



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 2, 2017

Doug Bryson  
2003 SW Coast Ave.  
Lincoln City OR 97367

Re: 2017 SEI Penalty

Dear Mr, Bryson:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3630 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 4865  
User Name: Dbryson  
Email: dougebryson@gmail.com

2017 Filing: 8/1/2017  
2017 Penalty: \$3,630.00

Douglas Bryson  
2003 SW Coast Avenue  
Lincoln City, OR 97367

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:40.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:14.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/10/17 01:56:55.553 - User Profile Updated - User profile updated for Douglas Bryson  
05/10/17 01:57:06.393 - User Profile Updated - The user's main profile was updated.  
05/11/17 01:30:16.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:03.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:12.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:15.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:13.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:13.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:15.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:30.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:13.940 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:16.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:13.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:18.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:11.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:15.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:12.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:19.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:12.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:12.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:15.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:11.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:11.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:12.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:11.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:12.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:11.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:12.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:14.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:12.977 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:12.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:12.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:12.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:14.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:14.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:12.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:13.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:15.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:16.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 02:02:48.987 - User Profile Updated - The user's main profile was updated.  
06/27/17 02:03:02.697 - User Profile Updated - User profile updated for Douglas Bryson

# SEI Activity

2017

T Code: 4865  
User Name: Dbryson  
Email: dougebryson@gmail.com

2017 Filing: 8/1/2017  
2017 Penalty: \$3,630.00

Douglas Bryson  
2003 SW Coast Avenue  
Lincoln City, OR 97367

06/28/17 01:30:12.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:12.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:11.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:11.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:16.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:13.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:17.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:13.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:12.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.977 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 10:03:38.040 - User Profile Updated - The user's main profile was updated.  
08/01/17 10:03:54.660 - User Profile Updated - User profile updated for Douglas Bryson  
08/01/17 12:08:05.667 - Late SEI Report Filed - SEI Report Filed Late for year 2017  
08/01/17 12:10:43.057 - Admin User Reset Initiated - An admin user reset was initiated.  
08/01/17 12:11:03.953 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/01/17 12:11:27.663 - User Successfully Authenticated -  
08/01/17 12:11:53.647 - User Password Reset - The user's password was reset from the "My Profile" page.  
08/01/17 12:11:53.730 - User Profile Updated - The user's main profile was updated.  
08/01/17 12:12:10.143 - User Profile Updated - User profile updated for Douglas Bryson  
08/01/17 12:12:48.357 - Admin User Reset Initiated - An admin user reset was initiated.  
08/01/17 12:39:40.927 - Admin User Password Reset Completed - A user reset their password using an admin reset.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of E/I*  
*(18)*

DANIEL Kathy \* OGEC

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**From:** douglas bryson <dougebryson@gmail.com>  
**Sent:** Tuesday, August 01, 2017 2:32 PM  
**To:** OGEC Mail \* OGEC  
**Subject:** Douglas Bryson Planning Commissioner Lincoln City

RECEIVED

AUG 01 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

To Whom It May Concern,

Please excuse my penalties for my late filing OGEC. The notices for my filings were send to the wrong email address and I believed that it was already taken care. Please acknowledged you have received this email.

Thank you, Doug



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 4, 2017

Mark Orr  
PO Box 375  
Lyons OR 97358

Re: 2017 SEI Penalty

Dear Mr. Orr:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3730 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 4233  
User Name: markorr63  
Email: barbaraorr64@yahoo.com

2017 Filing: 8/3/2017  
2017 Penalty: \$3,730.00

MARK ORR  
PO BOX 375  
LYONS, OR 973580375

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:39.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:13.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:15.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:02.600 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 03:45:01.553 - User Profile Updated - The user's main profile was updated.  
05/12/17 03:45:11.433 - User Profile Updated - User profile updated for MARK ORR  
05/14/17 01:30:11.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:12.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:12.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:15.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:28.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:15.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:16.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:11.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:12.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:19.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:12.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:11.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:11.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:11.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:12.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:11.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:12.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:12.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:12.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:12.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:14.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:14.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:12.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:13.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:15.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:16.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:12.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:12.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:11.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

FI Code: 4233  
User Name: markorr63  
Email: barbaraorr64@yahoo.com


2017 Filing: 8/3/2017  
2017 Penalty: \$3,730.00

MARK ORR  
PO BOX 375  
LYONS, OR 973580375

06/30/17 01:30:11.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:11.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:11.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 10:21:06.353 - User Profile Updated - User profile updated for MARK ORR  
07/25/17 10:21:19.383 - User Profile Updated - The user's main profile was updated.  
07/26/17 01:30:12.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:11.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:10:39.903 - Admin User Reset Initiated - An admin user reset was initiated.  
08/02/17 01:11:34.960 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/02/17 01:13:07.307 - User Successfully Authenticated -  
08/02/17 01:13:30.300 - User Profile Updated - The user's main profile was updated.  
08/02/17 01:13:47.373 - User Profile Updated - User profile updated for MARK ORR  
08/02/17 01:32:58.100 - Admin User Reset Initiated - An admin user reset was initiated.  
08/02/17 02:22:50.810 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/02/17 02:23:32.100 - User Successfully Authenticated -  
08/03/17 01:30:11.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 07:28:44.373 - User Successfully Authenticated -  
08/03/17 07:41:25.637 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter to ED*  


**HUNTER David \* OGEC**

---

**From:** Mark Orr <markorr63@yahoo.com>  
**Sent:** Thursday, August 03, 2017 8:01 PM  
**To:** OGEC Mail \* OGEC

RECEIVED  
AUG 04 2017  
CIVIL SERVICE COMMISSION  
ETHICS COMMISSION

To Whom It May Concern:

I apologize for my report being late. I thought I had already filled this report out. Once i received a phone notice I filled the report out ASAP. Could you please wave the fees? I am very sorry.

Thank you,

Mark E. Orr



Virus-free. [www.avg.com](http://www.avg.com)





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 3, 2017

David Lougee  
101 Naches Ave.  
Umatilla OR 97882

Re: 2017 SEI Penalty

Dear Mr. Lougee:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3650 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

## 2017

SEI Code: 3388  
User Name: dlougee  
Email: lougeed@gmail.com

2017 Filing: 8/2/2017  
2017 Penalty: \$3,650.00

DAVID LOUGEE  
101 Naches Ave  
Umatilla, OR 97882

## 2017 Offices Held

UMATILLA (City), COUNCIL - Appointed 01/01/15

## Activity Log - 2017 to Date

05/07/17 01:30:37.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:13.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:14.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 09:39:32.247 - Seat Vacated - Seat Vacated  
05/12/17 02:20:02.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:11.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:12.150 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:14.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:14.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:25.340 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:13.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:14.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:14.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/23/17 08:32:10.493 - User Profile Updated - User profile updated for DAVID LOUGEE  
05/23/17 08:32:22.163 - User Profile Updated - The user's main profile was updated.  
05/24/17 01:30:12.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:13.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:11.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:11.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:18.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:12.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:11.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:10.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:10.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:12.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:11.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:11.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:11.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:11.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:14.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:14.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:12.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:11.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:13.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:14.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:15.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:11.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

SEI Code: 3388  
User Name: dlougee  
Email: lougeed@gmail.com

2017 Filing: 8/2/2017  
2017 Penalty: \$3,650.00

DAVID LOUGEE  
101 Naches Ave  
Umatilla, OR 97882

06/29/17 01:30:11.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:57.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:11.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:11.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:10.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:10.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:13.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:12.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:11.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 09:48:29.453 - User Failed to Authenticate -  
08/02/17 09:50:59.343 - User Successfully Authenticated -  
08/02/17 10:37:28.150 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter LEEJ  
P*

Statement on Not Filing 2017 SEI Report on Time

RECEIVED  
AUG 02 2017  
ETHICS COMMISSION

Ethics Commission:

I resigned from the Umatilla City Council on April 15, 2017 due to health and personal issues. Because that was the deadline for filing the SEI report, I believed that I wouldn't have to do so for 2017. While I understand now that that was erroneous, I didn't know it at the time.

Soon after, I began receiving daily emails from the Ethics Commission stating that I needed to file the SEI and that fines would begin to accrue. I thought that once my resignation information was received that I would no longer receive the notices. When this didn't happen, I contacted the Ethics Commission office on May 11. I shared with them the fact that I was no longer on the city council, and I requested that the daily email notices be stopped. I received the following response from Kathy Daniel:

I am so sorry for the confusion. Our system no longer has you on the council and I have removed the erroneous \$30 penalty. I apologize for the error.

With that response I assumed that the matter was taken care of. But the daily emails continued, and so I emailed again on May 21, and got the following response from David Hunter:

I have taken this situation to our manager who will contact our system developer to see why this has happened. I appreciate you letting us know.

Again, I thought that all was taken care of and that I need not do anything further. After that, even though I continued to get the daily emails, I assumed (erroneously) that it was just a computer thing, and that it would eventually be cleaned up.

Finally, I receive an email from David Hunter, yesterday (August 1), indicating that I did need to file, regardless of when I submitted my resignation. As far as I am aware, this was the first time that I was made aware of this fact.

Today, I am submitting my SEI form for 2017. I hope that the above explanation, while not an excuse, will let you know why I didn't submit the form earlier, and I would ask that you dismiss the fines that have accrued. I apologize for the misunderstanding, and hope that you will understand that this was in no way intentional.

Please let me know if you need any further information from me.

Thank you,

David Lougee



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 3, 2017

Krista Peterson  
125 Tracy Lane  
Phoenix OR 97535

Re: 2017 SEI Penalty

Dear Ms. Peterson:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3730 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 5843  
User Name: kristalp71  
Email: kristalp71@hotmail.com

2017 Filing: 8/3/2017  
2017 Penalty: \$3,730.00

KRISTA PETERSON  
125 Tracy Ln  
Phoenix, OR 97535

## 2017 Offices Held

PHOENIX (City), PLANNING COMMISSION - Appointed 01/01/17

## Activity Log - 2017 to Date

03/31/17 09:44:41.843 - User Profile Created - A new user profile was created.  
03/31/17 09:44:41.890 - SEI Assigned to Seat - SEI Assigned to Seat  
03/31/17 09:44:49.623 - User Profile Created - User profile created for KRISTA PETERSON  
05/05/17 03:39:51.423 - User Profile Updated - User profile updated for KRISTA PETERSON  
05/05/17 03:40:02.347 - User Profile Updated - The user's main profile was updated.  
05/07/17 01:30:44.220 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:15.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:18.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:04.027 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:13.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:15.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:13.720 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:13.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:17.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:36.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:14.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:16.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:17.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:13.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:12.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:13.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:20.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:12.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:15.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:13.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:13.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:20.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:14.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:12.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:13.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:15.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:12.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:12.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:12.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:11.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:13.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:12.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:12.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:15.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:13.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:13.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:13.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:13.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:15.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:15.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:13.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:13.067 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:13.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:14.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:16.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:17.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

FI Code: 5843  
User Name: kristalp71  
Email: kristalp71@hotmail.com

2017 Filing: 8/3/2017  
2017 Penalty: \$3,730.00

KRISTA PETERSON  
125 Tracy Ln  
Phoenix, OR 97535

06/27/17 01:30:13.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:13.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:13.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:12.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.977 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:12.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:14.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:12.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:13.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:12.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:11.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:11.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:20.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:15.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:14.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:13.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:16.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:13.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:17.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:15.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:13.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 08:03:26.527 - User Successfully Authenticated -  
07/27/17 01:30:12.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 09:49:42.510 - User Successfully Authenticated -  
07/28/17 01:30:12.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:14.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:12.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 02:57:20.237 - Admin User Reset Initiated - An admin user reset was initiated.  
08/02/17 04:45:58.533 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/02/17 04:46:01.897 - User Successfully Authenticated -  
08/03/17 01:30:11.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 10:57:02.057 - User Failed to Authenticate -  
08/03/17 10:57:10.703 - User Failed to Authenticate -  
08/03/17 11:14:04.203 - User Successfully Authenticated -  
08/03/17 11:23:05.583 - Late SEI Report Filed - SEI Report Filed Late for year 2017  
08/03/17 11:23:39.947 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter to Ed*  
*(PS)*

**HUNTER David \* OGEC**

---

**From:** Krista <kristalp71@hotmail.com>  
**Sent:** Thursday, August 03, 2017 11:27 AM  
**To:** OGEC Mail \* OGEC  
**Subject:** Please remove my penalties

RECEIVED

AUG 03 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

Hi,

My name is Krista Peterson and I have successfully filed my SEI Lobby. I am on the Planning Commission at the City of Phoenix, OR 97535.

Please remove my penalties. I was confused and thought my filing was complete.

Sincerely,  
Krista Peterson





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 8, 2017

Bruce McNair, Councilor  
City of Vernonia  
225 C Street  
Vernonia OR 97064

Re: 2017 SEI Penalty

Dear Mr. McNair:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3430 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

## 2017

SEI Code: 3550  
User Name: DAVIDBMCNAIR  
Email: mcnair@vernonia-or.gov

2017 Filing: 7/28/2017  
2017 Penalty: \$3,430.00

Bruce McNair  
225 C St.  
Vernonia, OR 97064

## 2017 Offices Held

VERNONIA (City), COUNCIL - Appointed 01/01/17

## Activity Log - 2017 to Date

02/22/17 07:50:36.900 - Date Appointed Updated - Date Appointed Updated  
05/07/17 01:30:37.607 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:13.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:14.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 10:58:34.583 - User Profile Updated - The user's main profile was updated.  
05/12/17 10:58:44.320 - User Profile Updated - User profile updated for Bruce McNair  
05/12/17 02:20:02.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:11.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:12.560 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:14.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:25.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:13.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:14.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:14.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:14.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:11.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:11.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:11.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:18.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:11.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:11.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:11.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:11.977 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:11.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:11.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:14.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:14.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:12.173 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:11.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:13.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:15.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:15.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:11.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

Code: 3550  
or Name: DAVIDBMCNAIR  
Email: mcnair@vernonia-or.gov

2017 Filing: 7/28/2017  
2017 Penalty: \$3,430.00

Bruce McNair  
225 C St.  
Vernonia, OR 97064

06/29/17 01:30:11.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:57.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:11.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:11.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:10.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:13.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 02:16:37.220 - User Profile Updated - User profile updated for Bruce McNair  
07/25/17 02:16:50.030 - User Profile Updated - The user's main profile was updated.  
07/26/17 01:30:12.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 03:35:41.363 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(RP)*

August 8, 2017

RECEIVED  
AUG 07 2017  
OFFICE OF GOVERNMENT  
ETHICS COMMISSION

To: David Hunter  
3218 Pringle Street SE #220  
Salem, OR 97302

From: Bruce McNair, City Councilor, City of Vernonia  
225 C Street  
Vernonia, OR 97064

Re: Statement of Economic Interest Filing Reminder –Penalty Accruing

Mr. Hunter,

When I received your voicemail on my cell phone regarding not having filed my Statement of Economic Interest on the week of August 24<sup>th</sup> it was a surprise to me. Since the City of Vernonia changed its version of Microsoft Outlook this past March of 2017 I have not been able to retrieve my City account emails. Having been a Council member for the last few years I am aware of the need to file. I apologize for not filing in a timely manner. Typically our City Recorder would remind us of our need to file, she did contact OGEC staff to check who still needed to file and was not told I remained unfiled. See attached email. I realize the responsibility lies with me, however being a small rural town City Councilor with a fulltime job, my income leaves me unable to pay steep fines. I would appreciate your understanding and forgiveness.

Sincerely,

David B. McNair Sr

David B. McNair Sr.

City Councilor, City of Vernonia

On April 14<sup>th</sup>, 2017 I was contacted by Marie Scheffers with the OGEC notifying me that two of my Planning Commissioners and Mayor Leonetti had not yet completed their SEI filing. This was in response to me request for verifying that all of my filers had been notified by the OGEC of the requirement to file. I am unsure why I was not notified that one of the Councilors (Bruce McNair) had not filed.

I was contacted on July 28, 2017 by Councilor McNair requesting my assistance as he received a voicemail from David with the OGEC stating that he had not filed. It was at that time that I reached out to Marie again requesting assistance.

Unfortunately Councilor McNair had been having email/tablet issues for several months and this was the first he had heard that he was out of compliance.

Thank you,

Stephanie Borst  
City Recorder  
City of Vernonia

1001 Bridge Street  
Vernonia, Oregon 97064  
(503) 429-5291 X105





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 10, 2017

Carolyn Hymes  
3322 Edgeview Lane  
Forest Grove OR 97116

Re: 2017 SEI Penalty

Dear Ms. Hymes:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3730 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 5025  
User Name: chymes  
Email: hymes.carolyn69@gmail.com

2017 Filing: 8/3/2017  
2017 Penalty: \$3,730.00

Carolyn Hymes  
3322 Edgeview Lane  
Forest Grove, OR 97116

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:41.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:14.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:17.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 10:14:25.337 - User Profile Updated - The user's main profile was updated.  
05/11/17 10:14:35.257 - User Profile Updated - User profile updated for Carolyn Hymes  
05/12/17 02:20:03.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:13.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:15.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:13.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:13.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:13.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:16.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:32.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:14.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:16.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:13.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:12.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:13.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:19.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:12.057 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:15.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:12.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:20.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:12.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:12.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:15.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:12.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:11.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:12.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:11.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:13.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:12.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:12.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:14.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:13.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:12.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:12.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:12.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:15.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:15.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:13.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:12.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:14.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:16.863 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:12.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:12.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017



# SEI Activity

2017

SEI Code: 5025  
User Name: chymes  
Email: hymes.carolyn69@gmail.com

2017 Filing: 8/3/2017  
2017 Penalty: \$3,730.00

Carolyn Hymes  
3322 Edgeview Lane  
Forest Grove, OR 97116

06/30/17 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:12.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:14.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.173 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:11.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:12.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:11.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:20.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:15.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:13.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:16.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:13.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:17.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:15.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:13.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 09:40:40.197 - User Profile Updated - User profile updated for Carolyn Hymes  
07/26/17 09:40:52.773 - User Profile Updated - The user's main profile was updated.  
07/27/17 01:30:11.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:12.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:14.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:12.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:42:37.860 - Admin User Reset Initiated - An admin user reset was initiated.  
08/02/17 01:43:49.490 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/02/17 01:44:14.917 - User Successfully Authenticated -  
08/02/17 01:45:01.007 - User Profile Updated - The user's main profile was updated.  
08/02/17 01:45:17.690 - User Profile Updated - User profile updated for Carolyn Hymes  
08/03/17 01:30:11.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 02:30:04.587 - User Successfully Authenticated -  
08/03/17 02:35:54.137 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(Signature)*

**HUNTER David \* OGEC**

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**From:** Carolyn Hymes <hymes.carolyn69@gmail.com>  
**Sent:** Thursday, August 03, 2017 2:43 PM  
**To:** OGEC Mail \* OGEC  
**Subject:** 2017 SEI Report

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Hello,

my name is Carolyn Hymes and I am a member of the Forest Grove Planning Commission. There was a turn over in the Planning Department at the City, and with this turn over somehow my new contact information was not updated for this filing. Therefore I was unaware of the filing deadline date.

