject: RE: Receipt of Late Quarterly Report

RECEIVED
OCT 31 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Oregon Government Ethics Commission,

This email is in response to our late filing for this past October, 2017. Jennifer Petre, who the reporting notices have been going to, has changed positions within the company. It took a while to update the account ownership within the company and has just recently been completed. This was the reason for our delayed response in filing. I now own the account, and will do our very best to ensure these are filed on time going forward.

Thanks for your consideration,

Jewel Shoberg
ESA, Education & Government
612-240-5801 (Mobile)
Jewel.Shoberg@workday.com

-----Original Message-----

From: ogec.notification@egov.com [mailto:ogec.notification@egov.com]
Sent: Tuesday, October 17, 2017 11:55 AM
To: Jewel Shoberg <jewel.shoberg@workday.com>
Subject: Receipt of Late Quarterly Report

Dear Jewel Shoberg at Workday:

Thank you for filing your quarterly report as required. You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 10/1/2017 that the reporting window for the 2017 Q3 reporting period was open and you were reminded again on 10/10/2017 and 10/15/2017.

Your late filing was received on 10/17/2017. Accordingly, you have accrued a penalty of \$40.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 11/16/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 2, 2017

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands TX 77380

Dear Ms. Lanagan:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Q1, 2, & 3 2017 (\$9,480) penalties will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q3 2017

Client Code: 1180
Server Name: nathan.cook@usoncology.com
Email: lindsay.lanagan@usoncology.com

Q3 2017 Filing: 10/23/2017
Q3 2017 Expended: \$500.00
Q3 2017 Penalty: \$80.00

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands, TX 77380

Activity Log - Q3 2017 to Date

07/01/17 01:30:56.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/02/17 01:30:09.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/03/17 01:30:12.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/04/17 01:30:09.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/05/17 01:30:09.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/06/17 01:30:10.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/07/17 01:30:10.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/08/17 01:30:09.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/09/17 01:35:17.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/10/17 01:30:09.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/11/17 01:30:09.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/12/17 01:30:09.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/13/17 01:30:10.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/14/17 01:30:09.930 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/15/17 01:30:09.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/16/17 01:30:09.057 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/16/17 01:30:16.420 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/17/17 01:30:15.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:09.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/18/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:10.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/19/17 01:30:12.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:09.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/20/17 01:30:11.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:09.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/21/17 01:30:11.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:12.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/22/17 01:30:14.047 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:09.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/23/17 01:30:11.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:13.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/24/17 01:30:15.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:11.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/25/17 01:30:13.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:10.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/26/17 01:30:11.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:09.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/27/17 01:30:10.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 01:30:09.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/28/17 01:30:10.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/29/17 01:30:09.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/29/17 01:30:10.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/30/17 01:30:10.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/30/17 01:30:11.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/31/17 01:30:12.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/31/17 01:30:12.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/01/17 01:30:09.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
08/01/17 01:30:10.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/02/17 01:30:10.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
08/02/17 01:30:10.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/03/17 01:30:09.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
08/03/17 01:30:10.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
08/04/17 01:30:09.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
08/04/17 01:30:09.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2

Client/Employer Activity

Q3 2017

Client Code: 1180
Employer Name: nathan.cook@usoncology.com
Email: lindsay.lanagan@usoncology.com

Q3 2017 Filing: 10/23/2017
Q3 2017 Expended: \$500.00
Q3 2017 Penalty: \$80.00

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands, TX 77380

09/29/17 01:30:13.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/30/17 01:30:11.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/01/17 01:30:58.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/02/17 01:30:14.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/03/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/04/17 01:30:18.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/05/17 01:30:13.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/06/17 01:30:13.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/07/17 01:30:16.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/08/17 01:30:32.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/09/17 01:30:11.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/10/17 01:30:10.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/11/17 01:30:10.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/12/17 01:30:09.863 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/13/17 01:30:09.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/14/17 01:30:09.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/15/17 01:30:09.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/16/17 01:30:11.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/16/17 01:30:16.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:10.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/17/17 01:30:13.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:10.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/18/17 01:30:12.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:10.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/19/17 01:30:12.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:10.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/20/17 01:30:12.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:09.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/21/17 01:30:11.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:09.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/22/17 01:30:11.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:11.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/23/17 01:30:12.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 03:22:14.470 - Admin User Reset Initiated - An admin user reset was initiated.
10/23/17 03:22:57.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/23/17 03:23:19.090 - User Successfully Authenticated -
10/23/17 03:25:31.140 - User Password Reset - The user's password was reset from the "My Profile" page.
10/23/17 03:25:31.610 - User Profile Updated - The user's main profile was updated.
10/23/17 03:25:56.787 - User Profile Updated - User profile updated for Nathan Cook
10/23/17 03:29:12.317 - User Profile Updated - The user's main profile was updated.
10/23/17 03:29:37.383 - User Profile Updated - User profile updated for Lindsay Lanagan
10/23/17 03:32:18.117 - User Failed to Authenticate -
10/23/17 03:32:22.607 - Admin User Reset Initiated - An admin user reset was initiated.
10/23/17 03:32:47.110 - User Failed to Authenticate -
10/23/17 03:35:21.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/23/17 03:35:58.987 - User Successfully Authenticated -
10/23/17 03:41:30.377 - Client Report Submitted - Client Report Submitted for Q1 2017
10/23/17 03:43:21.683 - Client Report Submitted - Client Report Submitted for Q2 2017
10/23/17 03:44:21.073 - Client Report Submitted - Client Report Submitted for Q3 2017
11/02/17 11:24:46.440 - User Successfully Authenticated -
11/02/17 11:28:55.313 - Client Report Submitted - Client Report Submitted for Q2 2016
11/02/17 11:31:25.537 - Client Report Submitted - Client Report Submitted for Q3 2017
11/02/17 11:31:27.077 - Client Report Submitted - Client Report Submitted for Q3 2017

Client/Employer Activity

Q2 2017

Client Code: 1180
User Name: nathan.cook@usoncology.com
Email: lindsay.lanagan@usoncology.com

