



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

September 22, 2017

Ross Ryan
540 24th Place
Salem OR 97301

Dear Mr. Ryan:

The written explanation of why the first and third quarters, 2016, and second quarter 2017 lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, October 6, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 and Q3 2016 and Q2 2017 (\$250) penalty will be extended to coincide with the October 6th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



8/13/16 Email from caregiver Ryley: Miscommunication delayed filing. I need password reset.

10/18/16 Email from Ryley: Notification that we had not submitted our report, I filed last night.

10/18/16 Email to Ryley: I outlined each quarter for him and recommended he call me to clarify the reporting requirements.


10/26/16 I hadn't heard back and no mitigation request so I called and left a message.

In the meantime, Ryley is no longer with Ross Ryan, however filings were occurring.

9/21/17 Emailed: I spoke with Mr Ryan and he told me to contact the new assistant, Gabrielle. I outlined the delinquent penalties. Gabrielle called me and I went over the filing requirements with her. She told me that Ryley had been gone since early 2017.

9/22/17 Letter of Explanation received. Letter of Acknowledgement sent.

RECOMMENDATION:

Letter of Ed Q1 2016
9th Q3 2016
10th Q2 2017 

Lobbyist Activity

Q1 2016

Lobbyist Code: 77
Firm Name: Oregon Council on Developmental Disabilities
Email: gabrielle.guedon@askosac.org

Q1 2016 Filing: 6/16/2016
Q1 2016 Expended: \$0.00
Q1 2016 Penalty: \$150.00

Ross Ryan
540 24th Place
Salem, OR 97301

Activity Log - Q1 2016 to Date

06/14/16 01:30:26.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
06/15/16 01:30:26.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
06/16/16 01:30:26.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
06/16/16 09:47:57.840 - User Successfully Authenticated -
06/16/16 09:49:24.540 - Lobbyist Report Submitted - Lobbyist Report Submitted
07/01/16 07:22:38.543 - User Successfully Authenticated -
07/13/16 06:52:41.497 - User Failed to Authenticate -
07/13/16 06:56:04.317 - User Successfully Authenticated -
07/13/16 06:59:54.800 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2016
07/27/16 06:57:10.197 - User Successfully Authenticated -
10/16/16 01:30:11.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:11.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:12.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/20/16 01:30:13.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/20/16 08:57:09.127 - User Failed to Authenticate -
10/20/16 09:01:26.967 - User Failed to Authenticate -
10/21/16 01:30:13.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/22/16 01:30:11.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/23/16 01:30:11.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/24/16 01:30:14.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/24/16 03:55:03.957 - User Failed to Authenticate -
10/24/16 04:33:02.780 - User Successfully Authenticated -
10/24/16 04:34:01.877 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
01/10/17 12:58:29.117 - User Failed to Authenticate -
01/10/17 01:00:59.420 - User Failed to Authenticate -
01/10/17 01:01:12.003 - User Failed to Authenticate -
01/10/17 01:01:30.027 - User Failed to Authenticate -
01/10/17 01:02:19.530 - User Successfully Authenticated -
01/10/17 01:03:30.323 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/10/17 01:09:35.073 - User Successfully Authenticated -
01/10/17 01:10:16.120 - User Password Reset - The user's password was reset from the "My Profile" page.
01/10/17 01:10:16.183 - User Profile Updated - The user's main profile was updated.
01/10/17 01:10:24.783 - User Profile Updated - User profile updated for Ross Ryan
01/16/17 01:30:49.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:30:53.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/13/17 01:04:55.823 - Admin User Reset Initiated - An admin user reset was initiated.
04/13/17 01:06:02.457 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/13/17 01:08:43.313 - User Successfully Authenticated -
04/14/17 10:10:58.140 - User Successfully Authenticated -
04/14/17 10:14:06.880 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/14/17 10:38:52.680 - User Profile Updated - User profile updated for Ross Ryan
04/14/17 10:39:02.717 - User Profile Updated - The user's main profile was updated.
07/14/17 08:21:59.250 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 08:23:33.943 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/14/17 08:24:14.437 - User Failed to Authenticate -
07/16/17 01:30:09.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/16/17 12:32:31.093 - User Successfully Authenticated -
07/16/17 12:33:21.607 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
09/21/17 01:38:37.337 - Admin User Reset Initiated - An admin user reset was initiated.
09/21/17 01:39:04.910 - Admin User Password Reset Completed - A user reset their password using an admin reset.
09/21/17 01:40:12.613 - User Successfully Authenticated -

Lobbyist Activity

Q3 2016

Lobbyist Code: 77
User Name: Oregon Council on Developmental Disabilities
Email: gabrielle.guedon@askosac.org

Q3 2016 Filing: 10/24/2016
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$90.00

Ross Ryan
540 24th Place
Salem, OR 97301

Activity Log - Q3 2016 to Date

07/01/16 07:22:38.543 - User Successfully Authenticated -
07/13/16 06:52:41.497 - User Failed to Authenticate -
07/13/16 06:56:04.317 - User Successfully Authenticated -
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10/19/16 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
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09/21/17 01:40:12.613 - User Successfully Authenticated -

Lobbyist Activity

Q2 2017

Lobbyist Code: 77
Filer Name: Oregon Council on Developmental Disabilities
Email: gabrielle.guedon@askosac.org

Q2 2017 Filing: 7/16/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$10.00

Ross Ryan
540 24th Place
Salem, OR 97301

Activity Log - Q2 2017 to Date

04/13/17 01:04:55.823 - Admin User Reset Initiated - An admin user reset was initiated.
04/13/17 01:06:02.457 - Admin User Password Reset Completed - A user reset their password using an admin reset.
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DANIEL Kathy * OGEC

From: Carrie Salehiamin <carries@ocdd.org>
Sent: Friday, September 22, 2017 11:21 AM
To: DANIEL Kathy * OGEC
Cc: Gabrielle Guedon
Subject: Ross Ryan Lobbyist Late Fees

Dear Commissioner :

I am contacting you on behalf of the Oregon Self Advocacy Coalition (OSAC). We are a non profit group of individuals with intellectual and physical disabilities who advocate for our collective rights.

It has come to my attention that we have accumulated fines and late fees for our lobbyist, Ross Ryan. The person in charge of filing this paperwork on time is no longer employed by OSAC. I hope that you will take into consideration that since I have become the executive director and your system has become electronic, none of required paperwork has been late.

The amount due, \$250, would be a hardship for our organization and we hope that you will consider waiving this fee.