I have now completed the SEI Report. If you have any questions at all please contact Anna Ruggles at the Forest Grove City Hall; [aruggles@forestgrove-or.gov](mailto:aruggles@forestgrove-or.gov).

Thank you,

Carolyn Hymes  
(503) 734-5724



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 10, 2017

Buddy Mendive  
310 N 6<sup>th</sup> Street  
Nyssa OR 97913

Re: 2017 SEI Penalty

Dear Mr. Mendive:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3680 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

# SEI Activity

## 2017

SEI Code: 1395  
User Name: Bmendive8  
Email: bmendive@gmail.com

2017 Filing: 8/2/2017  
2017 Penalty: \$3,680.00

Buddy Mendive  
310 N 6th Street  
Nyssa, OR 97913

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

05/07/17 01:30:35.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:12.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:12.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 11:10:25.643 - User Profile Updated - The user's main profile was updated.  
05/12/17 11:10:35.833 - User Profile Updated - User profile updated for Buddy Mendive  
05/12/17 02:20:01.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:10.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:13.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:11.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:11.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:21.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:12.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:14.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:13.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:11.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:10.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:12.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:10.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:11.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:10.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:17.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:11.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:10.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:10.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:13.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:10.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:10.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:10.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:11.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:10.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:10.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:12.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:11.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:11.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:11.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:11.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:13.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:13.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:11.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:11.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:11.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:12.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:14.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:15.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:11.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

SEI Code: 1395  
User Name: Bmendive8  
Email: bmendive@gmail.com

2017 Filing: 8/2/2017  
2017 Penalty: \$3,680.00

Buddy Mendive  
310 N 6th Street  
Nyssa, OR 97913

06/30/17 01:30:10.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:57.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:10.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.057 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:10.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:11.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:10.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:10.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:10.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:10.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:18.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:17.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:13.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:12.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:14:47.367 - User Profile Updated - User profile updated for Buddy Mendive  
07/25/17 01:15:00.457 - User Profile Updated - The user's main profile was updated.  
07/26/17 01:30:12.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:10.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:11.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:10.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:11.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 12:54:52.760 - Late SEI Report Filed - SEI Report Filed Late for year 2017  
08/02/17 12:59:39.377 - User Profile Updated - The user's main profile was updated.  
08/02/17 12:59:55.707 - User Profile Updated - User profile updated for Buddy Mendive

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(Signature)*

## HUNTER David \* OGEC

---

**From:** buddy mendive <bmendive@gmail.com>  
**Sent:** Wednesday, August 02, 2017 1:01 PM  
**To:** OGEC Mail \* OGEC  
**Subject:** I tried to file several times with no luck your system said it was unable to process request at this time.



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 10, 2017

Dennis Haener  
3184 Three Mile Road  
The Dalles OR 97058

Re: 2017 SEI Penalty

Dear Mr. Haener:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$3980 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

## 2017

SEI Code: 4369  
User Name: Referee  
Email: ajhaener@gmail.com

2017 Filing: 8/8/2017  
2017 Penalty: \$3,980.00

Dennis Haener  
3184 Three Mile Road  
The Dalles, OR 970582208

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

05/07/17 01:30:39.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:13.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:15.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:02.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:11.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:12.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:15.227 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:28.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:13.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:15.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:16.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:12.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:19.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:12.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:11.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:11.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:12.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:11.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:12.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:12.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:12.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:14.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:14.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:12.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:12.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:13.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:15.563 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:16.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:12.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:12.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 10:49:36.610 - User Profile Updated - User profile updated for Dennis Haener



# SEI Activity

2017.

EI Code: 4369  
User Name: Referee  
Email: ajhaener@gmail.com

2017 Filing: 8/8/2017  
2017 Penalty: \$3,980.00

Dennis Haener  
3184 Three Mile Road  
The Dalles, OR 970582208

06/30/17 10:49:48.810 - User Profile Updated - The user's main profile was updated.  
07/01/17 01:30:58.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:11.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:18.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:12.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/02/17 01:30:11.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 01:30:11.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/04/17 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/05/17 01:30:50.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/06/17 01:30:11.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 01:30:12.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/08/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/08/17 03:59:18.927 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter at Ed*  
*(B)*



Kathy White &lt;kathyw@co.wasco.or.us&gt;

---

**Fwd: Delivery Status Notification (Failure)**

1 message

---

 Nancy Haener <nhaener@gmail.com>  
 To: kathyw@co.wasco.or.us

Wed, Aug 9, 2017 at 2:17 PM

----- Forwarded message -----

 From: **Mail Delivery Subsystem** <mailer-daemon@googlemail.com>  
 Date: Tue, Aug 8, 2017 at 10:00 PM  
 Subject: Delivery Status Notification (Failure)  
 To: nhaener@gmail.com

**RECEIVED**  
 AUG 10 2017  
 OREGON GOVERNMENT  
 ETHICS COMMISSION

**Address not found**

Your message wasn't delivered to **kathy.daniel@oregon.dov** because the domain **oregon.dov** couldn't be found. Check for typos or unnecessary spaces and try again.

The response was:

DNS Error: 30223356 DNS type 'mx' lookup of oregon.dov responded with code NXDOMAIN Domain name not found: oregon.dov

Final-Recipient: rfc822; kathy.daniel@oregon.dov

Action: failed

Status: 4.0.0

 Diagnostic-Code: smtp; DNS Error: 30223356 DNS type 'mx' lookup of oregon.dov responded with code NXDOMAIN  
 Domain name not found: oregon.dov

Last-Attempt-Date: Tue, 08 Aug 2017 22:00:15 -0700 (PDT)

----- Forwarded message -----

From: Nancy Haener &lt;nhaener@gmail.com&gt;

To: kathy.daniel@oregon.dov

Cc:

Bcc:

Date: Tue, 8 Aug 2017 22:00:14 -0700

Subject: hospital board

To Whom It May Concern,

I received a notice about my position on the hospital board that asked for financial information during our cherry harvest, which lasted over 7 weeks. We work long hours and it slipped my mind. I've been a member of this hospital board for

several years and there has never been a meeting called. This year I had decided to submit my resignation from the board, but was reappointed when we were gone on a vacation. For these reasons I am requesting that you remove the penalty. I will be resigning in the near future.

Respectfully Yours,

Dennis G. Haener

DANIEL Kathy \* OGEC

**From:** Kathy White <kathyw@co.wasco.or.us>  
**Sent:** Wednesday, August 09, 2017 2:30 PM  
**To:** DANIEL Kathy \* OGEC  
**Cc:** nhaener@gmail.com  
**Subject:** Denny Haner Submission  
**Attachments:** Wasco County Mail - Fwd\_ Delivery Status Notification (Failure).pdf

**RECEIVED**  
AUG 10 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

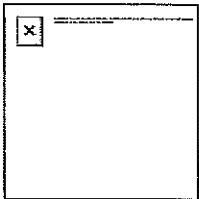
Good Afternoon, Kathy-

Denny Haner contacted me this afternoon to say that he had attempted to email you yesterday (see attached) and it bounced back to him.

I am not familiar with the practice of levying fines against volunteers who are late with their submissions, please educate me on that as it would be good for me to be able to advise members of the risk.

Please confirm receipt of his submission and let me know if there is anything else needed.

Thank you for your help.



**Kathy White | Executive Assistant**  
**ADMINISTRATIVE SERVICES**

kathyw@co.wasco.or.us | www.co.wasco.or.us  
541-506-2520 | Fax 541-506-2551  
511 Washington Street, Suite 101 | The Dalles, OR 97058



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 11, 2017

Deanne Dunlap  
355 Main Street  
Toledo OR 97391

Re: 2017 SEI Penalty

Dear Ms. Dunlap:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$2800 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

## 2017

SEI Code: 6122  
User Name: DeanneDunlap  
Email: deanne.dunlap33@gmail.com

2017 Filing: 8/10/2017  
2017 Penalty: \$2,800.00

Deanne Dunlap  
355 Main Street  
Toledo, OR 97391

### 2017 Offices Held

TOLEDO (City), COUNCIL - Appointed 01/01/17

### Activity Log - 2017 to Date

06/15/17 04:44:33.917 - User Profile Created - User profile created for Deanne Dunlap  
06/15/17 04:44:46.293 - User Profile Created - A new user profile was created.  
06/15/17 04:44:46.330 - SEI Assigned to Seat - SEI Assigned to Seat  
06/16/17 01:30:13.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:13.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:13.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:15.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:15.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:13.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:13.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:13.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:14.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:17.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:17.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:13.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:13.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:13.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:59.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:12.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:14.643 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:12.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:13.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:12.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:11.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:12.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:12.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:11.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:20.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:19.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:15.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:14.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:13.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:16.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:13.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:17.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:15.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:13.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 11:23:25.570 - User Profile Updated - User profile updated for Deanne Dunlap  
07/26/17 11:23:38.637 - User Profile Updated - The user's main profile was updated.  
07/27/17 01:30:12.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:12.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:14.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

FI Code: 6122  
User Name: DeanneDunlap  
Email: deanne.dunlap33@gmail.com

2017 Filing: 8/10/2017  
2017 Penalty: \$2,800.00

Deanne Dunlap  
355 Main Street  
Toledo, OR 97391

08/02/17 01:30:12.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/04/17 01:30:11.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/05/17 01:30:51.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/06/17 01:30:11.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 01:30:13.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/08/17 01:30:11.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/09/17 01:30:11.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/10/17 01:30:13.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/10/17 02:46:41.200 - Admin User Reset Initiated - An admin user reset was initiated.  
08/10/17 02:47:21.983 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/10/17 02:47:38.717 - User Successfully Authenticated -  
08/10/17 02:48:27.863 - User Password Reset - The user's password was reset from the "My Profile" page.  
08/10/17 02:48:27.957 - User Profile Updated - The user's main profile was updated.  
08/10/17 02:48:45.617 - User Profile Updated - User profile updated for Deanne Dunlap  
08/10/17 02:48:47.550 - Admin User Reset Initiated - An admin user reset was initiated.  
08/10/17 03:03:31.033 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/10/17 03:03:49.050 - User Failed to Authenticate -  
08/10/17 03:04:15.867 - User Failed to Authenticate -  
08/10/17 03:04:37.103 - User Failed to Authenticate -  
08/10/17 03:07:34.473 - User Failed to Authenticate -  
08/10/17 03:07:58.783 - User Successfully Authenticated -  
08/10/17 03:11:33.433 - User Successfully Authenticated -  
08/10/17 04:06:26.000 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter L&E*  
*PD*

**HUNTER David \* OGEC**

---

**From:** Deanne Dunlap <deanne.dunlap33@gmail.com>  
**Sent:** Thursday, August 10, 2017 4:39 PM  
**To:** OGEC Mail \* OGEC  
**Subject:** Deanne Dunlap; City Council member, Toledo, OR 97391

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

RECEIVED  
AUG 11 2017  
OFFICE OF GOVERNMENT  
ETHICS COMMISSION

I successfully filed my Statement of Economic Interest form on August 10, 2017, after receiving assistance from Cathy at the OEC office

on this date.

My failure to do so in the proper timely manner was because my personal computer was hacked earlier and I was unable to access the

OEC sign-in process.

Thanks to Cathy's patient persistence, it was finally successfully done. Many, many thanks! Next year's filing, I can assure you, will be

on time. I do hope that any late filing fees will be forgiven for 2017. If anyone needs to call me, I am at 541/336-1315.





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 11, 2017

McRae Carmichael  
480 Luther Street  
Salem OR 97302

Re: 2017 SEI Penalty

Dear Mr. Carmichael:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$4130 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 4476  
User Name: bluemac  
Email: mcrae@wanderingaengus.com

2017 Filing: 8/11/2017  
2017 Penalty: \$4,130.00

McRae Carmichael  
480 Luther Street  
Salem, OR 97302

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:39.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:14.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/10/17 02:18:09.833 - User Profile Updated - User profile updated for McRae Carmichael  
05/10/17 02:18:20.823 - User Profile Updated - The user's main profile was updated.  
05/11/17 01:30:15.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:02.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:12.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:14.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:12.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:12.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:15.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:28.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:13.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:15.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:12.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:11.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:12.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:17.067 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:14.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:12.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:19.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:11.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:12.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:14.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:11.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:11.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:11.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:12.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:11.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:13.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:12.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:12.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:12.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:14.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:14.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:12.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:12.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:13.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:15.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:16.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:12.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 02:05:19.787 - User Profile Updated - The user's main profile was updated.  
06/27/17 02:05:33.477 - User Profile Updated - User profile updated for McRae Carmichael

# SEI Activity

2017

T Code: 4476  
or Name: bluemac  
Email: mcrae@wanderingaengus.com

2017 Filing: 8/11/2017  
2017 Penalty: \$4,130.00

McRae Carmichael  
480 Luther Street  
Salem, OR 97302

06/28/17 01:30:12.173 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:12.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:11.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:11.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:13.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:11.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:11.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:12.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:11.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:11.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:10.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:11.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/14/17 01:30:11.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:10.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:19.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:14.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/19/17 01:30:14.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/20/17 01:30:13.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/21/17 01:30:12.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/22/17 01:30:15.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/23/17 01:30:12.807 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/24/17 01:30:16.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/25/17 01:30:14.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/26/17 01:30:12.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/27/17 01:30:11.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/28/17 01:30:11.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/29/17 01:30:11.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/30/17 01:30:12.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/31/17 01:30:13.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 01:30:11.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/01/17 10:14:21.023 - User Profile Updated - The user's main profile was updated.  
08/01/17 10:14:36.670 - User Profile Updated - User profile updated for McRae Carmichael  
08/02/17 01:30:11.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/03/17 01:30:11.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/04/17 01:30:11.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/05/17 01:30:50.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/06/17 01:30:11.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/07/17 01:30:12.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/08/17 01:30:11.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/08/17 03:21:47.293 - User Profile Updated - User profile updated for McRae Carmichael  
08/08/17 03:22:00.600 - User Profile Updated - The user's main profile was updated.  
08/09/17 01:30:10.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/09/17 11:31:38.463 - Admin User Reset Initiated - An admin user reset was initiated.  
08/09/17 11:32:46.490 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
08/09/17 11:33:38.207 - User Successfully Authenticated -  
08/09/17 11:34:10.997 - User Profile Updated - The user's main profile was updated.  
08/09/17 11:34:27.857 - User Profile Updated - User profile updated for McRae Carmichael  
08/09/17 11:34:34.603 - Admin User Reset Initiated - An admin user reset was initiated.  
08/10/17 01:30:13.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/11/17 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
08/11/17 05:01:22.777 - User Failed to Authenticate -  
08/11/17 05:29:37.923 - User Failed to Authenticate -  
08/11/17 05:29:52.403 - User Failed to Authenticate -

# SEI Activity

2017

SEI Code: 4476  
User Name: bluemac  
Email: mcrae@wanderingaengus.com

2017 Filing: 8/11/2017  
2017 Penalty: \$4,130.00

McRae Carmichael  
480 Luther Street  
Salem, OR 97302

- 08/11/17 05:30:02.690 - User Failed to Authenticate -
- 08/11/17 05:30:37.573 - User Failed to Authenticate -
- 08/11/17 09:20:24.197 - Admin User Reset Initiated - An admin user reset was initiated.
- 08/11/17 09:21:15.450 - Admin User Password Reset Completed - A user reset their password using an admin reset.
- 08/11/17 09:21:29.300 - User Successfully Authenticated -
- 08/11/17 09:28:10.517 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed*  
*(P)*

**HUNTER David \* OGEC**

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**From:** McRae Carmichael <mcrac@wanderingaengus.com>  
**Sent:** Friday, August 11, 2017 9:52 AM  
**To:** OGEC Mail \* OGEC  
**Subject:** Penalty Fees Involving Statement of Economic Interest

RECEIVED  
AUG 11 2017  
OFFICE OF STATEMENT  
ETHICS COMMISSION

Good Morning,

I am contacting you today to request the penalty fees for my SEI be considered and removed from my file.

I serve on the Historic Landmarks Commission in Salem and have been on this commission since 2011. In reviewing my emails, I have an email from the City Recorder dated 4/5 as a reminder of the requirement to file for the 4/15/17 deadline. I acknowledge I was informed of the filing requirement by our City Recorder.

I am currently and have been traveling on the east coast. I received an email (and phone message) last week from the City of Salem planning staff letting me know I needed to file my form with the OGEC. I phoned and spoke with David on 8/10 about how I could get this form completed. He reviewed my email, and found OGEC had the wrong email on file (he said he had mcrac@wanderingaengus.com, my email is mcrac@wanderingaengus.com).

He resent me a password reset yesterday morning. I logged in this morning ECT and the login was not available. (maybe because of a 24 hour reset, although it had not been 24 hours since the initial reset email was sent). I phoned him again this morning and spoke to him and he resent the form.

I logged in and have completed the form. I am seeking relief from the \$4000+ penalties. The responsibility is mine to complete this form, and was an oversight on my part. I apologize for that. I have not attended a HLC meeting since March due to either meeting cancellations or my own approved excused absence. I do not have any record of emails since the filing date. Additionally not interacting with City staff who would have flagged this issue lent to my general oversight of the requirement for this filing.

