

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Sam Van Dyke 40926 NW Verboort Rd Forest Grove OR 97116

Re: 2017 SEI Penalty

Dear Mr. Van Dyke:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

1462

User Name: c

cimollywill

samvandykefarms@comcast.net

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Sam VanDyke 40926 NW Verboort Road Forest Grove, OR 97116

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

05/07/17 01:30:35.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:12.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/11/17 01:30:13.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:01.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:10.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:13.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:11.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:11.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:11.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:12.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:12.183 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:22.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:12.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:14.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:13.973 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:11.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:10.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:12.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:12.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:10.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:13.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:11.397 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:10.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:17.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:11.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:10.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:10.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:10.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:10.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:10.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:10.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:11.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:10.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:10.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:12.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:11.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:11.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:11.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:11.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:13.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:13.550 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:11.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:11.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:12.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:14.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:15.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:11.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:11.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 11:43:06.813 - Late SEI Report Filed - SEI Report Filed Late for year 2017 06/29/17 11:46:47.143 - Admin User Reset Initiated - An admin user reset was initiated.

2017

Email:

FI Code: ser Name: 1462

cjmollywill

≓: cji

samvandykefarms@comcast.net

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Sam VanDyke 40926 NW Verboort Road Forest Grove, OR 97116

06/29/17 11:47:07.307 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/29/17 11:47:23.590 - User Successfully Authenticated -

06/29/17 11:47:56.507 - User Password Reset - The user's password was reset from the "My Profile" page.

06/29/17 11:47:56.583 - User Profile Updated - The user's main profile was updated. 06/29/17 11:48:09.757 - User Profile Updated - User profile updated for Sam VanDyke

06/29/17 01:33:35.430 - User Failed to Authenticate -

06/29/17 01:34:03.560 - User Failed to Authenticate -

06/29/17 01:37:47.697 - User Failed to Authenticate -

06/29/17 01:38:01.143 - User Failed to Authenticate - 06/29/17 01:38:19.780 - User Failed to Authenticate -

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

Let Hed

### **DANIEL Kathy \* OGEC**

From:

Sam Van Dyke <samvandykefarms@comcast.net>

Sent:

Thursday, June 29, 2017 2:22 PM

To: Subject: DANIEL Kathy \* OGEC RE: Ethic Commission

RECEIVED

JUN 2 9 2017

OREGON GOVERNMENT ETHICS COMMISSION

Kathy, Thank You for getting ahold of me today by phone to inform me that I had not filled my paperwork for 2016 and helping me get that taken care of promptly. I am unable to sign in with the username and password that you just sent me. Please send me another link if you could. Below is my request to the commission. Thanks Again, Sam.

#### Dear Commissioners:

Please consider my request to reduce or remove the late filing fee that I received. The Email that everything was being sent to was one that I no longer check, I have consistently filled on time for the many years I have been required to do so. I usually keep the last years copy in my Income tax file as a reminder to file but since I filed electronically last year it was not there. Kathy helped me get the form completed today June 29<sup>th</sup> and we have corrected the Email address on file to my home, personal email that I check frequently instead of the city email, so this will not happen again. I just logged on to the city email and found that it only keeps one week of emails in the inbox (the city went paperless a month or two ago and everything is on an Ipad, my old link on my home computer would not even work) I did see that I was getting notices every day for the last week but have no idea what happened before that. I also spoke to the city about changing the settings on my city email so I will be able to view all past emails. Sorry for this request, I am usually on top of things and promise this will never happen again.

Sam Van Dyke Husband, Father, Farmer, etc. 503-887-6597

From: DANIEL Kathy \* OGEC [mailto:Kathy.DANIEL@oregon.gov]

Sent: Thursday, June 29, 2017 11:56 AM To: samvandykefarms@comcast.net

Subject: Ethic Commission

### https://apps.oregon.gov/OGEC/EFS/

The link above will give you access to your electronic account. To access, click on Sign in as a registered user. SEI filer. Username is: cjmollywill and Password is: Sei2017\*

You can write a letter to the Commission requesting mitigation of late filing penalties with an explanation of why you are late. If I receive this by Friday afternoon, I can put it on the agenda for next meeting.

Thank you,

# Kathy Daniel

**OR Government Ethics Commission** 



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Emily Berlant PO Box 445 Talent OR 97540

Re: 2017 SEI Penalty

Dear Ms. Turner:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$650 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code: User Name: 6126

EBerlant

Email:

councilor2@cityoftalent.org

2017 Filing:

6/29/201(

2017 Penalty:

\$650.00

Emily Berlant PO Box 445 Talent, OR 97540

### 2017 Offices Held

TALENT (City), COUNCIL - Appointed 01/01/17
TALENT (City), URBAN RENEWAL BOARD - Appointed 01/01/17

### Activity Log - 2017 to Date

06/16/17 09:10:46.627 - User Profile Created - User profile created for Emily Berlant 06/16/17 09:10:58.543 - User Profile Created - A new user profile was created. 06/16/17 09:10:58.583 - SEI Assigned to Seat - SEI Assigned to Seat 06/17/17 01:30:13.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:13.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:15.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:15.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:13.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:13.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:13.493 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:14.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:17.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:17.597 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:13.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 11:33:48.127 - User Profile Updated - The user's main profile was updated. 06/27/17 11:34:01.733 - User Profile Updated - User profile updated for Emily Berlant 06/28/17 01:30:13.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:13.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 12:50:49.530 - User Successfully Authenticated -06/29/17 12:56:53.623 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

Letter of Ed

### **HUNTER David \* OGEC**

om:

Councilor Berlant < Councilor 2@cityoftalent.org>

Sent:

Thursday, June 29, 2017 1:02 PM

To:

OGEC Mail \* OGEC

Subject:

SEI Filer outstanding penalties

Greetings,

I recently became aware of an outstanding penalty of \$650 for an overdue statement of economic interest.

When I became a councilor, this requirement was not clearly conveyed to me and it was overlooked. I sincerely apologize for this oversight and inconvenience and will not allow it to happen again.

As this is my first offense of this kind, is it possible to waive the penalty? I have submitted the report as of today.

Thank you for your consideration.

Emily Berlant 541.646.1221 Talent City Councilor councilor2@cityoftalent.org

cy of Talent
PO Box 445
110 East Main St.
Talent, OR 97540
www.CityofTalent.org

The City of Talent is an Equal Opportunity Provider

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Talent, Oregon

-178-



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Ray Turner 632 North F St. Lakeview OR 97630

Re: 2017 SEI Penalty

Dear Mr. Turner:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

3420

User Name:

Ray Turner

Email:

turbo97630@yahoo.com

2017 Filing:

6/29/20

2017 Penalty:

\$1,980.00

Ray Turner 632 North F Street Lakeview, OR 97630

06/29/17 11:14:03.387 - Late SEI Report Filed - SEI Report Filed Late for year 2017

06/29/17 01:26:26.730 - Admin User Reset Initiated - An admin user reset was initiated.

06/29/17 01:27:25.160 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/29/17 01:27:42.017 - User Successfully Authenticated -

06/29/17 01:28:35.857 - User Password Reset - The user's password was reset from the "My Profile" page.

06/29/17 01:28:35.933 - User Profile Updated - The user's main profile was updated. 06/29/17 01:28:49.803 - User Profile Updated - User profile updated for Ray Turner

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

heller et Ed

2017

₹I Code: ser Name: 3420

Ray Turner

Email:

turbo97630@yahoo.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Ray Turner 632 North F Street Lakeview, OR 97630

### 2017 Offices Held

LAKEVIEW (City), COUNCIL - Appointed 10/27/15 LAKEVIEW (City), MAYOR - Appointed 01/03/17

03/17/17 04:19:48.090 - Seat Vacated - Seat Vacated

## Activity Log - 2017 to Date

05/07/17 01:30:37.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:13.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/11/17 01:30:14.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:02.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:11.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:14.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:12.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:12.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:12.527 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:14.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:25.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:13.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:14.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:14.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:12.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:11.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:12.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:13.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:10.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:14.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:11.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:18.357 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:12.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:11.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:11.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:14.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:11.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:11.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:11.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:10.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:12.150 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:11.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:11.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:13.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:12.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:11.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:11.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:11.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:14.253 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:14.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:12.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:11.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:11.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:14.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:15.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:11.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:11.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:11.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

## **DANIEL Kathy \* OGEC**

From:

Marla Turner <turnermjo57@gmail.com>

Sent:

Thursday, June 29, 2017 1:49 PM

To: Subject: DANIEL Kathy \* OGEC Letter to commissioners RECEIVED

JUN 2 9 2017

OREGON GOVERNMENT

Dear Commissioners.

I want to apologize for being late filing my 2016 economic

report. This has been a very busy time, learning all that goes with the job as as Mayor as well as personal family matters. I spoke with Kathy Daniel and was told that numerous notifications were sent by email. I didn't receive them. They were being sent to the town recorders email but because of some staff changes and restructuring email addresses were changed. Because of this I'm sending my personal email address to Kathy Daniel to update my file and putting the filing deadline information on my calendar to ensure that this doesn't happen again. I'm asking for forgiveness of the accrued fines of \$1980.00 with the promise that this

won't happen again.

Thank you for your consideration in this

matter.

Ray Turner.

Mayor of

the town of Lakeview



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Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Tyler Evans 83718 Russell Lane Joseph OR 97846

Re: 2017 SEI Penalty

Dear Mr. Evans:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

2461

User Name: Email: Tyler Evans

tylere541@gmail.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Tyler Evans 83718 Russell Lane Joseph, OR 97846

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

05/07/17 01:30:36.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:12.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/10/17 04:22:04.603 - User Profile Updated - User profile updated for Tyler Evans 05/10/17 04:22:15.663 - User Profile Updated - The user's main profile was updated. 05/11/17 01:30:13.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:01.680 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:10.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:13.923 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:11.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:12.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:12.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:12.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:23.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:12.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:14.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:14.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:11.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:11.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:12.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:10.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:13.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:11.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:11.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:17.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:12.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:10.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:11.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:13.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:10.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:10.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:10.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:10.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:11.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:10.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:10.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:12.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:11.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:11.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:11.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:13.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:13.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:11.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:11.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:12.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:14.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:15.477 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:11.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:11.440 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

2017

`EI Code: ser Name: 2461

Tyler Evans

Email:

tylere541@gmail.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Tyler Evans 83718 Russell Lane Joseph, OR 97846

06/29/17 11:03:43.753 - Admin User Reset Initiated - An admin user reset was initiated.