Sincerely yours,

Gabrielle Guedon
Executive Director, OSAC

Sent from my iPhone



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

September 8, 2017

Oregon Association of Independent Accountants
Attn: Susan Robertson
1804 NE 43rd Ave.
Portland OR 97213

Dear Ms. Robertson:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, October 6, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 4 quarters in 2016 and quarters 1 and 2, 2017 will be extended to coincide with the October 6th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2017

Client Code: 1622
User Name: srobertson4oia@aol.com
Email: srobertson4oia@aol.com

Q2 2017 Filing: 9/8/2017
Q2 2017 Expended: \$400.00
Q2 2017 Penalty: \$2,150.00

oregon association of independent accountants
Attn: Susan Robertson
1804 NE 43rd Ave
Portland, OR 97213

Activity Log - Q2 2017 to Date

09/07/17 03:00:03.787 - User Profile Created - User profile created for Susan Robertson
09/07/17 03:00:17.870 - User Profile Created - A new user profile was created.
09/07/17 03:00:18.123 - Client Profile Created - The client profile was created.
09/08/17 08:33:16.373 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator.
09/08/17 09:21:26.277 - User Successfully Authenticated -
09/08/17 09:29:46.500 - Client Report Submitted - Client Report Submitted for Q1 2016
09/08/17 09:39:21.153 - Client Report Submitted - Client Report Submitted for Q2 2016
09/08/17 09:41:16.017 - Client Report Submitted - Client Report Submitted for Q3 2016
09/08/17 09:42:59.893 - Client Report Submitted - Client Report Submitted for Q4 2016
09/08/17 09:44:23.493 - Client Report Submitted - Client Report Submitted for Q1 2017
09/08/17 09:46:08.220 - Client Report Submitted - Client Report Submitted for Q2 2017

PAST VIOLATIONS: OAlA was registered and had filed paper expenditure reports during 2015. No electronic registration or filing for 2016 – 2017.

RECOMMENDATION: *No Recommendation*

Client/Employer Activity

Q1 2017

Client Code: 1622
Client Name: srobertson4oaia@aol.com
Email: srobertson4oaia@aol.com

Q1 2017 Filing: 9/8/2017
Q1 2017 Expended: \$600.00
Q1 2017 Penalty: \$5,000.00

Oregon association of independent accountants
Attn: Susan Robertson
1804 NE 43rd Ave
Portland, OR 97213

Activity Log - Q1 2017 to Date

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Client/Employer Activity

Q4 2016

Client Code: 1622
User Name: srobertson4oaia@aol.com
Email: srobertson4oaia@aol.com

Q4 2016 Filing: 9/8/2017
Q4 2016 Expended: \$600.00
Q4 2016 Penalty: \$5,000.00

oregon association of independent accountants
Attn: Susan Robertson
1804 NE 43rd Ave
Portland, OR 97213

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09/07/17 03:00:03.787 - User Profile Created - User profile created for Susan Robertson
09/07/17 03:00:17.870 - User Profile Created - A new user profile was created.
09/07/17 03:00:18.123 - Client Profile Created - The client profile was created.
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Client/Employer Activity

Q3 2016

Client Code: 1622
Client Name: srobertson4oaia@aol.com
Email: srobertson4oaia@aol.com

Q3 2016 Filing: 9/8/2017
Q3 2016 Expended: \$600.00
Q3 2016 Penalty: \$5,000.00

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Client/Employer Activity

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Q2 2016 Filing: 9/8/2017
Q2 2016 Expended: \$600.00
Q2 2016 Penalty: \$5,000.00

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OREGON ASSOCIATION OF INDEPENDENT ACCOUNTANTS

Affiliate of The National Society of Accountants



RECEIVED

SEP 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Sept. 8, 2017

Oregon Government Ethics Commission
3278 Pringle Road SE, #220
Salem, OR 97302-1544

Dear Commissioners:

It came to my attention this week that we have not been filing our Lobbyist Compensation Report quarterly since you went to an electronic system in the first quarter of 2016. I never received the e-mail invite to set us up on the system. I don't know if it went to spam and I never saw it. Our Lobbyist is Ted Hughes and Associates and he is not the most computer saavy person. I received an e-mail reminder for this last quarter and that is how I discovered the nonfiling issue. I immediately contacted the Commission and have remedied the situation. We are now set up in the system and I have filed all the back reports from first quarter, 2016 to second quarter, 2017. That being said, we would like to request abatement of any penalties for these late reports. We took the proper steps to remedy the situation immediately upon discovery of the problem. I have now put it on my calendar of due dates for future reports, so we will not be late again. Your consideration on this matter is greatly appreciated.

Sincerely,

Susan G. Robertson
Executive Director

Executive Office

1804 NE 43rd Avenue Portland, Oregon 97213 (503) 282-7247 Fax (503) 282-7406

Email: srobertson4oaia@102.com www.oaia.net



Oregon

Kate Brown, Governor

Government Ethics Commission

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Oregon Self-Advocacy Coalition
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Sincerely,


Commission Staff



9/21/17 Emailed: I spoke with Mr Ryan and he told me to contact the new assistant, Gabrielle. I outlined the delinquent penalties. Gabrielle called me and I went over the filing requirements with her. She told me that the previous assistant, Ryley had been gone since early 2017.

9/22/17 Letter of Explanation received. Letter of Acknowledgement sent.

RECOMMENDATION:

Q2 2016 Letter AEd 
Q3 2016 \$20⁰⁰

Client/Employer Activity

Q2 2016

Client Code: 121
Client Name: newport
Email: gabrielle.guedon@askosac.org

Q2 2016 Filing: 8/15/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$760.00

Oregon Self-Advocacy Coalition
Attn: Ross Ryan
540 24th Place NE
Salem, OR 97301

Activity Log - Q2 2016 to Date

04/13/16 12:13:21.343 - User Failed to Authenticate -
04/13/16 12:14:48.103 - User Failed to Authenticate -
04/13/16 12:16:57.620 - User Failed to Authenticate -
04/13/16 12:18:31.293 - User Failed to Authenticate -
04/13/16 12:18:35.473 - User Failed to Authenticate -
04/13/16 12:21:07.363 - User Failed to Authenticate -
04/13/16 12:21:12.170 - User Failed to Authenticate -
04/13/16 12:21:30.020 - User Failed to Authenticate -
04/15/16 10:16:37.983 - Admin User Reset Initiated - An admin user reset was initiated.
04/15/16 10:20:25.047 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/15/16 10:21:16.773 - User Successfully Authenticated -
04/15/16 10:22:08.993 - Client Report Submitted - Client Report Submitted
06/15/16 09:45:38.287 - User Failed to Authenticate -
06/15/16 09:45:43.947 - User Failed to Authenticate -
06/15/16 09:46:21.610 - User Failed to Authenticate -
06/15/16 09:47:20.500 - User Failed to Authenticate -
06/15/16 09:48:37.980 - User Failed to Authenticate -
07/16/16 01:30:28.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:25.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:32.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:31.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:28.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:50.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:29.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:30.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
08/03/16 01:30:11.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/04/16 01:30:12.563 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/05/16 01:30:11.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/06/16 01:30:14.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/07/16 01:30:15.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/08/16 01:30:09.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/09/16 01:30:13.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/10/16 01:30:13.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/11/16 01:30:17.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/12/16 01:30:12.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/12/16 09:51:02.050 - User Failed to Authenticate -
08/12/16 09:51:13.820 - User Failed to Authenticate -
08/12/16 09:54:47.063 - User Failed to Authenticate -
08/12/16 09:56:37.463 - User Failed to Authenticate -
08/12/16 09:56:43.567 - User Failed to Authenticate -
08/12/16 09:56:50.797 - User Failed to Authenticate -
08/12/16 09:56:57.493 - User Failed to Authenticate -
08/12/16 11:20:14.860 - User Failed to Authenticate -
08/12/16 09:08:47.677 - User Failed to Authenticate -
08/13/16 01:30:11.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/13/16 08:06:00.240 - User Failed to Authenticate -
08/14/16 01:30:11.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/15/16 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
08/15/16 07:14:13.217 - User Failed to Authenticate -
08/15/16 08:39:10.870 - User Account Unlocked - The user's account was unlocked.
08/15/16 09:10:36.653 - Admin User Reset Initiated - An admin user reset was initiated.
08/15/16 11:43:59.010 - User Successfully Authenticated -