I am a citizen of Salem who serves on this volunteer board because I appreciate historic planning and participating in local government. You can review my past SEI filings to see that I have turned in my forms on time and I do not have a record of investigations or issues since serving on this Commission.

I hope you will consider this request. This is a significant financial burden for me and I regret not getting the SEI form compete.

Sincerely,  
McRae Carmichael





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 19, 2017

Lena Alhusseini  
2455 Winter St SE  
Salem OR 97302

Dear Ms. Alhusseini:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$30) penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

Q2 2017

Lobbyist Code: 1024  
User Name: Lena  
Email: lena.ahusseini@state.or.us

Q2 2017 Filing: 7/18/2017  
Q2 2017 Expended: \$0.00  
Q2 2017 Penalty: \$30.00

lena alhousseini  
500 Summer Street NE  
Salem, OR 97301

## Activity Log - Q2 2017 to Date

04/03/17 11:24:26.983 - User Failed to Authenticate -  
04/03/17 11:25:14.150 - User Successfully Authenticated -  
04/03/17 11:27:50.043 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
04/03/17 12:00:12.380 - User Successfully Authenticated -  
04/12/17 03:54:27.877 - User Successfully Authenticated -  
07/03/17 02:50:03.090 - User Failed to Authenticate -  
07/03/17 02:51:34.543 - User Failed to Authenticate -  
07/03/17 02:54:20.273 - User Failed to Authenticate -  
07/03/17 02:54:37.543 - User Failed to Authenticate -  
07/03/17 02:54:45.000 - User Failed to Authenticate -  
07/03/17 02:54:51.443 - User Failed to Authenticate -  
07/03/17 02:57:20.733 - Admin User Reset Initiated - An admin user reset was initiated.  
07/03/17 03:01:11.937 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
07/03/17 03:01:25.023 - User Successfully Authenticated -  
07/03/17 03:02:28.413 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016  
07/16/17 01:30:12.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:13.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:11.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 11:06:38.167 - User Successfully Authenticated -  
07/18/17 11:08:28.423 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: Lena has sent in Letter of Expl for Q4 2016. Letter of Ed recommended, going to Aug. 25 meeting.

RECOMMENDATION:

*[Handwritten signature]* \$30.00



**DANIEL Kathy \* OGEC**

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**From:** HUNTER David \* OGEC on behalf of OGEC Mail \* OGEC  
**Sent:** Wednesday, July 19, 2017 8:07 AM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** FW: Fines associated with my account

**RECEIVED**  
JUL 19 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

LOE

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**From:** Lena [mailto:lenax9@gmail.com]  
**Sent:** Tuesday, July 18, 2017 11:15 PM  
**To:** OGEC Mail \* OGEC <OGEC.Mail@oregon.gov>  
**Cc:** LENA.ALHUSSEINI@dhsoha.state.or.us  
**Subject:** Fines associated with my account

I had terminated my account on 7/3/2017 and I since I do not work for the State of Oregon anymore, I do not have easy access to my state email account. I did not realize that even though I had filed and terminated the account on 7/3/2017, I still had to file for Q2. Please forgive the fines that were accrued this week as I just saw the emails that were sent to [LENA.ALHUSSEINI@dhsoha.state.or.us](mailto:LENA.ALHUSSEINI@dhsoha.state.or.us).

Also for future reference, I will have no access to my state email account after August 2017.

Thank you,

Lena Alhusseini



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 11, 2017

Lena Alhusseini  
500 Summer St. NE  
Salem OR 97301

Dear Ms. Alhusseini:

The written explanation of why the fourth quarter 2016 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q4 2016 (\$30) penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

## Q4 2016

Lobbyist Code: 1024  
User Name: Lena  
Email: lena.alhusseini@state.or.us

lena alhusseini  
500 Summer Street NE  
Salem, OR 97301

Q4 2016 Filing: 7/3/2017  
Q4 2016 Expended: \$0.00  
Q4 2016 Penalty: \$30.00

### Activity Log - Q4 2016 to Date

12/12/16 01:25:24.563 - User Profile Created - A new user profile was created.  
12/12/16 01:25:24.597 - Lobbyist Profile Created - A new lobbyist profile was created.  
12/12/16 01:25:30.030 - User Profile Created - User profile created for lena alhusseini  
12/22/16 11:15:43.640 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.  
01/15/17 04:42:34.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/15/17 06:11:11.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 01:36:00.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 06:20:07.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/16/17 11:47:36.697 - User Failed to Authenticate -  
01/17/17 01:34:04.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/18/17 01:30:36.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4  
01/18/17 04:25:27.393 - User Successfully Authenticated -  
01/18/17 04:26:30.447 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016  
04/03/17 11:24:26.983 - User Failed to Authenticate -  
04/03/17 11:25:14.150 - User Successfully Authenticated -  
04/03/17 11:27:50.043 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
04/03/17 12:00:12.380 - User Successfully Authenticated -  
04/12/17 03:54:27.877 - User Successfully Authenticated -  
07/03/17 02:50:03.090 - User Failed to Authenticate -  
07/03/17 02:51:34.543 - User Failed to Authenticate -  
07/03/17 02:54:20.273 - User Failed to Authenticate -  
07/03/17 02:54:37.543 - User Failed to Authenticate -  
07/03/17 02:54:45.000 - User Failed to Authenticate -  
07/03/17 02:54:51.443 - User Failed to Authenticate -  
07/03/17 02:57:20.733 - Admin User Reset Initiated - An admin user reset was initiated.  
07/03/17 03:01:11.937 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
07/03/17 03:01:25.023 - User Successfully Authenticated -  
07/03/17 03:02:28.413 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Lena al Husseini*  
*(Signature)*

HUNTER David \* OGEC

Lobby

**From:** Alhusseini Lena <lena.alhusseini@state.or.us>  
**Sent:** Monday, July 03, 2017 3:11 PM  
**To:** OGEC Mail \* OGEC  
**Subject:** Fine forgiveness

Dear Sir/Madam,  
Please accept my request to forgive my \$30 fine as it occurred just as I took the position of child welfare director and I had no idea that I was to register and file a report.

Thank you for your kind consideration,

Lena Alhusseini  
DHS  
Child Welfare Director  
Sent from my iPhone

RECEIVED  
JUL 03 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 14, 2017

Elizabeth Fuller  
Gard Communications  
1140 SW 11<sup>th</sup>, 3<sup>rd</sup> Floor  
Portland OR 97205

Dear Ms. Fuller:

The written explanation of why the 2017 lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

Because the registration with Lost Valley Farm was not active until agency staff updated the March 14, 2017 date, the notice you received upon filing reflected a \$0 balance. Your account has now been updated to reflect the actual late penalty fee accrual of \$5,000 for Q1 and \$600 for Q2 2017. The due date for the Q1 and Q2 2017 penalties will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalties, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Lobbyist Activity

Q1 2017

Lobbyist Code: 1175  
User Name: gardlf  
Email: lf Fuller@gardcommunications.com

Elizabeth Fuller  
Gard Communications  
Portland, OR 97205

Q1 2017 Filing: 8/8/2017  
Q1 2017 Expended: \$0.00  
Q1 2017 Penalty: \$5,000.00

## Activity Log - Q1 2017 to Date

03/14/17 01:06:21.320 - User Profile Created - User profile created for Elizabeth Fuller  
03/14/17 01:06:32.240 - Lobbyist Profile Created - A new lobbyist profile was created.  
03/14/17 01:06:32.240 - User Profile Created - A new user profile was created.  
08/03/17 10:57:59.137 - User Successfully Authenticated -  
08/03/17 11:04:01.527 - User Successfully Authenticated -  
08/03/17 11:52:52.310 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.  
08/07/17 02:42:17.363 - User Successfully Authenticated -  
08/08/17 08:46:32.420 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator.  
08/08/17 09:05:40.700 - User Profile Updated - User profile updated for Elizabeth Fuller  
08/08/17 09:05:54.533 - User Profile Updated - The user's main profile was updated.  
08/08/17 09:05:59.773 - User Profile Updated - User profile updated for Elizabeth Fuller  
08/08/17 09:06:12.973 - User Profile Updated - The user's main profile was updated.  
08/08/17 09:57:18.760 - User Failed to Authenticate -  
08/08/17 09:57:26.517 - User Failed to Authenticate -  
08/08/17 10:00:57.030 - User Successfully Authenticated -  
08/08/17 10:04:29.660 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
08/08/17 02:47:01.693 - User Successfully Authenticated -  
08/08/17 03:13:59.877 - User Successfully Authenticated -  
08/08/17 03:20:34.580 - User Successfully Authenticated -  
08/08/17 03:31:06.420 - User Successfully Authenticated -  
08/08/17 03:31:40.993 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

# Lobbyist Activity

Q2 2017

Lobbyist Code: 1175  
User Name: gardlf  
Email: fuller@gardcommunications.com

Q2 2017 Filing: 8/8/2017

Q2 2017 Expended: \$0.00

Q2 2017 Penalty: \$600.00

Elizabeth Fuller  
Gard Communications  
Portland, OR 97205

## Activity Log - Q2 2017 to Date

08/03/17 10:57:59.137 - User Successfully Authenticated -  
08/03/17 11:04:01.527 - User Successfully Authenticated -  
08/03/17 11:52:52.310 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.  
08/07/17 02:42:17.363 - User Successfully Authenticated -  
08/08/17 08:46:32.420 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator.  
08/08/17 09:05:40.700 - User Profile Updated - User profile updated for Elizabeth Fuller  
08/08/17 09:05:54.533 - User Profile Updated - The user's main profile was updated.  
08/08/17 09:05:59.773 - User Profile Updated - User profile updated for Elizabeth Fuller  
08/08/17 09:06:12.973 - User Profile Updated - The user's main profile was updated.  
08/08/17 09:57:18.760 - User Failed to Authenticate -  
08/08/17 09:57:26.517 - User Failed to Authenticate -  
08/08/17 10:00:57.030 - User Successfully Authenticated -  
08/08/17 10:04:29.660 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017  
08/08/17 02:47:01.693 - User Successfully Authenticated -  
08/08/17 03:13:59.877 - User Successfully Authenticated -  
08/08/17 03:20:34.580 - User Successfully Authenticated -  
08/08/17 03:31:06.420 - User Successfully Authenticated -  
08/08/17 03:31:40.993 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

**PAST VIOLATION:** Registration not active during Q1 2017 lobbying. Own Motion.

**RECOMMENDATION:** *No recommendation No curset*

*(Signature)*

**HUNTER David \* OGEC**

---

**From:** Liz Fuller <lfuller@gardcommunications.com>  
**Sent:** Monday, August 07, 2017 4:25 PM  
**To:** OGEC Mail \* OGEC  
**Cc:** Valarie Grudier  
**Subject:** Q2 lobbying form for Lost Valley Farm

Hello,

I initially registered as a lobbyist on 3/14/17 through the electronic filing system to provide lobbying services to Lost Valley Farm.

However, I did not discover that my client had missed responding to the client confirmation until I was preparing to file my 2nd quarter lobbying report. Subsequently, I had to enter a new registration request for my client to respond to, alerted them to be on the lookout for the email, and this time they sent confirmation. I still need to file a report for the 2nd quarter but I am unable to do so through the electronic system. My understanding is that you will be able to revise/correct the date of the lobbying registration so I can expedite this report upon receipt of this email.

I apologize for this error. I have never before registered to be a lobbyist and should have followed up sooner with my client. The amount to report is \$375 for Q2.

Thank you for your assistance.

Liz



ADVERTISING | PUBLIC RELATIONS | PUBLIC AFFAIRS

**Liz Fuller, President**

Direct: 503.552.5067 | Main: 503.221.0100 | [gardcommunications.com](http://gardcommunications.com)  
1140 SW 11th Ave, Third Floor, Portland, Oregon 97205

*If you received this by mistake, please let us know so we can make sure it gets to the right person. Thanks.*

x267





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 7, 2017

Secretary of State  
Attn: Dennis Richardson  
900 Court Street  
Salem OR 97301

Dear Secretary Richardson:

On July 7, 2017, the Oregon Government Ethics Commission received your request to reschedule the reconsideration of the Letter of Education issued regarding a failure to timely file a Client/Employer Expenditure report for the 4<sup>th</sup> quarter, 2016, reporting period.

The item has been removed from the July 14<sup>th</sup> agenda as requested and moved to August 25, 2017. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome in writing after the meeting. Feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

cc: Debra Royal via email





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 6, 2017

Secretary of State  
Attn: Dennis Richardson  
900 Court Street  
Salem OR 97301

Dear Secretary Richardson:

On May 25, 2017, the Oregon Government Ethics Commission received your request to reconsider the Letter of Education issued on April 21, 2017 regarding a failure to timely file a Client/Employer Expenditure report for the 4<sup>th</sup> quarter, 2016, reporting period. It appears that we failed to notify you that your request would be placed on the June 2, 2017 meeting's agenda for Commission review.

Given our failure to notify you of the June 2<sup>nd</sup> meeting, we are placing the request on the July 14, 2017 meeting agenda. The meeting will be held on Friday, July 14, 2017, at 9:00 a.m. The Commission will consider the matter at that time. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome in writing after the meeting. Feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

cc: Debra Royal via email





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

June 2, 2017

Secretary of State  
Attn: Dennis Richardson  
900 Court Street  
Salem OR 97301

Dear Secretary Richardson:

At its meeting on June 2, 2017, the Oregon Government Ethics Commission (Commission) reviewed your request to rescind the Letter of Education that was issued on April 21, 2017 as a sanction for the late filing of the Client/Employer expenditure report for the 4<sup>th</sup> quarter of 2016.

Following discussion and careful review of the matter, the Commission elected to not remove the sanction.

If you have questions about the decision, you may request and review the audio minutes recorded during the June 2, 2017 meeting, or contact the commission staff.

Sincerely,

Dan Golden  
Commission Chair





Dennis Richardson  
SECRETARY OF STATE

May 21, 2017

Chairman Don Golden  
Government Ethics Commission  
3218 Pringle Road SE #220  
Salem, OR 97302—1544

RECEIVED

MAY 25 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

RE: Letter of Education dated April 21, 2017

Dear Mr. Chairman,

Throughout January, my Special Assistant Eric Jorgensen was in contact with Kathy Daniel of your staff, and my Chief of Staff Debra Royal was in contact with Laura Hutchins formerly of Secretary of State Jeanne Atkins' staff and currently of Governor Brown's staff.

Mr. Jorgensen reached out to Ms. Daniel well before the January 15 deadline to inquire about the 4Q lobbying report. Ms. Daniel informed him that there was no need for our office to provide the filing as it fell under the prior administration's tenure. Additionally, for several days after the deadline expired, Ms. Daniel told Mr. Jorgensen to disregard your office's computer generated "Failure to File Quarterly Reports" email notices as your computer program was generating inaccurate notices. However, five days after the deadline, Ms. Daniel, again, contacted Mr. Jorgensen but with conflicting instructions. The new procedure was that this office was responsible for the previous administration's filing and that Ms. Daniel would provide us with a new password to file the required report. This password reset took several days because Ms. Daniel was forwarding the notifications to the wrong email address. Once this was realized, Ms. Daniel changed the default email address in your system and Mr. Jorgensen received the password reset information. (See attached email thread.)

On January 9, Ms. Royal became aware of a computer generated email from your office addressed to Ms. Hutchins that came into the generic Secretary of State email box. Ms. Royal immediately forwarded the email to Ms. Hutchins asking for guidance. Ms. Hutchins responded, "I did not removed myself as the 'jurisdictional contact' for the office of SoS intentionally, so that I could complete the 2016 4Q reporting on behalf of the office..." (See attached email thread.)

As soon as it became clear that Ms. Daniel had given my staff inaccurate information and that Ms. Hutchins had failed to follow-through on submitting Secretary Atkins' 4Q filing as she said was her responsibility, my office submitted the required documents.

In conclusion, it appears the problem stemmed from the brief period of transition. Regardless as to who is to blame, the matter has been resolved and it will not reoccur. After you have had time to review this letter and its attachments, I am hopeful that you will grant my request to rescind the Letter of Education.

Thank you for your time and consideration.

Sincerely,

Dennis Richardson  
Attachments



# Oregon

Kate Brown, Governor

Government Ethics Commission  
3218 Pringle Rd SE, Ste 220  
Salem, OR 97302-1544  
Telephone: 503-378-5105  
Fax: 503-373-1456  
E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)  
Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

April 21, 2017

Secretary of State  
Attn: Dennis Richardson  
900 Court Street  
Salem OR 97301

## LETTER OF EDUCATION

Re: Client/Employer Late Filing Penalty

Dear Mr. Richardson:

This letter is being issued to educate you regarding the requirements of ORS 171.750 and 171.752 because of your failure to timely file a Client/Employer Expenditure Report with the Oregon Government Ethics Commission.

Your organization is listed as a client or employer of a lobbyist who has registered with the Oregon Government Ethics Commission to represent you. Statute requires that you submit a lobbying expenditure report by the 15<sup>th</sup> of the month following each quarter, even if there were no expenses to report, and for any portion of a reporting period prior to the filing of a termination form.

A valid lobbying registration was on file for your organization during the 4th quarter reporting period of October 1 through December 31, 2016, which required the filing of an expenditure report. You were notified by email through the Electronic Filing System that your report was due by January 15, 2017. After the required filing date passed, you were again notified by email that penalties had begun to accrue. You violated ORS 171.745 and 171.752 by failing to submit your report by the due date.

The Commission may impose a civil penalty for this violation or it may issue a letter of education in lieu of a civil penalty as provided in 171.992(5). This letter of education is the sanction chosen by the Commission in this matter because we found no previous record of delinquent report filing and because of the proactive measures you have taken by accepting responsibility for the error and taking steps to avoid future problems. Additional violations, however, may carry increased penalties. If you have questions about any of the processes, please contact commission staff.

Sincerely,

Dan Golden  
Commission Chair





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

April 5, 2017

Secretary of State  
Attn: Eric Jorgensen  
900 Court St. NE, Room 136  
Salem OR 97301

Dear Mr. Jorgensen:

The written explanation of why the Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, April 21, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the penalties will be extended to coincide with the April 21<sup>st</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



March 14, 2017

RECEIVED  
MAR 14 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

Oregon Government Ethics Commission  
Re: Quarterly Report Filing Penalty

Dear Commissioners,

I thank you for the opportunity to explain the reasons as to why we were late in our 4<sup>th</sup> Quarter 2016 quarterly report filings. I am hopeful that once it is clear as to why this happened, you will agree that removing the penalty is the appropriate course of action.