06/29/17 11:04:58.797 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/29/17 11:06:02.550 - User Successfully Authenticated -

06/29/17 11:06:30.613 - User Profile Updated - The user's main profile was updated. 06/29/17 11:06:44,307 - User Profile Updated - User profile updated for Tyler Evans 06/29/17 11:11:12.413 - Admin User Reset Initiated - An admin user reset was initiated.

06/29/17 11:19:57.877 - Admin User Password Reset Completed - A user reset their password using an admin reset.

Lethe & B

06/29/17 11:20:15.330 - User Successfully Authenticated -

06/29/17 11:25:32.117 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

06/29/2017

#### **HUNTER David \* OGEC**

From:

Tyler Evans <tylere541@gmail.com>

Sent:

Thursday, June 29, 2017 11:36 AM

To:

OGEC Mail \* OGEC

Subject:

RE: Statement of Economic Interest Receipt of Late Filing

To Whom it may concern - Oregon Government Ethics Commission

During the past year I had changed Internet Service Providers. The Email which OGEC had on file for me was no longer valid. Thus I never received any of the correspondence that was sent to that address. Without the notification of the action item assigned to me or the due date by which it needed to be completed, I had no knowledge that it was due. Once I was actually contacted by an OGEC rep on 6/29, I had the report completed within minutes.

I would like to request a full waiver of the penalty amount due as I don't believe it is fair to monetarily penalize me for not adhering to a deadline of which I was not aware.

Regards, Tyler Evans Volunteer Councilor – Joseph OR

# Report Successfully Filed

Thank you, your Oregon Government Ethics Commission E-Filling Report for 2017 has been successfully submitted.

- · Your confirmation number is: H9G4000
- . An extall receipt has been sent to the small address provided.
- You can also print this receipt for your records.





----Original Message----

From: ogec.notification@egov.com [mailto:ogec.notification@egov.com]

Sent: Thursday, June 29, 2017 11:26 AM

To: tylere541@gmail.com

Subject: Statement of Economic Interest Receipt of Late Filing

#### Dear Tyler Evans:

Oregon law, pursuant to ORS 244.050, requires specified public officials who hold office on April 15 of each year to complete an Annual Verified Statement of Economic Interest (SEI) with the Oregon Government Ethics Commission (Commission). An email was sent to you on 3/15/2017 stating that your required report was due to be filed with the Commission no later than April 15, 2017. You were also reminded on 4/21/2017 that you had until no later than to submit your report without penalty.

Your late filing was received on 6/29/2017. Accordingly, you have accrued a penalty of \$1,980.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 7/29/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.

Please remember to visit the Electronic Filing System at http://apps.oregon.gov/OGEC/EFS/Home/SignIn to update our profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

Please do not reply to this email as replies will not be delivered to the Commission.

Thank you,

Oregon Government Ethics Commission 3218 Pringle Rd. SE, #220 Salem, OR 97302-1544

-188-



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Erin Taylor 331 SE Sheridan Rd. Sheridan OR 97378

Re: 2017 SEI Penalty

Dear Ms. Taylor:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code: User Name: 6051

ErinTaylor

Email:

tawanda1976.et@gmail.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Erin Taylor 331 SE Sheridan Rd Sheridan, OR 97378

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

```
04/14/17 04:11:53.240 - Seat Vacated - Seat Vacated
04/14/17 11:15:56.160 - User Profile Created - A new user profile was created.
04/14/17 11:15:56.190 - SEI Assigned to Seat - SEI Assigned to Seat
04/14/17 11:16:04.467 - User Profile Created - User profile created for Erin Taylor
04/14/17 11:47:52,673 - User Successfully Authenticated -
04/14/17 11:54:05.870 - SEI Report Filed - SEI Report Filed for year 2016
05/07/17 01:30:44.517 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/09/17 01:30:15.660 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/11/17 01:30:18.297 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/12/17 02:20:04.150 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/14/17 01:30:14.000 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/15/17 01:30:15.987 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017 05/16/17 01:30:13.830 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017 05/17/17 01:30:14.143 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/18/17 01:30:14.070 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/19/17 01:30:17.550 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/20/17 01:30:37.063 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017 05/21/17 01:30:14.657 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/22/17 01:30:16.097 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/23/17 01:30:17.763 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/24/17 01:30:13.677 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/25/17 01:30:12.623 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/26/17 01:30:13.880 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/27/17 01:30:20.993 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/28/17 01:30:12.760 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/29/17 01:30:16.033 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/30/17 01:30:13.223 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/31/17 01:30:13.320 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/01/17 01:30:20.977 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/02/17 01:30:14.260 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/03/17 01:30:13.057 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/04/17 01:30:13.473 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/05/17 01:30:15.910 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/06/17 01:30:12.713 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/07/17 01:30:12.493 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/08/17 01:30:12.800 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/09/17 01:30:11.920 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/10/17 01:30:13.500 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/12/17 01:30:12.833 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/13/17 01:30:12.920 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/14/17 01:30:15.077 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/15/17 01:30:13.727 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/16/17 01:30:13.333 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/17/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/18/17 01:30:13.313 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/19/17 01:30:15.750 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/20/17 01:30:15.670 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/21/17 01:30:13.590 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/22/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/23/17 01:30:13.367 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/24/17 01:30:14.657 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/25/17 01:30:16.950 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
```

2017

™ Code: user Name: 6051

ErinTaylor

Email:

tawanda1976.et@gmail.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Erin Taylor

331 SE Sheridan Rd Sheridan, OR 97378

06/26/17 01:30:17.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/27/17 01:30:13.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/28/17 01:30:13.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/29/17 01:30:13.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/29/17 10:48:06.187 - Admin User Reset Initiated - An admin user reset was initiated.

06/29/17 10:49:00.707 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/29/17 10:49:24.190 - User Successfully Authenticated - 06/29/17 10:50:57.263 - User Profile Updated - User profile updated for Erin Taylor

06/29/17 10:51:09.347 - User Password Reset - The user's password was reset from the "My Profile" page. 06/29/17 10:51:09.433 - User Profile Updated - The user's main profile was updated.

06/29/17 10:52:45.273 - User Profile Updated - User profile updated for Erin Taylor 06/29/17 10:52:57.713 - User Profile Updated - The user's main profile was updated.

06/29/17 11:19:55.313 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

Lett des

## **DANIEL Kathy \* OGEC**

From:

Erin Taylor <tawanda1976.et@gmail.com>

Sent:

To: Subject: Thursday, June 29, 2017 11:26 AM DANIEL Kathy \* OGEC Report RECEIVED

JUN 29 2017

OREGON GOVERNMENT ETHICS COMMISSION

Dear Commisioners,

It was recently brought to my attention that when I filed my report in April for 2017 it somehow amended my 2016 report.

I am no longer on the city council as I have moved, but I am sincerely asking you to please waive my fees I have accrued.

Sincerely, Erin Taylor



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Mike Dobaj PO Box 696 Donald OR 97020

Re: 2017 SEI Penalty

Dear Mr. Dobaj:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

3650

User Name:

mdobai

Email:

pcdobaj@donaldoregon.gov

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Mike Dobai PO Box 696 Donald, OR 97020

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

05/07/17 01:30:37.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:13.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/10/17 03:15:27.480 - User Profile Updated - User profile updated for Mike Dobaj 05/10/17 03:15:38.533 - User Profile Updated - The user's main profile was updated. 05/11/17 01:30:14.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:02.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:11.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:14.343 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:12.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:12.590 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:14.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:26.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:13.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:14.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:14.910 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:12.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:11.360 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:12.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:14.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:11.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:14.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:11.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:18.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:12.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:11.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:11.583 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:14.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:11.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:11.057 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:10.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:12.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:11.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:12.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:11.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:11.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:14.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:14.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:12.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:11.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:12.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:13.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:15.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:15.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:11.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:11.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:11.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

2017

I Code:

3650

user Name: Email:

mdobaj

pcdobaj@donaldoregon.gov

6/29/2017

2017 Filing: 2017 Penalty:

\$1,980.00

Mike Dobaj PO Box 696 Donald, OR 97020

06/29/17 10:47:04.570 - User Successfully Authenticated -

06/29/17 10:48:07.390 - User Profile Updated - The user's main profile was updated. 06/29/17 10:48:21.243 - User Profile Updated - User profile updated for Mike Dobaj 06/29/17 11:21:50.140 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE Lithe I Ed

**RECOMMENDATION:** 

### **DANIEL Kathy \* OGEC**

From:

mhdobaj@gmail.com

Sent:

Thursday, June 29, 2017 11:53 AM

To: Subject:

OGEC Mail \* OGEC Late Filing Fee's RECEIVED

JUN 2 9 2017

OREGON GOVERNMENT ETHICS COMMISSION

Dear Oregon Ethics Commission Members.

I am writing to you to request the dismissal of the \$1,980 in late filing penalties for year 2017.

I greatly apologize for the late filing, this year has been very difficult with my time both from work and personally. At the beginning of this year I was hired by our corporate company located in Thomasville GA and have been working on nationwide projects and traveling a lot to support large scale IT initiaves that have taken a lot of my time and effort to project manage and support. On top of these issues I have had to deal with a sister and her two special needs children who has now been convicted of a felony in the state of Washington, lost her job and house and has required my support to not only help her though the process but to also help support her children and their needs during this time.