Client/Employer Activity

Q2 2016

Client Code: 121
User Name: newportr
Email: gabrielle.guedon@askosac.org

Q2 2016 Filing: 8/15/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$760.00

Oregon Self-Advocacy Coalition
Attn: Ross Ryan
540 24th Place NE
Salem, OR 97301

08/15/16 11:44:51.420 - Client Report Submitted - Client Report Submitted for Q2 2016
08/15/16 09:44:52.423 - User Failed to Authenticate -
10/16/16 01:30:12.963 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/16/16 09:45:38.270 - User Failed to Authenticate -
10/16/16 09:46:31.700 - User Failed to Authenticate -
10/17/16 01:30:12.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 08:53:22.143 - User Successfully Authenticated -
10/17/16 08:55:27.740 - Client Report Submitted - Client Report Submitted for Q3 2016
10/20/16 08:57:12.243 - User Successfully Authenticated -
10/20/16 03:36:01.203 - User Successfully Authenticated -
10/24/16 03:53:16.153 - User Successfully Authenticated -
10/24/16 03:55:10.917 - User Failed to Authenticate -
01/09/17 01:30:34.410 - User Successfully Authenticated -
01/09/17 01:31:33.083 - Client Report Submitted - Client Report Submitted for Q4 2016
01/10/17 12:59:18.377 - User Successfully Authenticated -
01/10/17 01:01:03.490 - User Successfully Authenticated -
01/10/17 01:05:17.363 - User Successfully Authenticated -
01/10/17 01:08:41.697 - User Password Reset - The user's password was reset from the "My Profile" page.
01/10/17 01:08:41.913 - User Profile Updated - The user's main profile was updated.
01/10/17 01:08:50.480 - User Profile Updated - User profile updated for Ross Ryan
01/16/17 01:36:18.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:34:36.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/13/17 01:03:44.750 - Admin User Reset Initiated - An admin user reset was initiated.
04/13/17 01:07:09.733 - User Failed to Authenticate -
04/13/17 01:11:39.977 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/14/17 10:39:43.170 - User Successfully Authenticated -
04/14/17 10:40:18.083 - Client Report Submitted - Client Report Submitted for Q1 2017
04/14/17 10:41:12.743 - User Profile Updated - User profile updated for Ross Ryan
04/14/17 10:41:22.933 - User Password Reset - The user's password was reset from the "My Profile" page.
04/14/17 10:41:22.997 - User Profile Updated - The user's main profile was updated.
07/14/17 08:22:26.453 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 08:25:15.387 - User Successfully Authenticated -
07/14/17 08:26:31.887 - Client Report Submitted - Client Report Submitted for Q2 2017
07/14/17 08:28:04.107 - Admin User Password Reset Completed - A user reset their password using an admin reset.
09/21/17 01:46:23.520 - Admin User Reset Initiated - An admin user reset was initiated.
09/21/17 01:46:44.010 - Admin User Password Reset Completed - A user reset their password using an admin reset.
09/21/17 01:47:06.633 - User Successfully Authenticated -

Client/Employer Activity

Q3 2016

Client Code: 121
Company Name: newportr
Email: gabrielle.guedon@askosac.org

Q3 2016 Filing: 10/17/2016
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$20.00

Oregon Self-Advocacy Coalition
Attn: Ross Ryan
540 24th Place NE
Salem, OR 97301

Activity Log - Q3 2016 to Date

07/16/16 01:30:28.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
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07/25/16 01:30:29.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
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08/12/16 09:56:37.463 - User Failed to Authenticate -
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08/12/16 09:56:57.493 - User Failed to Authenticate -
08/12/16 11:20:14.860 - User Failed to Authenticate -
08/12/16 09:08:47.677 - User Failed to Authenticate -
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08/15/16 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q2
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01/10/17 01:01:03.490 - User Successfully Authenticated -
01/10/17 01:05:17.363 - User Successfully Authenticated -

Client/Employer Activity

Q3 2016

Client Code: 121
User Name: newportr
Email: gabrielle.guedon@askosac.org

Q3 2016 Filing: 10/17/2016
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$20.00

Oregon Self-Advocacy Coalition
Attn: Ross Ryan
540 24th Place NE
Salem, OR 97301

01/10/17 01:08:41.697 - User Password Reset - The user's password was reset from the "My Profile" page.
01/10/17 01:08:41.913 - User Profile Updated - The user's main profile was updated.
01/10/17 01:08:50.480 - User Profile Updated - User profile updated for Ross Ryan
01/16/17 01:36:18.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:34:36.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/13/17 01:03:44.750 - Admin User Reset Initiated - An admin user reset was initiated.
04/13/17 01:07:09.733 - User Failed to Authenticate -
04/13/17 01:11:39.977 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/14/17 10:39:43.170 - User Successfully Authenticated -
04/14/17 10:40:18.083 - Client Report Submitted - Client Report Submitted for Q1 2017
04/14/17 10:41:12.743 - User Profile Updated - User profile updated for Ross Ryan
04/14/17 10:41:22.933 - User Password Reset - The user's password was reset from the "My Profile" page.
04/14/17 10:41:22.997 - User Profile Updated - The user's main profile was updated.
07/14/17 08:22:26.453 - Admin User Reset Initiated - An admin user reset was initiated.
07/14/17 08:25:15.387 - User Successfully Authenticated -
07/14/17 08:26:31.887 - Client Report Submitted - Client Report Submitted for Q2 2017
07/14/17 08:28:04.107 - Admin User Password Reset Completed - A user reset their password using an admin reset.
09/21/17 01:46:23.520 - Admin User Reset Initiated - An admin user reset was initiated.
09/21/17 01:46:44.010 - Admin User Password Reset Completed - A user reset their password using an admin reset.
09/21/17 01:47:06.633 - User Successfully Authenticated -

DANIEL Kathy * OGEC

From: Carrie Salehiamin <carries@ocdd.org>
Sent: Friday, September 22, 2017 11:24 AM
To: DANIEL Kathy * OGEC
Cc: Gabrielle Guedon
Subject: Re: Ross Ryan Client Late Fees

> Dear Commissioner :

>

> I am contacting you on behalf of the Oregon Self Advocacy Coalition (OSAC). We are a non profit group of individuals with intellectual and physical disabilities who advocate for our collective rights.

>

> It has come to my attention that we have accumulated fines and late fees for our client, Ross Ryan. The person in charge of filing this paperwork on time is no longer employed by OSAC. I hope that you will take into consideration that since I have become the executive director and your system has become electronic, none of required paperwork has been late.

>

> The amount due, \$750, would be a hardship for our organization and we hope that you will consider waiving this fee.

