

OREGON GOVERNMENT ETHICS COMMISSION

MEETING MINUTES

July 14, 2017

9:00 a.m.

(:01)

Chair Dan Golden called the meeting to order at 9:00 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Chuck Lee, Richard Burke, Nathan Sosa, David Fiskum, Charles Starr and new Commissioner Daniel Mason. Alison Kean and Marilyn Cover were excused from attendance. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Training Coordinator Marie Scheffers, Investigator Diane Gould, Investigator Michael Thornicroft and Administrative Specialist Kathy Daniel.

(:45)

AGENDA ITEM 1, Approval of the minutes of the June 2, 2017 Commission meeting. Chair Golden called for approval of the minutes of the June 2, 2017 Commission meeting. The minutes were approved without correction.

(1:20)

The Commission convened into executive session at 9:03 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and to consider possible own-motion reviews pursuant to 244.260(2)(d).

Others in Attendance: Paris Achen, Portland Tribune; Hillary Borrud, The Oregonian; Tracy Loew, Statesman Journal.

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review

(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

OTHER ITEMS

Reports of Preliminary Review
(removed from consent calendar)

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

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MEETING MINUTES
July 14, 2017
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(2:50)

AGENDA ITEM 68, 14-190EDT, John Kitzhaber, Former Governor, State of Oregon. Kitzhaber did not address the Commission. Counsel Jennifer Roberts and Janet Hoffman were present and addressed the Commission. Starr moved that the Commission find that there is a substantial, objective basis for believing that John Kitzhaber may have violated ORS 244.025, 244.040 and 244.120(2) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(43:08)

AGENDA ITEM 69, 14-191EDT, Cylvia Hayes, Former acting policy advisor, Governor's office, and First Lady. Hayes did not address the Commission. Starr moved that the Commission find that there is a substantial, objective basis for believing that Cylvia Hayes may have violated ORS 244.025, 244.040 and 244.120(1) and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(49:33)

AGENDA ITEM 70, 17-027SMT, Thia Estes, Mayor, City of Hubbard. Estes did not address the Commission. Burke moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(51:25)

AGENDA ITEM 71, 17-155SMT, Thia Estes, Mayor, City of Hubbard. Estes did not address the Commission. Starr moved that the Commission find that there is a substantial, objective basis for believing that Thia Estes may have violated ORS 244.060 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(55:00)

AGENDA ITEM 72, 17-156SMT, Daniel Estes, Planning Commission, City of Hubbard. Estes did not address the Commission. Fiskum moved that the Commission find that there is a substantial, objective basis for believing that Daniel Estes may have violated ORS 244.060 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

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Preliminary Review Stipulated Final Orders
(discussion only, action in open session)

None.

Own Motion Preliminary Reviews

(55:13)

AGENDA ITEM 73, Possible own-motion preliminary review re Joe Colello, Department of Energy. Unable to reach by telephone. Will try again later in the meeting.

The Commission reconvened into Regular Session at 9:59 a.m. and dismissed for a 10 minute break.

The Commission reconvened into regular open session at 10:10 a. m.

CONSENT CALENDAR

(59:00)

AGENDA ITEMS 2 - 54

Starr moved for the approval of the Consent Calendar items and the staff recommendation on each as follows:

Reports of Investigation

None.

Lobbyist Penalty Correspondence

None.

Lobbyist Client Penalty Correspondence

None.

Statement of Economic Interest Penalty Correspondence

AGENDA ITEM 2, Luis Hernandez, 2017, Letter of Education

AGENDA ITEM 3, Debra West, 2017, Letter of Education

AGENDA ITEM 4, Aaron Woods, 2017, Letter of Education

AGENDA ITEM 5, Ellen Nunez, 2017, Letter of Education

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AGENDA ITEM 6, Carolyn Blackmon, 2017, Letter of Education

AGENDA ITEM 7, Pat Cox, 2017, Letter of Education

AGENDA ITEM 8, Joseph Post, 2017, Letter of Education

AGENDA ITEM 9, Pete Caldwell, 2017, Letter of Education

AGENDA ITEM 10, Richard Thierolf Jr., 2017, Letter of Education

AGENDA ITEM 11, Wendy Largent, 2017, Letter of Education

AGENDA ITEM 12, Selina Shaffer, 2017, Letter of Education

AGENDA ITEM 13, Steve Rogers, 2017, Letter of Education

AGENDA ITEM 14, Jamie Hinkel, 2017, Letter of Education

AGENDA ITEM 15, Daniel Sullivan, 2017, Letter of Education

AGENDA ITEM 16, Douglas Morten, 2017, Letter of Education

AGENDA ITEM 17, Richard Price, 2017, Letter of Education

AGENDA ITEM 18, Les Perkins, 2017, Letter of Education

AGENDA ITEM 19, George Fortier, 2017, Letter of Education

AGENDA ITEM 20, Frank Primozić, 2017, Letter of Education

AGENDA ITEM 21, Brian Spivey, 2017, Letter of Education

AGENDA ITEM 22, Lynne Ofsthun, 2017, Letter of Education

AGENDA ITEM 23, James Setzer, 2017, Letter of Education

AGENDA ITEM 24, Kasey Wright, 2017, Letter of Education

AGENDA ITEM 25, Kristin Trujillo, 2017, Letter of Education

AGENDA ITEM 26, Stewart Lamerdin, 2017, Letter of Education

AGENDA ITEM 27, Teresa Rodriguez, 2017, Letter of Education

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AGENDA ITEM 28, Michele Johnson, 2017, Letter of Education

AGENDA ITEM 29, Ginger Griffith, 2017, Letter of Education

AGENDA ITEM 30, Caruso Bongiovi, 2017, Letter of Education

AGENDA ITEM 31, Charline King, 2017, Letter of Education

AGENDA ITEM 32, David "Lee" Layman, 2017, Letter of Education

AGENDA ITEM 33, Louise McKinnon, 2017, Letter of Education

AGENDA ITEM 34, Jay Blackburn, 2017, Letter of Education

AGENDA ITEM 35, David Harms, 2017, Letter of Education

AGENDA ITEM 36, Ron Wolfe, 2017, Letter of Education

AGENDA ITEM 37, Charles Zickefoose, 2017, Letter of Education

AGENDA ITEM 38, Keith Munn, 2017, Letter of Education

AGENDA ITEM 39, Timothy Donn Willey, 2017, Letter of Education

AGENDA ITEM 40, Sam VanDyke, 2017, Letter of Education

AGENDA ITEM 41, Emily Berlant, 2017, Letter of Education

AGENDA ITEM 42, Ray Turner, 2017, Letter of Education

AGENDA ITEM 43, Tyler Evans, 2017, Letter of Education

AGENDA ITEM 44, Erin Taylor, 2017, Letter of Education

AGENDA ITEM 45, Mike Dobaj, 2017, Letter of Education

AGENDA ITEM 46, John Vater, 2017, Letter of Education

AGENDA ITEM 47, Cate Arnold, 2017, Letter of Education

AGENDA ITEM 48, Dennis Ross, 2017, Letter of Education

AGENDA ITEM 49, Colleen Keller, 2017, Letter of Education

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AGENDA ITEM 50, Robert Webber, 2017, Letter of Education

AGENDA ITEM 51, Wayne Fording, 2017, Letter of Education

AGENDA ITEM 52, Brian Shortt, 2017, Letter of Education

AGENDA ITEM 53, Sharon Hartung, 2017, Letter of Education

AGENDA ITEM 54, Lynda Fine, 2017, Letter of Education

Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

End of Consent Calendar

Lobbyist Client Penalty Correspondence

(1:01:50)

AGENDA ITEM 55, Confederated Tribes of the Warm Springs Reservation, Q2 2016, Letter of Education; Q3 2016, \$20; Q4 2016, \$950; Q1 2017, \$75. Bersin summarized the violations. Burke moved to accept staff recommendation of Q2 2016 Letter of Education; Q3 2016, \$20; Q4 2016, \$950; Q1 2017, \$75 for the late filings of the expenditure reports. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(1:07:09)

AGENDA ITEM 56, Secretary of State, request for reconsideration. The matter was removed from the agenda and rescheduled to the 8/25/17 Commission meeting pursuant to request of the Secretary of State's office.

STIPULATED FINAL ORDERS

(1:08:25)

AGENDA ITEM 57, 16-124XMS, Grant Knoll, Klamath Irrigation District Board of Directors. Scheffers summarized the case. Knoll did not address the Commission. The Commission generally discussed the case. Starr moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

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AGENDA ITEM 58, 16-123XMS, Brent Cheyne, Klamath Irrigation District Board of Directors. Scheffers summarized the case. Cheyne did not address the Commission. Lee moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

AGENDA ITEM 59, 16-125XMS, Kenneth E. Smith, Klamath Irrigation District Board of Directors. Scheffers summarized the case. Smith did not address the Commission. Lee moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(1:13:00)

AGENDA ITEM 60, 17-152EMS, Knute Buehler, Oregon State Representative Candidate. Buehler did not address the Commission. Burke recused himself citing conflict of interest. Scheffers summarized the case. The Commission generally discussed the matter. Fiskum moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the chairperson be authorized to sign it as such. Roll call vote was taken as follows: Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed 6-0 with one abstention.