When we began receiving notifications informing us we needed to file a quarterly report, we were new to the Secretary of State's office and didn't have any employees from our staff that had worked in the office during the 4<sup>th</sup> quarter of 2016 and didn't think it was applicable.

We continued receiving the notifications and then I received a generic email informing us that there was a computer glitch and to ignore the penalty notification emails.

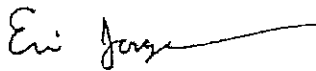
A couple days later I spoke with someone from the OGEC that informed me that we did need to fill out the 4<sup>th</sup> quarter report, but it took us a few days to get the password reset issue resolved, because we didn't have access to the email address where the password reset emails were being sent.

Once we finally were able to login, we saw the two names of SOS staff from the previous administration that we needed to report on, and so I reached out to Laura Hutchings, former Executive Assistant for Sec. Atkins. Laura provided me with an update on the lobbyist actions of the two former employees, one of which was no longer with the SOS Office 4<sup>th</sup> quarter of 2016. We then were able to file accurate information for the 4<sup>th</sup> quarter filing report.

It was a perfect storm of misunderstanding, miscommunication, and mishap that delayed our completion of the 4<sup>th</sup> quarter report, and had nothing to do with a lack of desire for transparency nor a lackadaisical attitude toward OGEC.

We are very committed to the mission of OGEC and will be prompt in all of our filings from here on out.

Thank you again for your understanding and patience.



Eric Jorgensen  
Special Assistant to the Secretary of State







# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 16, 2017

Lost Valley Farm  
Attn: Greg te Velde  
5850 Ave 160  
Tipton CA 93272

Dear Mr. te Velde:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2<sup>nd</sup> quarter 2017 penalty (\$600) will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# Client/Employer Activity

Q2 2017

Client Code: 1575  
User Name: Greg te Velde  
Email: gjtevelde@aol.com

Q2 2017 Filing: 8/8/2017  
Q2 2017 Expended: \$568.75  
Q2 2017 Penalty: \$600.00

Lost Valley Farm  
Attn: Greg te Velde  
5850 ave 160  
Tipton, CA 93272

## Activity Log - Q2 2017 to Date

04/14/17 11:16:20.713 - User Profile Created - A new user profile was created.  
04/14/17 11:16:21.273 - Client Profile Created - The client profile was created.  
04/14/17 11:16:28.747 - User Profile Created - User profile created for Greg te Velde  
07/16/17 01:30:17.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/17/17 01:30:16.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/18/17 01:30:13.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/19/17 01:30:12.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/20/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/20/17 12:56:00.940 - User Failed to Authenticate -  
07/20/17 12:56:09.057 - User Failed to Authenticate -  
07/21/17 01:30:11.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/22/17 01:30:14.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/23/17 01:30:11.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/24/17 01:30:15.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/25/17 01:30:13.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/26/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/27/17 01:30:10.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/28/17 01:30:10.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/28/17 01:31:21.063 - User Failed to Authenticate -  
07/28/17 01:31:37.520 - User Failed to Authenticate -  
07/28/17 01:32:00.383 - User Failed to Authenticate -  
07/28/17 01:32:19.663 - User Failed to Authenticate -  
07/28/17 01:33:34.483 - User Failed to Authenticate -  
07/28/17 01:34:04.880 - User Failed to Authenticate -  
07/28/17 01:34:38.683 - User Failed to Authenticate -  
07/28/17 02:55:35.910 - Admin User Reset Initiated - An admin user reset was initiated.  
07/28/17 03:00:57.533 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
07/28/17 03:01:36.097 - User Successfully Authenticated -  
07/29/17 01:30:10.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/30/17 01:30:11.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2  
07/31/17 01:30:12.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/01/17 01:30:10.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/02/17 01:30:10.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/03/17 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/03/17 11:37:42.273 - User Successfully Authenticated -  
08/04/17 01:30:10.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/05/17 01:30:49.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/06/17 01:30:10.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/07/17 01:30:11.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/08/17 01:30:10.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q2  
08/08/17 08:46:32.450 - Lobbyist Client Registration Updated - The lobbyist/client registration was updated by an administrator.  
08/08/17 02:50:23.510 - User Successfully Authenticated -  
08/08/17 03:11:51.307 - User Successfully Authenticated -  
08/08/17 03:12:59.450 - Client Report Submitted - Client Report Submitted for Q1 2017  
08/08/17 04:32:56.700 - User Successfully Authenticated -  
08/08/17 04:36:09.040 - Client Report Submitted - Client Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*No recommendation, merge with own motion  
No consent*

**DANIEL Kathy \* OGEC**

**RECEIVED**  
AUG 16 2017  
OREGON GOVERNMENT  
ETHICS COMMISSION

**From:** gjtevelde@aol.com  
**Sent:** Tuesday, August 15, 2017 5:07 PM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** Re: Lobbying for Liz Fuller & reporting

Hello Kathy I appreciate your patience in walking me through the lobbying process. I was tardy in my reporting for several reasons A. there was a little back and forth in determining which part of Elizabeth Howard bill was for lobbying. B. the report got set aside on my desk in a non urgent stack. I apologize and now that I understand the penalties I'll make a concerted effort to be punctual in the future. Sincerely Greg te Velde

rotyyOri

Original Message-----

**From:** DANIEL Kathy \* OGEC <Kathy.DANIEL@oregon.gov>  
**To:** gjtevelde <gjtevelde@aol.com>  
**Sent:** Mon, Aug 14, 2017 11:35 am  
**Subject:** Lobbying for Liz Fuller & reporting

Hello Greg. I have just left you a voice message regarding this as well. I am sorry there has been confusion on this registration and reporting. I would be happy to help you understand the process when you can give me a call.

There are two issues here:

- a) Elizabeth Fuller was lobbying for you during Q1 2017. When she discovered you had not established the registration relationship in March when she initiated this invitation, she asked Commission staff to back-date to 3/14/17 which then required a Q1 expenditure report filing for you as the client and Ms Fuller as the lobbyist.
- b) Q2, you had a registered lobbyist, Elizabeth Howard, which required you to file an expenditure report by July 1. You filed August 8, creating a \$600 late penalty. You need to submit a Letter of Explanation for this late filing.

Please call me as soon as possible. Thank you,

**Kathy Daniel**

OR Government Ethics Commission  
3218 Pringle Rd SE, Suite 220  
Salem OR 97302-1544  
Ph: 503-378-2170 Fx: 503-373-1456





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 18, 2017

Fred Ziari  
500 N 1<sup>st</sup> Street  
Hermiston OR 97838

Re: 2017 SEI Penalty

Dear Mr Ziari:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$2930 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

## 2017

SEI Code: 5189  
User Name: fziari  
Email: fred@irz.com

2017 Filing: 7/18/2017  
2017 Penalty: \$2,930.00

Fred Ziari  
500 N 1st Street  
Hermiston, OR 97838

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/04/17 11:25:08.177 - User Profile Updated - The user's main profile was updated.  
05/04/17 11:25:17.587 - User Profile Updated - User profile updated for Fred Ziari  
05/07/17 01:30:43.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:15.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:17.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/12/17 02:20:03.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:13.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:15.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:13.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:13.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:13.790 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:16.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:35.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:14.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:17.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:13.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:12.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:13.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:20.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:12.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:15.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:13.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:13.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:20.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:12.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:13.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:15.660 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:12.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:12.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:12.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:11.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:13.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:12.563 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:12.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:14.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:13.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:13.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:13.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:13.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:15.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:15.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:13.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.973 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:13.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:14.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:16.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:17.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:13.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:12.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:13.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

SEI Code: 5189  
User Name: fziari  
Email: fred@irz.com

2017 Filing: 7/18/2017  
2017 Penalty: \$2,930.00

Fred Ziari  
500 N 1st Street  
Hermiston, OR 97838

06/30/17 01:30:12.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:12.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:14.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:12.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:13.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:12.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/11/17 01:30:11.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/12/17 01:30:11.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 01:30:12.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/13/17 02:57:43.807 - Admin User Reset Initiated - An admin user reset was initiated.  
07/13/17 02:58:38.737 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
07/13/17 02:58:55.883 - User Successfully Authenticated -  
07/13/17 02:59:05.180 - User Profile Updated - User profile updated for Fred Ziari  
07/13/17 02:59:17.287 - User Password Reset - The user's password was reset from the "My Profile" page.  
07/13/17 02:59:17.363 - User Profile Updated - The user's main profile was updated.  
07/13/17 02:59:29.560 - Admin User Reset Initiated - An admin user reset was initiated.  
07/13/17 04:18:20.257 - Admin User Password Reset Completed - A user reset their password using an admin reset.  
07/13/17 04:18:44.967 - User Successfully Authenticated -  
07/13/17 04:18:55.327 - User Profile Updated - User profile updated for Fred Ziari  
07/13/17 04:19:08.180 - User Profile Updated - The user's main profile was updated.  
07/13/17 04:19:51.907 - Admin User Reset Initiated - An admin user reset was initiated.  
07/14/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/15/17 01:30:11.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/16/17 01:30:20.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/17/17 01:30:18.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 01:30:15.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/18/17 08:57:42.010 - User Successfully Authenticated -  
07/18/17 09:03:16.597 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: 2016 SEI filed late. Letter of Ed issued 11/4/16.

RECOMMENDATION:

*\$300<sup>00</sup> 10<sup>9</sup>. A fine 2nd offense*

*(Signature)*

DANIEL Kathy \* OGEC

SEI

**From:** Fred Ziari <Fred.Ziari@irz.com>  
**Sent:** Tuesday, July 18, 2017 9:42 AM  
**To:** DANIEL Kathy \* OGEC  
**Subject:** RE: SEI filing with Ethics Commission

RECEIVED

JUL 18 2017

OREGON GOVERNMENT  
ETHICS COMMISSION

C/o Kathy Daniel  
Dear Oregon Government Ethic Commissioners

I serve as a Board of Director of Oregon Institute of Technology (OIT). Unfortunately I have filed my Statement of Economic Interest Filing late on 7-18-2017. As it was stated in the filling I have no conflict of economic interest of any kind.

As a board we meet three to four times a year and as such I do not check the email server at OIT where this notice of "Statement of Economic Interests" was sent and as such I missed it. Although it was an oversight on my part, I ask that the Commission waive the late filing fees. Please do not hesitate to call if you have any question.

Best regards;

Fred

Fred Ziari

541.567.0252 office  
541.571.1111 cell





# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 11, 2017

LaVelle Underhill  
PO Box 266  
Dufur OR 97021

Re: 2017 SEI Penalty

Dear Ms. Underhill:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$50 penalty will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



# SEI Activity

2017

SEI Code: 6158  
User Name: LUnderhill  
Email: lavelleu@gmail.com

2017 Filing: 6/23/17 6/23/2017  
2017 Penalty: \$200 \$50.00

LaVelle Underhill  
PO BOX 266  
Dufur, OR 97021

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

06/22/17 01:08:47.163 - User Profile Created - A new user profile was created.  
06/22/17 01:08:47.317 - SEI Assigned to Seat - SEI Assigned to Seat  
06/22/17 01:09:00.337 - User Profile Created - User profile created for LaVelle Underhill  
06/22/17 01:35:24.120 - User Profile Updated - The user's main profile was updated.  
06/22/17 01:35:36.823 - User Profile Updated - User profile updated for LaVelle Underhill  
06/23/17 01:30:13.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 12:39:32.630 - Late SEI Report Filed - SEI Report Filed Late for year 2016  
06/23/17 12:40:24.673 - Late SEI Report Filed - SEI Report Filed Late for year 2017

David H June 23th, 2017 at 12:46 PM

Ms. Underhill called and has no access to file report electronically. Helped her file 2016 and 2017 SEI reports. She will mail in letter of explanation for \$50 Penalty.

David H June 22th, 2017 at 1:21 PM

Admin created account: Emailed link, user name and password.

**PREVIOUS VIOLATION:** She hadn't created an account in 2016 and so did not file. David created her account for her and assisted over the phone with filing 2016 and 2017.

**RECOMMENDATION:**

No consent  
by 2017  
letter of ED 2016

# SEI Activity

2016

EI Code: 6158  
User Name: LUnderhill  
Email: lavelleu@gmail.com

2016 Filing: 6/23/2017 6/23/2017  
2016 Penalty: \$5000

LaVelle Underhill  
PO BOX 266  
Dufur, OR 97021

## 2016 Offices Held

DUFUR (City), PLANNING COMMISSION - Appointed 03/01/16

## Activity Log - 2016 to Date

06/22/17 01:08:47.163 - User Profile Created - A new user profile was created.  
06/22/17 01:08:47.317 - SEI Assigned to Seat - SEI Assigned to Seat  
06/22/17 01:09:00.337 - User\_Profile Created - User profile created for LaVelle Underhill  
06/22/17 01:35:24.120 - User Profile Updated - The user's main profile was updated.  
06/22/17 01:35:36.823 - User Profile Updated - User profile updated for LaVelle Underhill  
06/23/17 01:30:13.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 12:39:32.630 - Late SEI Report Filed - SEI Report Filed Late for year 2016  
06/23/17 12:40:24.673 - Late SEI Report Filed - SEI Report Filed Late for year 2017

From: LaVelle Underhill lavelleu@gmail.com  
Subject: ORS 244.050  
Date: Jun 27, 2017, 1:51:30 PM  
To: ogec.notification@egov.com

RECEIVED

JUL 03 2017

OFFICE OF GOVERNMENT  
ETHICS COMMISSION

Dear sir,

I am a member of the Dufur City Planning Commission. I am late in filing my Annual Verified Statement of economic interest. I did not have the form to fill out. Also I was not aware that I was required to file an (SEI) form. I have now filed my (SEI) form. I understand that there is a penalty of fifty dollars. I am asking that you graciously dismiss the fifty dollar late fee. Thank you for your consideration.

Sincerely,  
LaVelle

Underhill



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 7, 2017

Jodi Hafer  
PO Box 71  
Summerville OR 97876

Re: 2017 SEI Penalty

Dear Ms. Hafer:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$7530 (\$2530 2017; \$5000 2016) penalties will be extended to coincide with the August 25<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

REVISED PENALTY AMOUNT



# SEI Activity

## 2017

SEI Code: 5177  
User Name: jhafer  
Email: jhafer59@live.com

2017 Filing: 7/10/2017  
2017 Penalty: \$2,530.00

Jodi Hafer  
PO Box 71  
Summerville, OR 97876

## 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:43.303 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:15.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:17.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 08:53:40.717 - User Profile Updated - The user's main profile was updated.  
05/11/17 08:53:50.530 - User Profile Updated - User profile updated for Jodi Hafer  
05/12/17 02:20:03.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:13.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:15.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:13.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:13.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:16.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:34.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:14.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:17.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:13.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:13.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:20.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:12.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:15.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:20.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:12.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:13.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:15.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/06/17 01:30:12.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:12.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:11.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:13.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:12.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:12.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:14.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:13.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:13.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:13.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:15.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:15.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:13.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:13.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:14.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:16.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:17.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:13.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:12.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:13.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

2017

Code: 5177  
Operator Name: jhafer  
Email: jhafer59@live.com

2017 Filing: 7/10/2017  
2017 Penalty: \$2,530.00

Jodi Hafer  
PO Box 71  
Summerville, OR 97876

06/30/17 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:14.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:12.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 03:27:15.417 - Late SEI Report Filed - SEI Report Filed Late for year 2016  
07/10/17 03:28:07.897 - Late SEI Report Filed - SEI Report Filed Late for year 2017

**PREVIOUS VIOLATIONS:** Jodi did not file for 2016. Many attempts to leave messages for her to call. When she finally did call on 7/10/17, Kathy D assisted her over the phone with filing for two years.

**RECOMMENDATION:**

*No consent, LHA at Fed 2016  
\$300<sup>00</sup> for 2017*

# SEI Activity

## 2016

SEI Code: 5177  
User Name: jhafer  
Email: jhafer59@live.com

2016 Filing: 7/10/2017  
2016 Penalty: \$5,000.00

Jodi Hafer  
PO Box 71  
Summerville, OR 97876

### 2016 Offices Held

No data to report.