>

> Sincerely yours,

>

> Gabrielle Guedon
> Executive Director, OSAC

>

>

> Sent from my iPhone



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

September 27, 2017

Urban League of Portland
Attn: David Ferreira
10 N Russell St.
Portland OR 97227

Dear Mr. Ferreira:

The written explanation of why your Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, October 6, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for Quarters 1 and 2, 2017 (\$210) penalty will be extended to coincide with the October 6th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 1307
 User Name: ULPDX
 Email: dferreira@ulpdx.org
 Urban League of Portland
 Attn: David Ferreira
 10 N Russell Street
 Portland, OR 97227

Q1 2017 Filing: 5/1/2017
 Q1 2017 Expended: \$0.00
 Q1 2017 Penalty: \$200.00

Activity Log - Q1 2017 to Date

01/03/17 09:21:39.250 - User Failed to Authenticate -
 01/03/17 09:21:51.513 - User Failed to Authenticate -
 01/03/17 09:22:10.813 - User Successfully Authenticated -
 01/03/17 09:23:09.013 - Client Report Submitted - Client Report Submitted for Q4 2016
 01/16/17 01:40:21.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
 01/17/17 01:40:18.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
 01/20/17 11:09:33.663 - User Successfully Authenticated -
 01/27/17 08:58:04.853 - User Successfully Authenticated -
 04/15/17 01:31:46.800 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/16/17 01:31:01.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/17/17 01:31:00.527 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/18/17 01:30:34.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/19/17 01:30:30.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/20/17 01:30:26.840 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/21/17 01:30:18.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/22/17 01:30:17.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/23/17 01:30:18.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 04/24/17 01:30:18.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
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 04/30/17 01:30:11.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
 05/01/17 01:30:13.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
 05/01/17 10:12:50.827 - User Successfully Authenticated -
 05/01/17 10:14:18.767 - Client Report Submitted - Client Report Submitted for Q1 2017
 07/11/17 09:03:31.380 - Admin User Reset Initiated - An admin user reset was initiated.
 07/11/17 09:04:58.850 - Admin User Password Reset Completed - A user reset their password using an admin reset.
 07/11/17 09:05:16.060 - User Successfully Authenticated -
 07/11/17 09:06:39.807 - User Profile Updated - User profile updated for Elizabeth Streeter
 07/11/17 09:06:52.520 - User Password Reset - The user's password was reset from the "My Profile" page.
 07/11/17 09:06:52.630 - User Profile Updated - The user's main profile was updated.
 07/11/17 09:06:55.777 - User Profile Updated - The user's main profile was updated.
 07/11/17 09:07:09.907 - User Profile Updated - User profile updated for David Ferreira
 07/11/17 09:07:34.557 - Admin User Reset Initiated - An admin user reset was initiated.
 07/13/17 02:09:59.823 - User Profile Updated - User profile updated for David Ferreira
 07/13/17 02:10:12.653 - User Profile Updated - The user's main profile was updated.
 07/16/17 01:30:16.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
 07/16/17 07:18:27.870 - User Failed to Authenticate -
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 07/16/17 08:02:51.537 - User Successfully Authenticated -
 07/16/17 08:04:53.650 - User Successfully Authenticated -
 07/16/17 08:12:45.510 - Client Report Saved - Client Report Saved for Q2 2017
 07/16/17 08:14:14.660 - Client Report Submitted - Client Report Submitted for Q2 2017
 07/16/17 08:21:09.127 - User Profile Updated - User profile updated for David Ferreira
 07/16/17 08:21:22.580 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS:

First report filed on time.

Q1 2017 \$200 - Letter at Ed
 Q2 2017 \$ 10 - \$ 10⁰⁰

RECOMMENDATION:

Client/Employer Activity

Q2 2017

Client Code: 1307
User Name: ULPDX
Email: dferreira@ulpdx.org

Q2 2017 Filing: 7/16/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$10.00

Urban League of Portland
Attn: David Ferreira
10 N Russell Street
Portland, OR 97227

Activity Log - Q2 2017 to Date

04/15/17 01:31:46.800 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:31:01.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:31:00.527 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
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04/26/17 01:30:12.027 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.793 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/01/17 10:12:50.827 - User Successfully Authenticated -
05/01/17 10:14:18.767 - Client Report Submitted - Client Report Submitted for Q1 2017
07/11/17 09:03:31.380 - Admin User Reset Initiated - An admin user reset was initiated.
07/11/17 09:04:58.850 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/11/17 09:05:16.060 - User Successfully Authenticated -
07/11/17 09:06:39.807 - User Profile Updated - User profile updated for Elizabeth Streeter
07/11/17 09:06:52.520 - User Password Reset - The user's password was reset from the "My Profile" page.
07/11/17 09:06:52.630 - User Profile Updated - The user's main profile was updated.
07/11/17 09:06:55.777 - User Profile Updated - The user's main profile was updated.
07/11/17 09:07:09.907 - User Profile Updated - User profile updated for David Ferreira
07/11/17 09:07:34.557 - Admin User Reset Initiated - An admin user reset was initiated.
07/13/17 02:09:59.823 - User Profile Updated - User profile updated for David Ferreira
07/13/17 02:10:12.653 - User Profile Updated - The user's main profile was updated.
07/16/17 01:30:16.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/16/17 07:18:27.870 - User Failed to Authenticate -
07/16/17 07:27:37.820 - User Successfully Authenticated -
07/16/17 08:02:51.537 - User Successfully Authenticated -
07/16/17 08:04:53.650 - User Successfully Authenticated -
07/16/17 08:12:45.510 - Client Report Saved - Client Report Saved for Q2 2017
07/16/17 08:14:14.660 - Client Report Submitted - Client Report Submitted for Q2 2017
07/16/17 08:21:09.127 - User Profile Updated - User profile updated for David Ferreira
07/16/17 08:21:22.580 - User Profile Updated - The user's main profile was updated.



Urban League
OF PORTLAND

July 18, 2017

RECEIVED

SEP 27 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission
3218 Pringle Rd SE, Suite 220
Salem OR 97302-1544

Commissioners,

We write to ask for your discretion to waive penalties related to a tardy quarterly report in April.

It recently pained us to discover this lapse after reviewing a dormant email account from a staff member no longer in our employ. The Urban League of Portland is deeply interested in full compliance with the law and protecting the organization from any potential liabilities. We would have gladly addressed this issue sooner, but only recently became aware of both the accrued fees and a third quarter registration requirement. Therefore, we hope the Commission will consider waiving these fees and penalties.

Thank you again for your important work ensuring the integrity of our government.

Please do not hesitate to contact our office if we can be of further service.

Sincerely,

David D. Ferreira
Chief Operating Officer
Urban League of Portland

10 N. Russell St. Portland OR 97227 • (503) 280-2600 • www.ulpdx.org



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

August 17, 2017

Stanley Barenboim
47632 NW First St.
Oakridge OR 97463

Re: 2016 and 2017 SEI Penalty

Dear Mr. Barenboim:

The written explanation of why your 2016 and 2017 Statement of Economic Interest reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, October 6, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$5,000 penalty for 2016 and \$4,480 for 2017 will be extended to coincide with the October 6th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 6133
User Name: SBarenboim
Email: stanbarenboim@yahoo.com