Q2 2017 Filing: 10/23/2017
Q2 2017 Expended: \$500.00
Q2 2017 Penalty: \$4,400.00

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands, TX 77380

09/10/17 01:30:19.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/11/17 01:30:15.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/12/17 01:30:09.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/13/17 01:30:10.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/14/17 01:30:12.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/15/17 01:30:10.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/16/17 01:30:13.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/17/17 01:30:10.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/18/17 01:30:15.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/19/17 01:30:11.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/20/17 01:30:12.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/21/17 01:30:10.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/22/17 01:30:11.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/23/17 01:30:11.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/24/17 01:30:09.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/25/17 01:30:11.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/26/17 01:30:10.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/27/17 01:30:10.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/28/17 01:30:11.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/29/17 01:30:13.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
09/30/17 01:30:11.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/01/17 01:30:58.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/02/17 01:30:14.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/03/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/04/17 01:30:18.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/05/17 01:30:13.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/06/17 01:30:13.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/07/17 01:30:16.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/08/17 01:30:32.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/09/17 01:30:11.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/10/17 01:30:10.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/11/17 01:30:10.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/12/17 01:30:09.863 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/13/17 01:30:09.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/14/17 01:30:09.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/15/17 01:30:09.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/16/17 01:30:11.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/16/17 01:30:16.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/17/17 01:30:10.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/17/17 01:30:13.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/18/17 01:30:10.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/18/17 01:30:12.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/19/17 01:30:10.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/19/17 01:30:12.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/20/17 01:30:10.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/20/17 01:30:12.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/21/17 01:30:09.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/21/17 01:30:11.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/22/17 01:30:09.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/22/17 01:30:11.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 01:30:11.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2
10/23/17 01:30:12.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q3
10/23/17 03:22:14.470 - Admin User Reset Initiated - An admin user reset was initiated.
10/23/17 03:22:57.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/23/17 03:23:19.090 - User Successfully Authenticated -
10/23/17 03:25:31.140 - User Password Reset - The user's password was reset from the "My Profile" page.
10/23/17 03:25:31.610 - User Profile Updated - The user's main profile was updated.

Client/Employer Activity

Q2 2017

Client Code: 1180
Client Name: nathan.cook@usoncology.com
Email: lindsay.lanagan@usoncology.com

Q2 2017 Filing: 10/23/2017
Q2 2017 Expended: \$500.00
Q2 2017 Penalty: \$4,400.00

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands, TX 77380

10/23/17 03:25:56.787 - User Profile Updated - User profile updated for Nathan Cook
10/23/17 03:29:12.317 - User Profile Updated - The user's main profile was updated.
10/23/17 03:29:37.383 - User Profile Updated - User profile updated for Lindsay Lanagan
10/23/17 03:32:18.117 - User Failed to Authenticate -
10/23/17 03:32:22.607 - Admin User Reset Initiated - An admin user reset was initiated.
10/23/17 03:32:47.110 - User Failed to Authenticate -
10/23/17 03:35:21.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/23/17 03:35:58.987 - User Successfully Authenticated -
10/23/17 03:41:30.377 - Client Report Submitted - Client Report Submitted for Q1 2017
10/23/17 03:43:21.683 - Client Report Submitted - Client Report Submitted for Q2 2017
10/23/17 03:44:21.073 - Client Report Submitted - Client Report Submitted for Q3 2017
11/02/17 11:24:46.440 - User Successfully Authenticated -
11/02/17 11:28:55.313 - Client Report Submitted - Client Report Submitted for Q2 2016
11/02/17 11:31:25.537 - Client Report Submitted - Client Report Submitted for Q3 2017
11/02/17 11:31:27.077 - Client Report Submitted - Client Report Submitted for Q3 2017

Client/Employer Activity

Q1 2017

Client Code: 1180
Client Name: nathan.cook@usoncology.com
Email: lindsay.lanagan@usoncology.com

Q1 2017 Filing: 10/23/2017
Q1 2017 Expended: \$500.00
Q1 2017 Penalty: \$5,000.00

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands, TX 77380

Activity Log - Q1 2017 to Date

01/09/17 03:58:13.603 - User Failed to Authenticate -
01/09/17 03:58:22.260 - User Successfully Authenticated -
01/09/17 04:04:22.300 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:40:04.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:39:51.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:31:41.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:56.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:55.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:32.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:28.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:25.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:18.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:16.797 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:17.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:17.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:19.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.487 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:11.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:11.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:10.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:11.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:10.173 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:31.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:12.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/09/17 01:30:10.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/10/17 01:30:10.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/11/17 01:30:10.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/12/17 01:30:10.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/13/17 01:30:08.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/14/17 01:30:08.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 01:30:12.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/16/17 01:30:10.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/17/17 01:30:10.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/18/17 01:30:10.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/19/17 01:30:09.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/20/17 01:30:14.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/21/17 01:30:11.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/22/17 01:30:12.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/23/17 01:30:12.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/24/17 01:30:10.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/25/17 01:30:09.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/26/17 01:30:10.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/27/17 01:30:10.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/28/17 01:30:09.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/29/17 01:30:12.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/30/17 01:30:10.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/31/17 01:30:09.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
06/01/17 01:30:12.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
06/02/17 01:30:10.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
06/03/17 01:30:09.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1

Client/Employer Activity

Q1 2017

Client Code: 1180
Name: nathan.cook@usoncology.com
Email: lindsay.lanagan@usoncology.com

Q1 2017 Filing: 10/23/2017
Q1 2017 Expended: \$500.00
Q1 2017 Penalty: \$5,000.00

The US Oncology Network
Attn: Lindsay Lanagan
10101 Woodloch Forest
The Woodlands, TX 77380