I have also had a son who has been going through a very difficult time with depression and has also gotten himself into some trouble that has required intervention and time.

Due to these and other issues I have not been able to find the time to even attend meetings, log into my city email and support the city as I have been able to for many years which is why I am so late filing.

This is my first year since 2006 that I have ever been late filing and am seriously considering resigning my commission because of the may items taking me away from being able to fulfill my obligation to the city and its citizens. This city deserves someone who can support their needs and regretfully I do not think I am able to do that at this time. If that changes I would very much like to join the planning commission or city council again.

Please understand that this late filing was absolutely not intentional and the minuet I received the call informing my of my late filing status I immediately logged into the portal and completed the filing.

I again greatly apologize for being absent minded and filing so late and would very much appreciate your understanding and allowing the dismissal of these penalties.

If there are any questions or you would like me to take time off to come talk to you in person please let me know.

The best way to reach me is by calling my cell at 503.753.9850 or emailing me at <a href="mailto:mhdobaj@gmail.com">mhdobaj@gmail.com</a>.

Thank you again for the time in reading this letter and your support on this matter.

Thank you.

Michael Dobaj



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

John Vater 28850 NW Carver St. North Plains OR 97133

Re: 2017 SEI Penalty

Dear Mr. Vater:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$600 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

6138

User Name:

JVater

Email:

jvater@yahoo.com

2017 Filing: 2017 Penalty: 6/28/201 \$600.00

John Vater

28850 NW Carver St North Plains, OR 97133

### 2017 Offices Held

No data to report.

### Activity Log - 2017 to Date

06/16/17 04:10:59.737 - User Profile Created - User profile created for Vater John 06/16/17 04:11:12.057 - User Profile Created - A new user profile was created. 06/16/17 04:11:12.103 - SEI Assigned to Seat - SEI Assigned to Seat 06/16/17 04:13:55.147 - User Profile Updated - User profile updated for John Vater 06/16/17 04:14:07.260 - User Profile Updated - The user's main profile was updated. 06/17/17 01:30:13.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:13.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:16.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:16.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:13.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:13.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:14.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:17.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:17.723 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:13.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:13.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 12:46:59.257 - Admin User Reset Initiated - An admin user reset was initiated. 06/28/17 12:48:08.557 - User Successfully Authenticated -06/28/17 12:49:00.330 - User Profile Updated - The user's main profile was updated. 06/28/17 12:49:14.160 - User Profile Updated - User profile updated for John Vater 06/28/17 01:50:54.563 - Admin User Reset Initiated - An admin user reset was initiated. 06/28/17 01:51:57.800 - Admin User Password Reset Completed - A user reset their password using an admin reset. 06/28/17 01:52:40.633 - User Successfully Authenticated -06/28/17 01:52:58.643 - User Profile Updated - The user's main profile was updated. 06/28/17 01:53:12.033 - User Profile Updated - User profile updated for John Vater 06/28/17 01:53:40.530 - Seat Vacated - Seat Vacated 06/28/17 03:33:33.237 - User Successfully Authenticated -06/28/17 03:40:00.810 - Late SEI Report Filed - SEI Report Filed Late for year 2017 06/28/17 03:58:56.427 - User Profile Updated - The user's main profile was updated. 06/28/17 03:59:09.950 - User Profile Updated - User profile updated for John Vater

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

LAN I Ed

### **HUNTER David \* OGEC**

om:

John Vater < jvater@yahoo.com>

Sent:

Thursday, June 29, 2017 8:58 AM

To:

OGEC Mail \* OGEC; HUNTER David \* OGEC

Subject:

Request for Late Filing Fee Waiver

To Whom it May Concern:

That I writh b On June 28, 2017, I was contacted by your office about the need to file a SEI and that I was late in doing so. It was explained to me that it is an annual requirement for me associated with being a member of the City of North Plains Planning Commission.

No one at the City explained this requirement to me.

Your staff member told me that multiple emails had been sent to me about the required filing at the email address j.vater@yahoo.com.

Unfortunately, j.vater@yahoo.com is not my email address, so I never received any notices. My correct email address is jvater@yahoo.com.

Your staff sent me instructions to file the SEI online on June 28, 2017, at my correct email address. I promptly made my filing on the same day.

am requesting the the Commission waive any fees incurred by me for filing late for a requirement that I had no knowledge of.

Thank you for your consideration.

Sincerely,

John Vater 623-221-0574

On Wednesday, June 28, 2017 3:58 PM, HUNTER David \* OGEC < David. HUNTER@oregon.gov > wrote:

Please email the Commission at ogec.mail@oregon.gov with an explanation for why your SEI filing was late and ask the Commission to waive the penalty.

Best Regards...

David R Hunter Oregon Government Ethics Commission 3218 Pringle Rd SE STE 220 Salem OR 97302-1544 Direct 503-378-5105 ax 503-373-1456

-200-



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Cate Arnold 6771 SW 162<sup>nd</sup> Drive Beaverton OR 97007

Re: 2017 SEI Penalty

Dear Ms. Arnold:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1930 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

3107

User Name:

Cate Arnold

Email:

councilorcate@gmail.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,930.00

Cate Arnold 6771 SW 162nd Drive Beaverton, OR 97007

### 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:37.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:13.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/10/17 11:18:20.577 - User Profile Updated - User profile updated for Cate 5/10/2017Arnold 05/10/17 11:18:31.670 - User Profile Updated - The user's main profile was updated. 05/11/17 01:30:14.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/11/17 09:21:36.173 - User Profile Updated - User profile updated for Cate Arnold 05/11/17 09:21:47.227 - User Profile Updated - The user's main profile was updated. 05/12/17 02:20:02.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:11.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:14.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:12.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:12.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:12.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:14.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:24.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:13.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:14.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:14.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:12.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:11.233 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:12.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:13.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:10.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:14.320 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:11.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:11.370 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:18.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:12,423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:11.310 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:11.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:14.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:10.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:11.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:10.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:12.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:11.053 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:11.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:13.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:12.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:11.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:11.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:11.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:14.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:13.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:12.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:11.650 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:11.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:12.977 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:14.813 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:15.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:11.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

2017

I Code:

3107

User Name:

Cate Arnold

Email:

councilorcate@gmail.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,930.00

Cate Arnold 6771 SW 162nd Drive Beaverton, OR 97007

06/27/17 09:03:36.233 - User Profile Updated - The user's main profile was updated. 06/27/17 09:03:50.317 - User Profile Updated - User profile updated for Cate Arnold

06/28/17 01:30:11.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/28/17 03:49:43.690 - Admin User Reset Initiated - An admin user reset was initiated. 06/28/17 03:50:07.140 - Admin User Reset Initiated - An admin user reset was initiated.

06/28/17 03:51:20.717 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/28/17 03:51:29.590 - User Successfully Authenticated -

06/28/17 03:52:00.113 - User Profile Updated - The user's main profile was updated. 06/28/17 03:52:13.443 - User Profile Updated - User profile updated for Cate Arnold

06/28/17 03:52:24.213 - Admin User Reset Initiated - An admin user reset was initiated. 06/29/17 12:01:58.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/29/17 12:03:28.403 - User Successfully Authenticated -

06/29/17 12:14:37.937 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE Leter Ital

**RECOMMENDATION:** 

### **HUNTER David \* OGEC**

From:

Cate Arnold <councilorcate@gmail.com>

Sent:

Thursday, June 29, 2017 1:26 AM

To:

OGEC Mail \* OGEC

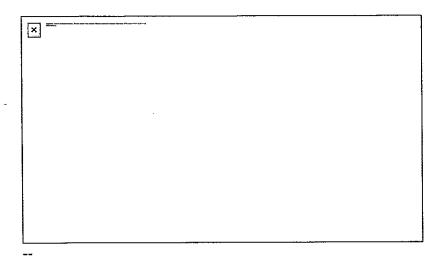
Subject:

Regarding my late filing of the annual ethics form due on 4/15

Dear Reviewers:

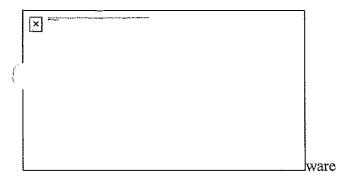
I hope after reading this, you will see it fit to remove my fine of \$1900+. Here's my explanation of why I'm late:

Earlier today (Wednesday 6/28) I got a call from the Oregon State Ethics Commission office telling me that I was overdue, that I was sent a form in March, and numerous notices about my lateness. The messges were sent to our city's standard email address for mail sent to me as a city councilor. Copied below is proof that he is correct. I have many of them but I wasn't using that email address anymore.



I have an automatic response going out since last fall that I have a damaged elbow and am not reading any emails coming in. Dave(?) explained that the Commission's emails are automatically sent out and automatic responses back like mine don't get seen. Below is a screen print of the automatic message I received back from my city email account when sending a message to it from my gmail account.

I have been using <a href="mailto:councilorcate@gmail.com">councilorcate@gmail.com</a> for city government business. I use it with City staff, boards and commissions members, others from our county and state groups. My elbow is much better after a cortisone shot followed by many months of limited use of email and texts as the ergodynamics of how I use my hands when communicating that way is what caused my inflamed elbow. I am still not reading or using the city server email. In order to keep my elbow from getting bad again, I limit email communications and opt to talk directly with people; I find it a healthier, happier, and clearer way connect and work together..



I hope you will dismiss my fines. I have been on the city council since 2005 and have always turned my reports in on time.

\$1900 is a significant amount of money for my husband and me. We are still paying for our youngest son's college education. Because of health issues and my mother's passing, I have not earned income outside of what I receive for being a city councilor this last year.

Thank you for your consideration.