DEFAULT FINAL ORDERS

None.

CONTESTED CASE PROPOSED FINAL ORDERS

None.

REPORTS OF INVESTIGATION

(1:15:26)

AGENDA ITEM 61, 15-114EDG, Ted Ross, Lakeside Fire District

AGENDA ITEM 61, 15-115EDG, Fred Clausen, Lakeside Fire District

Request for 30-day extension of investigation. Gould summarized the cases. The Commission briefly discussed the matter. Fiskum moved to grant a 30-day extension of the investigation. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

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(1:17:57)

AGENDA ITEM 62, No. 16-149EMT, Anne Graham, Redmond City Councilor. Thornicroft summarized the case. Graham was present and addressed the Commission. The Commission generally discussed the matter. Burke moved that the Commission find that there is a sufficient evidence to find one violation of ORS Chapter 244.040 and one violation of 244.120 by Anne Graham, if this evidence is not rebutted by contrary evidence, and that this public official be offered a contested case proceeding. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

(1:45:00)

AGENDA ITEM 63, No. 16-152EMS, James Raussen, Oregon Educators Benefit Board Executive Director. Scheffers summarized the case. Raussen did not address the Commission. The Commission briefly discussed. Starr moved that the Commission find that there is a sufficient evidence to find four violations of ORS Chapter 244.040(1), four violations of 244.120(1)(c), and five violations of 244.025 by James Raussen, if this evidence is not rebutted by contrary evidence, and that this public official be offered a contested case proceeding. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

ADVISORY OPINIONS

None.

CORRESPONDENCE RECEIVED/RESPONSES

Staff Opinions
(non-action items)

None.

Staff Advice
(non-action, informational only items)

None.

Other Correspondence
(non-action, informational only items)

None.

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MISCELLANEOUS ITEMS

(1:50:00)

AGENDA ITEM 64, Meeting Schedule for 2018. Bersin presented the proposed dates to the Commission. No changes were noted.

(1:53:35)

AGENDA ITEM 65, Trainer's Report. Hedrick summarized the training activities.

The Commission convened into executive session at 11:08 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and possible own-motion reviews pursuant to ORS 244.260(2)(d).

Own Motion Preliminary Reviews

(1:57:54)

AGENDA ITEM 73, Possible own-motion preliminary review re Joe Colello, Department of Energy. Colello participated by telephone. Gould summarized the matter. Starr moved that the Commission conduct a preliminary review to determine if there is cause to investigate whether Joe Colello has violated ORS Chapter 244. Roll call vote was taken as follows: Burke, aye; Fiskum, aye; Lee, aye; Mason, aye; Sosa, aye; Starr, aye; Golden, aye. Motion passed unanimously.

Other Items

(non-action informational only items)

(2:01:00)

AGENDA ITEM 74, Summary of Pending Cases. The Commission reviewed without discussion.

The Commission reconvened into Regular Session at 11:15 a.m.

MISCELLANEOUS ITEMS

(2:03:40)

AGENDA ITEM 66, Director's Report. Bersin discussed the following:

- Reporting System: Second quarter 2017 reports due tomorrow for Lobbyists and Client Employers.
- Budget: Bersin reviewed the Budget report outline.
- Legislation: Bersin reviewed the status of legislative matters.

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- Case Management System: Phase 2 is working well and complaints are being filed by the public on-line. Phase 3 will deploy in September.
- Other: All new Commissioners have been confirmed by Senate. Commissioner Cover has agreed to serve through September legislative days.
- Bersin discussed staff telework process.
- OGEC website redesign continues.

The Commission recessed for a working lunch at 11:30 a. m. and reconvened into regular session at 11:43 a.m.

AGENDA ITEM 67, Commission Workshop. Trainer Weedn presented an overview training for all the Commissioners on Government Ethics (ORS 244); Executive Session (ORS 192.660); Lobby Regulations (ORS 171.725-772, .785):

- Advice and Opinions
- Ethics laws regulating financial benefits
- Prohibited use of official position
- Conflicts of Interest; handling conflicts
- Executive Session
- Complaints Process
- Required forms filings
- Lobby regulations

Chair Golden adjourned the meeting at 1:18 p.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, August 25, 2017, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, Suite 220, in Salem, Oregon.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Phillip Kennedy-Wong
7900 NE 33rd Dr.
Portland OR 97211

Dear Mr. Kennedy-Wong:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$10) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 477
User Name: Phillip Kennedy-Wong
Email: pkennedy-wong@oregonfoodbank.org

Q2 2017 Filing: 7/16/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$10.00

Phillip Kennedy-Wong
7900 NE 33rd Dr.
Portland, OR 97211

Activity Log - Q2 2017 to Date

04/12/17 11:45:25.393 - User Successfully Authenticated -
04/12/17 11:46:10.423 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:10.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/16/17 01:19:12.677 - User Failed to Authenticate -
07/16/17 01:19:24.033 - User Failed to Authenticate -
07/16/17 01:21:25.850 - User Successfully Authenticated -
07/16/17 01:22:16.617 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(Signature)

DANIEL Kathy * OGEC

From: Phillip Kennedy-Wong <pkennedy-wong@oregonfoodbank.org>
Sent: Monday, July 17, 2017 9:30 AM
To: OGEC Mail * OGEC
Subject: Late fee

RECEIVED

JUL 17 2017

Greetings:

OREGON GOVERNMENT
ETHICS COMMISSION

I've been in and out of the office and did not see the notices for the expense report. Please accept my apologies for the delinquency of filing my expense report. Is it possible to have the penalty waived? I was late by one day.

Your consideration is appreciated. Thanks.

PHILLIP KENNEDY-WONG | State Policy Advocate | [OREGON FOOD BANK](#) | 971.313.8306 office | 971.645.2601 cell |
[@p_wongster](#) | [facebook.com/pwongster](#)

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Nakeia Daniels
255 Capital St NE
Salem OR 97310

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Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1258
User Name: nakeia.daniels
Email: nakeia.l.daniels@oregon.gov

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Nakeia Daniels
255 Capital St NE
Salem, OR 97310

Activity Log - Q2 2017 to Date

04/06/17 12:20:25.220 - User Successfully Authenticated -
04/06/17 12:21:01.810 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/12/17 01:56:07.313 - User Successfully Authenticated -
04/13/17 09:28:26.890 - Admin User Reset Initiated - An admin user reset was initiated.
04/13/17 11:26:43.907 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/13/17 11:27:16.637 - User Failed to Authenticate -
04/13/17 11:27:22.987 - User Failed to Authenticate -
04/13/17 11:27:39.767 - User Successfully Authenticated -
04/13/17 02:14:28.423 - User Successfully Authenticated -
04/13/17 02:50:21.863 - User Successfully Authenticated -
04/13/17 03:00:09.770 - User Failed to Authenticate -
04/13/17 03:00:17.577 - User Successfully Authenticated -
04/13/17 03:59:24.707 - User Successfully Authenticated -
07/03/17 12:28:40.867 - User Successfully Authenticated -
07/16/17 01:30:11.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 09:44:13.737 - Admin User Reset Initiated - An admin user reset was initiated.
07/17/17 09:45:10.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/17/17 09:45:19.493 - User Failed to Authenticate -
07/17/17 09:45:26.643 - User Successfully Authenticated -
07/17/17 09:46:13.310 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(18)

DANIEL Kathy * OGEC

From: DANIELS Nakeia L * GOV
Sent: Monday, July 17, 2017 9:49 AM
To: OGEC Mail * OGEC
Subject: Remove Late Registration Fees

RECEIVED

JUL 17 2017

**OREGON GOVERNMENT
ETHICS COMMISSION**

Greetings,

My filing was late due to documented login issues. This problem has since been corrected and my account is up to date. Please remove any late penalties associated with my account. Thank you.

Nakeia

Nakeia Daniels
Diversity, Equity, and Inclusion
Office of Governor Kate Brown
255 Capitol St Suite 126
Salem, OR 97310
503.378.8271

Pronouns: she, her



**Equity is a value and a goal, not a process.
It allows all individuals to thrive and reach their full potential.**

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Mick Harris
2174 Laurine Ct. NE
Salem OR 97301

Dear Mr. Harris:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$10) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1124
User Name: mickharris
Email: mick@leadingedgepublicaffairs.com

Q2 2017 Filing: 7/16/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$10.00

Mick Harris
2174 Laurine Ct. NE
Salem, OR 97301

Activity Log - Q2 2017 to Date

04/03/17 07:57:59.447 - User Successfully Authenticated -
04/03/17 07:59:01.413 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/10/17 08:33:10.200 - User Successfully Authenticated -
07/16/17 01:30:12.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/16/17 09:47:39.547 - User Successfully Authenticated -
07/16/17 09:48:39.560 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(M)

DANIEL Kathy * OGEC

From: Mick Harris <mickrharris@gmail.com>
Sent: Monday, July 17, 2017 9:01 AM
To: OGEC Mail * OGEC
Subject: Explanation of Late Filing

RECEIVED
JUL 17 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Oregon Government Ethics Commission:

I would like to humbly submit an explanation for the reason my quarterly filing as a lobbyist was submitted on 7/16/2017 rather than on or before 7/15/17.