10/23/17 03:22:14.470 - Admin User Reset Initiated - An admin user reset was initiated.
10/23/17 03:22:57.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/23/17 03:23:19.090 - User Successfully Authenticated -
10/23/17 03:25:31.140 - User Password Reset - The user's password was reset from the "My Profile" page.
10/23/17 03:25:31.610 - User Profile Updated - The user's main profile was updated.
10/23/17 03:25:56.787 - User Profile Updated - User profile updated for Nathan Cook
10/23/17 03:29:12.317 - User Profile Updated - The user's main profile was updated.
10/23/17 03:29:37.383 - User Profile Updated - User profile updated for Lindsay Lanagan
10/23/17 03:32:18.117 - User Failed to Authenticate -
10/23/17 03:32:22.607 - Admin User Reset Initiated - An admin user reset was initiated.
10/23/17 03:32:47.110 - User Failed to Authenticate -
10/23/17 03:35:21.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/23/17 03:35:58.987 - User Successfully Authenticated -
10/23/17 03:41:30.377 - Client Report Submitted - Client Report Submitted for Q1 2017
10/23/17 03:43:21.683 - Client Report Submitted - Client Report Submitted for Q2 2017
10/23/17 03:44:21.073 - Client Report Submitted - Client Report Submitted for Q3 2017
11/02/17 11:24:46.440 - User Successfully Authenticated -
11/02/17 11:28:55.313 - Client Report Submitted - Client Report Submitted for Q2 2016
11/02/17 11:31:25.537 - Client Report Submitted - Client Report Submitted for Q3 2017
11/02/17 11:31:27.077 - Client Report Submitted - Client Report Submitted for Q3 2017

PREVIOUS VIOLATIONS:

Q1 2017
Q2 2017
Q3 2017

- *Lanagan* *AK*
6450
80

AK

RECOMMENDATION:

DANIEL Kathy * OGEC

From: Lindsay Lanagan <Lindsay.Lanagan2@USONCOLOGY.COM>
Sent: Thursday, November 02, 2017 9:27 AM
To: DANIEL Kathy * OGEC
Subject: Late Charges Associated with Nathan Cook's Account

RECEIVED

NOV 02 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Client

Dear Commissioners,

It is with great respect that I reach out to you today. It was brought to my attention that my former supervisor left his position with The US Oncology Network without updating his contact information to approve my activities as a registered lobbyist in the State of Oregon. When this was brought to my attention by an outside entity the state contacted, I immediately called the Ethics office and spoke with Kathy Daniel. Kathy was extremely helpful and was able to reset Nathan's employee account and allow me to file the reports for Nathan.
Client - US Oncology

I ask that you consider that my former supervisor left the company in a rush and did not update his profile. I was unaware of any penalties associated with his account because all alerts to him were sent to an email address that no longer worked. I can assure the Commission that this will never happen again.

I have routinely filed my *lobbyist* reports on time and have never been late. There was no abnormal or large activity during the period in which the reports were late.

I respectfully request that the fines associated with the late filing *for client* are removed and considered a "first offense" and I plead that you not sanction my company in this matter. It was not an intentional violation and I will make sure it does not happen again.

I consider the company I work for having to have the highest integrity.

Lindsay Lanagan
Senior Manager, Government Relations
The US Oncology Network
Lindsay.Lanagan@usoncology.com
Phone: 281.863.4679 | Mobile: 832.562.1166

Confidentiality Notice: This email message, including any attachments, is for the sole use of the intended recipient(s) and may contain confidential and privileged information. Any unauthorized review, use, disclosure, or distribution is prohibited. If you are not the intended recipient, please contact the sender by reply email and destroy all copies of the original message.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 8, 2017

Sent via email and USPS

TransUnion
Attn: Mick Forde
555 W. Adams St.
Chicago IL 60661

Dear Mr. Forde:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road SE, Room 220, Salem, Oregon 97302.

The due date for the penalties will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



PREVIOUS VIOLATIONS:

Q3 2016, \$20, Letter of Education

RECOMMENDATION:

Q4, 2016, \$40

Q1, 2017, \$4,550

Q2, 2017, \$130

\$40
\$500
\$130

\$670

(1)

Client/Employer Activity

Q2 2017

Client Code: 587
User Name: erosenb
Email: mforde@transunion.com

Q2 2017 Filing: 7/28/2017
Q2 2017 Expended: \$7,200.00
Q2 2017 Penalty: \$130.00

Trans Union
Attn: Mick Forde
555 W. Adams St.
Chicago, IL 60661

07/26/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:09.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/27/17 01:30:10.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 10:45:32.300 - Admin User Reset Initiated - An admin user reset was initiated.
07/27/17 10:46:20.683 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/27/17 10:46:33.580 - User Successfully Authenticated -
07/27/17 10:47:41.297 - User Password Reset - The user's password was reset from the "My Profile" page.
07/27/17 10:47:41.437 - User Profile Updated - The user's main profile was updated.
07/27/17 10:47:57.523 - User Profile Updated - User profile updated for Eric Rosenberg
07/27/17 10:48:07.533 - User Profile Updated - The user's main profile was updated.
07/27/17 10:48:23.617 - User Profile Updated - User profile updated for Mick Forde
07/27/17 10:48:27.937 - Admin User Reset Initiated - An admin user reset was initiated.
07/27/17 11:17:10.887 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/27/17 11:18:20.670 - User Successfully Authenticated -
07/27/17 11:53:24.563 - User Successfully Authenticated -
07/28/17 01:30:09.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/28/17 01:30:10.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 07:12:42.637 - User Successfully Authenticated -
07/28/17 07:18:25.757 - Client Report Submitted - Client Report Submitted for Q1 2017
07/28/17 07:20:00.237 - Client Report Submitted - Client Report Submitted for Q2 2017
11/08/17 08:37:23.537 - User Failed to Authenticate -
11/08/17 08:38:21.137 - User Successfully Authenticated -

Client/Employer Activity

Q1 2017

Client Code: 587
User Name: erosenb
Email: mforde@transunion.com

Q1 2017 Filing: 7/28/2017
Q1 2017 Expended: \$3,600.00
Q1 2017 Penalty: \$4,550.00