2017 Filing: 8/15/2017
2017 Penalty: \$4,480.00

Stanley Barenboim
47632 NW First St.
Oakridge, OR 97463

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

06/16/17 02:07:11.483 - User Profile Created - User profile created for Stanley Barenboim by *OGEC staff*
06/16/17 02:07:23.727 - User Profile Created - A new user profile was created.
06/16/17 02:07:23.773 - SEI Assigned to Seat - SEI Assigned to Seat
06/17/17 01:30:13.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:13.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:15.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:13.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:13.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:13.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:14.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:17.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:17.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:13.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 10:42:46.910 - User Profile Updated - The user's main profile was updated.
06/27/17 10:43:00.620 - User Profile Updated - User profile updated for Stanley Barenboim
06/28/17 01:30:13.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/29/17 01:30:13.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/30/17 01:30:12.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/01/17 01:30:59.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/02/17 01:30:12.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/03/17 01:30:14.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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07/05/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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07/11/17 01:30:11.930 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/11/17 01:10:56.470 - User Profile Updated - The user's main profile was updated.
07/11/17 01:11:10.943 - User Profile Updated - User profile updated for Stanley Barenboim
07/12/17 01:30:11.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/13/17 01:30:12.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/14/17 01:30:12.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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07/17/17 01:30:19.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/18/17 01:30:15.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/19/17 01:30:14.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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07/23/17 01:30:13.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/24/17 01:30:17.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/25/17 01:30:15.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/26/17 01:30:13.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/27/17 01:30:12.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/28/17 01:30:12.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/29/17 01:30:11.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/30/17 01:30:13.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/31/17 01:30:14.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2017

FI Code: 6133
User Name: SBarenboim
Email: stanbarenboim@yahoo.com


2017 Filing: 8/15/2017
2017 Penalty: \$4,480.00

Stanley Barenboim
47632 NW First St.
Oakridge, OR 97463

08/01/17 01:30:11.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/01/17 08:55:03.300 - User Profile Updated - The user's main profile was updated.
08/01/17 08:55:19.130 - User Profile Updated - User profile updated for Stanley Barenboim
08/02/17 01:30:12.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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08/15/17 01:30:13.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/15/17 10:51:20.480 - Admin User Reset Initiated - An admin user reset was initiated.
08/15/17 10:53:53.623 - Admin User Password Reset Completed - A user reset their password using an admin reset.
08/15/17 10:54:19.003 - User Successfully Authenticated -
08/15/17 10:54:31.663 - User Profile Updated - User profile updated for Stanley Barenboim
08/15/17 10:54:44.967 - User Password Reset - The user's password was reset from the "My Profile" page.
08/15/17 10:54:45.117 - User Profile Updated - The user's main profile was updated.
08/15/17 10:55:25.237 - User Profile Updated - The user's main profile was updated.
08/15/17 10:55:43.240 - User Profile Updated - User profile updated for Stanley Barenboim
08/15/17 10:59:47.950 - Late SEI Report Filed - SEI Report Filed Late for year 2016
08/15/17 11:01:42.007 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: He did not create his account in 2016. His original appointed date 4/07/2016. Kathy created his account 6/16/17 and assisted with his filing for 2016 and 2017.

RECOMMENDATION:

2016 - Letter to Ed
2017 - 3450
No consent 

SEI Activity

2016

SEI Code: 6133
User Name: SBarenboim
Email: stanbarenboim@yahoo.com

2016 Filing: 8/15/2017
2016 Penalty: \$ 5,000.00

Stanley Barenboim
47632 NW First St.
Oakridge, OR 97463

2016 Offices Held

OKRIDGE (City), PLANNING COMMISSION - Appointed 04/07/16

*EFS wouldn't
allow me to
add 2016.*

Activity Log - 2016 to Date

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07/30/17 01:30:13.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/31/17 01:30:14.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2016

SEI Code: 6133
User Name: SBarenboim
Email: stanbarenboim@yahoo.com

2016 Filing: 8/15/2017
2016 Penalty: \$ 5,000.00

Stanley Barenboim
47632 NW First St.
Oakridge, OR 97463

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08/15/17 10:59:47.950 - Late SEI Report Filed - SEI Report Filed Late for year 2016
08/15/17 11:01:42.007 - Late SEI Report Filed - SEI Report Filed Late for year 2017

DANIEL Kathy * OGEC

RECEIVED
AUG 16 2017
OREGON GOVERNMENT
ETHICS COMMISSION

From: stan barenboim <stanbarenboim@yahoo.com>
Sent: Wednesday, August 16, 2017 10:14 AM
To: DANIEL Kathy * OGEC
Subject: Fw: Statement of Economic Interest Receipt of Late Filing

On Tuesday, August 15, 2017 11:37 AM, stan barenboim <stanbarenboim@yahoo.com> wrote:

I wasn't aware that there was an Ethics Commission. It was never explained to me when I was appointed to the Planning Commission. My E-Mail address, my mailing address, and my phone number have all changed since I started. Jackie Taylor, at Oakridge City Hall knew of the changes. Not knowing of you I thought I was covered. Until today I didn't know of you. I sorry for any problems this may have caused.

Stanley M. Barenboim

On Tuesday, August 15, 2017 11:02 AM, "ogec.notification@egov.com" <ogec.notification@egov.com> wrote:

Dear Stanley Barenboim:

Oregon law, pursuant to ORS 244.050, requires specified public officials who hold office on April 15 of each year to complete an Annual Verified Statement of Economic Interest (SEI) with the Oregon Government Ethics Commission (Commission). An email was sent to you on 3/15/2017 stating that your required report was due to be filed with the Commission no later than April 15, 2017. You were also reminded on 4/21/2017 that you had until no later than to submit your report without penalty.

Your late filing was received on 8/15/2017. Accordingly, you have accrued a penalty of \$2,800.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 9/14/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.

Please remember to visit the Electronic Filing System at <http://apps.oregon.gov/OGEC/EFs/Home/SignIn> to update our profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

Please do not reply to this email as replies will not be delivered to the Commission.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

August 25, 2017

Herman Ortmann
920 Brooks Rd.
Imbler OR 97841

Re: 2017 SEI Penalty

Dear Mr. Ortmann:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, October 6, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$4730 penalty will be extended to coincide with the October 6th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 5166
User Name: hortmann
Email: herman.ortmann@gmail.com

2017 Filing: 8/23/2017
2017 Penalty: \$4,730.00

Herman Ortmann
920 Brooks Rd
Imbler, OR 97841

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:43.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:17.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 03:54:19.233 - User Profile Updated - The user's main profile was updated.
05/12/17 03:54:29.790 - User Profile Updated - User profile updated for Herman Ortmann
05/14/17 01:30:13.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:16.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:34.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/23/17 01:30:17.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/24/17 01:30:13.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/25/17 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/26/17 01:30:13.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/27/17 01:30:20.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/28/17 01:30:12.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/29/17 01:30:15.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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06/02/17 01:30:13.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/03/17 01:30:12.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/04/17 01:30:13.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/05/17 01:30:15.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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06/13/17 01:30:12.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
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06/17/17 01:30:13.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/18/17 01:30:13.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/19/17 01:30:15.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/20/17 01:30:15.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/21/17 01:30:13.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:12.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/23/17 01:30:13.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/24/17 01:30:14.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/25/17 01:30:16.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/26/17 01:30:17.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/27/17 01:30:13.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/28/17 01:30:12.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/29/17 01:30:12.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