I have never lobbied before and was only working for the spring semester (as I am a law student in Salem) in a scheduling capacity. Once my work was finished and my status terminated as a result of the semester ending, I did not realize that I needed to file another quarterly report. I acknowledge that I received two emails regarding this filing, but I did not understand their applicability.

I apologize sincerely and hope you will waive this \$10 fee, as I am a student and this mistake was unintentional. Please let me know if this might be possible and I'm grateful for your consideration.

Respectfully,

Mick Harris
(503) 889-6636



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Ginny Lang
5769 SW Huddleson St.
Portland OR 97219

Dear Ms. Lang:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$20) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 328
User Name: ginny.lang72@gmail.com
Email: ginny.lang72@gmail.com

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Virginia Lang
5769 SW Huddleson St.
Portland, OR 97219

Activity Log - Q2 2017 to Date

04/01/17 06:11:01.567 - User Successfully Authenticated -
04/01/17 06:14:18.693 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/10/17 06:13:20.687 - User Successfully Authenticated -
07/16/17 01:30:09.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 12:01:07.863 - User Successfully Authenticated -
07/17/17 01:25:37.333 - Lobbyist Report Saved - Lobbyist Report Saved for Q2 2017
07/17/17 01:30:40.870 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to EJ
[Signature]

DANIEL Kathy * OGEC

From: Ginny Lang <ginny.lang72@gmail.com>
Sent: Monday, July 17, 2017 1:51 PM
To: OGEC Mail * OGEC
Subject: Late Quarterly Report in the Role of Lobbyist

RECEIVED

JUL 17 2017

**OREGON GOVERNMENT
ETHICS COMMISSION**

Dear Oregon Government Ethics Commission:

I just submitted my Q2 lobbyist expense report. Yes, I know, it's two days late. Chalk it up to old age and/or carelessness. I terminated my lobbying relationship with the University of Oregon Foundation in April, 2017, not paying attention to the requirement to report for this year's second quarter.

To those of you who know me, I plead tardy; to those who don't, I ask forgiveness and leniency.

Thanks to you and your staff over the many years I've dealt with your agency for professionalism, responsiveness, and patience in the extreme.

Kind regards,

Ginny

(Virginia W. Lang)



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Kristen Grainger
1610 Fir St S
Salem OR 97302

Dear Ms. Grainger:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$20) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 447
User Name: kgrainger
Email: kgrainger724@gmail.com

Kristen Grainger
1610 Fir Street S
Salem, OR 97302

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Activity Log - Q2 2017 to Date

04/04/17 03:22:46.397 - User Failed to Authenticate -
04/27/17 10:27:58.683 - Admin User Reset Initiated - An admin user reset was initiated.
04/27/17 10:37:13.933 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/27/17 10:37:33.223 - User Failed to Authenticate -
04/27/17 10:37:46.167 - User Successfully Authenticated -
04/27/17 10:48:26.063 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
07/15/17 11:07:55.650 - User Failed to Authenticate -
07/15/17 11:16:07.447 - User Failed to Authenticate -
07/15/17 11:16:20.867 - User Failed to Authenticate -
07/15/17 11:17:24.380 - User Failed to Authenticate -
07/16/17 01:30:10.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 09:54:47.993 - Admin User Reset Initiated - An admin user reset was initiated.
07/17/17 09:58:36.967 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/17/17 09:59:17.807 - User Successfully Authenticated -
07/17/17 10:02:38.133 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to ES
(Signature)

DANIEL Kathy * OGEC

From: Kristen Grainger <kgrainger724@gmail.com>
Sent: Monday, July 17, 2017 10:12 AM
To: OGEC Mail * OGEC
Subject: Request for fine waiver

Dear OGEC,

In accordance with your policies and procedures, I request waiver of the \$20 in fines for late reporting.

OGEC records will show that I emailed the agency three times on and prior to the deadline to report that I could not access the online reporting system, and I received no response until this morning, after the deadline passed. It turned out that use of an exclamation point as the special character required for one's password was problematic for the system. Once that was known, it was easily corrected. There is no information provided prior to this communication that advises use of a special character other than an exclamation point.

Thank you for your consideration.

Kristen Grainger
503.931.3702

DANIEL Kathy * OGEC

From: HUNTER David * OGEC
Sent: Monday, July 17, 2017 10:21 AM
To: DANIEL Kathy * OGEC
Subject: FW: System problems

-----Original Message-----

From: HUNTER David * OGEC On Behalf Of OGEC Mail * OGEC
Sent: Monday, July 10, 2017 11:27 AM
To: 'Kristen Grainger' <kgrainger724@gmail.com>
Subject: RE: System problems

Hi Kristen,
Try this link:
<https://apps.oregon.gov/OGEC/EFS/Home/SignIn>
You may be clicking on an old link.
Log in with your user name: kgrainger

Thanks

David R Hunter
Oregon Government Ethics Commission
3218 Pringle Rd SE STE 220
Salem OR 97302-1544
Direct 503-378-5105
Fax 503-373-1456

-----Original Message-----

From: Kristen Grainger [mailto:kgrainger724@gmail.com]
Sent: Monday, July 10, 2017 7:25 AM
To: OGEC Mail * OGEC <OGEC.Mail@oregon.gov>
Subject: System problems

I am trying to file my quarterly report via the online system and am being prompted to enter a code given to me via email by OGEC admin, which I did not receive.

Apparently the system won't let me proceed without this code. Please advise?

Thanks,

Kristen Grainger

Sent from my iPhone



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Chris Fick
501 SE Hawthorne Blvd.
Portland OR 97214

Dear Chris Fick:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$30) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 348
User Name: chrisfick
Email: chris.fick@multco.us

Q2 2017 Filing: 7/18/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$30.00

Chris Fick
501 SE Hawthorne Blvd.
Portland, OR 97214

Activity Log - Q2 2017 to Date

04/13/17 02:51:53.277 - User Successfully Authenticated -
04/13/17 02:53:11.403 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:09.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.590 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:10.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 09:07:13.293 - User Successfully Authenticated -
07/18/17 10:04:57.380 - User Successfully Authenticated -
07/18/17 10:06:59.430 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed


HUNTER David * OGEC

From: Chris FICK <chris.fick@multco.us>
Sent: Tuesday, July 18, 2017 10:22 AM
To: OGEC Mail * OGEC
Subject: letter re late filing

RECEIVED
JUL 18 2017
GOVERNMENT
ETHICS COMMISSION

Dear Ethics Commission -

I failed to file my Q3 lobbyist disclosure form due to difficulties I had cancelling my registration. Starting January 1, 2017 I changed roles at Multnomah County and am no longer with our office of Government Relations. I thought that I might reach the time and monetary requirements to register as a lobbyist, I didn't come near spending 24 hours or \$100 dollars on lobbying. However, I didn't unregister in time and filled my paperwork out a day after the deadline.

I hope you will forgive the fine.

Thanks for your understanding and I apologize for the inconvenience.

Chris Fick
Chief of Staff
Commissioner Jessica Vega Pederson
Multnomah County - District 3
43-564-3402 - cell
503-988-7047 - office
chris.fick@multco.us



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Ryan Deckert
200 SW Market St
Portland OR 97201

Dear Mr. Deckert:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$30) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 111
User Name: ryandeckert
Email: ryan@oba-online.org

Q2 2017 Filing: 7/18/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$30.00

Ryan Deckert
200 SW Market Street
Portland, OR 97201

Activity Log - Q2 2017 to Date

04/10/17 09:46:30.093 - User Successfully Authenticated -
04/10/17 09:47:52.377 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:09.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:09.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 09:44:13.450 - User Failed to Authenticate -
07/18/17 09:44:28.190 - User Failed to Authenticate -
07/18/17 10:12:06.470 - User Failed to Authenticate -
07/18/17 10:13:23.620 - User Successfully Authenticated -
07/18/17 10:14:07.887 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(AP)

DANIEL Kathy * OGEC

From: Patti Winter <pattiwinter@oregonbusinessindustry.com>
Sent: Tuesday, July 18, 2017 10:58 AM
To: OGEC Mail * OGEC
Subject: Q2 Ethics Reports for Ryan Deckert and Oregon Business Association

RECEIVED
JUL 18 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom It May Concern,

Due to complications with the website and some user error, I unfortunately was unable to file ethics reports for Ryan Deckert and the Client report for Oregon Business Association in a timely manner.

I respectfully request that the fines attached to both of these accounts be waived.

If further information is required, I would be happy to add additional comments.

Please advise.

Thank you for your patience.
Patti

AOI and OBA have merged to form Oregon Business & Industry. Please save my new email address.