### Activity Log - 2016 to Date

07/01/16 10:15:33.007 - User Profile Created - User profile created for Jodi Hafer  
07/01/16 10:15:33.087 - User Profile Created - A new user profile was created.  
07/01/16 10:15:33.100 - SEI Assigned to Seat - SEI Assigned to Seat  
07/11/16 01:30:32.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/12/16 01:30:31.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/13/16 01:30:26.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/14/16 01:30:30.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/15/16 01:30:35.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/16/16 01:30:30.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/17/16 01:30:30.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/18/16 01:30:27.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/19/16 01:30:30.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/20/16 01:30:28.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/21/16 01:30:34.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/22/16 01:30:33.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/23/16 01:30:30.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/24/16 01:30:52.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/25/16 01:30:30.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
07/26/16 01:30:32.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/03/16 01:30:13.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/04/16 01:30:13.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/05/16 01:30:12.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/06/16 01:30:15.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/07/16 01:30:17.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/08/16 01:30:10.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/09/16 01:30:14.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/10/16 01:30:14.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/11/16 01:30:18.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/12/16 01:30:12.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/13/16 01:30:12.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/14/16 01:30:12.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/15/16 01:30:13.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/16/16 01:30:13.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/17/16 01:30:13.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/18/16 01:30:35.130 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/19/16 01:30:13.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/20/16 01:30:13.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/21/16 01:30:12.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/22/16 01:30:14.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/23/16 01:30:12.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/24/16 01:30:12.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/25/16 01:30:12.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/26/16 01:30:13.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/27/16 01:30:12.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/28/16 01:30:12.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/29/16 01:30:12.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/30/16 01:30:12.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
08/31/16 01:30:15.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/01/16 01:30:13.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/02/16 01:30:12.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/03/16 01:30:12.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/04/16 01:30:13.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0



# SEI Activity

2016

SEI Code: 5177  
User Name: jhafer  
Email: jhafer59@live.com

2016 Filing: 7/10/2017  
2016 Penalty: \$5,000.00

Jodi Hafer  
PO Box 71  
Summerville, OR 97876

09/05/16 01:30:12.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/06/16 01:30:13.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/07/16 01:30:14.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/08/16 01:30:14.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/09/16 01:30:13.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/10/16 01:30:12.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/11/16 01:30:12.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/12/16 01:30:14.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/13/16 01:30:15.067 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/14/16 10:23:26.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/15/16 01:30:13.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/16/16 01:30:14.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/17/16 01:30:14.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/18/16 01:30:17.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/19/16 01:30:13.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/20/16 01:30:13.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/21/16 01:30:14.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/22/16 01:30:17.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/23/16 01:30:34.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/24/16 01:30:13.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/25/16 01:30:35.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/26/16 01:30:14.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/27/16 01:30:15.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/28/16 01:30:11.910 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/29/16 01:30:12.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/30/16 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0  
09/30/16 07:01:44.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016  
10/05/16 01:30:11.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016  
10/07/16 01:30:14.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016  
05/07/17 01:30:43.303 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/09/17 01:30:15.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 01:30:17.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/11/17 08:53:40.717 - User Profile Updated - The user's main profile was updated.  
05/11/17 08:53:50.530 - User Profile Updated - User profile updated for Jodi Hafer  
05/12/17 02:20:03.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/14/17 01:30:13.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/15/17 01:30:15.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/16/17 01:30:13.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/17/17 01:30:13.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/18/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/19/17 01:30:16.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/20/17 01:30:34.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/21/17 01:30:14.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/22/17 01:30:15.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017  
05/23/17 01:30:17.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/24/17 01:30:13.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/25/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/26/17 01:30:13.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/27/17 01:30:20.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/28/17 01:30:12.400 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/29/17 01:30:15.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/30/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
05/31/17 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/01/17 01:30:20.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/02/17 01:30:13.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/03/17 01:30:12.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/04/17 01:30:13.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/05/17 01:30:15.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

# SEI Activity

## 2016

SEI Code: 5177  
User Name: jhafer  
Email: jhafer59@live.com

2016 Filing: 7/10/2017  
2016 Penalty: \$5,000.00

Jodi Hafer  
PO Box 71  
Summerville, OR 97876

06/06/17 01:30:12.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/07/17 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/08/17 01:30:12.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/09/17 01:30:11.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/10/17 01:30:13.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/12/17 01:30:12.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/13/17 01:30:12.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/14/17 01:30:14.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/15/17 01:30:13.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/16/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/17/17 01:30:13.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/18/17 01:30:13.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/19/17 01:30:15.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/20/17 01:30:15.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/21/17 01:30:13.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/22/17 01:30:12.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/23/17 01:30:13.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/24/17 01:30:14.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/25/17 01:30:16.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/26/17 01:30:17.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/27/17 01:30:13.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/28/17 01:30:12.873 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/29/17 01:30:13.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
06/30/17 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/01/17 01:30:58.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/02/17 01:30:12.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/03/17 01:30:14.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/04/17 01:30:12.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/05/17 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/06/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/07/17 01:30:12.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/08/17 01:30:12.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/09/17 01:35:19.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 01:30:11.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017  
07/10/17 03:27:15.417 - Late SEI Report Filed - SEI Report Filed Late for year 2016  
07/10/17 03:28:07.897 - Late SEI Report Filed - SEI Report Filed Late for year 2017

July 17, 2017

AUG 07 2017

OREGON  
ETHICS CLERK

Dear Sirs,

I am writing to apologize for not having done the paper work required of me for my position on the Summerville City Council, and to ask that you forgive my penalties.

The reason I did not get it done was to the fact I do not have a computer and my phone did not work with your electronic service. I did try many times, without success.

I also work two jobs and volunteer at my local high school up to 20 hrs a week. My time for reaching your office during regular business hours was hard. I however was able to get everything taken care of thanks to a very nice lady named Kathy.

So please accept my apology, I promise to take better care of this in the future. I would be forever grateful if you could please forgive my debt. Thank you for your time

Sincerely,  
John Kage



**BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION**

In the Matter of )

HEIDI WILLIAMS )

) **STIPULATED FINAL ORDER**

) **CASE NO. 17-101EMS**

\_\_\_\_\_ )  
1. PURPOSE: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Heidi Williams.

2. JURISDICTION: At all material times, Heidi Williams was the Chief Operating Officer of the Oregon Educators Benefit Board. Heidi Williams was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. STIPULATED FACTS:

A. Heidi Williams was the Chief Operating Officer of the Oregon Educators Benefit Board during 2016, when the events relevant to this case occurred.

B. During calendar year 2016, Ms. Williams accepted meals with an aggregate value over \$50 from one or more sources that had a legislative or administrative interest in her decision-making as a public official.

C. ORS 244.025 prohibits a public official from soliciting or receiving, directly

or indirectly, any gift or gifts with an aggregate value in excess of \$50 during a calendar year from any single source that could reasonably be known to have a legislative or administrative interest as defined in ORS 244.020(10).

- D. The actions described in paragraph B above constituted a violation of ORS 244.025.
- E. In April and May of 2016, Ms. Williams was present in state vehicles that detoured for wine tasting to Hood River from John Day and to Walla Walla from Pendleton, respectively, while on official state business.
- F. In June of 2016, Ms. Williams stayed an extra night in Las Vegas, at state expense, after a conference.
- G. ORS 244.040(1) prohibits any public official from using their official position or office to obtain financial gain for the public official, other than official salary, honoraria or reimbursement of expenses.
- H. The actions described in paragraphs E and F above constituted violations of ORS 244.040(1). Ms. Williams contends that the action described in paragraph F was authorized by her supervisor, who was present on the trip, and therefore did not constitute a violation of ORS 244.040(1).
- I. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$15,000 as a result of these violations.
- J. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 244.025 and ORS 244.040(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. Heidi Williams will accept a letter of education, as authorized by ORS 244.350, in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Heidi Williams within the scope of the above-referenced proceedings.
- D. Heidi Williams will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Heidi Williams agrees to waive her right to a

contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Heidi Williams agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Heidi Williams  
Heidi Williams

8/3/17  
Date

\_\_\_\_\_  
Daniel T. Golden, Chairperson  
Oregon Government Ethics Commission

\_\_\_\_\_  
Date



# OREGON GOVERNMENT ETHICS COMMISSION

## INVESTIGATION

**CASE NO:** 15-283EDG

**DATE:** August 17, 2017

**RESPONDENT:** ROSS, Theodore "Ted", former Fire Chief and former Executive Administrative Officer, Lakeside Rural Fire Protection District

**COMPLAINANT:** DOTSON, Ralph, Director of the Board, Lakeside Fire District

**RECOMMENDED ACTION:** Make a Preliminary Finding of 1 violation each of ORS 244.040(1) and ORS 244.120(1)(c)

1 **SYNOPSIS:** Theodore "Ted" Ross was the Fire Chief and the Executive Administrative  
2 Officer (EAO) of the Lakeside Fire District when the events relevant to this case occurred.

3 The focus of this investigation was to determine if there was a preponderance of evidence  
4 to indicate that Mr. Ross committed violations of the conflict of interest and use of office  
5 provisions of ORS Chapter 244.

6  
7 A preponderance of evidence available during investigation shows that Mr. Ross used his  
8 official position in a prohibited manner and failed to disclose a conflict when purchasing two  
9 vehicles at an auction open only to government agencies and not to members of the public.  
10 His father holds title to one of those vehicles and he holds title to the other.

11 ///

12 ///

13 ///

14 ///

15 ///

1 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the  
2 issues addressed herein: NOTE: Events in this case occurred over a time period when  
3 some statutes in ORS 244 were changed. The statutes below are those that were in effect  
4 in 2013. In 2015, some of the relevant statutory subsection numbers in ORS 244.020  
5 changed, but the minor substantive changes are not relevant in this case, although they  
6 are noted in parentheses.

7  
8 **244.020 Definitions.** As used in this chapter:

9  
10 (1) "Actual conflict of interest" means any action or any decision or recommendation by a  
11 person acting in a capacity as a public official, the effect of which would be to the private  
12 pecuniary benefit or detriment of the person or the person's relative or any business with  
13 which the person or a relative of the person is associated unless the pecuniary benefit or  
14 detriment arises out of circumstances described in subsection (12) of this section."(2013)  
15 [reference to subsection (12) above was changed to (13) in 2015].

16  
17 244.020(12) "Potential conflict of interest" means any action or any decision or  
18 recommendation by a person acting in a capacity as a public official, the effect of which  
19 could be to the private pecuniary benefit or detriment of the person or the person's relative,  
20 or a business with which the person or the person's relative is associated, unless the  
21 pecuniary benefit or detriment arises out of the following:" (2013) [Subsection changed to  
22 244.020(13) in 2015].

23  
24 244.020(14) "Public official" means any person who, when an alleged violation of this  
25 chapter occurs, is serving the State of Oregon or any of its political subdivisions or any  
26 other public body as defined in ORS 174.109 as an elected official, appointed official,  
27 employee or agent, irrespective of whether the person is compensated for the services.  
28 (2013) [Subsection changed to 244.020(15) in 2015 and "First Partner" added to  
29 definition.]

30 ///

31 ///

1 244.020(15) "Relative" means:

2 (a) The spouse, parent, stepparent, child, sibling, stepsibling, son-in-law or  
3 daughter-in-law of the public official or candidate; (2013) [Subsection changed to  
4 244.020(16) in 2015.]

5 **244.040 Prohibited use of official position or office; exceptions; other prohibited**  
6 **actions.** (1) "Except as provided in subsection (2) of this section, a public official may not  
7 use or attempt to use official position or office to obtain financial gain or avoidance of  
8 financial detriment for the public official, a relative or member of the household of the  
9 public official, or any business with which the public official or a relative or member of the  
10 household of the public official is associated, if the financial gain or avoidance of financial  
11 detriment would not otherwise be available but for the public official's holding of the official  
12 position or office."

13

14 244.040(2) Subsection (1) of this section does not apply to:

15

16 (a) Any part of an official compensation package as determined by the public body  
17 that the public official serves.

18

19 244.040(7) "The provisions of this section apply regardless of whether actual conflicts of  
20 interest or potential conflicts of interest are announced or disclosed under ORS 244.120."

21

22 **244.120 Methods of handling conflicts; Legislative Assembly; judges; appointed**  
23 **officials; other elected officials or members of boards.** (1) "Except as provided in  
24 subsection (2) of this section, when met with an actual or potential conflict of interest, a  
25 public official shall:"

26

27 "(c) If the public official is any other appointed official subject to this chapter, notify  
28 in writing the person who appointed the public official to office of the nature of the  
29 conflict, and request that the appointing authority dispose of the matter giving rise to  
30 the conflict. Upon receipt of the request, the appointing authority shall designate

1 within a reasonable time an alternate to dispose of the matter, or shall direct the  
2 official to dispose of the matter in a manner specified by the appointing authority.”

3  
4 **244.130 Recording of notice of conflict; effect of failure to disclose conflict.** “(1)

5 When a public official gives notice of an actual or potential conflict of interest, the public  
6 body as defined in ORS 174.109 that the public official serves shall record the actual or  
7 potential conflict in the official records of the public body. In addition, a notice of the actual  
8 or potential conflict and how it was disposed of may, in the discretion of the public body, be  
9 provided to the Oregon Government Ethics Commission within a reasonable period of  
10 time.”

11  
12 **OAR 199-005-0035** (1) The purpose of this rule is to define certain terms and to clarify  
13 substantive provisions of ORS 244.020(6), 244.025, 244.040, 244.042 and 244.047.

14  
15 (3) An “official compensation package” means the wages and other benefits provided to  
16 the public official. To be part of the public official's “official compensation package”, the  
17 wages and benefits must have been specifically approved by the public body in a formal  
18 manner, such as through a union contract, an employment contract, or other adopted  
19 personnel policies that apply generally to employees or other public officials. “Official  
20 compensation package” also includes the direct payment of a public official's expenses by  
21 the public body, in accordance with the public body's policies.

22  
23 **INVESTIGATION:** The Oregon Government Ethics Commission (Commission) initiated a  
24 preliminary review based on information in a signed complaint from Ralph Dotson (#PR1).  
25 Mr. Dotson alleged that Theodore “Ted” Ross, former Fire Chief and former Executive  
26 Administrative Officer of the Lakeside Rural Fire Protection District (District), may have  
27 violated Oregon Government Ethics law. The Commission found cause to investigate on  
28 2/26/16 after considering the information developed in the preliminary review. The focus of  
29 the investigation was to determine if there is sufficient evidence to indicate that Ted Ross  
30 used his official position to obtain a prohibited financial benefit for himself or a relative and  
31 failed to disclose the nature of a conflict of interest.

1 Information was received after the investigation began that a criminal investigation was  
2 being conducted regarding the same circumstances that provided the basis for this  
3 investigation. As permitted by ORS 244.260(6)(c)(A), the investigation was suspended by  
4 the Commission on 7/1/16 until information was received on 7/6/17 that the criminal matter  
5 was concluded. Ted Ross and Ralph Dotson have been notified that the investigation has  
6 resumed and invited to provide any information which would assist the Commission in  
7 conducting the investigation in this matter.

8  
9 Information indicates that Ted Ross was the Fire Chief of the District for approximately six  
10 years before he went on leave of absence in the fall of 2013 and then resigned effective  
11 12/31/13. Mr. Ross was rehired shortly thereafter by the District Board of Directors in a  
12 new position, as the District's Executive Administrative Officer (EAO), a contract he signed  
13 on 1/15/14. (#INV9)

14  
15 The complainant alleged that Ted Ross was in possession of a 1996 Toyota 4Runner, a  
16 vehicle which previously belonged to the District. In addition, the complaint alleged that Mr.  
17 Ross obtained a second vehicle, a 1999 Dodge 1500 short bed pickup for the District, and  
18 may have given it to his father, who lives in California. This report addresses only these  
19 two vehicles. (#PR1)

20  
21 Lane County Fleet Auction records were obtained during this investigation and show the  
22 following vehicles were purchased by Lakeside Fire District: (#INV1)

23

Auction Date	Vehicle	VIN	Purchase Amt	Buyer
9/21/13	1999 Dodge Ram 1500 short bed pickup, yellow	1B7HC16X5XS236338	\$1,150	Lakeside Fire District
9/21/13	1996 Toyota 4 Runner, champagne	JT3HM84R9T0013031	\$1,500	Lakeside Fire District

1 1999 Dodge Ram

2 Lane County Fleet Auction records show that this vehicle was purchased at the 9/21/13  
3 auction by Lakeside Fire District. The Oregon Department of Motor Vehicles (DMV)  
4 records show that the vehicle's last recorded owner was the Rainbow Water District of  
5 Springfield, Oregon. After it was purchased at the auction, it was never retitled to the  
6 District or any other owner in Oregon. Michelle Borjal, a policy analyst at the Oregon DMV  
7 explained that the Oregon record for this vehicle shows a "flag 21" for California on the title,  
8 which means that it was probably retitled in California. (#INV1, #INV3 through #INV5)

9  
10 During investigation of this case, Commission staff contacted Richard A. Ross, of Crescent  
11 City, California. Mr. Ross confirmed that he is Ted Ross's father and that he owns a yellow  
12 Dodge Ram 1500 short bed pickup that was previously owned by a Water District. Mr.  
13 Ross said that Ted got it for him at an auction, but that it was nothing dishonest because  
14 Ted paid for it with money Mr. Ross gave him. Mr. Ross said that he gave Ted about  
15 \$1200 for the truck. Mr. Ross confirmed that he registered the truck in California. He said  
16 that he really wanted a different make of truck, but Ted got him the yellow Dodge. (#INV3)

17  
18 1996 Toyota 4Runner

19 Lane County Fleet Auction records show that the Toyota 4Runner was purchased by  
20 Lakeside Fire District on 9/21/13. A DMV history of the vehicle shows that the vehicle was  
21 then titled in the name of Jeremy Roberts on 9/20/13. Jeremy Roberts was a District  
22 firefighter and became the District's Interim Fire Chief after Ted Ross left that position on  
23 12/31/13 to become the District's EAO. (#PR1 and #INV1 and #INV6)

24  
25 Information relevant from other cases pending with the Oregon Government Ethics  
26 Commission indicates that Mr. Roberts was terminated by the District Board of Directors  
27 during a public meeting held following an executive session on 3/18/15. A list of items that  
28 were in Mr. Roberts's possession at the time of his termination was supplied as part of the  
29 complaint in this case. On District letterhead under the title "Items that Fire Chief Jeremy  
30 Roberts (terminated) has that belongs [sic] to the Lakeside Fire District", the Toyota  
31 4Runner was listed along with other items. Jeremy Roberts returned the Toyota 4Runner

1 to the District through a title transfer on or about 9/1/15 to the District. Evidenced by an  
2 Oregon DMV date stamp, on 10/27/15, the District, by and through Donald Gilkey, then  
3 District Board Chair, transferred the title to Theodore Ross using a vehicle bill of sale. The  
4 vehicle is currently titled to Ted Ross per Oregon DMV records. (#PR1 and #INV 2, #NV6).

5  
6 Other Pertinent Records

7 Don Gilkey, then Chair of the Board of Directors of the District, presented his position in  
8 response to these allegations in an email received on 12/29/15. Mr. Gilkey's email is  
9 excerpted as follows (#PR3):

10  
11 "After learning about the violation, I asked the District Secretary and our CPA  
12 (Hough, MacAdams (sic), Wartnik, Gorman and Fisher) if the vehicle has ever been  
13 part of this Fire Department. I was informed that it was never part of the inventory.  
14 Personally I have never seen this Toyota 4-runner and I do not know of any wrong  
15 doing on anyone's part."

16  
17 Mr. Gilkey also provided a copy of an email apparently sent to him by Laura Fisher, CPA,  
18 of the District's accountants, Hough, MacAdam, Wartnik, Fisher & Gorman, LLC [sic], on  
19 12/22/15. Ms. Fisher indicated, "We have searched through the accounting records and  
20 cannot find anything regarding 1996 Forerunner [sic]. ..." (#PR4).