Cate Arnold Beaverton City Council

I have been on the City Council since 2005 and have always turned in my report on time. I hope you will forgive my fine. I will be a

-206**-**



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Dennis Ross PO Box 189 Maupin OR 97037

Re: 2017 SEI Penalty

Dear Mr. Ross:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$450 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

6147

User Name: Email:

Dennis Ross

ddross@centurytel.net

2017 Filing:

6/28/2017

2017 Penalty:

\$450.00

Dennis Ross PO Box 189

Maupin, OR 970370189

## 2017 Offices Held

MAUPIN (City), COUNCIL - Appointed 01/25/17

## Activity Log - 2017 to Date

06/19/17 02:03:37.167 - User Profile Created - A new user profile was created.

06/19/17 02:03:37.247 - SEI Assigned to Seat - SEI Assigned to Seat

06/19/17 02:03:50.027 - User Profile Created - User profile created for Dennis Ross 06/19/17 02:17:10.990 - User Profile Updated - The user's main profile was updated.

06/19/17 02:17:24.097 - User Profile Updated - User profile updated for Dennis Ross

06/20/17 01:30:16.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/21/17 01:30:13.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/22/17 01:30:13.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/23/17 01:30:13.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:14.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/25/17 01:30:17.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/26/17 01:30:17.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/27/17 01:30:13.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/28/17 01:30:13.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/28/17 01:14:51.373 - User Successfully Authenticated -

06/28/17 02:54:04.627 - User Successfully Authenticated -06/28/17 02:55:29,260 - User Successfully Authenticated -

06/28/17 03:20:45.800 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter J.C.

#### **HUNTER David \* OGEC**

rom:

Dennis Ross <ddross@centurytel.net>

Wednesday, June 28, 2017 3:34 PM

Sent: To:

OGEC Mail \* OGEC

Subject:

2017 SEI Report



To: State of Oregon Government Ethics Commission

With the assistance of your office, I have submitted the report, such as it is. The time period concerned is 2016, the year previous to my appointment to the City Council. This may be a reason that after my appointment, the City did not "remind" me to submit the report before 15 April 2017.

This is not the first report I have submitted, but the first that wasn't manually entered with a pen. I was Mayor of Maupin from February 2003 till January 2012 and was required to submit a SEI for many of those latter years. In my four year absence from the council, I completely forgot about the SEI reporting requirement and nobody jogged my memory.

This report is much cleaner than it was when it first hit the streets and requested a lot of information that had little or nothing to do with improving the ethics of people submitting the reports. Compared to security investigations that one has to fill out as a mid-20<sup>th</sup> century strategic bomber pilot, this one is a piece of cake. If I had realized what the most recent messages from <a href="mailto:ogec.notification@egov.com">ogec.notification@egov.com</a> meant earlier, I would have replied. What further confuses me is the contents of a message from <a href="mailto:ogec.notification@egov.com">ogec.notification@egov.com</a> that I received on 19 June:

#### Dear Dennis Ross:

You were recently assigned as a Statement of Economic Interest filer for the position of COUNCIL for MAUPIN. That action has been reversed, and your assignment has been removed.

Please disregard the previous notice regarding the assignment. You do not need to create an account or take any further action.

If you have any questions, please contact the Oregon Government Ethics Commission office at 503-378-5105.

Please do not reply to this email as OGEC will not receive it.

Thank you,

Oregon Government Ethics Commission 3218 Pringle Rd. SE, #220 Salem, OR 97302-1544

So to be on the safe side of the equation, I called that number and with their help, created the account and submitted the report. Somewhere in the mix, I seem to have accrued a penalty of \$450 for not writing a report about a time period that I wasn't a member of an elected city organization and hadn't been appointed to a position that requires a SEI report, wasn't "reminded" after a four year absence of the requirement to do so, and subsequently submitted a report that is typical of a member of small city government, containing almost no information at all. I will bring this up at the League of Oregon Cities Board Meeting (I am in the geezer section of the Board, being a Past President of the League) this coming Friday to assure that this doesn't happen to anyone else.

I respectfully request the commission waive the \$450 penalty for the late submittal for the 2017 SEI Report on what happened in 2016.

Dennis D. Ross, Lt Col, USAF (Ret) Former Mayor of Maupin

-210-



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 29, 2017

Colleen Keller 3201 18<sup>th</sup> Ave SE Albany OR 97322

Re: 2017 SEI Penalty

Dear Ms. Keller:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$600 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

SEI Code:

6136

User Name: Email:

sueccd@gmail.com

Colleen Keller

2017 Filing:

2017 Penalty:

\$600.00

Colleen Keller 3201 18th Avenue SE Albany, OR 97322

#### 2017 Offices Held

HOSPITAL FACILITY AUTHORITY - ALBANY (State), HOSPITAL FACILITY AUTHORITY - ALBANY - Appointed 01/25/17

#### Activity Log - 2017 to Date

06/16/17 02:41:17.363 - User Profile Created - A new user profile was created.

06/16/17 02:41:17.410 - SEI Assigned to Seat - SEI Assigned to Seat

06/16/17 02:41:29.963 - User Profile Created - User profile created for Colleen Keller

06/16/17 02:42:51.790 - User Profile Updated - The user's main profile was updated.

06/16/17 02:43:04.590 - User Profile Updated - User profile updated for Colleen Keller 06/16/17 02:50:19.483 - User Profile Updated - The user's main profile was updated.

06/16/17 02:50:32.260 - User Profile Updated - User profile updated for Colleen Keller

06/16/17 04:01:34.410 - User Failed to Authenticate -

06/16/17 04:01:57.373 - User Failed to Authenticate -

06/16/17 04:07:55.513 - User Failed to Authenticate -

06/16/17 04:25:49.050 - User Successfully Authenticated -

06/17/17 01:30:13,737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/18/17 01:30:13.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:15.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/20/17 01:30:15.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/20/17 02:18:48.100 - Admin User Reset Initiated - An admin user reset was initiated. 06/20/17 02:20:03.853 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/20/17 02:21:53.887 - User Successfully Authenticated -

06/21/17 01:30:13.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/21/17 02:38:51,230 - User Successfully Authenticated -

06/22/17 01:30:13.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/23/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/23/17 10:10:48.493 - User Successfully Authenticated -

06/24/17 01:30:14.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/25/17 01:30:17,200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/26/17 01:30:17.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/26/17 09:20:44.770 - User Profile Updated - User profile updated for Colleen Keller

06/26/17 09:20:57.257 - User Profile Updated - The user's main profile was updated.

06/26/17 10:08:28.177 - User Profile Updated - User profile updated for Colleen Keller

06/26/17 10:08:40.467 - User Profile Updated - The user's main profile was updated.

06/26/17 06:09:55:287 - User Successfully Authenticated -

06/27/17 01:30:13.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/28/17 01:30:13.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/28/17 11:43:51.460 - User Profile Updated - The user's main profile was updated.

06/28/17 11:44:05.003 - User Profile Updated - User profile updated for Colleen Keller

06/28/17 12:54:46.420 - User Successfully Authenticated -

06/28/17 01:02:48.920 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Page 1 of 1

#### **HUNTER David \* OGEC**

om:

Colleen Keller <sueccd@gmail.com>

Sent:

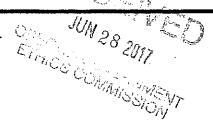
Wednesday, June 28, 2017 1:12 PM

To:

OGEC Mail \* OGEC

Subject:

Hospital Facility Authority



I just finished filing my report for the subject position and I am going to request that the fines accrued be deleted. I don't know who put my name on as Hospital Facility Authority but until this year i have never encountered any problems. I will request that my name be removed so this doesn't happen next year to me. I am also a few days short of 80 and to me it is not very ethical to treat an older lady this way, especially since i don't have a computer and have to go to the library to file a report. Thank you for your patience in this matter until i figured out how to file the report. Hope this completes my requirements for this year.

Colleen Keller, Albany

-214-



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 30, 2017

Robert Webber PO Box 257 Port Orford OR 97465

Re: 2017 SEI Penalty

Dear Mr. Webber:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

4577

User Name: Email:

Robert Webber

webber@blackchapman.com

2017 Filing: 2017 Penalty:

6/29/2017/ \$1,980.00

Bob Webber PO Box 257

Port Orford, OR 97465

#### 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/07/17 01:30:39.940 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:14.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/11/17 01:30:15.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:02.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:12.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:14.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:12.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:12.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:12.963 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:15.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:29.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:13.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:15.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:15.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:12.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:11.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:13.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:17.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:11.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:14.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:12.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:12.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:19.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:13.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:12.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:14.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:11.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:11.030 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:12.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:11.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:11.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:13.940 - Automated Late Fee Applied - Late fee of amount \$50,00 applied for reporting requirement 2017 06/15/17 01:30:12.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:12.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:12.450 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:12.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:14.767 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:14.687 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:12.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:12.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:12.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:13.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:15.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:16.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:12.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:12.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:12.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 02:12:42.927 - Admin User Reset Initiated - An admin user reset was initiated. 06/29/17 02:13:23.287 - Admin User Password Reset Completed - A user reset their password using an admin reset.

2017

'I Code:

4577

\_ser Name: Email:

Robert Webber

webber@blackchapman.com

2017 Filing:

6/29/2017

2017 Penalty:

\$1,980.00

Bob Webber PO Box 257

Port Orford, OR 97465

06/29/17 02:14:00.450 - User Successfully Authenticated -

06/29/17 02:14:15.830 - User Password Reset - The user's password was reset from the "My Profile" page.