Trans Union
Attn: Mick Forde
555 W. Adams St.
Chicago, IL 60661

Activity Log - Q1 2017 to Date

01/15/17 04:42:36.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:43.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:28.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:50.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 08:52:04.793 - User Failed to Authenticate -
01/18/17 08:52:10.730 - User Failed to Authenticate -
01/18/17 08:52:15.297 - User Failed to Authenticate -
01/18/17 08:52:57.063 - User Failed to Authenticate -
01/18/17 08:53:02.723 - User Failed to Authenticate -
01/18/17 08:53:09.287 - User Failed to Authenticate -
01/18/17 08:53:23.490 - User Failed to Authenticate -
01/18/17 08:53:27.823 - User Failed to Authenticate -
01/18/17 08:54:35.417 - User Failed to Authenticate -
01/18/17 08:54:50.637 - User Failed to Authenticate -
01/18/17 08:55:18.070 - User Failed to Authenticate -
01/18/17 08:59:56.690 - User Failed to Authenticate -
01/18/17 01:48:34.420 - User Account Unlocked - The user's account was unlocked.
01/18/17 01:48:41.990 - Admin User Reset Initiated - An admin user reset was initiated.
01/19/17 01:30:33.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 03:46:44.700 - Admin User Reset Initiated - An admin user reset was initiated.
01/19/17 03:47:49.887 - Admin User Password Reset Completed - A user reset their password using an admin reset.
01/19/17 03:48:16.467 - User Successfully Authenticated -
01/19/17 03:50:08.457 - Client Report Submitted - Client Report Submitted for Q4 2016
04/15/17 01:31:31.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:51.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:50.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:29.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:26.387 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:24.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:17.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:16.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:17.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:17.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:18.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.793 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:11.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:10.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:11.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:10.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:31.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:12.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/09/17 01:30:09.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/10/17 01:30:10.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/11/17 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/12/17 01:30:10.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/13/17 01:30:08.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/14/17 01:30:08.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 01:30:12.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/16/17 01:30:09.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1

Client/Employer Activity

Q1 2017

Client Code: 587
User Name: erosenb
Email: mforde@transunion.com

Q1 2017 Filing: 7/28/2017
Q1 2017 Expended: \$3,600.00
Q1 2017 Penalty: \$4,550.00

Trans Union
Attn: Mick Forde
555 W. Adams St.
Chicago, IL 60661

07/14/17 01:30:09.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/15/17 01:30:09.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/16/17 01:30:08.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/16/17 01:30:15.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:10.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/17/17 01:30:15.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:09.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/18/17 01:30:12.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:10.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/19/17 01:30:12.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:09.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/20/17 01:30:11.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:09.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/21/17 01:30:11.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:12.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/22/17 01:30:13.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:09.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/23/17 01:30:11.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:13.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/24/17 01:30:15.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:11.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/25/17 01:30:13.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:10.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/26/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:09.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/27/17 01:30:10.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 10:45:32.300 - Admin User Reset Initiated - An admin user reset was initiated.
07/27/17 10:46:20.683 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/27/17 10:46:33.580 - User Successfully Authenticated -
07/27/17 10:47:41.297 - User Password Reset - The user's password was reset from the "My Profile" page.
07/27/17 10:47:41.437 - User Profile Updated - The user's main profile was updated.
07/27/17 10:47:57.523 - User Profile Updated - User profile updated for Eric Rosenberg
07/27/17 10:48:07.533 - User Profile Updated - The user's main profile was updated.
07/27/17 10:48:23.617 - User Profile Updated - User profile updated for Mick Forde
07/27/17 10:48:27.937 - Admin User Reset Initiated - An admin user reset was initiated.
07/27/17 11:17:10.887 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/27/17 11:18:20.670 - User Successfully Authenticated -
07/27/17 11:53:24.563 - User Successfully Authenticated -
07/28/17 01:30:09.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/28/17 01:30:10.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 07:12:42.637 - User Successfully Authenticated -
07/28/17 07:18:25.757 - Client Report Submitted - Client Report Submitted for Q1 2017
07/28/17 07:20:00.237 - Client Report Submitted - Client Report Submitted for Q2 2017
11/08/17 08:37:23.537 - User Failed to Authenticate -
11/08/17 08:38:21.137 - User Successfully Authenticated -

Client/Employer Activity

Q4 2016

Client Code: 587
User Name: erosenb
Email: mforde@transunion.com

Q4 2016 Filing: 1/19/2017
Q4 2016 Expended: \$5,400.00
Q4 2016 Penalty: \$40.00

Trans Union
Attn: Mick Forde
555 W. Adams St.
Chicago, IL 60661

Activity Log - Q4 2016 to Date

10/16/16 01:30:13.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:13.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 06:19:26.953 - User Failed to Authenticate -
10/17/16 06:19:51.100 - User Failed to Authenticate -
10/17/16 06:20:08.170 - User Failed to Authenticate -
10/17/16 06:20:15.363 - User Failed to Authenticate -
10/17/16 06:20:23.890 - User Failed to Authenticate -
10/17/16 06:21:44.713 - User Successfully Authenticated -
10/17/16 06:23:41.900 - Client Report Submitted - Client Report Submitted for Q3 2016
10/31/16 05:19:17.837 - User Failed to Authenticate -
10/31/16 05:19:26.983 - User Failed to Authenticate -
10/31/16 05:19:32.047 - User Failed to Authenticate -
11/01/16 09:56:02.057 - User Profile Updated - The user's main profile was updated.
11/01/16 09:56:05.217 - User Profile Updated - User profile updated for Eric Rosenberg
01/15/17 04:42:36.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:43.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:28.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:50.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 08:52:04.793 - User Failed to Authenticate -
01/18/17 08:52:10.730 - User Failed to Authenticate -
01/18/17 08:52:15.297 - User Failed to Authenticate -
01/18/17 08:52:57.063 - User Failed to Authenticate -
01/18/17 08:53:02.723 - User Failed to Authenticate -
01/18/17 08:53:09.287 - User Failed to Authenticate -
01/18/17 08:53:23.490 - User Failed to Authenticate -
01/18/17 08:53:27.823 - User Failed to Authenticate -
01/18/17 08:54:35.417 - User Failed to Authenticate -
01/18/17 08:54:50.637 - User Failed to Authenticate -
01/18/17 08:55:18.070 - User Failed to Authenticate -
01/18/17 08:59:56.690 - User Failed to Authenticate -
01/18/17 01:48:34.420 - User Account Unlocked - The user's account was unlocked.
01/18/17 01:48:41.990 - Admin User Reset Initiated - An admin user reset was initiated.
01/19/17 01:30:33.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 03:46:44.700 - Admin User Reset Initiated - An admin user reset was initiated.
01/19/17 03:47:49.887 - Admin User Password Reset Completed - A user reset their password using an admin reset.
01/19/17 03:48:16.467 - User Successfully Authenticated -
01/19/17 03:50:08.457 - Client Report Submitted - Client Report Submitted for Q4 2016
04/15/17 01:31:31.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:51.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:50.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:29.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:26.387 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:24.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:17.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:16.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:17.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:17.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:18.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.793 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1