Patti Winter | Director of Operations
Oregon Business & Industry
M: 503.701.4848 | E: pattiwinter@oregonbusinessindustry.com
100 SW Market Street, Suite L100 | Portland, OR 97201 | www.oregonbusinessindustry.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 20, 2017

Christine Hagerbaumer
Oregon Environmental Council
222 NW Davis St., Suite 309
Portland OR 97209

Dear Ms. Hagerbaumer:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$30) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 629
User Name: Christine Hagerbaumer
Email: chrish@oeconline.org

Q2 2017 Filing: 7/18/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$30.00

Christine Hagerbaumer
222 NW Davis St.
Portland, OR 97202

Activity Log - Q2 2017 to Date

04/03/17 09:36:44.497 - User Successfully Authenticated -
04/03/17 09:37:55.303 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/03/17 08:21:54.593 - Admin User Reset Initiated - An admin user reset was initiated.
07/03/17 08:25:17.470 - User Profile Updated - User profile updated for Christine Hagerbaumer
07/03/17 08:25:30.013 - User Profile Updated - The user's main profile was updated.
07/16/17 01:30:10.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:12.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:10.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 08:44:43.310 - User Successfully Authenticated -
07/18/17 08:46:19.117 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
RD

HUNTER David * OGEC

From: Chris Hagerbaumer <chrish@oeconline.org>
Sent: Wednesday, July 19, 2017 9:41 AM
To: OGEC Mail * OGEC
Subject: late filing explanation and request for penalty waiver

RECEIVED
JUL 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear members of the Oregon Government Ethics Commission,

I have been registered as a lobbyist for many years, and have never filed late until this July. In fact, I believe the records will show that since the electronic system was launched, I've typically filed within a couple of days.

This July, I received notice of the requirement to file for the 2017 Q2 reporting period just before leaving for a vacation to Alaska. However, I was checking my work email from home, and I do not keep my user name and password at home (it's in a locked file at work). I requested an extension, and a password reset was sent to me, but I had already left for vacation, during which I was rarely in cellular range (let me tell you about the bears!) and did not have a computer with me. Resetting my password would have meant that I could also remember my user name (it's not one's email as is typical). Therefore, I was unable to file my report until I returned to work on July 18.

I humbly request that the late fee be waived as I have a record of promptness and circumstances made it impossible for me to fill out the form in time.

Thank you for your consideration and for your service to Oregon.

Sincerely,

Chris Hagerbaumer | Deputy Director
Oregon Environmental Council
222 NW Davis Street, Suite 309
Portland, OR 97209-3900
503.222.1963 x102
chrish@oeconline.org | www.oeconline.org



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 20, 2017

Michael Slauson
1162 Court St.
Salem OR 97301

Dear Mr. Slauson:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$40) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 446
User Name: MichaelSlauson
Email: michael.slauson@doj.state.or.us

Q2 2017 Filing: 7/19/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$40.00

Michael Slauson
1162 Court St NE
Salem, OR 97301-4096

Activity Log - Q2 2017 to Date

- 04/12/17 02:43:08.230 - User Successfully Authenticated -
- 04/12/17 03:10:50.187 - User Successfully Authenticated -
- 04/12/17 03:11:37.327 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
- 04/12/17 03:32:31.763 - User Successfully Authenticated -
- 07/12/17 12:14:07.887 - User Successfully Authenticated -
- 07/12/17 12:16:45.717 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
- 07/16/17 01:30:10.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
- 07/17/17 01:30:11.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
- 07/18/17 01:30:10.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
- 07/19/17 01:30:10.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
- 07/19/17 12:17:28.473 - User Successfully Authenticated -
- 07/19/17 12:32:34.410 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to EJ
(PP)

HUNTER David * OGEC

From: Lopez Sandi <sandi.lopez@state.or.us>
Sent: Wednesday, July 19, 2017 3:38 PM
To: OGEC Mail * OGEC
Cc: HUNTER David * OGEC
Subject: Q2 late filing forgiveness request

RECEIVED
JUL 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Good Morning,

I am writing on behalf of Michael Slauson.

Michael worked for the Attorney General's Office before he became the Director of DOJ's Criminal Justice Division. He is no longer performing legislative duties; consequently we requested after the first quarter of this year that his OGEC registration be cancelled.

As we entered Q2 of this year, Michael was still receiving requests to report. I called to confirm that his registration was indeed cancelled and was told that it was. Understanding the busy season, I expected the notices to stop when the correct staff received confirmation that he was no longer expected to report, since I had already called and the emails specifically state not to reply there.

The notifications kept coming and I communicated to Michael not to worry, that his registration had been cancelled. When the notifications came as late as today, I left a vm requesting email confirmation as a back up to my earlier phone conversation. That is when I learned we had accrued fees for not filing.

Revisiting the notifications, I can see that you allow for this," you must file a report for any portion of a reporting period prior to a termination or expiration," and "You must file a report even if you have no activity to report during the reporting period". I'm a new reporter and this is my first registration cancellation. I'm simply asking for your forgiveness as I learn what is expected. I'm sorry for being late.

Thank you for considering my request,
Sandi Lopez
Attorney General's Office Executive Assistant and Reception
1162 Court St. NE, Salem OR 97301
503.378.6002

*****CONFIDENTIALITY NOTICE*****

This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply e-mail, keep the contents confidential, and immediately delete the message and any attachments from your system.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 25, 2017

Ed Nagorsky
687 Willow Grove Street
Hackettstown NJ 07840

Dear Mr. Nagorsky:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$100) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 283
User Name: enagorsky
Email: bchapman@nkba.org

Q2 2017 Filing: 7/25/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$100.00

Ed Nagorsky
687 Willow Grove Street
Hackettstown, NJ 07840

Activity Log - Q2 2017 to Date

04/03/17 06:15:53.800 - User Successfully Authenticated -
04/03/17 06:17:20.220 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/03/17 06:21:35.663 - User Successfully Authenticated -
07/07/17 01:42:54.350 - Admin User Reset Initiated - An admin user reset was initiated.
07/07/17 01:44:00.200 - User Successfully Authenticated -
07/07/17 01:44:02.407 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/07/17 01:44:35.667 - User Profile Updated - The user's main profile was updated.
07/07/17 01:44:50.107 - User Profile Updated - User profile updated for Edward Nagorsky
07/07/17 01:57:49.737 - Admin User Reset Initiated - An admin user reset was initiated.
07/11/17 12:35:33.787 - Admin User Reset Initiated - An admin user reset was initiated.
07/11/17 12:36:51.733 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/11/17 12:37:00.763 - User Successfully Authenticated -
07/14/17 10:26:13.160 - User Failed to Authenticate -
07/14/17 10:26:29.367 - User Failed to Authenticate -
07/14/17 11:07:11.310 - User Successfully Authenticated -
07/16/17 01:30:09.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:09.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:10.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:09.620 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:09.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:12.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:09.930 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:13.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:13:13.353 - User Profile Updated - The user's main profile was updated.
07/24/17 01:13:28.907 - User Profile Updated - User profile updated for Briana Chapman
07/24/17 01:18:04.517 - User Successfully Authenticated -
07/25/17 01:30:11.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 06:11:16.863 - User Successfully Authenticated -
07/25/17 06:12:31.853 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/25/17 02:39:34.433 - User Profile Updated - User profile updated for Ed Nagorsky
07/25/17 02:39:47.530 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
PC



July 25, 2017

Attention: Oregon Government Ethics Commission

Re: Ed Nargosky/Lobbying Account 2Q Report

Nagorsky

To Whom It May Concern:

RECEIVED
JUL 25 2017
OREGON GOVERNMENT
ETHICS COMMISSION

I'm writing to request a waiver of civil penalties accruing for late filing of the 2017 Q2 lobbying account report. This is the first time we have filed a report late, have always been timely in the past, and indeed the 2017 Q2 report for our client account ("NKBA") was filed timely. Ed Nagorsky retired on April 3rd of this year after more than 20 years with NKBA (so just after the start of 2Q). He has not been replaced. As such, we do not currently have an employee who normally handles these responsibilities and are just becoming familiar with the requirements. As such, we were aware of the need to file client account report, but not also the lobbying account report, especially since Ed was previously terminated in Oregon records as the lobbyist.

For these reasons, I believe a waiver is justified and would appreciate consideration of these circumstances from the Commission.