06/29/17 02:14:15.923 - User Profile Updated - The user's main profile was updated. 06/29/17 02:14:29.910 - User Profile Updated - User profile updated for Bob Webber

06/29/17 03:55:03.157 - User Failed to Authenticate -06/29/17 04:18:34.123 - User Failed to Authenticate -06/29/17 04:19:27.357 - User Failed to Authenticate -06/29/17 04:20:06.157 - User Failed to Authenticate -

06/29/17 04:24:48.190 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE Lethe A Ed

**RECOMMENDATION:** 

## **DANIEL Kathy \* OGEC**

From:

Robert Webber <webber@blackchapman.com>

Sent:

Thursday, June 29, 2017 5:08 PM

To:

DANIEL Kathy \* OGEC

Subject:

2017 Statement of Economic Interest

Kathy

Thank you for bring the fact that I was late filing my 2017 Statement of Economic Interest. You indicated that the e-mail address was incorrect in my file. I am asking that The Oregon Government Ethics Commission consider waiving the civil penalty that I have been assessed. I filed the required information the same day that I became aware of my failure. I did not receive any notice that I needed to file the report. I have been filing these reports since 2010, when I received the notice that it was due. I accept responsibility for the failure to file. I am asking for the waiver on the basis that I did not receive any notice and I had depended on that notice in the past.

Robert Webber

This email has been checked for viruses by Avast antivirus software. https://www.avast.com/antivirus



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 30, 2017

Wayne Fording 66 SE D Street Madras OR 97741

Re: 2017 SEI Penalty

Dear Mr. Fording:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$2030 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

#### 2017

SEI Code:

4974

Fishinski

User Name: Email:

wfording@qwestoffice.net

2017 Filing:

6/30/2017

2017 Penalty:

\$2,030.00

WAYNE FORDING 66 SE D STREET MADRAS, OR 97741

#### 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

05/04/17 09:35:47.250 - User Profile Updated - The user's main profile was updated. 05/04/17 09:35:56.110 - User Profile Updated - User profile updated for WAYNE FORDING 05/07/17 01:30:41.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:14.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/11/17 01:30:16.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:03.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:12.940 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:15.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:13.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:13.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:13.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:16.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:32.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:14.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:15.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:16.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:13.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:12.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:13.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:19.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:11.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:15.317 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:12.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:12.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:20.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:13.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:12.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:12.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:15.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:12.090 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:11.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:12.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:11.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:12.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:12.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:14.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:13.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:12.803 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:12.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:12.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:15.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:15.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:13.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:12.790 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:13.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:16.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:16.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:12.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:12.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/29/17 01:30:12.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

2017

FI Code:

4974

ser Name: Email:

Fishinski

wfording@qwestoffice.net

2017 Filing:

6/30/2017

2017 Penalty:

\$2,030.00

WAYNE FORDING 66 SE D STREET MADRAS, OR 97741

06/30/17 01:30:11.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/30/17 10:30:17.067 - User Profile Updated - User profile updated for WAYNE FORDING

06/30/17 10:30:29,370 - User Profile Updated - The user's main profile was updated.

06/30/17 10:58:27.907 - User Failed to Authenticate -06/30/17 10:58:53.240 - User Failed to Authenticate -06/30/17 10:59:09.857 - User Failed to Authenticate -06/30/17 11:02:01.733 - User Successfully Authenticated -

06/30/17 11:09:19.973 - Late SEI Report Filed - SEI Report Filed Late for year 2017 06/30/17 11:24:40.320 - User Profile Updated - User profile updated for WAYNE FORDING 06/30/17 11:24:52.923 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

Letter it Ed

### **HUNTER David \* OGEC**

From:

Wayne Fording < wfording@qwestoffice.net>

Sent:

Friday, June 30, 2017 11:53 AM

To:

OGEC Mail \* OGEC

Subject:

2017 Report Wayne Fording

OREGUN 30 2017 ETHICS COMMISSION

Dear Commissioners,

I am writing to apologize for my late filing of my 2017 report. I had thought I had completed this and the email address I had on file was not working properly. I have filed my 2017 report today and updated my contact information on the website. I am respectfully asking that you consider mitigating my fines and penalties as this has been the first time I have been late in my 9 years as commissioner.

Thanks for your consideration Wayne Fording Jefferson County Commissioner



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 30, 2017

Brian Shortt PO Box 411 Hood River OR 97031

Re: 2017 SEI Penalty

Dear Mr. Shortt:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$2030 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

#### 2017

SEI Code:

5098

User Name: BSHORTT

Email:

brian@shorttsupply.com

2017 Filing:

6/30/2017

2017 Penalty:

\$2,030.00

Brian Shortt 1000 E. Port Marina Drive Hood River, OR 97031

### 2017 Offices Held

No data to report.

#### Activity Log - 2017 to Date

```
05/07/17 01:30:42.307 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/09/17 01:30:15.050 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/11/17 01:30:17.550 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/12/17 02:20:03.477 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/14/17 01:30:13.267 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/15/17 01:30:15.430 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/15/17 11:19:54.553 - User Profile Updated - The user's main profile was updated.
05/15/17 11:20:04,457 - User Profile Updated - User profile updated for Brian Shortt
05/16/17 01:30:13.300 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/17/17 01:30:13.630 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/18/17 01:30:13.493 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017 05/19/17 01:30:16.550 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/20/17 01:30:33.617 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/21/17 01:30:14.220 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/22/17 01:30:15.613 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2017
05/23/17 01:30:16.960 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/24/17 01:30:13.223 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/25/17 01:30:12.187 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/26/17 01:30:13.447 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/27/17 01:30:19.980 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/28/17 01:30:12.183 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/29/17 01:30:15.457 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/30/17 01:30:12.740 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
05/31/17 01:30:12.803 - Automated Late Fee Applied - Late fee of amount.$50.00 applied for reporting requirement 2017
06/01/17 01:30:20.527 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/02/17 01:30:13.700 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/03/17 01:30:12.527 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/04/17 01:30:12.853 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/05/17 01:30:15.350 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/06/17 01:30:12.230 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/07/17 01:30:12,010 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/08/17 01:30:12.330 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/09/17 01:30:11.513 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/10/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/12/17 01:30:12.200 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/13/17 01:30:12.437 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/14/17 01:30:14.593 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/15/17 01:30:13.293 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/16/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/17/17 01:30:12.983 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/18/17 01:30:12.847 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/19/17 01:30:15.343 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/20/17 01:30:15.220 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/21/17 01:30:13.153 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/22/17 01:30:12.677 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/23/17 01:30:12.930 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
06/24/17 01:30:14.080 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/25/17 01:30:16.343 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/26/17 01:30:16.927 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017 06/26/17 01:30:16.927 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/27/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2017
 06/27/17 01:40:30.820 - Penalty Accrual Disabled - Penalty accrual disabled for user BSHORTT for SEI filing period 2016.
 06/27/17 01:41:35.603 - Penalty Accrual Enabled - Penalty accrual enabled for user BSHORTT for SEI filing period 2016.
```

2017

T Code:

5098

**BSHORTT** 

er Name: Email:

brian@shorttsupply.com

2017 Filing:

6/30/2017

2017 Penalty:

\$2,030.00

Brian Shortt

1000 E. Port Marina Drive Hood River, OR 97031

06/28/17 01:30:12.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/29/17 01:30:12.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/30/17 01:30:12.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/30/17 10:20:09.797 - Late SEI Report Filed - SEI Report Filed Late for year 2017 06/30/17 10:30:30.643 - Admin User Reset Initiated - An admin user reset was initiated.

06/30/17 10:31:43.667 - Admin User Password Reset Completed - A user reset their password using an admin reset.

06/30/17 10:31:58.393 - User Successfully Authenticated -

06/30/17 10:32:16.213 - User Profile Updated - User profile updated for Brian Shortt

06/30/17 10:32:28.120 - User Password Reset - The user's password was reset from the "My Profile" page. 06/30/17 10:32:28.793 - User Profile Updated - The user's main profile was updated.

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

Letter Led

# RECEIVED

JUN 3 0 2017

June 30, 2017

OREGON GOVERNMENT ETHICS COMMISSION

OR Government Ethics Commission 3218 Pringle Rd SE, Suite 220 Salem OR 97302-1544OR Government Ethics Commission 3218 Pringle Rd SE, Suite 220 Salem OR 97302-1544

#### Commission:

As a commissioner for the Port of Hood River I have been remiss in not completing the ethics form by deadline.

A port provided tablet has not been functioning properly and I've resorted to using our business address to satisfy communication needs with the Port. Convenience has led to missing Ethics Commission notices and the resulting delinquency. For that I am regretful.

I would like to respectfully request mitigation of the accruing penalties.

Sincerely,

Brian F. Shortt PO Box 411 Hood River, Oregon

Friday 11:06AM



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 30, 2017

Sharon Hartung 97865 Chilcote Lane Brookings OR 97415

Re: 2017 SEI Penalty

Dear Ms. Hartung:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$2030 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

#### 2017

SEI Code:

5365

User Name:

Sharon Hartung

Email:

gshartung@hotmail.com

2017 Penalty:

2017 Filing:

6/30/2017

\$2,030,00

Sharon Hartung 97865 Chilcote Lane Brookings, OR 97415

#### 2017 Offices Held

No data to report.

## Activity Log - 2017 to Date

02/13/17 03:42:21.023 - User Profile Created - User profile created for Sharon Hartung

02/13/17 03:42:30.097 - User Profile Created - A new user profile was created.

02/13/17 03:42:30.133 - SEI Assigned to Seat - SEI Assigned to Seat 05/07/17 01:30:43.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:15.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/11/17 01:30:18.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:03.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:13.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:15.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:13.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:13.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:13.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:17.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:35.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:14.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:15.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:17.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:13.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:12.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:20.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:12.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:15.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:13.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:13.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:20.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:14.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:12.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:13.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:15.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:12.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:12.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01;30:12.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:11.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:13.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:12.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:12.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:14.890 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:13.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:13.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:13.203 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:15.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:15.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:13.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:13.007 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/23/17 01:30:13.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:14.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:16.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:17.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/27/17 01:30:13.333 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:12.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

2017

↑FI Code: er Name: 5365

⊭mall:

Sharon Hartung gshartung@hotmail.com 2017 Filing:

6/30/2017

2017 Penalty:

\$2,030.00

Sharon Hartung 97865 Chilcote Lane Brookings, OR 97415

06/29/17 01:30:13.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/30/17 01:30:12.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/30/17 12:20:37.913 - Admin User Reset Initiated - An admin user reset was initiated.