Client/Employer Activity

Q4 2016

Client Code: 587
Client Name: erosenb
Email: mforde@transunion.com

Q4 2016 Filing: 1/19/2017
Q4 2016 Expended: \$5,400.00
Q4 2016 Penalty: \$40.00

Trans Union
Attn: Mick Forde
555 W. Adams St.
Chicago, IL 60661

06/30/17 01:30:09.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/01/17 01:30:56.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/02/17 01:30:09.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/03/17 01:30:12.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/04/17 01:30:09.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/05/17 01:30:09.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/06/17 01:30:10.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/07/17 01:30:10.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/08/17 01:30:09.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/09/17 01:35:17.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/10/17 01:30:09.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/11/17 01:30:09.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/12/17 01:30:09.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/13/17 01:30:10.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/14/17 01:30:09.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/15/17 01:30:09.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/16/17 01:30:08.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/16/17 01:30:15.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:10.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/17/17 01:30:15.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:09.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/18/17 01:30:12.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:10.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/19/17 01:30:12.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:09.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/20/17 01:30:11.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:09.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/21/17 01:30:11.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:12.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/22/17 01:30:13.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:09.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/23/17 01:30:11.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:13.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/24/17 01:30:15.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:11.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/25/17 01:30:13.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:10.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/26/17 01:30:11.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:09.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/27/17 01:30:10.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 10:45:32.300 - Admin User Reset Initiated - An admin user reset was initiated.
07/27/17 10:46:20.683 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/27/17 10:46:33.580 - User Successfully Authenticated -
07/27/17 10:47:41.297 - User Password Reset - The user's password was reset from the "My Profile" page.
07/27/17 10:47:41.437 - User Profile Updated - The user's main profile was updated.
07/27/17 10:47:57.523 - User Profile Updated - User profile updated for Eric Rosenberg
07/27/17 10:48:07.533 - User Profile Updated - The user's main profile was updated.
07/27/17 10:48:23.617 - User Profile Updated - User profile updated for Mick Forde
07/27/17 10:48:27.937 - Admin User Reset Initiated - An admin user reset was initiated.
07/27/17 11:17:10.887 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/27/17 11:18:20.670 - User Successfully Authenticated -
07/27/17 11:53:24.563 - User Successfully Authenticated -
07/28/17 01:30:09.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
07/28/17 01:30:10.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 07:12:42.637 - User Successfully Authenticated -
07/28/17 07:18:25.757 - Client Report Submitted - Client Report Submitted for Q1 2017
07/28/17 07:20:00.237 - Client Report Submitted - Client Report Submitted for Q2 2017

Client/Employer Activity

Q4 2016

Client Code: 587
User Name: erosenb
Email: mforde@transunion.com

Q4 2016 Filing: 1/19/2017
Q4 2016 Expended: \$5,400.00
Q4 2016 Penalty: \$40.00

Trans Union
Attn: Mick Forde
555 W. Adams St.
Chicago, IL 60661

11/08/17 08:37:23.537 - User Failed to Authenticate -
11/08/17 08:38:21.137 - User Successfully Authenticated -

NOV 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

November 8, 2017

Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, Oregon 97302-1544

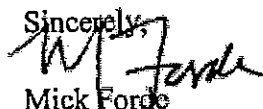
Ladies and Gentlemen --

I am writing to request a waiver of all or a portion of the delinquent filing fees Trans Union LLC ("TransUnion") has incurred with respect to the fourth quarter of 2016 and the first and second quarters of 2017. These fees currently total \$4,720.

Effective April 1, 2017, we reorganized our Government Relations function, which resulted in the elimination of the position that, prior to that date, tracked and addressed all lobbying-related filings with the various State commissions. Unfortunately, the filing requirements and procedures with respect to the Commission were lost in the transition communications, and TransUnion failed to file three required reports. The Commission attempted to contact TransUnion several times regarding the filings, but TransUnion had also failed to update the company's contact details. Markee & Associates, Inc. contacted me regarding the status of the filings and on June 30, 2017, filed a lobbying registration termination with the Commission indicating that the lobbying relationship between the lobbyist and TransUnion, as the client/employer, was no longer in effect. We brought our filings current on July 27, 2017.

As mentioned above, TransUnion's filing obligations are now suspended; we no longer have a lobbyist on retainer nor do we engage in any direct lobbying efforts in the State of Oregon. Our failures to file were inadvertent and the result of a lack of internal communication in connection with a functional reorganization. Accordingly, TransUnion respectfully requests that the Commission exercise its discretion to issue a letter of education in lieu of the penalties accrued to date.

Please let me know if I can provide any additional information with respect to this request. Thank you.

Sincerely,


Mick Forde
Senior Vice President -- Legal & Regulatory
and Secretary



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

October 26, 2017

Roy Davis
PO Box 2679
Brookings OR 97415

Re: 2016 & 2017 SEI Penalty

Dear Mr. Davis:

The written explanation of why your 2016 & 2017 Statement of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$4650 2016 and \$1880 2017 penalties will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



KathyD *June 27th, 2017 at 9:09 AM*

6/27/17 I called and spoke to JC Gary and let him know that Davis has not filed for two years, He said he'd try to reach him.