Respectfully,

Bill Darcy, Chief Executive Officer
NKBA



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 24, 2017

S. T. Wall
3855 Wolverine St Ne, Suite 6
Salem OR 97305

Dear Mr. Wall:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$90) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1203
User Name: S.T. Wall
Email: walltravls@msn.com

Q2 2017 Filing: 7/24/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$90.00


S Wall
3855 Wolverine St NE, Suite 6
Salem, OR 97305

Activity Log - Q2 2017 to Date

04/12/17 09:32:01.747 - User Successfully Authenticated -
04/15/17 01:31:05.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/15/17 04:13:09.430 - User Successfully Authenticated -
04/15/17 04:15:49.687 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
05/02/17 02:33:23.043 - Penalty Accrual Disabled - Penalty accrual disabled for user S.T. Wall for lobbyist filing period 2017Q1.
05/02/17 02:33:26.237 - Penalty Accrual Enabled - Penalty accrual enabled for user S.T. Wall for lobbyist filing period 2017Q1.
07/16/17 01:30:12.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:11.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:10.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:10.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 12:28:17.223 - Admin User Reset Initiated - An admin user reset was initiated.
07/24/17 12:28:57.593 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/24/17 12:29:17.567 - User Successfully Authenticated -
07/24/17 12:29:50.453 - User Profile Updated - The user's main profile was updated.
07/24/17 12:30:06.507 - User Profile Updated - User profile updated for S Wall
07/24/17 12:32:12.363 - Admin User Reset Initiated - An admin user reset was initiated.
07/24/17 01:14:54.860 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/24/17 01:28:23.203 - User Successfully Authenticated -
07/24/17 01:32:19.247 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter d Ed


DANIEL Kathy * OGEC

From: TRAVIS <WALLTRAVIS@msn.com>
Sent: Monday, July 24, 2017 2:12 PM
To: OGEC Mail * OGEC
Cc: DANIEL Kathy * OGEC; FETTERS Rebecca * LTCO
Subject: Request for penalty waiver

RECEIVED
JUL 24 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners:

I respectfully request that the \$90 in penalties for my filing a late report for the 2nd quarter, 2017, be waived. These are the facts in my situation.

- Until several months ago, I served as the Oregon Public Guardian and Conservator as part of the State of Oregon, Office of the Long-Term Care Ombudsman. In this capacity, I registered as a "lobbyist" with the Commission in late March 2017, and thereafter filed a report for the 1st quarter of 2017 (1/1/17 - 3/31/17).
- I unexpectedly left my position with the Office of the Long-Term Care Ombudsman in early May. I had no contact with the Legislature and legislators in the 2nd quarter of 2017 (4/1/17 - 6/30//17) and engaged in no lobbying and reportable activities.
- I did not receive any of the email notices that your office sent me. I was not aware of the notices until late last week when I was alerted by the Office of the Long-Term Care Ombudsman that they had been receiving notices about my need to file a report for the 2nd quarter and had endeavored to advise your office that I was no longer in their employ.

Until last week, I have been unemployed since leaving the Office of the Long-Term Care Ombudsman. I do not anticipate engaging in further "lobbying" activities in my new position and am presently seeking to terminate my registration in your system.

In the event you have questions or need additional information, I may be reached by telephone at or by email. My current contact info may be found below.

Thank you for your time and assistance.

S. Travis Wall
5506 NE 31st Avenue
Portland, OR 97211
walltravis@msn.com
503.330.8441

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 27, 2017

Karly Edwards
1918 SE Hemlock Ave.
Portland OR 97214

Dear Ms. Edwards:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$110) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1130
User Name: Kedwards
Email: karlycakes@gmail.com

Q2 2017 Filing: 7/26/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$110.00


Karly Edwards
1918 SE Hemlock Ave
Portland, OR 97214

Activity Log - Q2 2017 to Date

04/10/17 02:09:23.187 - User Failed to Authenticate -
04/10/17 02:09:40.543 - User Failed to Authenticate -
04/10/17 02:10:11.127 - User Failed to Authenticate -
04/10/17 02:11:51.903 - User Successfully Authenticated -
04/10/17 02:13:47.167 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:12.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:11.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:10.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:11.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 03:08:40.847 - User Failed to Authenticate -
07/26/17 03:09:09.650 - User Failed to Authenticate -
07/26/17 03:09:26.157 - User Failed to Authenticate -
07/26/17 03:09:34.983 - User Failed to Authenticate -
07/26/17 03:10:49.000 - User Failed to Authenticate -
07/26/17 03:11:02.413 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/26/17 03:15:45.227 - Admin User Reset Initiated - An admin user reset was initiated.
07/26/17 03:16:34.030 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/26/17 03:16:53.447 - User Successfully Authenticated -
07/26/17 03:17:41.247 - User Profile Updated - The user's main profile was updated.
07/26/17 03:17:57.973 - User Profile Updated - User profile updated for Karly Edwards
07/26/17 03:18:32.520 - User Profile Updated - The user's main profile was updated.
07/26/17 03:18:49.017 - User Profile Updated - User profile updated for Karly Edwards
07/26/17 03:28:34.933 - User Profile Updated - The user's main profile was updated.
07/26/17 03:28:51.150 - User Profile Updated - User profile updated for Karly Edwards

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Karly A. Ed


DANIEL Kathy * OGEC

From: Karly Edwards <karlycakes@gmail.com>
Sent: Wednesday, July 26, 2017 3:17 PM
To: DANIEL Kathy * OGEC
Subject: Letter of Explanation

RECEIVED

JUL 26 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

I neglected to terminate my registration with Working Families upon leaving the organization in May but have updated my status.

I ask that you consider a request to please waive my penalties.

Thank you for your time,

Karly Edwards



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 27, 2017

Julie McFadden
PO Box 693
Ashland OR 97520

Dear Ms. McFadden:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$110) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1109
User Name: Julie McFadden
Email: juliemc.campaign@gmail.com

Q2 2017 Filing: 7/26/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$110.00

Julie McFadden
p.o. Box 693
Ashland, OR 97520

Activity Log - Q2 2017 to Date

04/15/17 01:31:01.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/15/17 07:56:21.967 - User Successfully Authenticated -
04/15/17 07:58:39.187 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:12.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:11.183 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:10.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:10.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 02:50:52.277 - User Successfully Authenticated -
07/26/17 02:52:31.283 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/26/17 02:58:04.157 - User Profile Updated - The user's main profile was updated.
07/26/17 02:58:20.387 - User Profile Updated - User profile updated for Julie McFadden

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(M)

DANIEL Kathy * OGEC

From: Julie Mcfadden <juliemc.campaign@gmail.com>
Sent: Wednesday, July 26, 2017 3:02 PM
To: DANIEL Kathy * OGEC
Subject: Letter of explanation

RECEIVED

JUL 26 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear commissioners,

I did not file because I ended my employment and the email address was no longer active.

I have spoken with Kathy and was able to remedy the circumstances including updating my email address in the system.

My status has been terminated to reflect my employment change and I will remember to file in October for one final report.

Please consider this a request to waive the fees associated with my account.

Thank you,

Julie McFadden

Sent from my iPhone



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 27, 2017

Sara O'Brien
4640 SW Macadam Ave, Suite 50
Portland OR 97239

Dear Ms. O'Brien:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$110) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 238
User Name: O'Brien
Email: obrien@willamettepartnership.org

Q2 2017 Filing: 7/26/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$110.00


Sara O'Brien
4640 SW Macadam Ave
Portland, OR 97239

Activity Log - Q2 2017 to Date

04/13/17 10:45:15.363 - User Successfully Authenticated -
04/13/17 11:03:40.667 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:09.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:11.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:09.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:10.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:09.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:09.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:12.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:09.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:13.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:11.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:10.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:29:57.193 - User Successfully Authenticated -
07/26/17 01:30:59.980 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/26/17 02:01:45.507 - User Profile Updated - The user's main profile was updated.
07/26/17 02:02:01.313 - User Profile Updated - User profile updated for Sara O'Brien
07/26/17 02:02:15.847 - User Profile Updated - The user's main profile was updated.
07/26/17 02:02:32.210 - User Profile Updated - User profile updated for Sara O'Brien
07/26/17 02:03:09.277 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED




WILLAMETTE PARTNERSHIP

July 27, 2017

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

RECEIVED
JUL 27 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Commission Members:

I was late in filing my 2017 Q2 lobbying report with the commission, due in part to a family vacation and in part because my email contact was incorrect in the electronic submission registration and I was not receiving notices. On receiving a phone call notification from Kathy Daniel on July 27 I immediately submitted my report and corrected the error in my account. I apologize for the oversight and ask that the Commission waive the fees. As a small non-profit, the fee would be a hardship for our organization.

Sincerely,
Sara O'Brien
Director of Strategy
Willamette Partnership



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 27, 2017

Ben Eckstein
1860 Berry St SE
Salem OR 97302

Dear Mr. Eckstein:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$110) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1060
User Name: beneckstein
Email: ben.eckstein.or@gmail.com

Q2 2017 Filing: 7/26/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$110.00

Benjamin Eckstein
1860 Berry St SE
Salem, OR 97302

Activity Log - Q2 2017 to Date

04/05/17 12:39:57.210 - User Failed to Authenticate -
04/05/17 12:40:00.010 - User Failed to Authenticate -
04/05/17 12:40:40.430 - User Failed to Authenticate -
04/05/17 12:40:43.257 - User Failed to Authenticate -
04/05/17 12:40:46.433 - User Successfully Authenticated -
04/05/17 12:42:23.890 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:12.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:11.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:10.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:10.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:10.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 04:31:30.240 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
07/26/17 04:34:53.407 - Admin User Reset Initiated - An admin user reset was initiated.
07/26/17 04:35:47.723 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/26/17 04:36:11.180 - User Successfully Authenticated -
07/26/17 04:36:47.217 - User Password Reset - The user's password was reset from the "My Profile" page.
07/26/17 04:36:47.263 - User Profile Updated - The user's main profile was updated.
07/26/17 04:37:02.907 - User Profile Updated - User profile updated for Benjamin Eckstein
07/26/17 04:37:04.093 - Admin User Reset Initiated - An admin user reset was initiated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter JED
[Signature]

DANIEL Kathy * OGEC

From: beckstei@willamette.edu
Sent: Wednesday, July 26, 2017 11:15 PM
To: DANIEL Kathy * OGEC
Subject: Letter of Explanation

RECEIVED

JUL 27 2017

**OREGON GOVERNMENT
ETHICS COMMISSION**

Hi Kathy,

Thanks so much for contacting me and helping me to get this figured out! I really do appreciate it! Below is my letter of explanation to the commissioners. Thanks!