06/30/17 12:43:08.613 - User Profile Updated - The user's main profile was updated. 06/30/17 12:43:22.410 - User Profile Updated - User profile updated for Sharon Hartung

06/30/17 01:06:09.937 - Late SEI Report Filed - SEI Report Filed Late for year 2017 06/30/17 01:10:34.213 - User Profile Updated - The user's main profile was updated.

06/30/17 01:10:48.273 - User Profile Updated - User profile updated for Sharon Hartung

PREVIOUS VIOLATIONS: NONE

**RECOMMENDATION:** 

LA JEL

# **HUNTER David \* OGEC**

From:

Gary Hartung <gshartung@hotmail.com>

Sent:

Friday, June 30, 2017 1:25 PM

То:

OGEC Mail \* OGEC

Subject:

**Ethics Filing** 



#### Commissioners:

I would like to explain why I had not filed the required ethics financial papers as required by April 2017. First of all today is the first time it was brought to my attention I was to file these required documents. I am sorry I had no idea this was required or I Would have taken care of this at the required time. Thank you David for bringing this to my attention and helping me take care of the requirement. I thank the board for allowing me to explain why I had not taken care of the document filing.

Sincerely
Sharon L Hartung



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Website: www.oregon.gov/ogec

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

June 30, 2017

Lynda Fine PO Box 448 Burns OR 97720

Re:

2017 SEI Penalty

Dear Ms. Fine:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, July 14, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1980 penalty will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

2017

SEI Code:

5987

User Name: Lyndachs Email: lfine@cl.h

Limata Fina

lfine@ci.burns.or.us

2017 Filing:

6/29/2

2017 Penalty:

\$1,980.00

Lynda Fine PO Box 448 Burns, OR 97720

#### 2017 Offices Held

BURNS (City), COUNCIL - Appointed 01/01/17

## Activity Log - 2017 to Date

04/14/17 06:01:34.437 - User Profile Created - A new user profile was created. 04/14/17 06:01:34.470 - SEI Assigned to Seat - SEI Assigned to Seat 04/14/17 06:01:42.750 - User Profile Created - User profile created for Lynda Fine 05/07/17 01:30:44.487 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/09/17 01:30:15.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/10/17 04:24:47.033 - User Profile Updated - User profile updated for Lynda Fine 05/10/17 04:24:58.110 - User Profile Updated - The user's main profile was updated. 05/11/17 01:30:18.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/12/17 02:20:04.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/14/17 01:30:13.940 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/15/17 01:30:15.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/16/17 01:30:13.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/17/17 01:30:14.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/18/17 01:30:14.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/19/17 01:30:17.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/20/17 01:30:36.783 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/21/17 01:30:14.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/22/17 01:30:16.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 05/23/17 01:30:17.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/24/17 01:30:13.647 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/25/17 01:30:12.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/26/17 01:30:13.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/27/17 01:30:20.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/28/17 01:30:12.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/29/17 01:30:16.003 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/30/17 01:30:13.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 05/31/17 01:30:13.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/01/17 01:30:20.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/02/17 01:30:14.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/03/17 01:30:13.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/04/17 01:30:13.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/05/17 01:30:15.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/06/17 01:30:12.667 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/07/17 01:30:12.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/08/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/09/17 01:30:11.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/10/17 01:30:13.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/12/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/13/17 01:30:12.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/14/17 01:30:15.060 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/15/17 01:30:13.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/16/17 01:30:13.300 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/17/17 01:30:13.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/18/17 01:30:13.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/19/17 01:30:15.733 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/20/17 01:30:15.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/21/17 01:30:13.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/22/17 01:30:13.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/23/17 01:30:13.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/24/17 01:30:14.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/25/17 01:30:16.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/26/17 01:30:17.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

2017

SEI Code:

5987

User Name:

Lyndachs

Email:

2017 Filing:

6/29/2017

lfine@ci.burns.or.us

2017 Penalty:

\$1,980.00

Lynda Fine PO Box 448 Burns, OR 97720

06/27/17 01:30:13.473 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 06/28/17 01:30:13.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/29/17 01:30:13.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017

06/29/17 03:27:41.193 - User Failed to Authenticate -

06/29/17 03:28:26.817 - Admin User Reset Initiated - An admin user reset was initiated.

06/29/17 03:30:04.290 - User Successfully Authenticated -

06/29/17 03:46:21.437 - Late SEI Report Filed - SEI Report Filed Late for year 2017

06/29/17 03:55:17.583 - User Successfully Authenticated -06/29/17 03:57:17.790 - User Successfully Authenticated -

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Litter do Ed

#### **HUNTER David \* OGEC**

From: Sent: Lynda <lyndachs@yahoo.com> Thursday, June 29, 2017 4:11 PM

To:

OGEC Mail \* OGEC

Subject:

Penalties for delinquent SEI report



Please abate the \$1980.00 delinquent report penalty for late filing of my SEI report. The first time I went into this report the computer timed out on me and I was unable to get back in. Duana Wensenk was finally able to get my invitation reset. I went back in immediately and honestly thought I had submitted the report.

Thank you for your consideration in this matter.

Lynda Fine lfine@ci.burns.or.us 541-589-1211



3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 6, 2017

Confederated Tribes of the Warm Springs Reservation Attn: Michele Stacona PO Box C Warm Springs OR 97761

Dear Ms. Stacona:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> quarters 2016, and 1<sup>st</sup> quarter 2017 penalty (\$5,070) will be extended to coincide with the July 14<sup>th</sup> meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

## DANIEL Kathy \* OGEC

From:

michele stacona <michele.stacona@wstribes.org>

Sent:

Friday, June 02, 2017 10:39 AM

To:

OGEC Mail \* OGEC

Subject:

Waiver for late fees

To Whom It May Concern,

My name is Michele Stacona and am the recently appointed Secretary-Treasurer/CEO for the Confederated Tribes of Warm Springs. I am requesting a waiver for late fees accessed to the Tribes for their "Failure to File Client Exp Reports".

I was notified by email on May 2, 2017 by our lobbyist Mr. Michael Mason about the late filing of Lobby Expenditure Reports for late 2016 and early 2017. I was unaware of the missed filings and as soon as I was made aware of the issue, I contacted Ms. Kathy Daniel who assisted me in obtaining a new password reset for our account. On May 2, 2017 I filed all reports that were past due.

I hereby request all late fees be waived for a total of \$5,070 as of May 2, 2017. Please ensure that this will not happen again and I sincerly apologize for being unaware that these reports were not filed. The Tribes during that later part of 2016 underwent a change in Management and any notices of late filings were probably being sent to the previous Secretary-Treasurer/CEO.

Thank you for your consideration.

Michele Stacona

Secretary-Treasurer/CEO Confederated Tribes of Warm Springs, Oregon

Office: 541-553-3212

Fax: 541-553-2236

Email: michele.stacona@wstribes.org Online: https://warmsprings-nsn.gov/

Q3 20.00 Q4 4,750.00

Electronic Privacy Statement. This e-mail message, including any attachments, is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged and/or confidential. If you are not the intended recipient or the employee or agent responsible for delivering the communication to the intended recipient, please notify us immediately by replying to this message and then delete this message from your system. You are hereby notified that any use, dissemination, distribution and/or reproduction of this message and/or any attachments by unintended recipients is unauthorized and may be unlawful.

KathyD June 7th, 2017 at 10:04 AM

6/2/17 Letter of Explanation received.

6/6/17 Letter of Acknowledgement sent.

## KathyD April 26th, 2017 at 3:03 PM

4/26/17 I called the number in this account. Receptionist told me that he is now the judge and gave me a nother number, 541-553-3278. I called at 12:15...they don't answer the phone during lunch hour. I'll call back later.

3pm I left a message on a voice mail, option Tribal Court. There were no other options that seemed appropriate.

#### KathyD August 8th, 2016 at 4:48 PM

8.8.16 Mr. Smith sent an email stating he forgot to send in the letter of explanation to request mitigation of penalties. I replied that he can still submit, but it will go to the Sept. meeting.

PAST DELINQUENT FILINGS: 2016, Q2 \$50; Q3 \$20; Q4 \$4,750 2017, Q1 \$250

#### **RECOMMENDATION:**

# Client/Employer Activity

Client Code:

18

Q1 2017 Filing:

5/2/2017

User Name: Email:

Glendon-s

michele.stacona@wstribes.org

Q1 2017 Expended: Q1 2017 Penalty:

\$16,875.00 \$250.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

# Activity Log - Q1 2017 to Date

01/15/17 04;42;34.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/15/17 06:11:18.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/16/17 01:36:19.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/16/17 06:20:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/17/17 01;34:38.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/18/17 01:30:41.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/19/17 01:30:29,323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/20/17 01:30:31.183 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/21/17 01:30:27.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/22/17 01:30:34.150 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/23/17 01:30:15.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/24/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/25/17 01:30:18.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/26/17 01:30:15.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/27/17 01:30:22.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/28/17 01:30:20.667 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/29/17 01:30:19.820 - Automated Late Fee Applied - 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Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/25/17 01:30:20.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/25/17 01:30:20.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/26/17 01:30:17.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/27/17 01:30:15.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/28/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/01/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/02/17 01:30:12.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/03/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/04/17 01:30:23.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/05/17 01:30:211.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/06/17 01:30:11.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/07/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/08/17 01:30:12.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4

# Client/Employer Activity

Client Code: User Name: 18

Glendon-s

michala ata

Email:

michele.stacona@wstribes.org

Q1 2017 Filing:

5/2/2017

Q1 2017 Expended:

\$16,875.00

Q1 2017 Penalty:

\$250,00

Confederated Tribes of the Warm Springs Reservation of Oregon Attn: Michele Stacona