SEI Activity

2017

FI Code: 5166
Firm Name: hortmann
Email: herman.ortmann@gmail.com

2017 Filing: 8/23/2017
2017 Penalty: \$4,730.00

Herman Ortmann
920 Brooks Rd
Imbler, OR 97841

06/30/17 01:30:12.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/01/17 01:30:58.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/02/17 01:30:12.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/03/17 01:30:14.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/04/17 01:30:12.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/05/17 01:30:12.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/06/17 01:30:13.067 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/07/17 01:30:12.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/08/17 01:30:12.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/09/17 01:35:19.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/10/17 01:30:11.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/11/17 01:30:11.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/12/17 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/13/17 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/14/17 01:30:11.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/15/17 01:30:11.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/16/17 01:30:20.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/17/17 01:30:18.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/18/17 01:30:15.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/19/17 01:30:14.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/20/17 01:30:14.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/21/17 01:30:13.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/22/17 01:30:16.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/23/17 01:30:13.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/24/17 01:30:17.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/25/17 01:30:15.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/26/17 01:30:13.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/27/17 01:30:12.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/28/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/29/17 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/30/17 01:30:12.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
07/31/17 01:30:14.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/01/17 01:30:11.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/02/17 01:30:12.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/02/17 11:29:37.223 - User Profile Updated - The user's main profile was updated.
08/02/17 11:29:54.177 - User Profile Updated - User profile updated for Herman Ortmann
08/03/17 01:30:11.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/04/17 01:30:11.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/05/17 01:30:51.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/06/17 01:30:11.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/07/17 01:30:13.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/08/17 01:30:11.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/09/17 01:30:11.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/10/17 01:30:13.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/11/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/12/17 01:30:11.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/13/17 01:30:14.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/14/17 01:30:13.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/15/17 01:30:13.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/16/17 01:30:11.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/17/17 01:30:13.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/18/17 01:30:11.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/19/17 01:30:12.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/20/17 01:30:10.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/21/17 01:30:13.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/22/17 01:30:11.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/23/17 01:30:11.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
08/23/17 11:40:49.623 - Late SEI Report Filed - SEI Report Filed Late for year 2017

SEI Activity

2017

SEI Code: 5166
User Name: hortmann
Email: herman.ortmann@gmail.com

2017 Filing: 8/23/2017
2017 Penalty: \$4,730.00

Herman Ortmann
920 Brooks Rd
Imbler, OR 97841

- 08/23/17 11:43:58.063 - Admin User Reset Initiated - An admin user reset was initiated.
- 08/23/17 11:45:31.723 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 08/23/17 11:45:51.893 - User Successfully Authenticated -
- 08/23/17 11:46:22.210 - User Password Reset - The user's password was reset from the "My Profile" page.
- 08/23/17 11:46:22.463 - User Profile Updated - The user's main profile was updated.
- 08/23/17 11:46:41.170 - User Profile Updated - User profile updated for Herman Ortmann
- 08/23/17 11:50:36.113 - Admin User Reset Initiated - An admin user reset was initiated.

PREVIOUS VIOLATIONS: Filed 2016 late, still owes \$150.

RECOMMENDATION:

*\$500^{ea} if all paid w 30 days
or Total penalty
No contest (RP)*

SEI Activity 2016

SEI Code: 5166
User Name: hortmann
Email: herman.ortmann@gmail.com

2016 Filing: 8/23/2017
2016 Penalty: \$150.00

Herman Ortmann
920 Brooks Rd
Imbler, OR 97841

2016 Offices Held

No data to report.

Activity Log - 2016 to Date

07/01/16 08:01:04.007 - User Profile Created - User profile created for Herman Ortmann
07/01/16 08:01:04.240 - User Profile Created - A new user profile was created.
07/01/16 08:01:04.303 - SEI Assigned to Seat - SEI Assigned to Seat
07/05/16 07:03:55.200 - User Failed to Authenticate -
07/05/16 07:04:09.303 - User Failed to Authenticate -
07/05/16 07:04:34.110 - User Successfully Authenticated -
07/05/16 07:08:53.890 - Late SEI Report Filed - SEI Report Filed Late for year 2016
05/07/17 01:30:43.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
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05/12/17 02:20:03.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 03:54:19.233 - User Profile Updated - The user's main profile was updated.
05/12/17 03:54:29.790 - User Profile Updated - User profile updated for Herman Ortmann
05/14/17 01:30:13.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
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05/17/17 01:30:13.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
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05/19/17 01:30:16.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
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06/21/17 01:30:13.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
06/22/17 01:30:12.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

RECEIVED

AUG 24 2017

OREGON GOVERNMENT
ETHICS COMMISSION

August 23, 2017

Government Ethics Commission
3218 Pringle Rd. SE, STE 220
Salem OR 97302-1544

To Whom It May Concern,

I am writing in regards to the annual verified statement of economic interest report that was due on April 15, 2017. I would like to plead my case to you and explain what happened and why I was delinquent in responding to the request.

The City of Imbler has hired a new city recorder this year and in the past the recorder has always let the council members know of the upcoming report and when it is due and has provided reminders when needed. I understand that it is not the sole responsibility of the recorder to make sure this is done but in the past it has been the standard operating procedure to bring it up in council meetings. This has always served as the original notification.

I received a letter on August 18, 2017 stating that I had accrued a penalty of \$4,480.00 due to the fact that I had not completed the required report within the time frame provided. In the letter it states that "numerous attempts have been made by calling and emailing the addresses that are on file." After speaking with Kathy Daniel on August 23, 2017 it has come to our attention that the email on file is not my current email address. I now use the email of herman.ortmann@gmail.com and have been using this email for over a year. This is the email address that I am able to access with my place of employment. I am in the minority when I say that I do not have a computer at home so I had to change my email address for pure convenience. Another issue is that the letter stated that there have been numerous attempts to reach me by phone and I have never received any phone calls in regards to this situation and there has not been an interruption of any kind in my phone service. I don't know if the correct phone number is on file but just in case, the number you can reach me at is 541-786-9131.

I apologize for not being in compliance with the requirements but please understand that it was not intentional and I would not have ignored these requests if I had been aware of them. I would also ask that you consider waiving the penalty of \$4,480.00. I am on a limited income with the current economic state we are in. More specifically, I have not had a wage increase in over three years and it has been very difficult to keep up with everyday expenses as it is now.

I appreciate your attention to this matter and your consideration. I apologize for this misunderstanding and now that all of my current information is up to date I do not foresee this being a problem again.

Respectfully,

Herman Ortmann

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
)

KATHERINE SCHACHT)
)
)
)
)
_____)

STIPULATED FINAL ORDER

CASE NO. 16-144EMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Katherine Schacht.

2. JURISDICTION: At all material times, Katherine Schacht was a Board Director (Director) for the Emerald People's Utility District (District). As the governing body, the members of the District are subject to Government Ethics law under ORS Chapter 244.

3. STIPULATED FACTS:
 - A. On 1/14/2015, Ms. Schacht, as a Director for the District, voted for a motion designed to lift a censure against herself. The motion would allow the receipt of per diem and expenses regarding certain events in her role as Director for the District.

 - B. Ms. Schacht, before voting in the affirmative to remove the sanction against her, did not announce a conflict of interest and refrain from voting.