Dear Commissioners:

I take full responsibility for my neglectful failure to file my quarterly expenditure report. There is no excuse for it, as I should have been aware of the applicable regulations and taken responsibility to monitor my own compliance. By way of explanation, I officially ended my term as an extern for the lobbying firm for which I worked in early April. I no longer accessed my email after that point, and I shortly thereafter began a bar review course in anticipation of the July 2017 Oregon administration of the bar exam. Because I no longer accessed my externship email account, I did not receive the Commission emails reminding me to submit the expenditure report. Therefore, I was not aware that my few days in April required me to make a quarterly report, though I certainly should have been and will make sure in the future to be much more mindful of statutory reporting requirements applicable to lobbyists, should I ever undertake lobbying again in the future. Thank you for your consideration.

Respectfully,

Ben Eckstein

Sent from my iPhone
Ben Eckstein



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 28, 2017

Nate Miles
411 University St.
Seattle WA 98101

Dear Mr. Miles:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$30) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 638
User Name: Nate Miles
Email: nate_miles@Lilly.com

Q1 2017 Filing: 4/19/2017
Q1 2017 Expended: \$2,540.26
Q1 2017 Penalty: \$30.00


Nate Miles
411 University St.
Seattle, WA 98101

Activity Log - Q1 2017 to Date

01/14/17 07:27:39.940 - User Successfully Authenticated -
01/14/17 07:35:59.693 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/16/17 01:34:02.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:02.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:30:34.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:21.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:22.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 02:33:01.487 - User Failed to Authenticate -
04/17/17 02:33:15.193 - User Successfully Authenticated -
04/18/17 01:30:17.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:16.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 02:49:29.710 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/17 02:53:37.100 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/17 02:55:25.390 - User Successfully Authenticated -
04/19/17 02:59:58.483 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/14/17 03:45:21.097 - User Failed to Authenticate -
07/14/17 03:46:02.220 - User Successfully Authenticated -
07/14/17 04:54:48.740 - User Successfully Authenticated -
07/14/17 09:42:03.373 - User Successfully Authenticated -
07/14/17 09:51:44.157 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter A Ed


HUNTER David * OGEC

From: Nathaniel R Miles <miles_nathaniel_r@lilly.com>
Sent: Wednesday, July 26, 2017 3:22 PM
To: OGEC Mail * OGEC
Subject: RE: [EXTERNAL] OREGON

RECEIVED

JUL 27 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Honorable members of the commission, I write you today regarding a \$30 penalty that I received back in Q-1 2017 for filing my LOBBYIST and EMPLOYER OF LOBBYIST Reports 2 days late. I wanted to let you know the reason for the delay was that I was trying to use a browser, the Google Chrome browser, which I later found out has trouble interfacing with the departments new online system.

Therefore, by the time I was able to reach someone at the department who could direct me about the proper browser to utilize, I was two days behind in filing the necessary paperwork. I am respectfully requesting that you waive the \$30 penalty that was assessed to both my accounts especially in light of my previous good record of filing on time.

Nate Miles
206-409-8032
Nate_miles@Lilly.com



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 31, 2017

John Charles
4850 SW Scholls Ferry Rd
Portland OR 97225

Dear Mr. Charles:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$20) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1266
User Name: JohnCharles
Email: john@cascadepolicy.org

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

John Charles
4850 SW Scholls Ferry Road
Portland, OR 97225

Activity Log - Q2 2017 to Date

04/17/17 02:11:47.627 - Lobbyist Profile Created - A new lobbyist profile was created.
04/17/17 02:11:47.627 - User Profile Created - A new user profile was created.
04/17/17 02:11:54.720 - User Profile Created - User profile created for John Charles
04/17/17 02:16:52.890 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
07/05/17 12:48:31.107 - User Successfully Authenticated -
07/12/17 11:14:30.723 - User Profile Created - User profile created for John Charles, Jr.
07/12/17 11:14:44.353 - User Profile Created - A new user profile was created.
07/12/17 11:14:44.353 - Lobbyist Profile Created - A new lobbyist profile was created.
07/14/17 03:40:19.537 - User Successfully Authenticated -
07/14/17 03:49:05.947 - User Successfully Authenticated -
07/14/17 04:01:39.927 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
07/16/17 01:30:13.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 10:14:19.953 - User Successfully Authenticated -
07/17/17 10:47:14.930 - User Successfully Authenticated -
07/17/17 10:48:28.997 - User Profile Updated - User profile updated for John Charles
07/17/17 10:48:41.840 - User Profile Updated - The user's main profile was updated.
07/17/17 11:04:25.443 - User Successfully Authenticated -
07/17/17 11:11:20.983 - User Successfully Authenticated -
07/17/17 11:12:26.517 - User Successfully Authenticated -
07/17/17 11:22:38.483 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
PC

RECEIVED
JUL 31 2017
OFFICE OF GOVERNMENT
ETHICS COMMISSION

July 27, 2017

Mr. Ronald Bersin
Executive Director
Oregon Government Ethics Commission
3218 Pringle Road SE, Suite 220
Salem, OR 97302

Re: late filing penalties

Dear Mr. Bersin,

Recently Cascade Policy Institute filed a required lobby registration form several days late due to an office mix-up in which I was registered twice with the Commission. One of the registrations – the one I initially relied on – kept telling me that I was current with all filings. I also called your staff twice for advice as I could not locate the required form.

On the second call, it became evident that someone else had registered me, and eventually I was able to submit the online form through that registration.

I believe Cascade has been fined \$20 for late filing. I request that the OGEC waive the penalty since I did try to file on time.

Thank you for your consideration. If you have any questions or need additional information, please don't hesitate to call.

Sincerely,



John A. Charles, Jr.
President & CEO



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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

August 1, 2017

David Rocker
707 SW Washington St., Ste 927
Portland OR 97205

Dear Mr. Rocker:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$110) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 940
User Name: drocker
Email: drocker@theromaingroup.com

David Rocker
707 SW Washington St., Ste.927
Portland, OR 97205

Q2 2017 Filing: 7/26/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$110.00

Activity Log - Q2 2017 to Date

04/15/17 01:30:52.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:29.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:30.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 10:49:02.470 - User Failed to Authenticate -
04/17/17 10:49:24.360 - User Successfully Authenticated -
04/17/17 10:49:31.600 - User Successfully Authenticated -
04/17/17 10:52:45.037 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:11.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:12.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:10.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:59:03.000 - User Successfully Authenticated -
07/20/17 01:30:10.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:10.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:10.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 04:12:59.827 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter at EJ
(initials)

DANIEL Kathy * OGEC

From: David Rocker <davidcasbyrocker@gmail.com>
Sent: Tuesday, August 01, 2017 9:58 AM
To: DANIEL Kathy * OGEC
Subject: Lobbying Registration for David Rocker

RECEIVED

AUG 01 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Hello Kathy. We spoke last week about my lobbying registration and account status. I am sorry to be so slow in getting this email out to you, but we have a son who is very sick with leukemia, and I have been busy with him at the hospital.

I left the Romain Group on March 5 of this year and ceased all lobbying activities at that time. All of my registrations were supposed to be canceled then, and all of them were except that one got missed. As a result of that mistake (the registration that was not timely canceled was for the Oregon Lions Sight and Hearing Foundation), some penalties have accrued on my account, and I am asking that those please be forgiven.

Please let me know if I can provide you with any additional information to support my request.

Thank you, and I hope you have a great day.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

August 4, 2017

Cord Bueker, Jr.
255 Capitol St, NE
Salem OR 97310

Dear Mr. Bueker:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$10) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1071
User Name: cbueker
Email: cord.bueker@state.or.us

Q2 2017 Filing: 7/16/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$10.00

Cord Bueker, Jr.
255 Capitol Street NE
Salem, OR 97310

Activity Log - Q2 2017 to Date

04/03/17 10:41:56.957 - User Successfully Authenticated -
04/03/17 01:35:34.117 - User Successfully Authenticated -
04/03/17 01:36:38.533 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
07/16/17 01:30:12.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/16/17 03:53:03.720 - User Successfully Authenticated -
07/16/17 03:53:54.723 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed


HUNTER David * OGEC

From: BUEKER Cord - YDD <cord.bueker@state.or.us>
Sent: Friday, August 04, 2017 11:40 AM
To: OGEC Mail * OGEC
Subject: Letter of Explanation to the Commission regarding Late Filing - Cord Bueker

RECEIVED
AUG 04 2017
OFFICE OF THE CLERK
ETHICS COMMISSION

To Whom it May Concern,

I would like to submit an explanation for my late filing of my late Quarterly Report for 4/1/17 through 6/30/17, and I hope you will consider amnesty for my late submission and forgive the accrued penalty of \$10.00 for filing one day beyond the open filing period.