I called Mr. Davis and his answering machine does not accept messages.

David H *May 10th, 2017 at 3:00 PM*

5/10/2017 Emailed regarding late SEI filing

KathyD *September 26th, 2016 at 1:29 PM*

9/26/16 I received his paper SEI via USPS today and entered it into the system. Sent email: Dear Mr. Davis, I have received your paper SEI form for 2016 in today's mail. I have entered it into the Electronic Filing System, scanned and attached your form. This will stop the accrual of late penalty fees. As of today, that totals \$4,650.

I would recommend that you submit a Letter of Explanation to the Commission, via USPS or email, explaining why your filing is delinquent, and at that time, you may ask for mitigation or reduction of penalties, otherwise payment is due by 10/26/16

KathyD *September 9th, 2016 at 9:59 AM*

9/9/16 VM full and cannot accept voice messages. I mailed a paper form today.

KathyD *August 30th, 2016 at 3:01 PM*

8/30/16 I spoke to JC Gary. He verified we have the correct information however Mr. Davis is not "tech-savvy" and may not be seeing emails. He said he doesn't receive voice mail so best way is to text him.

KathyD *August 24th, 2016 at 11:57 AM*

8/24/16 I called and VM full. I sent email and delivery failed.

KathyD October 26th, 2017 at 12:28 PM

10/26/17 Letter of Explanation rec'd

10/26/17 Letter of Acknowledgement sent

KathyD October 25th, 2017 at 11:50 AM

10/25/17 I called and Roy answered the phone. He said he would send a letter of explanation today and I verified the address that he is sending it to...he had it correct. Kathy.daniel@oregon.gov.

KathyD October 24th, 2017 at 12:07 PM

10/24/17 Roy Davis called and LM. He said he sent a letter although I never received one. I called the number back that he left and no answer...voice mail box has not been set up so I couldn't LM.

KathyD September 21th, 2017 at 11:03 AM

9/21/17 "Hello Mr. Davis. I'm reviewing your account and see that you still have some late filing penalties that need to be addressed. On June 27, I spoke with you and I assisted you with filing your 2017 report over the phone. I asked you to send in a Letter of Explanation to the Commission as to why you are late

filing. I never received a letter. This is extremely important that you do this to avoid Collections. Please call me as soon as possible to update your account. Thank you,"

KathyD September 21th, 2017 at 10:59 AM

9/21/17 Called and voice mail box not set up, can't leave message. I sent email to contact me.

KathyD June 27th, 2017 at 10:38 AM

6/27/17 Roy called me after being contacted by the JC. We filed his 2017 report over the phone. I explained his responsibility and the importance of filing on time. He gave me a new email address to change, which I did. He will send in a Letter of Explanation.

PAST VIOLATIONS: 2016 - Letter of Ed

RECOMMENDATION: 2017 - 200⁰⁰ (PB)

SEI Activity

2017

SEI Code: 5144
User Name: rooster1960
Email: roydavistx@gmail.com

2017 Filing: 6/27/2017
2017 Penalty: \$1,880.00

Roy Davis
PO Box 2679
Brookings, OR 97415

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:43.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/10/17 03:00:42.140 - User Profile Updated - User profile updated for Roy Davis
05/10/17 03:00:53.160 - User Profile Updated - The user's main profile was updated.
05/11/17 01:30:17.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:16.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:34.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:17.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:13.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:12.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:13.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:20.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:15.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:12.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:12.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:20.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:13.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:12.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:15.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:12.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:12.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:12.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:11.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:13.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:12.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:14.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:13.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:13.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:13.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:15.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:13.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:12.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:14.253 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:16.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:17.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:13.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 10:31:25.737 - Late SEI Report Filed - SEI Report Filed Late for year 2017
06/27/17 10:38:49.850 - Admin User Reset Initiated - An admin user reset was initiated.

SEI Activity

2017

SEI Code: 5144
User Name: rooster1960
Email: roydavistx@gmail.com

2017 Filing: 6/27/2017
2017 Penalty: \$1,880.00

Roy Davis
PO Box 2679
Brookings, OR 97415

06/27/17 10:40:08.047 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/27/17 10:40:35.220 - User Successfully Authenticated -
06/27/17 10:41:20.897 - User Profile Updated - User profile updated for Roy Davis
06/27/17 10:41:33.203 - User Password Reset - The user's password was reset from the "My Profile" page.
06/27/17 10:41:33.343 - User Profile Updated - The user's main profile was updated.

SEI Activity

2016

SEI Code: 5144
User Name: rooster1960
Email: roydavistx@gmail.com

2016 Filing: 6/27/2017
2016 Penalty: \$4,650.00

Roy Davis
PO Box 2679
Brookings, OR 97415

2016 Offices Held

PORT OF BROOKINGS HARBOR (State), COMMISSION - Appointed 03/10/16

Activity Log - 2016 to Date

06/17/16 02:53:08.107 - User Profile Created - User profile created for Roy Davis
06/17/16 02:53:08.247 - User Profile Created - A new user profile was created.
06/17/16 02:53:08.277 - SEI Assigned to Seat - SEI Assigned to Seat
06/18/16 01:30:28.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/19/16 01:30:27.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/20/16 01:30:25.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/21/16 01:30:26.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/25/16 01:30:30.930 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/26/16 01:30:27.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/27/16 01:30:26.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/28/16 01:30:29.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/29/16 01:30:31.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/30/16 01:30:33.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/11/16 01:30:32.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/12/16 01:30:31.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/13/16 01:30:26.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/14/16 01:30:30.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/15/16 01:30:35.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/16/16 01:30:30.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/17/16 01:30:29.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/18/16 01:30:27.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/19/16 01:30:30.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/20/16 01:30:28.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/21/16 01:30:34.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/22/16 01:30:32.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/23/16 01:30:30.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/24/16 01:30:52.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/25/16 01:30:30.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/26/16 01:30:32.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/03/16 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/04/16 01:30:13.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/05/16 01:30:12.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/06/16 01:30:14.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/07/16 01:30:17.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/08/16 01:30:10.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/09/16 01:30:14.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/10/16 01:30:14.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/11/16 01:30:18.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/12/16 01:30:12.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/13/16 01:30:12.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/14/16 01:30:12.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/15/16 01:30:13.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/16/16 01:30:13.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/17/16 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/18/16 01:30:34.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/19/16 01:30:13.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/20/16 01:30:13.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/21/16 01:30:12.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/22/16 01:30:14.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/23/16 01:30:12.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/24/16 01:30:12.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/25/16 01:30:12.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0