- C. ORS 244.040(2), prohibited use of office, states that a public official may not use or attempt to use official position or office to obtain financial gain or avoidance of financial detriment for the public official if the financial gain or avoidance of financial detriment would not otherwise be available but for the public official's holding of the official position or office.
- D. ORS 244.020(1) defines an actual conflict of interest and ORS 244.020(13) defines a potential conflict of interest. A public official is met with either an actual or potential conflict of interest when participating in an official capacity, in any action, decision or recommendation if the effect would or could be to the private pecuniary benefit or detriment of the public official.
- E. ORS 244.120(2)(a) states that the public official must announce publicly the nature of the potential conflict before taking any action. ORS 244.120(2)(b) provides that an elected public official, when met with an actual conflict of interest, must announce publicly the nature of the actual conflict and refrain from any participation as a public official in any discussion or debate on the issue out of which the actual conflict arose or from voting on the issue.
- F. As member of the District, Ms. Schacht was a public official. On 1/14/2015, when Ms. Schacht was met with a conflict of interest, she did not announce her conflict and abstain from voting.
- G. ORS 244.350(1)(a) authorizes the Commission to assess civil penalties of up to \$5,000 for each of the aforementioned violations of ORS 244, Government Ethics law.

- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.040 and ORS 244.120.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/4/2016, the Commission moved to open an investigation after considering the information developed in the preliminary review phase.
- B. Ms. Schacht indicated that she wishes to conclude this matter with the Commission by agreeing to the terms and conditions in this order without completing the investigative phase.
- C. In lieu of a civil penalty, as authorized by ORS 244.350, Ms. Schacht will receive a letter of education to settle and compromise this matter.
- D. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Katherine Schacht within the scope of the above-referenced proceedings.
- E. Katherine Schacht will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

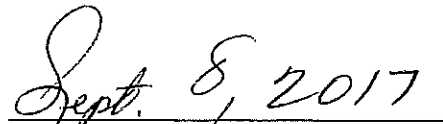
This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Katherine Schacht agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Katherine Schacht agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.


Katherine Schacht


Date

Daniel T. Golden, Chair
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of

PENNY JORDAN

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STIPULATED FINAL ORDER

CASE NO. 16-145EMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Penny Jordan.

2. JURISDICTION: At all material times, Penny Jordan was a Board Director (Director) for the Emerald People's Utility District (District). As the governing body, the members of the District are subject to Government Ethics law under ORS Chapter 244.

3. STIPULATED FACTS:
 - A. On 1/14/2015, Ms. Jordan, as a Director for the District, voted against a motion designed to lift a censure against herself. The motion would allow the receipt of per diem and expenses regarding certain events in her role as Director for the District.

 - B. Ms. Jordan, before voting in the negative to remove the sanction against her, did not announce a conflict of interest and refrain from voting.

- C. ORS 244.040(2), prohibited use of office, states that a public official may not use or attempt to use official position or office to obtain financial gain or avoidance of financial detriment for the public official if the financial gain or avoidance of financial detriment would not otherwise be available but for the public official's holding of the official position or office.
- D. ORS 244.020(1) defines an actual conflict of interest and ORS 244.020(13) defines a potential conflict of interest. A public official is met with either an actual or potential conflict of interest when participating in an official capacity, in any action, decision or recommendation if the effect would or could be to the private pecuniary benefit or detriment of the public official.
- E. ORS 244.120(2)(a) states that the public official must announce publicly the nature of the potential conflict before taking any action. ORS 244.120(2)(b) provides that an elected public official, when met with an actual conflict of interest, must announce publicly the nature of the actual conflict and refrain from any participation as a public official in any discussion or debate on the issue out of which the actual conflict arose or from voting on the issue.
- F. As member of the District, Ms. Jordan was a public official. On 1/14/2015, when Ms. Jordan was met with a conflict of interest, she did not announce her conflict and abstain from voting.
- G. ORS 244.350(1)(a) authorizes the Commission to assess civil penalties of up to \$5,000 for each of the aforementioned violations of ORS 244, Government Ethics law.

- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.040 and ORS 244.120.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 11/4/2016, the Commission moved to open an investigation after considering the information developed in the preliminary review phase.
- B. Ms. Jordan indicated that she wishes to conclude this matter with the Commission by agreeing to the terms and conditions in this order without completing the investigative phase.
- C. In lieu of a civil penalty, as authorized by ORS 244.350, Ms. Jordan will receive a letter of education to settle and compromise this matter.
- D. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Penny Jordan within the scope of the above-referenced proceedings.
- E. Penny Jordan will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Penny Jordan agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Penny Jordan agrees to waive ^{her} ~~his~~ right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Penny Jordan
Penny Jordan

09/07/2017
Date

Daniel T. Golden, Chair
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Laura Roach)
_____)

STIPULATED FINAL ORDER

CASE NO. 17-102EDG

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Laura Roach.

2. JURISDICTION: At all material times, Laura Roach was Director of Secondary/Post Secondary Transitions for the Oregon Department of Education. Ms. Roach was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:
 - A. Ms. Roach chose a training workshop, directed her staff to attend the 3 day training held in May of 2016, and approved Department of Education payments to the business conducting the training. The training was conducted by a business with which her daughter was associated, and her daughter was one of the compensated trainers. Ms. Roach verbally notified her supervisor that her daughter may be involved in the training, but did not make a written disclosure of the conflict and ask that her supervisor dispose of the conflict.

 - B. ORS 244.040(1) prohibits any public official from using their official position or office to obtain financial gain for themselves, their relative, or a business

with which they or their relative is associated.

- C. When met with the prospect of taking an official action which would or could result in a financial impact to themselves, their relative, or a business with which they or their relative is associated, public officials such as Ms. Roach, are required by ORS 244.120(1) to notify their supervisor in writing of the nature of their conflict and ask that the conflict be removed.
- D. The actions described in paragraph (A) above constituted a violation of ORS 244.040(1).
- E. Ms. Roach did not disclose a conflict of interest prior to taking the action described in paragraph (A).
- F. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 per violation.
- G. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.040(1) and ORS 244.120(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On August 25, 2017, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The 8/25/17 action was a preliminary finding of violations of Oregon Government Ethics law, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding

a violation of Oregon Government Ethics law by Laura Roach.

- B. In lieu of a civil penalty, as authorized by ORS 244.350, Ms. Roach will receive a letter of education in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Laura Roach within the scope of the above-referenced proceedings.
- D. Laura Roach will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

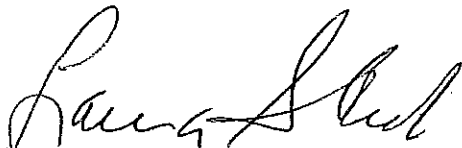
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

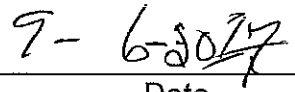
By signing this agreement, Laura Roach agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Laura Roach agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Laura Roach



Date

Daniel T. Golden, Chairperson
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
) **STIPULATED FINAL ORDER**
 JERRY WILLIAMS)
) **CASE NO. 17-143EMT**
)
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 _____)

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Jerry Williams.

2. JURISDICTION: At all material times, Jerry Williams was a Board Member of the Lebanon Community Schools District Board of Directors (District). As the governing body, the members of the District are subject to Government Ethics law under ORS Chapter 244.

3. STIPULATED FACTS:
 - A. Mr. Williams is a Board Member of the District. Amanda Plummer is Mr. Williams' daughter.

 - B. On 6/11/2015 and 5/26/2016, with Ms. Plummer being among others named in a consent agenda, Mr. Williams voted in the affirmative to hire/promote Ms. Plummer.