As a staff person for the Oregon Youth Development Division, I have only recently been given work responsibilities which involve speaking directly with lawmakers, and I registered as a lobbyist in February 2017. Therefore I have only one prior reporting period to demonstrate my previous reporting history. Nonetheless, this report was submitted on time.

My late filing for the report due by 7/15/17 was an inadvertent mistake on my part. The workload of the division and council was greater than normal in early July, and due to the higher volume of email I was receiving, I overlooked the automated reminders for this filing. This extended workload led to my working on Sunday 7/16/17 when I noticed the penalty and promptly completed my filing. These are the circumstances that led to my late report, and my prompt filing once I did realize that I was in violation of the required reporting.

In order to ensure this does not occur again, I have created a quarterly calendar reminder to supplement the email notifications, and I want to assure you that I take this responsibility very seriously and will be diligent in submitting required documentation in a timely manner moving forward.

Thank you for your consideration.

Cord Bueker Jr.
Workforce Policy Analyst

Youth Development Council
Division of Oregon Department of Education
Office: 503-947-5504 | Cell: 503-576-9751 | cord.bueker@state.or.us

Please visit our website: <http://oregonyouthdevelopmentcouncil.org>



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

August 3, 2017

Laurie Trieger
PO Box 15146
Portland OR 97293

Dear Ms. Trieger:

The written explanation of why the second quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 2017 (\$200) penalty will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2017

Lobbyist Code: 1218
User Name: Laurie Trieger
Email: laurie@familyforward.org

Laurie Trieger
PO Box 15146
Portland, OR 97293


Q2 2017 Filing: 7/31/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$200.00

Activity Log - Q2 2017 to Date

04/14/17 01:10:36.487 - User Profile Created - User profile created for Laurie Trieger
04/14/17 01:10:47.873 - Lobbyist Profile Created - A new lobbyist profile was created.
04/14/17 01:10:47.873 - User Profile Created - A new user profile was created.
07/16/17 01:30:13.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/19/17 01:30:11.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/20/17 01:30:10.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/21/17 01:30:10.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/22/17 01:30:13.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/23/17 01:30:10.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/24/17 01:30:14.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/25/17 01:30:12.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/26/17 01:30:11.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/27/17 01:30:09.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/28/17 01:30:10.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/29/17 01:30:09.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/30/17 01:30:11.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/31/17 01:30:12.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/31/17 09:24:44.833 - User Failed to Authenticate -
07/31/17 09:24:49.120 - User Failed to Authenticate -
07/31/17 09:25:00.033 - User Failed to Authenticate -
07/31/17 09:26:47.450 - Admin User Reset Initiated - An admin user reset was initiated.
07/31/17 09:27:20.337 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/31/17 09:28:52.237 - User Successfully Authenticated -
07/31/17 09:29:14.367 - User Profile Updated - User profile updated for Laurie Trieger
07/31/17 09:29:27.587 - User Profile Updated - The user's main profile was updated.
07/31/17 09:31:25.727 - Admin User Reset Initiated - An admin user reset was initiated.
07/31/17 10:24:29.747 - User Profile Updated - User profile updated for Laurie Trieger
07/31/17 10:24:42.983 - User Profile Updated - The user's main profile was updated.
07/31/17 12:49:39.633 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/31/17 12:50:10.833 - User Failed to Authenticate -
07/31/17 12:50:22.613 - User Successfully Authenticated -
07/31/17 12:52:19.460 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2017
08/03/17 12:53:33.617 - User Failed to Authenticate -
08/03/17 12:53:54.050 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter at Ed


Virginia Lutz
Program Manager
Government Ethics Commission
3218 Pringle Rd SE, #220
Salem, OR 97302-1544

RECEIVED
AUG 08 2017
GOVERNMENT
ETHICS COMMISSION

Dear Ms. Lutz,

This letter is to serve as an explanation for lobbyist Laurie Trieger, who is registered as a lobbyist for Family Forward Action Fund. Due to a typo in her email address when registering the account, Laurie never received filing notices, and was unable to file her quarterly report in a timely manner. This error has been corrected and she has now filed her report.

We are hoping OGEC can waive the late filing penalties due to these circumstances.

Thank you for your assistance.

Yours,

Danielle Nichols
Operations Manager
Family Forward ActionFund
2420 NE Sandy Blvd., Ste 116
Portland, OR 97232
971-340-3737
danielle@familyforward.org



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Frontier Communications
Attn: Carl Erhart; Cathy Dahlquist
1800 41st St.
Everett WA 98203

Dear Mr. Erhart:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2nd quarter 2017 penalty (\$20) will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity
Q2 2017

Client Code: 1317
User Name: Frontier2
Email: carl.erhart@ftr.com

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Frontier Communications
Attn: Carl Erhart
1800 41st St
Everett, WA 98203

Activity Log - Q2 2017 to Date

04/12/17 02:53:16.753 - User Successfully Authenticated -
04/12/17 02:53:56.197 - Client Report Submitted - Client Report Submitted for Q1 2017
05/17/17 10:29:37.893 - User Successfully Authenticated -
05/17/17 10:36:15.857 - User Successfully Authenticated -
05/17/17 10:41:24.807 - User Profile Updated - The user's main profile was updated.
05/17/17 10:41:35.123 - User Profile Updated - User profile updated for R Kirk Lee
05/17/17 10:47:54.110 - User Successfully Authenticated -
05/17/17 10:49:08.097 - Name Change Requested - User with ID 7521 requested a name change to Erhart, Carl .
05/17/17 10:50:35.903 - User Profile Updated - The user's main profile was updated.
05/17/17 10:50:46.217 - User Profile Updated - User profile updated for R Kirk Lee
07/16/17 01:30:16.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:16.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 10:46:55.897 - User Profile Updated - The user's main profile was updated.
07/17/17 10:47:11.200 - User Profile Updated - User profile updated for Carl Erhart
07/17/17 10:48:56.877 - Admin User Reset Initiated - An admin user reset was initiated.
07/17/17 11:28:01.643 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/17/17 11:32:53.820 - User Successfully Authenticated -
07/17/17 11:34:54.670 - Client Report Submitted - Client Report Submitted for Q2 2017
07/17/17 11:42:15.417 - User Security Questions Reset - The user's security questions were reset from the "My Profile" page.
07/17/17 11:42:16.240 - User Profile Updated - The user's main profile was updated.
07/17/17 11:42:30.760 - User Profile Updated - User profile updated for Carl Erhart
07/17/17 12:11:02.883 - Client Report Submitted - Client Report Submitted for Q1 2017
07/17/17 12:12:21.010 - Client Report Submitted - Client Report Submitted for Q2 2017 } Amended
07/17/17 12:12:22.923 - Client Report Submitted - Client Report Submitted for Q2 2017 }

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
[Signature]

DANIEL Kathy * OGEC

From: Dahlquist, Cathy <Catherine.Dahlquist@ftr.com>
Sent: Monday, July 17, 2017 12:26 PM
To: OGEC Mail * OGEC
Cc: Erhart, Carl
Subject: Waiver of Late Penalty

RECEIVED
JUL 17 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Ethics Commission:

I am writing to request the waiver of the \$20.00 late penalty that has been assessed for a late quarterly (Q2) filing. The employee that was managing this Client/Employer account, terminated their lobbyist registration on 5/17/17 and filed the Q2 report on 7/2/2017 (R Kirk Lee JBBB24). It was unclear that another Q2 report was due from Carl Erhart as the client/admin on the account. Due to the employee status change, this being our first late violation, the penalty communication being sent over the weekend, I would urge you to waive the \$20.00 penalty. Our file has been updated with alternate contact information to properly respond in the future.

Thank you for your consideration.