21  
22 In addition, Mr. Gilkey provided documents that show that the District bid on the purchase  
23 of a 1996 Toyota 4Runner through a Lane County Fleet Services Surplus Vehicle Sealed  
24 Offer Sale on or about 9/18/13. The \$1,500 bid, apparently faxed from the Lakeside Fire  
25 District on or about 9/16/13, indicated that the bid was from the Lakeside Fire District and  
26 the contact person was identified as Ted Ross (#PR4).

27  
28 During investigation, Commission staff contacted staff members at the Lane County Fleet  
29 Auction, held every fall in Eugene. One staff member explained that there are actually two  
30 auctions, one open only to government agencies and the other open to members of the  
31 public. Government agencies get first choice to make bids and purchase vehicles for their

1 agencies and then whatever stock remains is open to the public later for bids and  
2 purchase.

3

4 The website for the Lane County Fleet Auction distinguishes between the "Government  
5 Sale" and the "Public Auction". The 2013 Auction shows as held on 9/21/13\*. In bold type  
6 on the home page it states "**Government Sale is over. Public Auction is over. Bidding  
7 is closed.**"

8

9 "Welcome to the Lane County Fleet Services Auction Web Site! Bidders have the  
10 ability to subscribe for an email about coming auctions and to bid online.  
11 Registered winning bidders with e-mail address on file will be notified on Sunday  
12 September 22<sup>nd</sup>, phone call notifications by end of the day Monday. The Public sale  
13 is September 19<sup>th</sup>, 20<sup>th</sup>, 8am to 5pm and September 21<sup>st</sup>, 8am to 3pm, 3040 North  
14 Delta Hwy, Eugene OR 97408...Lane County charges **\*\*NO BIDDERS FEE\*\***.  
15 Acceptable forms of payment include cash, cashiers check make payable to Lane  
16 County Department of Finance, Visa, MasterCard, or Discover card with \$100  
17 minimum....All items must be removed by September 24<sup>th</sup>, 2013 @ 5pm." (#INV1  
18 and #INV10)

19

20 \*NOTE: This explains why some of the past auction dates and vehicle title dates do not  
21 exactly make sense. For example, the 1996 Toyota 4Runner shows as titled to the  
22 District's Jeremy Roberts on 9/20/13, but the auction date at which it was purchased is  
23 listed on the Lane County Fleet Auction website as 9/21/13. This makes sense if the  
24 "auction date" on the website records is the last date of a three-day PUBLIC auction, and  
25 prior to the public auction is when the GOVERNMENT buyers actually purchase vehicles.

26

27 An email and pages from the Lane County manual were provided during investigation by  
28 the Lane County Counsel, to help explain the auction process. The Lane County Fleet  
29 Auction provides "cities, school districts, and some other local government agencies a pre-  
30 emptive right to make offers for surplus vehicles and equipment prior to the public auction."  
31 (#INV10a)



1 Summary of Contact with Ted Ross

2 Ted Ross was contacted during the investigation. Mr. Ross said that he obtained a  
3 cashier's check with his own money, "several hundred dollar bills" to purchase these 2  
4 vehicles at the 2013 Lane County Fleet Auction. He explained that because he was in and  
5 out of the bank so often in his fire uniform, when the cashier's check was issued, the bank  
6 had referenced the Lakeside Fire District as purchaser rather than him personally, and he  
7 did not notice that until he had already left the bank. He said that there is no evidence that  
8 these 2 vehicles were ever in the possession of the District, they were not part of the  
9 District's vehicle inventory, and were never titled in the name of the District. Mr. Ross  
10 states that both of these were his personal purchases. (#INV7)

11  
12 Mr. Ross explained that he gave the yellow Dodge Ram pickup to his father, who lives in  
13 California and it has been titled to his father since he purchased it at the auction. He said  
14 that he purchased the Toyota 4Runner for Jeremy Roberts, who was his replacement as  
15 Fire Chief, so that he could transport the District's dog, Hugo. Mr. Ross stated that the  
16 4Runner was always his vehicle and that when Jeremy Roberts was fired in 2015, the  
17 4Runner was properly returned to his possession by the District. (#INV7)

18  
19 A representative of the Umpqua Bank in North Bend was contacted by Commission staff  
20 and explained the process the bank uses when a cashier's check is issued. When a  
21 customer brings in cash to purchase a cashier's check, the bank records the party to  
22 receive the check on the face of the cashier's check, similar to a "payable to" entry on a  
23 personal check. They do not record the purchaser's name on the face of the check, it just  
24 shows "Umpqua Bank" as issuer. However, they do obtain the name and address of the  
25 purchaser for their records. (#INV8)

26  
27 **CONCLUSIONS:** Theodore "Ted" Ross was the Fire Chief of the Lakeside Rural Fire  
28 Protection District (District) through 2013, and District Executive Administrative Officer from  
29 January 2014 to approximately August 2015. He was a public official as defined in ORS  
30 244.020(14) when events relevant to this case occurred. Ted Ross's father is a relative as  
31 defined in ORS 244.020(15).

1 In relevant part, ORS 244.040(1) prohibited Ted Ross from using or attempting to use his  
2 official position to obtain a financial benefit or avoid a financial detriment for himself or a  
3 relative that would not otherwise have been available but for holding his official position  
4 with the District.

5  
6 There are some exceptions to financial benefits prohibited by ORS 244.040(1). Among  
7 those exceptions, a public official may accept any element of the public official's  
8 compensation package [ORS 244.040(2)]. No claim has been made and no information  
9 provided suggests that either of the two vehicles at issue in this case were part of Mr.  
10 Ross's official compensation package.

11  
12 A statutory conflict of interest is any action, decision, or recommendation made by a  
13 person in their official capacity as a public official, the effect of which would or could be to  
14 the financial benefit or detriment of themselves, a relative, or a business with which they or  
15 a relative are associated. Public officials such as Theodore Ross, when met with a conflict  
16 of interest in the course of their official duties, must provide their appointing authority with  
17 written notice of the nature of their conflict and request that the appointing authority  
18 dispose of the issue giving rise to the conflict. [ORS 244.020(1) and (12), ORS  
19 244.120(1)(c)]

20  
21 Information indicates that Mr. Ross purchased two vehicles from the Lane County Fleet  
22 Auction in September 2013, one of which later ended up titled to his father in California and  
23 the other titled to himself in Oregon. The auction records show that the purchaser in each  
24 case was "Lakeside Fire District". A bidding form for the 1996 Toyota 4Runner was  
25 supplied to the Commission by a former Board Chair and it shows that Lakeside Fire  
26 District is the bidder on the vehicle and Ted Ross is the agency contact person. Mr. Ross  
27 contends that he made these purchases with his own funds and the vehicles were never  
28 part of the District inventory because they were never owned by the District. He explained  
29 that he paid with a cashier's check on which the bank mistakenly identified the remitter as  
30 Lakeside Fire District. Interestingly, although the auction takes cash payments, Mr. Ross  
31 obtained a cashier's check.

1 Actually, it does not matter for purposes of the prohibited use of office statutes whether Mr.  
2 Ross used his own funds or District funds to purchase these vehicles at auction in 2013,  
3 which ultimately benefitted his father and himself. Even if one disregards the contrary  
4 evidence and looks at the circumstances in the light most favorable to Mr. Ross, he  
5 procured the vehicles by using his position as District Fire Chief to bid as a representative  
6 of a government agency, rather than as an individual party. The Fleet Auction is designed  
7 to give government agency purchasers a preferred bidding position over members of the  
8 public. The bid submitted was on behalf of the District, faxed from the District offices, and  
9 made by Ted Ross in his capacity as Fire Chief. But for his position with the District, he  
10 would not have been able to get the selection and bid preference allotted to municipalities  
11 and government agencies.

12  
13 Mr. Ross also failed to notify the District Board members, his appointing authority, that he  
14 was met with a conflict of interest when taking actions in his official capacity which could or  
15 would have the effect of a financial benefit for his father and himself in the price and  
16 selection of vehicles available at the Fleet auction.


17  
18 It appears that there is a preponderance of evidence to indicate that Theodore Ross  
19 committed violations of the conflict of interest and use of office statutes in ORS Chapter  
20 244.

21  
22 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a  
23 preliminary finding that Theodore Ross committed 1 violation each of ORS 244.040(1) and  
24 244.120(1)(c) [Motion 10]

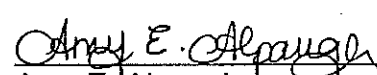
25  
26 **ASSOCIATED DOCUMENTS:**

- 27 #PR1 Ralph Dotson, signed complaint, and documents, received 12/14/15.  
28 #PR2 Copy of a news article published on a website maintained by The World on  
29 9/8/15, titled, "Lakeside Fire District board fires EAO."  
30 #PR3 Don Gilkey, email received on 12/29/15.  
31 #PR4 Don Gilkey, email and other documents received on 12/30/15.

- 1 #INV1 Lane County Fleet Auction records from 2011-2014 obtained from Lane  
2 County website.
- 3 #INV2 Records from Oregon Department of Motor Vehicles (DMV) database.
- 4 #INV3 Investigator's memo summarizing contact with Richard A. Ross, 8/11/17.
- 5 #INV4 Investigator's memo summarizing contact with M. Borjal, DMV employee.
- 6 #INV5 Oregon DMV vehicle history for 1999 Dodge Ram 1500 short bed pickup,  
7 VIN number 1B7HC16X5XS236338.
- 8 #INV6 Oregon DMV vehicle history for 1996 Toyota 4Runner, VIN number  
9 JT3HM84R9T0013031.
- 10 #INV7 Investigator's memo summarizing contact with Theodore Ross, 8/14/17.
- 11 #INV8 Investigator's memo summarizing contact with representative of Umpqua  
12 Bank in North Bend, Oregon.
- 13 #INV9 Theodore Ross's Separation and Retirement Agreement, December 16,  
14 2013; Theodore Ross's 2014-2017 Agreement with Lakeside Fire District;  
15 and other records from Lakeside Fire District.
- 16 #INV10 Investigator's memo summarizing contact with staff at Lane County Fleet  
17 Auction and website information.
- 18 #INV10a Email and other information from Stephen Dingle, Lane County Counsel.

PREPARED BY  8/17/17  
Diane Gould /Date  
Investigator

APPROVED BY  8/17/17  
Ronald A. Bersin /Date  
Executive Director

REVIEWED BY  8/17/17  
Amy E. Alpaugh /Date  
Assistant Attorney General



# Oregon

Kate Brown, Governor

## Government Ethics Commission

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### MEMORANDUM

DATE: August 16, 2017

TO: Ronald A. Bersin   
Executive Director

FROM: Marie Scheffers   
Compliance and Education Coordinator

RE: Respondent: Patrick Perry Case No. 16-133EMS

The purpose of this memo is to request a 30 day extension to the investigatory period for the above cited case, as allowed by statute.

This case was suspended due to a criminal investigation being conducted by the Oregon Department of Justice (DOJ) concerning the same issues. The case was suspended by the Commission on 8/12/16, the day that the Commission moved the case to investigation, with 180 days remaining in the investigatory phase.

On 4/4/17, the DOJ ended their investigation. On 5/8/17, they sent notice to our office that they had ended their investigation. The 180 day investigation period is scheduled to end on 9/30/17.

ORS 244.260(6)(c)(B) provides that the Commission may extend an investigatory phase for up to 30 days for additional fact finding.

If the Commission grants this 30 day extension, the case may be considered at the 10/6/17 Commission meeting, rather than the 8/25/17 meeting.





# OREGON GOVERNMENT ETHICS COMMISSION

## INVESTIGATION

**CASE NO:** 17-102EDG

**DATE:** August 16, 2017

**RESPONDENT:** ROACH, Laura, Director of Secondary/Post-Secondary Transitions,  
Department of Education

**COMPLAINANT:** Oregon Government Ethics Commission

**RECOMMENDED ACTION:** Make a Preliminary Finding of 1 Violation of ORS  
244.040(1) and 1 Violation of ORS 244.120(1)(c)

1 **SYNOPSIS:** Laura Roach was the Director of Secondary/Post-Secondary Transitions  
2 (SPST) for the Oregon Department of Education (ODE) when the events relevant to this  
3 case occurred. The focus of this investigation was to determine if there was a  
4 preponderance of evidence to indicate that Ms. Roach committed violations of the conflict  
5 of interest and use of office provisions of ORS Chapter 244.

6  
7 Laura Roach, as part of her official duties as Director of SPST, selected a training program  
8 for herself and her staff to attend, obtained approval for the training, and oversaw the ODE  
9 contracting and financial payment process for this three- day training that took place in May  
10 of 2016, in Portland. Discrepancies are noted in this report between Ms. Roach's summary  
11 of relevant events and the ODE records. There appears to be a preponderance of  
12 evidence to indicate that Ms. Roach used or attempted to use her official position as ODE  
13 Director to financially benefit her daughter and a business with which her daughter was  
14 associated, and that Ms. Roach failed to make a written disclosure to her supervisor of a  
15 conflict of interest prior to taking official actions.

1 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the  
2 issues addressed herein:

3  
4 **244.020 Definitions.** As used in this chapter, unless the context requires otherwise:

5 (1) "Actual conflict of interest" means any action or any decision or recommendation by a  
6 person acting in a capacity as a public official, the effect of which would be to the private  
7 pecuniary benefit or detriment of the person or the person's relative or any business with  
8 which the person or a relative of the person is associated unless the pecuniary benefit or  
9 detriment arises out of circumstances described in subsection (13) of this section."

10  
11 244.020(2) "Business" means any corporation, partnership, proprietorship, firm,  
12 enterprise, franchise, association, organization, self-employed individual and any other  
13 legal entity operated for economic gain but excluding any income-producing not-for-profit  
14 corporation that is tax exempt under section 501(c) of the Internal Revenue Code with  
15 which a public official or a relative of the public official is associated only as a member or  
16 board director or in a nonremunerative capacity."

17  
18 244.020(3) "Business with which the person is associated" means:

19 (a) Any private business or closely held corporation of which the person or the  
20 person's relative is a director, officer, owner or employee, or agent or any private  
21 business or closely held corporation in which the person or the person's relative  
22 owns or has owned stock, another form of equity interest, stock options or debt  
23 instruments worth \$1,000 or more at any point in the preceding calendar year;"

24  
25 244.020(13) "Potential conflict of interest" means any action or any decision or  
26 recommendation by a person acting in a capacity as a public official, the effect of which  
27 could be to the private pecuniary benefit or detriment of the person or the person's relative,  
28 or a business with which the person or the person's relative is associated, unless the  
29 pecuniary benefit or detriment arises out of the following:"

30  
31 244.020(15) "Public official" means the First Partner and any person who, when an alleged



1 violation of this chapter occurs, is serving the State of Oregon or any of its political  
2 subdivisions or any other public body as defined in ORS 174.109 as an elected official,  
3 appointed official, employee or agent, irrespective of whether the person is compensated  
4 for the services.”

5  
6 244.020(16) “Relative’ means:

7 (a) The spouse, parent, stepparent, child, sibling, stepsibling, son-in-law or  
8 daughter-in-law of the public official or candidate;”

9  
10 **244.040 Prohibited use of official position or office; exceptions; other prohibited**  
11 **actions.** (1) “Except as provided in subsection (2) of this section, a public official may not  
12 use or attempt to use official position or office to obtain financial gain or avoidance of  
13 financial detriment for the public official, a relative or member of the household of the  
14 public official, or any business with which the public official or a relative or member of the  
15 household of the public official is associated, if the financial gain or avoidance of financial  
16 detriment would not otherwise be available but for the public official’s holding of the official  
17 position or office.”

18  
19 244.040(7) “The provisions of this section apply regardless of whether actual conflicts of  
20 interest or potential conflicts of interest are announced or disclosed under ORS 244.120.”

21  
22 **244.120 Methods of handling conflicts; Legislative Assembly; judges; appointed**  
23 **officials; other elected officials or members of boards.** (1) “Except as provided in  
24 subsection (2) of this section, when met with an actual or potential conflict of interest, a  
25 public official shall:”

26  
27 (c) If the public official is any other appointed official subject to this chapter, notify  
28 in writing the person who appointed the public official to office of the nature of the  
29 conflict, and request that the appointing authority dispose of the matter giving rise to  
30 the conflict. Upon receipt of the request, the appointing authority shall designate  
31 within a reasonable time an alternate to dispose of the matter, or shall direct the

1 official to dispose of the matter in a manner specified by the appointing authority.”

2  
3 **244.130 Recording of notice of conflict; effect of failure to disclose conflict.** “(1)  
4 When a public official gives notice of an actual or potential conflict of interest, the public  
5 body as defined in ORS 174.109 that the public official serves shall record the actual or  
6 potential conflict in the official records of the public body. In addition, a notice of the actual  
7 or potential conflict and how it was disposed of may, in the discretion of the public body, be  
8 provided to the Oregon Government Ethics Commission within a reasonable period of  
9 time.”

10  
11 **INVESTIGATION:** The Oregon Government Ethics Commission (Commission) initiated a  
12 preliminary review on its own motion based on information contained in a report from the  
13 Secretary of State’s Government Waste reporting program on 1/27/17 (#PR1). The  
14 information indicated that Laura Roach, Director of Secondary/Post-Secondary Transitions  
15 at the Department of Education, may have violated Oregon Government Ethics law. The  
16 Commission found cause to investigate on 3/10/17 after considering the information  
17 developed in the preliminary review. The focus of the investigation was to determine if  
18 there is sufficient evidence to indicate that Ms. Roach used her position to gain a prohibited  
19 financial benefit for her daughter or a business with which her daughter was associated,  
20 and may have failed to properly disclose the nature of a conflict of interest. Laura Roach  
21 has been notified of the Commission actions in this matter and has been invited to provide  
22 any information which would assist the Commission in conducting this investigation.