РО ВОХ С

Warm Springs, OR 97761

03/09/17 01:30:13.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/10/17 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/11/17 01:30:14.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/12/17 01:30:14.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/13/17 01:30:12.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/14/17 01:30:12.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/15/17 01:30:13.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/16/17 01:30:13.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/17/17 01:30:17.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/18/17 01:30:40.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/19/17 01:30:14.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/20/17 01:30:17.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/21/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/22/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/23/17 01:30:15.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/24/17 01:30:21.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/25/17 01:30:28.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/26/17 01:30:10.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/27/17 01:30:15.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/28/17 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/29/17 01:30:14.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/30/17 01:30:11.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/31/17 01:30:12.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/01/17 01:46:15.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/02/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/03/17 01:30:13.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/04/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/05/17 01;30:12.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/06/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/07/17 01:30:11.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/08/17 01:30:14.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/09/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/10/17 01:30:15.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/11/17 01:30:11.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/12/17 01:30:10.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/12/17 01:30:10.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/13/17 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/14/17 01:30:10.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:30:12.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:31:07.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/16/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/16/17 01:30:39.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/17/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/17/17 01:30:39.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/18/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/18/17 01:30:23.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/19/17 01:30:13.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/19/17 01:30:22.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/20/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/20/17 01:30:20.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/21/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/21/17 01:30:15.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/22/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/22/17 01:30:14.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/23/17 01:30:11.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/23/17 01:30:15.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/24/17 01:30:12.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/24/17 01:30:15.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1

# Client/Employer Activity Q1 2017

Client Code:

18

Q1 2017 Filing:

5/2/2017

User Name: Email: Glendon-s

michele.stacona@wstribes.org

Q1 2017 Expended: Q1 2017 Penalty: \$16,875.00 \$250.00

Confederated Tribes of the Warm Springs Reservation of Oregon Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

04/25/17 01:30:13.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/25/17 01:30:17.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/26/17 01:30:10.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/26/17 01:30:11.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/27/17 01:30:09.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/27/17 01:30:10.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/28/17 01:30:09.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/28/17 01:30:10.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/29/17 01:30:09.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/29/17 01:30:10.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/30/17 01:30:11.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/30/17 01:30:11,717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 05/01/17 01:30:12.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 05/01/17 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 05/02/17 01:30:10.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 05/02/17 01:30:10.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 05/02/17 10:56:35.923 - User Profile Updated - User profile updated for Michele Stacona 05/02/17 10:56:46.777 - User Profile Updated - The user's main profile was updated. 05/02/17 10:57:05.050 - Admin User Reset Initiated - An admin user reset was initiated. 05/02/17 10:57:52.530 - Admin User Password Reset Completed - A user reset their password using an admin reset. 05/02/17 10:58:18.060 - User Successfully Authenticated -05/02/17 10:58:48.597 - User Profile Updated - User profile updated for Michele Stacona 05/02/17 10:58:59.310 - User Password Reset - The user's password was reset from the "My Profile" page. 05/02/17 10:58:59.450 - User Profile Updated - The user's main profile was updated. 05/02/17 10:59:13.563 - Admin User Reset Initiated - An admin user reset was initiated. 05/02/17 12:17:56.890 - Admin User Password Reset Completed - A user reset their password using an admin reset. 05/02/17 12:18:21.407 - User Successfully Authenticated · 05/02/17 12:30:26.553 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 12:31:36.660 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 12:32:30.020 - Client Report Saved - Client Report Saved for Q1 2017 05/02/17 12:33:05.110 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 05:42:05.790 - User Successfully Authenticated -05/02/17 05:42:09.523 - User Successfully Authenticated -05/02/17 05:42:31.363 - Client Report Submitted - Client Report Submitted for Q4 2016

05/02/17 05:43:03.367 - Client Report Submitted - Client Report Submitted for Q1 2017

## Client/Employer Activity Q4 2016

Client Code: User Name: 18

Glendon-s

Email:

michele.stacona@wstribes.org

Q4 2016 Filing:

5/2/2017

Q4 2016 Expended:

\$1,870.00

Q4 2016 Penalty:

\$4,750.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

## Activity Log - Q4 2016 to Date

10/17/16 01:30:12.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3 10/17/16 05:32:20.203 - User Successfully Authenticated -10/17/16 05:34:54.513 - Client Report Submitted - Client Report Submitted for Q3 2016 10/18/16 08:58:23.923 - User Successfully Authenticated 11/01/16 09:06:29.143 - User Successfully Authenticated -11/01/16 09:13:22.693 - User Successfully Authenticated -01/15/17 04:42:34.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/15/17 06:11:18.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/16/17 01:36:19.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/16/17 06:20:13.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/17/17 01:34:38.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4 01/18/17 01:30:41.030 - 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10/16/16 01:30:12.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3

03/01/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4

# **Client/Employer Activity** Q4 2016

Client Code: User Name:

Email:

Glendon-s michele.stacona@wstribes.org Q4 2016 Filing:

5/2/2017

Q4 2016 Expended: Q4 2016 Penalty: \$1,870.00 \$4,750.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

03/02/17 01:30:12.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/03/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/04/17 01:30:23.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/05/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/06/17 01:30:11.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/07/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/08/17 01:30:12.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/09/17 01:30:13.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/10/17 01:30:12.553 - 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Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/18/17 01:30:40.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/19/17 01:30:14.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/20/17 01:30:17.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/21/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/21/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/22/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/23/17 01:30:15.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/24/17 01:30:21.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/25/17 01:30:28.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/26/17 01:30:10.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/27/17 01:30:15.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/28/17 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/29/17 01:30:14.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/30/17 01:30:11.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/31/17 01:30:12.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/01/17 01:46:15.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/02/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/03/17 01:30:13.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/04/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/05/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/06/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/07/17 01:30:11.197 - Automated Late Fee Applied - Late fee of amount \$50,00 applied for reporting requirement 2016 Q4 04/08/17 01:30:14.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/09/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/10/17 01:30:15.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/11/17 01:30:11.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/12/17 01:30:10.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/13/17 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/14/17 01:30:10.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:30:12.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:31:07.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/16/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/16/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/16/17 01:30:39.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/17/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/17/17 01:30:39.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/18/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/18/17 01:30:23.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/19/17 01:30:13.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/19/17 01:30:22,263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/20/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/20/17 01:30:20.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/21/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 04/21/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4

### Client/Employer Activity Q4 2016

Client Code: User Name:

18

Glendon-s

Email:

michele.stacona@wstribes.org

Q4 2016 Filing:

5/2/2017

Q4 2016 Expended:

\$1,870.00

Q4 2016 Penalty:

\$4,750.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

04/21/17 01:30:15.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/22/17 01:30:11.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/22/17 01:30:14.893 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/23/17 01:30:11.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/23/17 01:30:15.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/24/17 01:30:12.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/24/17 01:30:15.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/25/17 01:30:13.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/25/17 01:30:17.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/26/17 01:30:10.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/26/17 01:30:11.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/27/17 01:30:09.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/27/17 01:30:10.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/28/17 01:30:09.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/28/17 01:30:10.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/29/17 01:30:09.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/29/17 01:30:10.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/30/17 01:30:11.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/30/17 01:30:11.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 05/01/17 01:30:12.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 05/01/17 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 05/02/17 01:30:10.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 05/02/17 01:30:10.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 05/02/17 10:56:35.923 - User Profile Updated - User profile updated for Michele Stacona 05/02/17 10:56:46.777 - User Profile Updated - The user's main profile was updated. 05/02/17 10:57:05.050 - Admin User Reset Initiated - An admin user reset was initiated. 05/02/17 10:57:52.530 - Admin User Password Reset Completed - A user reset their password using an admin reset. 05/02/17 10:58:18.060 - User Successfully Authenticated -05/02/17 10:58:48.597 - User Profile Updated - User profile updated for Michele Stacona 05/02/17 10:58:59.310 - User Password Reset - The user's password was reset from the "My Profile" page. 05/02/17 10:58:59.450 - User Profile Updated - The user's main profile was updated. 05/02/17 10:59:13.563 - Admin User Reset Initiated - An admin user reset was initiated. 05/02/17 12:17:56.890 - Admin User Password Reset Completed - A user reset their password using an admin reset. 05/02/17 12:18:21.407 - User Successfully Authenticated -05/02/17 12:30:26.553 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 12:31:36.660 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 12:32:30.020 - Client Report Saved - Client Report Saved for Q1 2017 05/02/17 12:33:05.110 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 05:42:05.790 - User Successfully Authenticated -05/02/17 05:42:09.523 - User Successfully Authenticated -05/02/17 05:42:31.363 - Client Report Submitted - Client Report Submitted for Q4 2016 05/02/17 05:43:03.367 - Client Report Submitted - Client Report Submitted for Q1 2017

# Client/Employer Activity Q3 2016

Client Code:

18

Q3 2016 Filing:

10/17/2016

User Name: Email: Glendon-s

michele.stacona@wstribes.org

Q3 2016 Expended: Q3 2016 Penalty: \$660.00 \$20.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