SEI Activity

2016

SEI Code: 5144
User Name: rooster1960
Email: roydavistx@gmail.com

2016 Filing: 6/27/2017
2016 Penalty: \$4,650.00

Roy Davis
PO Box 2679
Brookings, OR 97415

08/26/16 01:30:13.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/27/16 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/28/16 01:30:12.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/29/16 01:30:12.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/30/16 01:30:12.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/31/16 01:30:14.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/01/16 01:30:13.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/02/16 01:30:11.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/03/16 01:30:12.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/04/16 01:30:13.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/05/16 01:30:12.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/06/16 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/07/16 01:30:14.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/08/16 01:30:14.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/09/16 01:30:13.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/10/16 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/11/16 01:30:12.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/12/16 01:30:14.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/13/16 01:30:14.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/14/16 10:23:26.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/15/16 01:30:13.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/16/16 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/17/16 01:30:14.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/18/16 01:30:16.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/19/16 01:30:13.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/20/16 01:30:13.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/21/16 01:30:14.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/22/16 01:30:17.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/23/16 01:30:34.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/24/16 01:30:13.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/25/16 01:30:35.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/26/16 01:30:14.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/26/16 01:16:36.907 - Late SEI Report Filed - SEI Report Filed Late for year 2016
05/07/17 01:30:43.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/10/17 03:00:42.140 - User Profile Updated - User profile updated for Roy Davis
05/10/17 03:00:53.160 - User Profile Updated - The user's main profile was updated.
05/11/17 01:30:17.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:16.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:34.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:17.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:13.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:12.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:13.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:20.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:15.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:12.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:12.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:20.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2016

SEI Code: 5144
User Name: rooster1960
Email: roydavistx@gmail.com

2016 Filing: 6/27/2017
2016 Penalty: \$4,650.00

Roy Davis
PO Box 2679
Brookings, OR 97415

06/02/17 01:30:13.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:12.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:15.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:12.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:12.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:12.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:11.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:13.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:12.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:14.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:13.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:13.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:13.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:15.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:13.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:12.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:14.253 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:16.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:17.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:13.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 10:31:25.737 - Late SEI Report Filed - SEI Report Filed Late for year 2017
06/27/17 10:38:49.850 - Admin User Reset Initiated - An admin user reset was initiated.
06/27/17 10:40:08.047 - Admin User Password Reset Completed - A user reset their password using an admin reset.
06/27/17 10:40:35.220 - User Successfully Authenticated -
06/27/17 10:41:20.897 - User Profile Updated - User profile updated for Roy Davis
06/27/17 10:41:33.203 - User Password Reset - The user's password was reset from the "My Profile" page.
06/27/17 10:41:33.343 - User Profile Updated - The user's main profile was updated.

From Roy C. Davis

RECEIVED

OCT 26 2017

Att. Oregon Board of Ethics: Oct. 20, 2017

OREGON GOVERNMENT
ETHICS COMMISSION

This letter is written on my behalf to please ask for some leniency on some fines/penalties, do late billing on my Economic Interest for the Port of Brookings/Harbor. I have run for 3 terms and won 3 four year terms. I was out of time when we had to bill out paper forms, when 2016-2017 came around, I stumbled, and I do apologize for any inconvenience I may have caused. Kathy helped me get billed out electronically, and I have since hired an office computer person to assist me with the electronic needs.

I am working on my 11th year as Commissioner, 9 of which I was Chairman, in all those years I have only missed 2 meetings and have given all to my position and community.

I have made many trips to our capital to work with our elected officials to improve relations with our Port and State Gov. I have served non stop and to the best of my ability the position I have been elected by the people to do.

I am ask for some forgiveness on the electronic billing do to I am very computer illiterate, but have made a recent attempt to have someone on hand to assist me when needed. And Kathy has informed me that billing economic interest is same time as ~~and~~ taxes.

Thank you for any consideration

R.C.W.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

November 6, 2017

Jerry Woodfin
489 West Monroe
Burns OR 97720

Re: 2017 SEI Penalty

Dear Mr. Woodfin:

The written explanation of why your 2016 & 2017 Statements of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, November 17, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$5,400 penalties will be extended to coincide with the November 17th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2016

SEI Code: 2981
User Name: JWOODFIN
Email: jwoodfin@ci.burns.or.us

2016 Filing: 9/21/2017
2016 Penalty: \$400.00

Jerry Woodfin
489 west monroe
Burns, OR 97720

2016 Offices Held

BURNS (City), COUNCIL - Appointed 03/10/16
BURNS (City), MAYOR - Appointed 01/11/17

Activity Log - 2016 to Date

03/17/16 04:42:22.473 - User Profile Created - User profile created for Jerry Woodfin
03/17/16 04:42:22.567 - User Profile Created - A new user profile was created.
03/17/16 04:42:22.583 - SEI Assigned to Seat - SEI Assigned to Seat
06/14/16 01:30:27.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/15/16 01:30:27.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/16/16 01:30:27.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/17/16 01:30:29.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/18/16 01:30:28.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/19/16 01:30:27.057 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/20/16 01:30:25.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/20/16 08:39:35.107 - User Profile Updated - User profile updated for Jerry Woodfin
06/20/16 08:39:35.140 - User Profile Updated - The user's main profile was updated.
06/21/16 01:30:26.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/23/16 04:21:46.230 - User Successfully Authenticated -
06/23/16 04:41:19.457 - Late SEI Report Filed - SEI Report Filed Late for year 2016
02/27/17 12:09:05.470 - Seat Vacated - Seat Vacated
05/04/17 02:25:13.517 - User Profile Updated - The user's main profile was updated.
05/04/17 02:25:23.640 - User Profile Updated - User profile updated for Jerry Woodfin
05/07/17 01:30:37.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:13.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:13.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:24.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:14.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:14.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:12.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:13.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:10.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:14.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:17.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:12.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:11.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:11.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:14.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:10.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:11.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:10.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:12.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:10.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2016