Kindest regards,
Cathy Dahlquist

Cathy Dahlquist
Manager – Government & External Affairs
Frontier Communications
1800 41st Street N-100
Everett, WA 98203
360.367.9144 (m)
Cathy.Dahlquist@ftr.com

The logo for Frontier Communications, featuring the word "Frontier" in a bold, sans-serif font with a series of small stars above it, and the word "COMMUNICATIONS" in a smaller, all-caps font below it.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Oregon State Pharmacy Assoc
Attn: Dana Baisden
147 SE 102nd Ave.
Portland OR 97216

Dear Ms. Baisden:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2nd quarter 2017 penalty (\$20) will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2017

Client Code: 482
User Name: dbaisden
Email: dbaisden@oregonpharmacy.org

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$4,992.36
Q2 2017 Penalty: \$20.00

Oregon State Pharmacy Association
Attn: Dana Baisden
147 SE 102nd Ave.
Portland, OR 97216

Activity Log - Q2 2017 to Date

04/06/17 09:34:21.480 - User Successfully Authenticated -
04/06/17 09:47:26.967 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:14.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 11:12:56.770 - User Successfully Authenticated -
07/17/17 11:18:32.003 - Client Report Submitted - Client Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
(Signature)

DANIEL Kathy * OGEC

From: Dana Baisden/OSPA <dbaisden@oregonpharmacy.org>
Sent: Monday, July 17, 2017 11:32 AM
To: OGEC Mail * OGEC
Subject: Request to waive penalties for Q2 filing

RECEIVED
JUL 17 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom it May Concern:

I am writing to request that you waive the \$20.00 penalty fees applied for Oregon State Pharmacy Association's Q2 reporting.

I had the information on my desk to submit the report late last week, but unfortunately my father in law passed away on Thursday, July 13 and I was out of the office both Thursday and Friday. I have filed the report this morning, Monday, July 17. I would greatly appreciate your consideration of this request.

Please accept my apologize for this being late.

Sincerely,



Dana Baisden
147 SE 102nd Avenue
Portland, OR 97216
dbaisden@oregonpharmacy.org
www.oregonpharmacy.org
Ph: 503.582.9055

Upcoming Events Mark your calendars now!

Annual Convention & Trade Show - October 20-22, 2017, Portland, OR
APhA Cardiovascular Disease Risk Management Certificate Program - October 22, 2017, Portland, OR
Lane County Mid-Winter CE Seminar - February 17-18, 2018, Eugene, OR

For more information on CE offerings and other association activities, visit www.oregonpharmacy.org

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Oregon Association of Conservation Districts
Attn: Jerry Nicolescu; Whitney Collins
1130 Liberty St SE, Suite 3
Salem OR 97302

Dear Mr. Nicolescu:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2nd quarter 2017 penalty (\$20) will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2017

Client Code: 10
User Name: oacd.45
Email: jerry.nicolescu@oacd.org

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Oregon Association of Conservation Districts
Attn: Jerry Nicolescu
1130 Liberty Street SE, Suite 3
Salem, OR 97302

Activity Log - Q2 2017 to Date

04/07/17 04:31:38.267 - User Successfully Authenticated -
04/13/17 02:09:13.387 - User Successfully Authenticated -
04/14/17 07:32:57.770 - User Successfully Authenticated -
04/14/17 07:33:07.167 - User Successfully Authenticated -
04/14/17 07:33:09.843 - User Successfully Authenticated -
04/14/17 10:26:41.110 - User Successfully Authenticated -
04/14/17 10:28:51.153 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:13.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:14.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 07:36:53.820 - User Successfully Authenticated -
07/17/17 07:39:06.563 - Client Report Submitted - Client Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: Q4 2016, Received No Sanction, 1/27/17 meeting.

RECOMMENDATION:

Letter J Ed
[Signature]

DANIEL Kathy * OGEC

From: Whitney Collins <whitney.collins@bakercountyswcds.com>
Sent: Monday, July 17, 2017 9:33 AM
To: OGEC Mail * OGEC
Cc: Patrick FitzGerald
Subject: OACD Late Report

RECEIVED
JUL 17 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Good Morning,

The Oregon Association of Conservation Districts is currently transitioning into new management. We have a team put together to handle the day-to-day operations while we search for a new Executive Director. It was unknown to us that we had to file a lobbyist report for 2 entities (Jerry Nicolescu and OACD) The report for Jerry was completed on 7/3/17 and if we had known there was a second report we would have completed it then. I became aware of the issue on 7/17/17 and completed the report right away. I then received counseling on the process, and we will remain diligent in the future and make sure that the new manager receives training on this.

Please consider waiving the \$20 late fee due to the circumstances.

Thank you,

Whitney M Collins
541-519-2496 Cell
541-523-7121 X 109 Office

Emails sent to and from the Baker County Soil & Water Conservation Districts are considered public records subject to ORS 192 and may be disclosed.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Oregon Business & Industry
Attn: Patti Winter
200 SW Market Street
Portland OR 97229

Dear Ms. Winter:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2nd quarter 2017 penalty (\$30) will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2017

Client Code: 1175
User Name: oregonbusinessassociation
Email: pattiwinter@oregonbusinessindustry.com

Q2 2017 Filing: 7/18/2017
Q2 2017 Expended: \$61,950.00
Q2 2017 Penalty: \$30.00

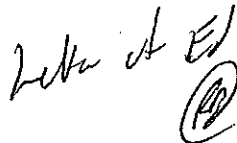
Oregon Business & Industry
Attn: Patti Winter
200 SW Market Street
Portland, OR 97229

Activity Log - Q2 2017 to Date

04/10/17 01:21:57.193 - User Failed to Authenticate -
04/10/17 01:22:07.860 - User Successfully Authenticated -
04/10/17 01:22:43.610 - Client Report Submitted - Client Report Submitted for Q1 2017
07/16/17 01:30:16.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:15.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:49:03.350 - Admin User Reset Initiated - An admin user reset was initiated.
07/17/17 01:50:44.740 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/17/17 01:54:24.777 - User Successfully Authenticated -
07/17/17 01:56:38.050 - User Profile Updated - User profile updated for Patti Winter
07/17/17 01:56:51.533 - User Profile Updated - The user's main profile was updated.
07/17/17 01:57:25.587 - Admin User Reset Initiated - An admin user reset was initiated.
07/18/17 01:30:12.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/18/17 09:30:26.627 - User Failed to Authenticate -
07/18/17 09:34:37.123 - User Failed to Authenticate -
07/18/17 09:38:03.567 - User Failed to Authenticate -
07/18/17 09:42:02.560 - User Failed to Authenticate -
07/18/17 09:46:02.553 - User Failed to Authenticate -
07/18/17 09:48:14.700 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/18/17 09:48:32.420 - User Successfully Authenticated -
07/18/17 10:10:03.837 - Client Report Submitted - Client Report Submitted for Q2 2017
07/18/17 10:47:06.050 - User Successfully Authenticated -
07/18/17 10:48:26.023 - Client Profile Updated - The client profile was updated.
07/18/17 10:48:37.290 - Client Profile Updated - The client profile was updated.
07/18/17 10:53:33.293 - User Profile Updated - User profile updated for Patti Winter
07/18/17 10:53:45.947 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED


DANIEL Kathy * OGEC

From: Patti Winter <pattiwinter@oregonbusinessindustry.com>
Sent: Tuesday, July 18, 2017 10:58 AM
To: OGEC Mail * OGEC
Subject: Q2 Ethics Reports for Ryan Deckert and Oregon Business Association

RECEIVED
JUL 18 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom It May Concern,

Due to complications with the website and some user error, I unfortunately was unable to file ethics reports for Ryan Deckert and the Client report for Oregon Business Association in a timely manner.

I respectfully request that the fines attached to both of these accounts be waived.

If further information is required, I would be happy to add additional comments.

Please advise.

Thank you for your patience.
Patti

AOI and OBA have merged to form Oregon Business & Industry. Please save my new email address.

Patti Winter | Director of Operations
Oregon Business & Industry
M: 503.701.4848 | E: pattiwinter@oregonbusinessindustry.com
SW Market Street, Suite L100 | Portland, OR 97201 | www.oregonbusinessindustry.com



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

July 18, 2017

Oregon Parks and Recreation Dept
Attn: Lisa Sumption
725 Summer St NE
Salem OR 97306

Dear Ms. Sumption:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, August 25, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2nd quarter 2017 penalty (\$20) will be extended to coincide with the August 25th meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q2 2017

Client Code: 1577
User Name: Oregonparksclient
Email: lisa.sumption@oregon.gov

Oregon Parks and Recreation Department
Attn: Lisa Sumption
725 Summer Street NE
Salem , OR 97306

Q2 2017 Filing: 7/17/2017
Q2 2017 Expended: \$0.00
Q2 2017 Penalty: \$20.00

Activity Log - Q2 2017 to Date

04/14/17 01:42:15.987 - User Profile Created - A new user profile was created.
04/14/17 01:42:16.220 - Client Profile Created - The client profile was created.
04/14/17 01:42:23.883 - User Profile Created - User profile created for Lisa Sumption
04/24/17 01:30:09.150 - Lobbyist/Client Registration Request Unconfirmed - The client did not respond to the registration invitation in the allotted amount of time.
07/16/17 01:30:17.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 01:30:16.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q2
07/17/17 04:33:16.087 - Admin User Reset Initiated - An admin user reset was initiated.
07/17/17 04:38:34.053 - Admin User Password Reset Completed - A user reset their password using an admin reset.
07/17/17 04:39:00.013 - User Successfully Authenticated -
07/17/17 04:40:23.897 - Client Report Submitted - Client Report Submitted for Q2 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Lisa Sumption
ED
(Signature)