23  
24 The Secretary of State maintains an anonymous online complaint system for citizens to  
25 report perceived government waste, fraud, or abuse. The Secretary of State received a  
26 complaint on 4/28/16 alleging that the cost of Department of Education (ODE) employee  
27 training arranged by supervisor Laura Roach was excessive and that Ms. Roach had a  
28 conflict of interest because her daughter was one of the trainers. The Secretary of State’s  
29 Office determined that the costs were not excessive (\$10,250 for the 19 ODE attendees),  
30 and forwarded the material to the Commission for consideration of possible ethics  
31 violations. (#PR1)

1 Information indicates that Ms. Roach and members of her staff in the Secondary/Post  
2 Secondary Transitions unit (SPST) attended a three-day training in Portland from 5/4/16  
3 through 5/6/16. The workshop was conducted in the "Art of Hosting" methodology. Ms.  
4 Roach, as SPST Director, requested the training for herself and her staff, which was then  
5 approved by her supervisor, and paid for by the ODE. (#PR1)

6  
7 Prior to the Portland training, Ms. Roach and another ODE employee attended a three-day  
8 training in January 2016, sponsored by the State of Washington's Department of Public  
9 Health in Tacoma, Washington. The training was conducted in the "Art of Hosting"  
10 methodology, which is also described in the training literature as the "Art of Participatory  
11 Leadership". The ODE paid for Ms. Roach and her colleague to attend this training.  
12 Training materials show that Ms. Roach's daughter, Jessica Riehl, was not one of the  
13 facilitators who led the Tacoma training. (#INV2 and #INV3)

14  
15 During the investigation phase, Ms. Roach answered by email the following question:

16  
17 Q: "How did you hear about the Art of Hosting training that you took in  
18 Washington?"

19  
20 A: "At my request, Jessica Riehl provided me with the information of several  
21 organizations that provide education/training in facilitation and visual mapping. My  
22 staff Googled the events for further information. The Washington conference was  
23 the closest, least expensive, open to external participants, and the timing was more  
24 convenient and the content relevant [to] ODE work...." (#INV2)

25  
26 Ms. Roach provided a letter and other information to the Commission during preliminary  
27 review. The letter will be provided in its entirety to the Commissioners with the  
28 investigation report, and is excerpted below:

29  
30 "After attending an Art of Hosting Conference in Washington State, sponsored by  
31 the Washington State Department of Public Health, I expressed interest to the

1 facilitators in having my staff participate in this kind of training in Oregon. While [my  
2 daughter] has informal affiliations with some of the practitioners of the Art of  
3 Hosting, she had no involvement in this conference. To my knowledge, there is no  
4 membership organization associated with the Art of Hosting practitioners. “

5  
6 “I was contacted by Kevin Hiebert, Resonate Consulting, with whom I had no  
7 previous affiliation. He stated he provides consulting services in the education field  
8 and demonstrated that he had insight into the kinds of work my team conducts.  
9 Kevin indicated an interest in leading a convening for multiple organizations using  
10 the Art of Hosting framework as presented in Washington. I understood that he was  
11 considering conducting the facilitation and Portland State University and other [sic]  
12 with various sponsors and reaching out to a variety of organizations. I stated that  
13 while I was interested, and that I knew this may involve Jessica Riehl, I could not  
14 contract with Jessica Riehl for any services. We did not discuss Jessica's future  
15 role or any possible future remuneration. It was mentioned that Smara [sic] Group  
16 might be one of the sponsors along with Portland State....”

17  
18 “At the time of the preliminary discussion with Kevin Hiebert, Jessica Riehl was  
19 working with Samara Group, a small consulting firm in Portland, with the expectation  
20 that she would become a principal. However, during this time, she had difficulty in  
21 securing payment for services from Smara [sic] Group, which then underwent an  
22 unfriendly dissolution of existing partners. It was my understanding that Jessica did  
23 not engage as a principal of Samara but, in the future, may be paid on an hourly  
24 basis. It was also my understanding that she continued to work with a variety of  
25 organizations on a volunteer basis to gain experience, mentoring and networking  
26 opportunities...”

27  
28 “I advised my Supervisor and other Directors in our office that this training would be  
29 useful for our staff and that I had learned about the Art of Hosting through the  
30 training in Washington State. I stated multiple times that Riehl would be involved in  
31 the Portland conference. At no time did anyone inquire about any potential for

1 financial remuneration or suggest a conflict of interest issue....”

2  
3 “As of January 2017, I became aware that Riehl did, in fact, receive a small  
4 remuneration for her contributions to the Art of Hosting conference.” (#PR2)

5  
6 Ms. Roach supplied an email that she sent out to 15 ODE employees on 1/25/16, which  
7 had a flyer for the Portland Art of Hosting workshop attached and is excerpted below.

8 **NOTE:** The ellipses appear in the original email and do not indicate text omitted by  
9 Commission staff.

10  
11 “Our newest staff are planning to participate in this training...It is really about  
12 Participatory Leadership..Donna and I went to a version of this last week....and  
13 found it interesting and helpful...

14 I would recommend that all of our team attend...

15 Please let Barb know if you would like to go....you might share the flyer with the  
16 Regional Coordinators...” (#PR2)

17  
18 As the timeline below shows, ODE records do not support Ms. Roach’s statements that she  
19 recommended her staff take the Art of Hosting training only after she attended the January  
20 2016 training in Washington State. The ODE paid for the Portland training through a  
21 Paypal account in the name of Kevin Hiebert, of Resonate Consulting, who was the contact  
22 person for the Portland Art of Hosting event. ODE registration and payment records show  
23 that Ms. Roach, as SPST Director, signed to approve the registration for five of her staff  
24 members to attend the three-day May training in Portland on 12/21/15. Her supervisor  
25 authorized it the same day, and the payment to Mr. Hiebert was confirmed by Paypal to  
26 ODE on 12/28/15. ODE records show that Ms. Roach, as Director, signed to approve the  
27 registration for twelve additional staff members to attend the Portland training on 2/11/16  
28 and her supervisor authorized it the same day. Ms. Roach requested registration for  
29 herself to attend the Portland training on 4/5/16 and her supervisor approved it the  
30 following day. (#PR1)

31 ///

1 The ODE was asked to provide records of any written conflict notices from Ms. Roach to  
2 her supervisor concerning the Portland training, and in response they provided an email  
3 with attached records. The email stated that the ODE had "searched Laura Roach's  
4 documents and [her supervisor's] documents" for evidence of a written conflict disclosure.  
5 The records provided by the ODE included some emails that referred to the Portland Art of  
6 Hosting conference/training, but no conflict of interest disclosure from Ms. Roach. (#INV4)

7  
8 Also included was an email from Laura Roach to the ODE's Government and Legal Affairs  
9 Manager, dated 6/27/17, after she sent Ms. Roach a summary of the Commission's  
10 request for ODE records. The email is excerpted below, and will be provided in its entirety  
11 to the Commissioners with this report. **NOTE:** It appears that Ms. Roach mistakenly cites  
12 the "Department of Justice" and the "DOJ" in her email when actually referring to the  
13 Oregon Government Ethics Commission.

14  
15 "Thank you for forwarding this request."

16  
17 "As I stated when I met with you and subsequently with Dawne [supervisor] in  
18 January 2017, I do not recall putting anything in writing regarding a conflict of  
19 interest because I did not foresee a conflict of interest since Jessica was not the  
20 fiscal agent for the conference and there was no contract with her from ODE. A  
21 signature noting a potential conflict of interest was not a required part of using a  
22 SPOTS Card to register for a conference, or the subsequent request for Form B.  
23 Kevin Hiebert, the fiscal agent for the Art of Hosting confirmed in writing in January,  
24 2017 that he had no contract with Jessica Riehl. I provided DOJ [sic] with two  
25 letters from Kevin. He also stated that he did ultimately pay four facilitators  
26 including Jessica Riehl; she received \$2500."

27  
28 "I would love to say that I had a written notice to Dawne, but the reality is, I don't  
29 think I do because I did not have reason to believe that Jessica's engagement  
30 would constitute a conflict of interest and did not know she was paid or planning to  
31 be paid anything until January 2017, when I received the notice of the complaint. At

1 that time I called both she and Kevin Hiebert to determine if she received a  
2 payment. I have shared a written summary of the events with the Department of  
3 Justice [sic] as well as their investigator and the review committee.” (#INV4)  
4

5 Information indicates that Ms. Roach's daughter owns Jessica Riehl Consulting, LLC, a  
6 business registered in Oregon on 7/27/16, shortly after the Portland training took place.  
7 Samara Group, LLC, is an Oregon business, registered on 3/17/15. Jessica Riehl is  
8 designated on Samara's website as the Director of Collaborative Design, and when the  
9 company was contacted during investigation, the representative confirmed that Ms. Riehl is  
10 part of the Samara Group and her contact information was provided. (#PR3 and #INV7,  
11 #INV8)  
12

13 Jessica Riehl was contacted by Commission staff during this investigation. Ms. Riehl said  
14 that she was a subcontractor of the Samara Group, LLC, but no longer works for them, as  
15 of 7/28/17. She explained that the Samara Group is primarily focused on environmental  
16 issues. Ms. Riehl said that when she was in graduate school a few years ago, she became  
17 interested in the Art of Hosting method of facilitation and hoped to develop a facilitation  
18 practice. She contacted Kevin Hiebert and spoke with him several times and did a  
19 study/work exchange for a training to learn the practice at a discount. Jessica Riehl said  
20 that once the Portland training dates had been selected as 5/4/16-5/6/16, the training was  
21 open to the public and available for registration, posted on the Art of Hosting website, and  
22 otherwise disseminated. She said that the ODE employees were not the only attendees,  
23 and the training was not tailored to ODE participants. Ms. Riehl cleared up the question of  
24 whether one of the other paid trainers at the Portland training, Aimee Samara, was  
25 connected to Samara Group, LLC. Ms. Riehl explained that Aimee Samara had no  
26 affiliation with the Samara Group, LLC. The name similarity was just a coincidence.  
27 (#INV8)  
28

29 Laura Roach submitted two undated letters from Kevin Hiebert, addressed “To Whom it  
30 May Concern”, and the 1/25/17 emails to which they were attached. In the letters, Mr.  
31 Hiebert identifies himself as the president of Resonate Consulting, which he describes as

1 the "fiscal, organizing and lead organization for the Art of Hosting trainings." Both letters  
2 also state that "[n]o trainers are paid based upon the number of participants or recruitment  
3 levels." Mr. Hiebert writes that the Portland training included "43 participants from 20  
4 various organizations." One letter also contains a list of four trainers that were paid to  
5 conduct the training at issue, including Jessica Riehl, who was paid \$2500. (#PR2, #INV1).

6  
7 Searches of the Secretary of State Business Registries for both Oregon and Washington  
8 did not return any currently active results for businesses named "Resonate Consulting" or  
9 "Kevin Hiebert". A business named "Resonate Consulting" was registered in Oregon until  
10 2009, and one named "Kevin P. Hiebert, Inc." was registered in Oregon from 2002 until  
11 2008. A search of the Washington Secretary of State's Business Registry shows that a  
12 business named "Kevin P. Hiebert, Inc." was registered in Washington from 1999 until  
13 2009. "Resonate Consulting, LLC," was registered in Washington on 10/28/15 and that  
14 registration became inactive on 9/13/16. Samara Group, LLC, was first registered in the  
15 Oregon Business Registry on 3/17/15 and remains actively registered. (#PR3, #INV5)

16  
17 During the investigation, Mr. Hiebert was contacted by Commission staff. He said that he  
18 conducted Art of Hosting trainings in Portland in 2015, 2016, and 2017, and the ODE  
19 employees attended the 2016 training. He explained that he knew Jessica Riehl through  
20 the network of Art of Hosting practitioners, and that he used her in his 2016 and 2017  
21 trainings in Portland. When asked about what services she performed, he said that she is  
22 a photographer, harvester, and graphic designer. When asked how the ODE staff came to  
23 learn about the 2016 Portland training, he said that a few of them had attended a similar  
24 training in Washington. Mr. Hiebert identified Resonate Consulting, LLC, as a Washington  
25 company. (#INV6)

26 ///

27 ///

28 ///

29 ///

30 ///

31 ///



TIMELINE

<u>Dates</u>	<u>Event</u>
Unspecified	Ms. Roach asks her daughter to recommend trainings in visual mapping and facilitation; Ms. Roach's ODE assistant takes that information and then locates such a training in Tacoma, WA occurring in January 2016.
12/21/15	Ms. Roach signed as Director to approve registration for 5 of her staff members to attend the <u>Portland</u> "Art of Hosting" training to take place 5/4/16-5/6/16 for which her daughter was a scheduled trainer.
12/22/15	Ms. Roach signed as requestor for travel authorization and funds from ODE for herself to attend Tacoma training in the "Art of Participatory Leadership."
12/28/15	Email confirmation received by ODE of Paypal payment made to Kevin Hiebert for 5 staff members to attend 5/4/16-5/6/16 Portland "Art of Hosting" training.
1/19/16-1/21/16	Ms. Roach and an ODE colleague attend the three-day training in Tacoma.
1/25/16	Ms. Roach sends out email to her ODE colleagues and attaches "Art of Hosting" flyer with information about the Portland training to take place in May.
2/11/16	Ms. Roach signs as Director to approve registration for 12 additional members of her staff to attend the Portland training in May.
4/5/16	Ms. Roach signs as requestor and Director to approve registration for herself at the Portland training in May.

2

3 **CONCLUSIONS:** Laura Roach was the Director of Secondary/Post-Secondary Transitions  
4 at the Department of Education during the period relevant to this matter. She was a public  
5 official as defined in ORS 244.020(15). Ms. Roach's daughter, Jessica Riehl, is a relative  
6 as defined in ORS 244.020(16).

7 ///

8 ///

1 CONFLICT OF INTEREST

2 A statutory conflict of interest is any action, decision, or recommendation made by a  
3 person in their official capacity as a public official, the effect of which would or could be to  
4 the financial benefit or detriment of themselves, a relative, or a business with which they or  
5 a relative are associated. Public officials such as Laura Roach, when met with a conflict of  
6 interest in the course of their official duties, must provide their appointing authority with  
7 written notice of the nature of their conflict and request that the appointing authority  
8 dispose of the issue giving rise to the conflict. [ORS 244.020(1) and (13), ORS  
9 244.120(1)(c)]

10  
11 Information available indicates that Ms. Roach was met with a conflict of interest when  
12 arranging for herself and her staff at the Department of Education to take a 3-day training  
13 for which her daughter, and a business with which her daughter was associated, were  
14 financially involved. Ms. Roach states that at the time, she was unaware that her daughter  
15 would be a paid trainer, so it did not occur to her that she may have had a conflict of  
16 interest when arranging for the training. In fact, Ms. Roach says that she found out for the  
17 first time that Jessica received payment for her part in the training only after she was  
18 contacted by the Commission concerning the initiation of this case, in early 2017.

19  
20 Ms. Roach says that, at the time, her daughter was affiliated with Samara Group, LLC,  
21 launching her own consulting business, networking, and volunteering with different groups  
22 to gain experience. In her letter to the Commission, Ms. Roach stated that when arranging  
23 the training with Mr. Hiebert, the owner of Resonate Consulting, LLC, she told him that she  
24 knew this training “may involve Jessica Riehl,” and that she “could not contract” with her  
25 daughter on behalf of ODE for any services.

26  
27 Conflicts of interest occur when a public official takes an official action which would or  
28 could financially benefit a relative or a business with which a relative is associated. If one  
29 is associated with a private business (which includes a self-employed individual) or closely  
30 held corporation as a director, officer, owner, employee, or agent, it is a “business with  
31 which one is associated” for purposes of the conflict of interest and use of office provisions

1 of Oregon Government Ethics law. [ORS 244.020(2) and (3), ORS 244.040]

2  
3 Even if Ms. Roach did not realize that her daughter was to be paid for her work as a trainer,  
4 she was aware that Kevin Hiebert, as owner of Resonate Consulting, was paid by ODE to  
5 conduct the training and that her daughter was to be one of the trainers, acting as an agent  
6 of Resonate Consulting, LLC. A preponderance of evidence exists to show that Ms. Roach  
7 was met with a conflict of interest when taking official action as Director of SPST to arrange  
8 training for her staff in this instance. Although Ms. Roach verbally notified her supervisor  
9 and others at ODE that her daughter would be one of the trainers, she did not make a  
10 written disclosure to her supervisor of a conflict of interest, as required by ORS  
11 244.120(1)(c).

12  
13 USE OF OFFICE

14 In relevant part, ORS 244.040(1) prohibits public officials from using or attempting to use  
15 their official position to obtain a financial benefit for a relative, or a business with which  
16 their relative is associated, that would not otherwise be available but for holding their  
17 official position.

18  
19 It appears from information available, that a preponderance of evidence exists to show that  
20 Ms. Roach violated ORS 244.040(1) when arranging and contracting for a training for her  
21 ODE staff that involved her daughter as a trainer for the company conducting the training.  
22 Contrary to her statements, records indicate that Ms. Roach approved registration and  
23 payment for five of her staff members to attend the Portland Art of Hosting training in  
24 December of 2015, before she even attended the Tacoma training. Records show that  
25 when she returned from the Tacoma training, she notified her ODE colleagues of the Art of  
26 Hosting training to be held in Portland in May (for which her daughter was a scheduled  
27 trainer), and approved at least twelve more staff members to attend. If not for holding her  
28 position as Director of SPST, Ms. Roach would not have been able to select the type of  
29 training and direct her staff to attend this training, which would financially benefit her  
30 daughter and a business with which her daughter was associated.

31 ///

1 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make a  
2 preliminary finding that Laura Roach committed one violation each of ORS 244.040(1) and  
3 ORS 244.120(1)(c). [Motion 10]  
4

5 **ASSOCIATED DOCUMENTS:**

6 #PR1 Information received from the Secretary of State's Hotline for Government  
7 Waste, Fraud and Abuse, which formed the basis for the Commission's own  
8 motion to initiate a preliminary review.

9 #PR2 Letter and other information received from Laura Roach on 1/26/17.

10 #PR3 "Business Registry Business Name Search" records obtained from the  
11 Oregon Secretary of State's website.

12 #INV1 Email and information from Laura Roach, received 3/8/17.

13 #INV2 Email and information from Laura Roach, received 7/11/17.

14 #INV3 Emails and information from Barbara O'Neill, ODE Administrative Specialist

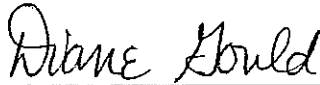
15 #INV4 Emails and records from Jenni Knaus, ODE, in response to records request.

16 #INV5 Records downloaded from the Washington and Oregon Secretary of State's  
17 Business Registries.