- C. In each instance, prior to voting, Mr. Williams contacted the Oregon School Boards Association (OSBA) for guidance. Mr. Williams was told by the OSBA that the "class exception" applied and that Mr. Williams voting for Ms. Plummer would not violate Ethics law.
- D. ORS 244.020(1) states that an actual conflict of interest means any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which would be to the private pecuniary benefit or detriment of the person's relative; ORS 244.020(16) defines a relative to include the child of the public official.
- E. ORS 244.020(13)(b) states that a potential conflict of interest does not apply to any action in the person's official capacity which would affect to the same degree a class consisting of all inhabitants of the state, or a smaller class consisting of an industry, occupation or other group including one of which or in which the person, or the person's relative or business with which the person or the person's relative is associated, is a member or is engaged. That exception also applies to an actual conflict of interest. ORS 244.020(1).
- F. Only the Oregon Government Ethics Commission has the authority to determine the existence of a class or the size of a class for the purpose of compliance with ORS Chapter 244. The Commission's determination of a class provides an exception to the public official's duty to comply with the conflicts of interest provisions of ORS 244.120. (Commission Staff Advisory Opinion 14S-001)
- G. Pursuant to ORS 244.177(1)(a), a public official may not appoint, employ or promote a relative or member of the household to a position with the public body that the public official serves or over which the public official exercises jurisdiction or control, unless the public official complies with the conflict of interest requirements of this chapter. Additionally, a public official may not

participate as a public official in any interview, discussion or debate regarding the appointment, employment or promotion of a relative or member of the household to a position with the public body that the public official serves or over which the public official exercises jurisdiction or control. ORS 244.177(1)(b).

- H. As member of the Board, Mr. Williams was a public official. On the two occasions listed above, Mr. Williams voted to approve the hiring of his daughter, Amanda Plummer.
- I. ORS 244.350(1)(a) authorizes the Commission to assess civil penalties of up to \$5,000 for each of the aforementioned violations of ORS 244, Government Ethics law.
- J. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.120 and ORS 244.177.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 6/2/2017, the Commission moved to open an investigation after considering the information developed in the preliminary review phase.
- B. Mr. Williams, cooperating through his attorney, indicated that he wishes to conclude this matter with the Commission by agreeing to the terms and conditions in this order without completing the investigative phase.
- C. In lieu of a civil penalty, as authorized by ORS 244.350, Mr. Williams will

receive a letter of education to settle and compromise this matter.

- D. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Jerry Williams within the scope of the above-referenced proceedings.
- E. Jerry Williams will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

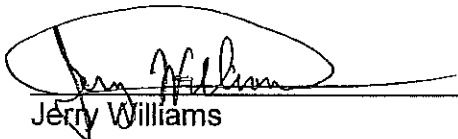
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Jerry Williams agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Jerry Williams agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Jerry Williams

Date 9/12/2017

Daniel T. Golden, Chair
Oregon Government Ethics Commission

Date

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Thia Estes)

STIPULATED FINAL ORDER

CASE NO. 17-155SMT

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Thia Estes.

2. JURISDICTION: At all material times, Thia Estes was the Mayor for the City of Hubbard. Thia Estes was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS

A. As an elected city official, Thia Estes was required by ORS 244.050(1)(j) to file an annual verified Statement of Economic Interest (SEI) on or before April 15, 2017, disclosing her financial interests for the preceding calendar year of 2016.

B. ORS 244.060(1) requires that an SEI filed by a public official must list the names of all positions as officer of a business and business directorships held by the public official or a member of the household of the public official during the preceding calendar year, and the principal address and a brief description of each business.

- C. According to the Business Registry on the Oregon Secretary of State's web page, Thia R. Estes is listed as a manager of an active business entity in Oregon. That business is called Indago Research and Strategic Services (IRIS), LLC and has a start date of 7/8/2016.
- D. Ms. Estes' 2017 SEI, filed with the Commission on 4/10/2017, did not list IRIS under Section 1 as required by ORS 244.060(1).
- E. On 6/5/2017, Ms. Estes, after receiving notice from the Commission that this information was not included in her 2017 SEI, amended her original SEI to reflect the above business association.
- F. The action described in paragraph (D) above constituted one (1) violation of ORS 244.060(1).
- G. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 for each violation.
- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 244.060(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 7/14/17, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of this matter. Ms. Estes indicated that she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. In lieu of a civil penalty, as authorized by ORS 244.350, Ms. Estes will receive a letter of education in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Thia Estes within the scope of the above-referenced proceedings.
- D. Thia Estes will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Thia Estes agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Thia Estes agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Thia Estes

9/11/17

Date

Daniel T. Golden, Chairperson
Oregon Government Ethics Commission

Date

to Whom It May Concern,

Regarding Case no. 17-155SMT, please include my statement with the Stipulated Final Order.

As a newly elected official, I did my best in good faith to follow the instructions on the electronic filing site to the letter. Because the questions were not clear to me, I clicked on the "?" and received further explanation.

When I filled out my final answers, it was because I was under the impression, because of the explanations on the site, that it was solely a business in 2016 that made an income or brought in money. Since our business was not fully operational and had done no business, I did not include it.

Now that I received my instruction from OGEC and have read the OIRS, I will be sure to file correctly in the future.

Thank you for your time,

Thia Estes

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BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)

Daniel Estes)

) **STIPULATED FINAL ORDER**

) **CASE NO. 17-156SMT**

1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Daniel Estes.

2. JURISDICTION: At all material times, Daniel Estes was a Planning Commission Member for the City of Hubbard. Daniel Estes was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:

A. As an elected city official, Daniel Estes was required by ORS 244.050(1)(j) to file an annual verified Statement of Economic Interest (SEI) on or before April 15, 2017, disclosing his financial interests for the preceding calendar year of 2016.

B. ORS 244.060(1) requires that an SEI filed by a public official must list the names of all positions as officer of a business and business directorships held by the public official or a member of the household of the public official during the preceding calendar year, and the principal address and a brief description of each business.

- C. According to the Business Registry on the Oregon Secretary of State's web page, Daniel Estes is listed as a manager of an active business entity in Oregon. That business is called Indago Research and Strategic Services (IRIS), LLC and has a start date of 7/8/2016.
- D. Mr. Estes' 2017 SEI, filed with the Commission on 4/24/2017, did not list IRIS under Section 1 as required by ORS 244.060(1).
- E. On 6/5/2017, Mr. Estes, after receiving notice from the Commission that this information was not included in his 2017 SEI, amended his original SEI to reflect the above business association.
- F. The action described in paragraph (D) above constituted one (1) violation of ORS 244.060(1).
- G. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 for each violation.
- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 244.060(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On 7/14/17, the Commission considered information in the preliminary review phase and acted to find cause to initiate an investigation of this matter. Mr. Estes indicated that he wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.

- B. In lieu of a civil penalty, as authorized by ORS 244.350, Mr. Estes will receive a letter of education in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Daniel Estes within the scope of the above-referenced proceedings.
- D. Daniel Estes will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

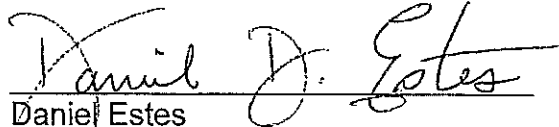
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

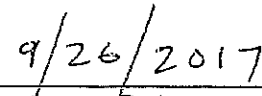
By signing this agreement, Daniel Estes agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Daniel Estes agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Daniel Estes



Date

Daniel T. Golden, Chairperson
Oregon Government Ethics Commission

Date