SEI Code: 2981
User Name: JWOODFIN
Email: jwoodfin@cl.burns.or.us

2016 Filing: 9/21/2017
2016 Penalty: \$400.00

Jerry Woodfin
489 west monroe
Burns, OR 97720

06/13/17 01:30:11.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:13.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:12.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:11.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:13.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:11.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:11.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:12.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:14.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:15.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:11.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/28/17 01:30:11.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/29/17 01:30:11.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/30/17 01:30:11.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/01/17 01:30:57.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/02/17 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/03/17 01:30:13.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/04/17 01:30:11.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/05/17 01:30:11.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/06/17 01:30:12.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/07/17 01:30:11.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/08/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/09/17 01:35:18.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/10/17 01:30:10.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/11/17 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/12/17 01:30:10.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/13/17 01:30:11.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/14/17 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/15/17 01:30:10.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/16/17 01:30:19.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/17/17 01:30:17.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/18/17 01:30:14.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/19/17 01:30:13.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/20/17 01:30:13.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/20/17 09:37:03.977 - User Profile Updated - User profile updated for Jerry Woodfin
07/20/17 09:37:17.477 - User Profile Updated - The user's main profile was updated.
07/21/17 01:30:12.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/22/17 01:30:15.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/23/17 01:30:12.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/24/17 01:30:16.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/25/17 01:30:14.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/26/17 01:30:12.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/27/17 01:30:11.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/28/17 01:30:11.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/29/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/30/17 01:30:12.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/31/17 01:30:13.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/01/17 01:30:11.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/02/17 01:30:11.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/03/17 01:30:11.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/04/17 01:30:10.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/05/17 01:30:50.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/06/17 01:30:11.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/07/17 01:30:12.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2016

SEI Code: 2981
User Name: JWOODFIN
Email: jwoodfin@ci.burns.or.us

2016 Filing: 9/21/2017
2016 Penalty: \$400.00

Jerry Woodfin
489 west monroe
Burns, OR 97720

08/08/17 01:30:10.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/09/17 01:30:10.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/10/17 01:30:12.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/11/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/12/17 01:30:10.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/13/17 01:30:14.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/14/17 01:30:12.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/15/17 01:30:13.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/16/17 01:30:11.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/17/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/18/17 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/19/17 01:30:11.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/20/17 01:30:10.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/21/17 01:30:13.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/22/17 01:30:11.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/23/17 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/24/17 01:30:11.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/25/17 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/26/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/27/17 01:30:11.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/28/17 01:30:12.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/29/17 01:30:11.640 - Automated Late Fee Applied - Late fee of amount \$20.00 applied for reporting requirement 2017
09/21/17 10:15:51.500 - Late SEI Report Filed - SEI Report Filed Late for year 2017

SEI Activity

2017

SEI Code: 2981
User Name: JWOODFIN
Email: jwoodfin@ci.burns.or.us

2017 Filing: 9/21/2017
2017 Penalty: \$5,000.00

Jerry Woodfin
489 west monroe
Burns, OR 97720

2017 Offices Held

BURNS (City), COUNCIL - Appointed 03/10/16
BURNS (City), MAYOR - Appointed 01/11/17

Activity Log - 2017 to Date

02/27/17 12:09:05.470 - Seat Vacated - Seat Vacated
05/04/17 02:25:13.517 - User Profile Updated - The user's main profile was updated.
05/04/17 02:25:23.640 - User Profile Updated - User profile updated for Jerry Woodfin
05/07/17 01:30:37.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:13.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:13.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:24.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:14.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:14.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:12.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:13.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:10.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:14.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/30/17 01:30:11.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/31/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/01/17 01:30:17.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/02/17 01:30:12.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:11.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:11.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:14.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/06/17 01:30:10.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/07/17 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/08/17 01:30:11.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/09/17 01:30:10.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/10/17 01:30:12.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/12/17 01:30:10.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/13/17 01:30:11.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/14/17 01:30:13.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/15/17 01:30:12.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/16/17 01:30:11.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/17/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:13.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:11.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:11.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:12.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:14.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:15.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:11.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity
2017

SEI Code: 2981
User Name: JWOODFIN
Email: jwoodfin@cl.burns.or.us

2017 Filing: 9/21/2017
2017 Penalty: \$5,000.00

Jerry Woodfin
489 west monroe
Burns, OR 97720

08/23/17 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/24/17 01:30:11.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/25/17 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/26/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/27/17 01:30:11.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/28/17 01:30:12.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/29/17 01:30:11.640 - Automated Late Fee Applied - Late fee of amount \$20.00 applied for reporting requirement 2017
09/21/17 10:15:51.500 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS:

2016, \$400

Late SEI

2017, \$5000

\$500⁰⁰

RECOMMENDATION:

DANIEL Kathy * OGEC

From: Jerry Woodfin <jerrywoodfin@gmail.com>
Sent: Monday, November 06, 2017 12:31 PM
To: DANIEL Kathy * OGEC
Subject: Late fee

Hello my name is Jerry Woodfin I would like to explain why the delay in response to my ethics Department paperwork it has been a very upside down year in my business this year on April 28th I had a fire that consumed my basement and showroom which still currently to this day I am dealing with on June 28th I found an 82 year old man in the basement in a 4 foot pool of blood which is still emotionally bothering me to end the tragedy on October 28th I had a drunk driver drive through my basement knocking my showroom off its foundation again hindering the operation of my business and now many many countless hours spent dealing with insurance companies to get the repair taken care of I apologize from the bottom of my heart for neglecting my duty pertaining to the ethics Department