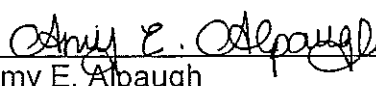
18 #INV6 7/27/17 Memo summarizing contact with Kevin Hiebert, Resonate  
19 Consulting.

20 #INV7 Pages downloaded from a website maintained by the Samara Group, LLC.

21 #INV8 8/1/17 Memo summarizing contact with Jessica Riehl.

PREPARED BY  8/16/17  
Diane Gould Date  
Investigator

APPROVED BY  8/16/17  
Ronald A. Bersin Date  
Executive Director

REVIEWED BY  8/17/17  
Amy E. Alpaugh Date  
Assistant Attorney General



# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

August 2, 2017

Kathy Storm  
317 SW Sixth Ave., Suite 400  
Portland, OR 97204-1705

Dear Ms. Storm:

This letter of advice is provided in response to your original email request received on July 31, 2017 which presented a question regarding the application of Oregon Government Ethics law and how the law may apply to public officials who may wish to participate in the Oregon Forest Resources Institutes Board of Directors Mass Timber Building Tour which is planned for October 3, 2017. This analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances you have presented.

From the information provided, it appears that Oregon Forest Resources Institute (OFRI) wants to host an educational tour for public officials. The purpose of the tours is to educate and inform state government officials on the structural, sustainability and economic development advantages of mass timber building systems. The tours will highlight a variety of mass timber buildings that have been completed or are under construction in the Portland Metro area.

During these tours, OFRI will provide and pay for food, lodging and travel expenses. In this request, the question asked is what restrictions or requirements Oregon Government Ethics law may impose on public officials who may wish to participate in this tour.

Under most circumstances when a public official is offered food, lodging and travel at no cost to the public official, it would be a gift as defined in ORS 244.020(7)(a). ORS 244.020(7)(b) excludes several items from the statutory definition of "gift", including reasonable food, travel expenses provided to a public official when representing government. ORS 244.020(7)(b)(F) allows acceptance of the payment of reasonable expenses for food, lodging and transportation for public officials, when the public official is representing their government agency



Kathy Strom  
Oregon Forest Resource Institute  
August 2, 2017  
Page 2

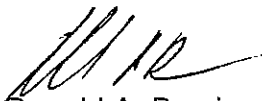
during an fact-finding mission. The Commission has adopted an administrative rule OAR 199-005-0001(2) to provide clarification to the term "fact finding mission or trip."

Based on the information you provided it appears that ORS 244.020(7)(b)(F) would allow public officials to accept meals, lodging and transportation expenses to participate in the Oregon Forest Resources Institute forest tours, which appears to meet the definition of a fact-finding mission, as defined in OAR 199-005-0001(2).

The public officials who participate in the event and who must file and Annual Verified Statement of Economic Interest form with the Oregon Government Ethics Commission would be required to report the aggregate value of these paid expenses pursuant to ORS 244.060(5). It is required that OFRI, as the source of a gift, provide a detailed cost analysis of a gift, it would be a courtesy to the public officials who may wish to participate in this event to receive a statement with the aggregate value of expenses paid on their behalf for filing purposes.

If you have any additional questions regarding the application of Oregon Government Ethics law please feel free to contact me directly.

Sincerely,



Ronald A. Bersin  
Executive Director

RAB/th

\*\*\*\*\*DISCLAIMER\*\*\*\*\*

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

**HEDRICK Tammy R \* OGEC**

---

**From:** Kathy Storm <storm@ofri.org>  
**Sent:** Monday, July 31, 2017 10:23 AM  
**To:** HEDRICK Tammy R \* OGEC  
**Cc:** BARNUM Paul; Timm Locke  
**Subject:** Ethics Approval for Fact-Finding Tour  
**Attachments:** 2017 OFRI Board of Directors Tour on Mass Timber Buildings.docx

Tammy,

On behalf of OFRI's board of directors, we are planning a fact-finding tour of wood buildings in the Portland area on October 3, 2017 and we are seeking approval via email from the Oregon Ethics Commission that this tour qualifies as an exemption of a "gift" under ORS 244.020(7)(b)(F). Listed below are the details:

- **What is the date of the event?**
  - October 3, 2017
  
- **What is the purpose of the event?**
  - The tour will highlight the structural, sustainability and economic development advantages of mass timber building systems by featuring tours of a variety of mass timber buildings that have been completed or are under construction in the Portland metro area.
  
- **Who is invited to participate?**
  - State legislators, county commissioners, city officials, Portland Development Commission, Metro and other officials from all other levels of government.
  
- **Of those individuals invited, what types of decisions or votes do they make that would have an economic impact on OFRI?**
  - None of the invitees make decisions or votes nor have an economic impact on OFRI. OFRI is governed by a 13-member board of directors who vote on action items.
  
- **What paid expenses are being provided (cost per person)?**

○ Lodging the night(s) of Oct. 2 and 3, if traveling more than 50 miles (optional) night	\$177.28 per
○ Parking pass at World Forestry Center (start of the tour)	\$5.00
○ Rental of the World Forestry Center for opening session	\$7.69
○ Coffee at opening session	\$1.50
○ Cloth bag to hold tour materials and snack	\$3.09
○ Tour snacks	\$1.71
○ Lunch on Oct. 3	\$35.68
○ Dinner on Oct. 4 (optional)	\$67.10
○ Dinner room rental at hotel	\$7.69
  
- **Who is paying for the expenses?**
  - Oregon Forest Resources Institute

Attached is an agenda for the tour. Please let me know if you need additional information. I look forward to your response.







# Oregon

Kate Brown, Governor

## Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: [ogec.mail@oregon.gov](mailto:ogec.mail@oregon.gov)

Website: [www.oregon.gov/ogec](http://www.oregon.gov/ogec)

July 31, 2017

Brad Anderson  
155 N 1<sup>st</sup> Ave, Ste. 340  
Hillsboro, Oregon 97124-3072

Dear Mr. Anderson:

This letter of advice is provided in response to your request received on July 7, 2017, which presented a question regarding the application of Oregon Government Ethics conflicts of interest laws to the members of Washington County's EMS Advisory Council. The analysis and advice that follows is offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances presented below.

As stated in your request for guidance, Washington County has an Emergency Medical Services (EMS) Advisory Council that advises the County's Board of Commissioners and EMS Office on issues and plans relating to the provision of EMS (i.e., ambulance services) in Washington County. The EMS Advisory Council's bylaws, which are approved by Washington County's Board of Commissioners, dictate its membership. Specifically, the bylaws state that the EMS Advisory Council must be made up of representatives from particular disciplines within the system, including: the EMS franchise holder, a 911 representative, the medical director, an emergency department physician, a municipal representative, two public/consumer representatives, two filed providers, three fire service agency representatives and the County Program Supervisor. You are seeking guidance as to whether the class exception (ORS 244.020(13)(a)) applies where EMS Advisory Council members (the Ambulance Services Franchise Holder in particular) have conflicts of interest with regard to deliberating on and making recommendations that might financially impact the member or the member's business association.

Under Oregon Government Ethics laws, there are two kinds of conflict of interest, "actual" or "potential". Conflicts of interest occur any time a public official is faced with making any action, decision or recommendation, while acting in an official capacity, the effect of which would (an actual conflict) or could (a potential conflict) financially affect the public official, the public official's relative, or a



Brad Anderson  
Washington County  
July 31, 2017  
Page 2

business association of either the public official or the relative (ORS 244.020(1), (13)). In general, when a conflict of interest arises, ORS 244.120 directs the conflicted public official in how to properly dispose of the conflict, unless a statutory exception applies. There are three statutory exceptions listed (under ORS 244.020(13)) that apply in circumstances where conduct that would otherwise constitute a conflict of interest is considered exempt from the requirements of ORS 244.120. One of these exceptions, relevant in this inquiry, is when the conflict arises from having "interest or membership in a particular business, industry, occupation or other class *required by law as a prerequisite to the holding...of the office or position*" (ORS 244.020(13)(a)).

In short, yes. Based on the information provided, the make-up of the EMS Advisory Council's membership is dictated by its bylaws (as presented above). Thus, its members are also members of particular businesses, industries, and occupations "*required by law as a prerequisite*" to holding their positions on the EMS Advisory Council. As a result, it appears that the conflict of interest exception listed at ORS 244.020(13)(a) would apply to EMS Advisory Council members *in circumstances where the resulting financial affect is directly tied to the public official's membership in the excepted class.*

If you have any additional questions regarding the application of Oregon Government Ethics law please feel free to contact me directly.

Sincerely,



Ronald A. Bersin  
Executive Director

RAB/hw

\*\*\*\*\*DISCLAIMER\*\*\*\*\*

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

## WEEDN Hayley \* OGEC

---

**From:** Brad Anderson <Brad\_Anderson@co.washington.or.us>  
**Sent:** Monday, July 31, 2017 9:59 AM  
**To:** WEEDN Hayley \* OGEC  
**Subject:** RE: Staff Opinion Request

Thanks.

Brad Anderson  
Sr. Assistant County Counsel  
Washington County  
(503) 846-8747

---

**From:** WEEDN Hayley \* OGEC [mailto:Hayley.WEEDN@oregon.gov]  
**Sent:** Monday, July 31, 2017 9:12 AM  
**To:** Brad Anderson  
**Subject:** FW: Staff Opinion Request

Mr. Anderson,

Attached please find a PDF copy of the written response requested. The original is being mailed to the address listed on the first page today via USPS.

Best,

Hayley D. Weedn  
Program Analyst/Trainer  
Government Ethics Commission  
3218 Pringle Rd SE, Ste. 220  
Salem, OR 97302-1544  
[hayley.weedn@oregon.gov](mailto:hayley.weedn@oregon.gov)  
ph: 503.378.8066  
fx: 503.373.1456

**\*\*Disclaimer\*\***

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

---

**From:** HUNTER David \* OGEC On Behalf Of OGEC Mail \* OGEC  
**Sent:** Friday, July 07, 2017 4:13 PM  
**To:** HEDRICK Tammy R \* OGEC <[Tammy.R.HEDRICK@oregon.gov](mailto:Tammy.R.HEDRICK@oregon.gov)>; WEEDN Hayley \* OGEC <[Hayley.WEEDN@oregon.gov](mailto:Hayley.WEEDN@oregon.gov)>  
**Subject:** FW: Staff Opinion Request

---

**From:** Brad Anderson [mailto:[Brad\\_Anderson@co.washington.or.us](mailto:Brad_Anderson@co.washington.or.us)]  
**Sent:** Friday, July 07, 2017 4:09 PM  
**To:** OGEC Mail \* OGEC <[OGEC.Mail@oregon.gov](mailto:OGEC.Mail@oregon.gov)>

Cc: Tricia Mortell <Tricia\_Mortell@co.washington.or.us>; Louisa Partain <Louisa\_Partain@co.washington.or.us>

Subject: Staff Opinion Request

Greetings-

I would like a staff opinion regarding actual and potential conflict of interests regarding a Washington County advisory council.

Facts:

Washington County has an Emergency Medical Services (EMS) Advisory Council that advises the Board of Commissioners and the County EMS Office on issues and plans relating to the provision of EMS (i.e. ambulance services) in Washington County. The membership on the Council consists of representatives from particular disciplines within the system including the emergency ambulance services franchise holder, a 911 representative, the medical director, an emergency department physician, a municipal representative, two public/consumer representatives, two filed providers, three fires service agency representatives and the County Program Supervisor. The bylaws approved by the Washington County Board of Commissioners is attached to this email. The bylaws detail all these components of the EMS Advisory Council and more.

Question:

Is it a potential/actual conflict of interest for the members of the EMS Advisory Council in general, and the Ambulance Services Franchise Holder in particular, to participate in and vote on recommendations to the Board of Commissioners that could have a pecuniary benefit or detriment of the member, or does the exception in ORS 244.020(13)(a) apply because the member is on the advisory council to represent a business, industry or occupation as required by the Board of Commissioners approved bylaws?

Thank you for reviewing this scenario and providing an opinion.

Brad

Brad Anderson  
Sr. Assistant County Counsel  
Washington County  
(503) 846-8747

## Trainers' Report August 25<sup>th</sup>, 2017

This report covers the time period of July 17<sup>th</sup>, 2017, through August 24<sup>th</sup>, 2017.

### Completion of training:

- Department of Fish and Wildlife – ORS 244 (Salem)
- Oregon Health Authority, New Employee Orientation – ORS 244 (Salem)
- Oregon Association of School Business Officials (OASBO) – ORS 244 (Bend)
- Department of Administrative Services Foundational Management Program – ORS 244 (Salem)
- Oregon Health Authority “New Employee Orientation” – ORS 244 (Portland)
- Department of Administrative Services Foundational Management Program – ORS 244 (Salem)

### Upcoming Trainings:

<u>Date</u>	<u>Time</u>	<u>Public Body (Topic)</u>	<u>Address</u>
8/31/17	1:00 – 3:00 PM	Portland Housing Bureau (ORS 244 & ORS 171)	421 SW 6 <sup>th</sup> Avenue Suite 500 Portland, OR 97204
9/6/17	1:30 – 3:30 PM	OHA, Fiscal & Operations Division (ORS 244)	Human Services Building 500 Summer Street NE, rm. 352 Salem, OR 97301
9/11/2017	10:00 – 11:30 AM	Association of County Treasurers & Finance Officers (ORS 244)	5500 Running Y Road Klamath Falls, OR 97601
9/11/17	9:15 – 11:15 AM	Oregon Society of Financial Examiners (SOFE) (ORS 244)	TBD
9/13/17	2:30 – 4:00 PM	Oregon Health Authority New Employee Orientation (ORS 244)	Portland State Office Building 800 NE Oregon Street Portland, OR 97232

9/27/17	TBD	Early Learning Council (ORS 244)	Chemeketa Eola 215 Doaks Ferry Road NW Salem, OR 97304
9/27/17	2:15 – 4:14 PM	DAS Foundational Program (ORS 244)	Portland State Office Building 800 NE Oregon St Room 1E Portland, OR 97232
10/16/17	10:30 – 12:30 PM	Oregon Housing and Community Services (ORS 244)	TBD

**Upcoming Conferences:**

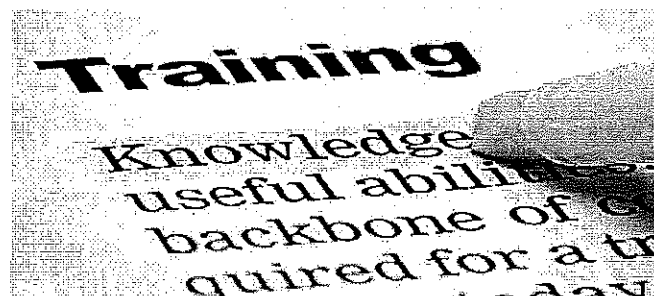
10/19/2017	TBD	Oregon Institute of Technology (ORS 244)	Oregon Institute of Technology 3201 Campus Drive College Union Building Mt. Mazama Room Klamath Falls, OR 97601-8801
10/26/17	TBD	Oregon Fire Directors Association (ORS 244)	Ashland Hills Hotel & Suites 2525 Ashland St Ashland, OR 97520

Training Staff:

Tammy Hedrick  
Hayley Weedn

503-378-6802  
503-378-8066

[tammy.r.hedrick@oregon.gov](mailto:tammy.r.hedrick@oregon.gov)  
[hayley.weedn@oregon.gov](mailto:hayley.weedn@oregon.gov)



# September 2017

## Oregon Government Ethics Commission AdobeConnect Webinar Training Calendar

Monday	Tuesday	Wednesday	Thursday	Friday
				1 New Employees: you're a public official, now what! 10:00 – 11:00 AM
4 Holiday - Office Closed	5	6 Gifts 2:30 – 3:30 PM	7	8
11	12 Lobby law 10:00 – 11:00 AM	13	14 Use of Position/Office 10:00 – 11:00 AM	15
18	19	20 Conflicts of Interest 2:30 – 3:30 PM	21	22
25	26 Executive Session 10:00 – 11:00 AM	27	28	29
			<div style="border: 1px solid black; padding: 5px; text-align: center;">                     Email <a href="mailto:ogec.training@oregon.gov">ogec.training@oregon.gov</a> to register for a webinar.                 </div>	

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# October 2017

## Oregon Government Ethics Commission AdobeConnect Webinar Training Calendar

Monday	Tuesday	Wednesday	Thursday	Friday
2 New Employees: you're a public official, now what! 10:00 – 11:00 AM	3	4 Executive Session 2:30 – 3:30 PM	5	6 OGEC MEETING Use of Position/Office 10:00 – 11:00 AM
9	10	11	12 Gifts 10:30 – 11:30 AM	13
16	17 Lobby law 10:00 – 11:00 AM	18	19 Use of Position/Office 10:00 – 11:00 AM	20
23	24	25 Conflicts of Interest 2:30 – 3:30 PM	26	27
30	31		<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"> <p>Email <a href="mailto:ogec.training@oregon.gov">ogec.training@oregon.gov</a> to register for a webinar.</p> </div>	

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## Executive Director's Report

August 25, 2017

- Budget
  - 2015-17 biennial budget
    - Fund 4150, General Fund
      - \$179,779.63 carryover to 2017-19 biennial budget unspent in 2015-17 budget after bills paid in month 13.
    - Fund 4160 Electronic Reporting System
      - Moneys in this fund have been spent in accordance with financial plan. The agency will not spend any other moneys in this fund.
    - Fund 4170 Case Management System
      - \$54,372.43 carryover to 2017-19 biennial budget unspent in 2015-17 budget after bills paid in month 13.
      - Total carried over to 2017-19 biennium \$234,152.06
  - 2017-19 biennial budget
    - First report will be provided to Commissioners on day of meeting (not available as of date book sent).
- Case Management System
  - Phase 2 deployed.
  - Developing Phase 3, development on time for launch date.
- Lobby
  - 2017 Legislative Session allowed sunset to expire on Lobby Expenditures Reporting.
  - Lobby to Lobby expenditures will be reported beginning 3<sup>rd</sup> quarter 2017.
- Other
  - Only 15 2017 SEI filers are outstanding.
  - Added laptop/tablet to hardware used by office.
  - Website redesign project continues.