### Activity Log - Q3 2016 to Date

```
07/16/16 01:30:28.187 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.520 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2 07/18/16 01:30:25.360 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.423 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.867 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/20/16 09:19:42.867 - User Successfully Authenticated -
07/20/16 09:22:45.040 - Client Report Submitted - Client Report Submitted for Q2 2016
10/16/16 01:30:12.997 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.847 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q3
10/17/16 05:32:20.203 - User Successfully Authenticated
10/17/16 05:34:54.513 - Client Report Submitted - Client Report Submitted for Q3 2016
10/18/16 08:58:23.923 - User Successfully Authenticated -
11/01/16 09:06:29.143 - User Successfully Authenticated -
11/01/16 09:13:22.693 - User Successfully Authenticated -
01/15/17 04:42:34.817 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:18.533 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/16/17 01:36:19.547 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:13.770 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/17/17 01:34:38.337 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/18/17 01:30:41.030 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:29.323 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:31.183 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/21/17 01:30:27.710 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/22/17 01:30:34.150 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/23/17 01:30:15.260 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/24/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/25/17 01:30:18.063 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/26/17 01:30:15.730 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/27/17 01:30:22.730 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/28/17 01:30:20.667 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/29/17 01:30:19.820 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/30/17 01:30:20.007 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/31/17 01:30:20.223 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/01/17 01:30:25.040 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/02/17 01:30:15.980 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/03/17 01:30:20.427 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/04/17 01:30:20.073 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/05/17 01:30:18.853 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/06/17 01:30:23.903 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/07/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/08/17 01:30:18.100 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/09/17 01:30:19.557 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/10/17 01:30:20.933 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/11/17 01:30:17.403 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/12/17 01:30:12.620 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/13/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/14/17 01:30:11.703 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/15/17 01:30:17.140 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/16/17 01:30:19.897 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/17/17 01:30:19.123 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/18/17 01:30:19.763 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/19/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/20/17 01:30:16.410 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/21/17 01:30:12.447 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
 02/22/17 01:30:14.463 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
```

### Client/Employer Activity 03 2016

Client Code: User Name: 18

Glendon-s

Email:

michele.stacona@wstribes.org

Q3 2016 Filing:

10/17/2016

Q3 2016 Expended: Q3 2016 Penalty: \$660.00 \$20.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

02/23/17 01;30:17.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/24/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/25/17 01:30:20.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/26/17 01:30:17.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/27/17 01:30:15.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/28/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/01/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/02/17 01:30:12.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/03/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/04/17 01:30:23,120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/05/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/06/17 01:30:11.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/07/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/08/17 01:30:12.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/09/17 01:30:13.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/10/17 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/11/17 01:30:14.617 - Automated Late Fee Applied - 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Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/29/17 01:30:14.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/30/17 01:30:11.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/31/17 01:30:12.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/01/17 01:46:15.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/02/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/03/17 01:30:13.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/04/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/05/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/06/17 01:30:12.373 - 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Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:30:12.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:31:07.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/16/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/16/17 01:30:39.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/17/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/17/17 01:30:39.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1

### Client/Employer Activity Q3 2016

Client Code:

Q3 2016 Filing:

10/17/2016

User Name: Email:

Glendon-s

michele.stacona@wstribes.org

Q3 2016 Expended: Q3 2016 Penalty: \$660.00 \$20.00

Confederated Tribes of the Warm Springs Reservation of Oregon Attn: Michele Stacona

РО ВОХ С

Warm Springs , OR 97761

04/18/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/18/17 01:30:23.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/19/17 01:30:13.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/19/17 01:30:22.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/20/17 01:30:12.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/20/17 01:30:20.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/21/17 01:30:11.663 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/21/17 01:30:15.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/22/17 01:30:11.510 - 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Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 05/01/17 01:30:12.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 05/01/17 01:30:13,197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 05/02/17 01:30:10.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 05/02/17 01:30:10.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1 05/02/17 10:56:35.923 - User Profile Updated - User profile updated for Michele Stacona 05/02/17 10:56:46.777 - User Profile Updated - The user's main profile was updated. 05/02/17 10:57:05.050 - Admin User Reset Initiated - An admin user reset was initiated. 05/02/17 10:57:52.530 - Admin User Password Reset Completed - A user reset their password using an admin reset. 05/02/17 10:58:18.060 - User Successfully Authenticated 05/02/17 10:58:48.597 - User Profile Updated - User profile updated for Michele Stacona 05/02/17 10:58:59.310 - User Password Reset - The user's password was reset from the "My Profile" page. 05/02/17 10:58:59.450 - User Profile Updated - The user's main profile was updated. 05/02/17 10:59:13.563 - Admin User Reset Initiated - An admin user reset was initiated. 05/02/17 12:17:56.890 - Admin User Password Reset Completed - A user reset their password using an admin reset. 05/02/17 12:18:21.407 - User Successfully Authenticated -05/02/17 12:30:26.553 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 12:31:36.660 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 12:32:30.020 - Client Report Saved - Client Report Saved for Q1 2017 05/02/17 12:33:05.110 - Client Report Saved - Client Report Saved for Q4 2016 05/02/17 05:42:05.790 - User Successfully Authenticated -05/02/17 05:42:09.523 - User Successfully Authenticated -05/02/17 05:42:31.363 - Client Report Submitted - Client Report Submitted for Q4 2016 05/02/17 05:43:03.367 - Client Report Submitted - Client Report Submitted for Q1 2017

### Client/Employer Activity 02 2016

Client Code: User Name:

18

Glendon-s

Email:

michele.stacona@wstribes.org

Q2 2016 Filing:

7/20/2016

Q2 2016 Expended:

\$5,170.00

Q2 2016 Penalty:

\$50,00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

### Activity Log - Q2 2016 to Date

```
04/12/16 01:22:28.593 - Admin User Reset Initiated - An admin user reset was initiated.
04/12/16 01:25:10.130 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/12/16 01:25:52.297 - User Successfully Authenticated -
04/13/16 08:41:55.213 - User Successfully Authenticated -
04/13/16 08:48:49.793 - Client Report Saved - Client Report Saved
04/13/16 04:32:53.723 - User Successfully Authenticated -
04/15/16 01:46:00.833 - User Successfully Authenticated -
04/15/16 01:49:09.203 - Client Report Submitted - Client Report Submitted
07/16/16 01:30:28.187 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:27.520 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:25.360 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.423 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2 07/20/16 01:30:26.867 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q2
07/20/16 09:19:42.867 - User Successfully Authenticated -
07/20/16 09:22:45.040 - Client Report Submitted - Client Report Submitted for Q2 2016
10/16/16 01:30:12.997 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.847 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q3
10/17/16 05:32:20.203 - User Successfully Authenticated -
10/17/16 05:34:54.513 - Client Report Submitted - Client Report Submitted for Q3 2016
10/18/16 08:58:23.923 - User Successfully Authenticated -
11/01/16 09:06:29.143 - User Successfully Authenticated -
11/01/16 09:13:22.693 - User Successfully Authenticated -
01/15/17 04:42:34.817 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:18.533 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/16/17 01:36:19.547 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:13.770 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/17/17 01:34:38.337 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:41.030 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:29.323 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/20/17 01:30:31.183 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/21/17 01:30:27.710 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/22/17 01:30:34.150 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/23/17 01:30:15.260 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/24/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/24/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/25/17 01:30:18.063 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/26/17 01:30:15.730 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4 01/27/17 01:30:22.730 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/28/17 01:30:20,667 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/29/17 01:30:19.820 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/30/17 01:30:20.007 - Automated Late Fee Applied - Late fee of amount $10.00 applied for reporting requirement 2016 Q4
01/31/17 01:30:20.223 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/01/17 01:30:25.040 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/02/17 01:30:15.980 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/03/17 01:30:20.427 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/04/17 01:30:20.073 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/05/17 01:30:18.853 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/06/17 01:30:23.903 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/07/17 01:30:16.280 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/08/17 01:30:18.100 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/09/17 01:30:19.557 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/10/17 01:30:20.933 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/11/17 01:30:17.403 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/12/17 01:30:12.620 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4 02/13/17 01:30:12.783 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
02/14/17 01:30:11.703 - Automated Late Fee Applied - Late fee of amount $50.00 applied for reporting requirement 2016 Q4
```

### Client/Employer Activity Q2 2016

Client Code:

Q2 2016 Filing:

7/20/2016

User Name: Email:

Glendon-s

michele.stacona@wstribes.org

Q2 2016 Expended: Q2 2016 Penalty: \$5,170.00 \$50.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

02/15/17 01:30:17.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/16/17 01:30:19.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/17/17 01:30:19.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/18/17 01:30:19.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/19/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/20/17 01:30:16.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/21/17 01:30:12.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/22/17 01:30:14.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/23/17 01:30:17.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/24/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/25/17 01:30:20.923 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/26/17 01:30:17.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/27/17 01:30:15.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 02/28/17 01:30:12.480 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/01/17 01:30:12.927 - Automated Late Fee Applied - Late fee of amount \$50,00 applied for reporting requirement 2016 Q4 03/02/17 01:30:12.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/03/17 01:30:11.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/04/17 01:30:23.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/05/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/06/17 01:30:11.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/07/17 01:30:13.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/08/17 01:30:12.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/09/17 01:30:13.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/10/17 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/11/17 01:30:14.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/12/17 01:30:14.883 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/13/17 01:30:12.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/14/17 01:30:12.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/15/17 01:30:13.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/16/17 01:30:13.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/17/17 01:30:17.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/18/17 01:30:40.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/19/17 01:30:14.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/20/17 01:30:17.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/21/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/22/17 01:30:12.500 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/23/17 01:30:15.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/24/17 01:30:21.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/25/17 01:30:28.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/26/17 01:30:10.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/27/17 01:30:15.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/28/17 01:30:11.033 - Automated Late Fee Applied - Late fee of amount \$50,00 applied for reporting requirement 2016 Q4 03/29/17 01:30:14.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/30/17 01:30:11.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 03/31/17 01:30:12.050 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/01/17 01:46:15.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/02/17 01:30:13.457 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/03/17 01:30:13.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/04/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/05/17 01:30:12.587 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/06/17 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/07/17 01:30:11.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/08/17 01:30:14.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/09/17 01:30:11.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/10/17 01:30:15.210 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/11/17 01:30:11.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/12/17 01:30:10.623 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4

### Client/Employer Activity **Q2 2016**

Client Code:

18

User Name: Glendon-s

Email:

michele.stacona@wstribes.org

Q2 2016 Filing:

7/20/2016

Q2 2016 Expended:

\$5,170.00

Q2 2016 Penalty:

\$50.00

Confederated Tribes of the Warm Springs Reservation of Oregon

Attn: Michele Stacona

PO BOX C

Warm Springs, OR 97761

04/13/17 01:30:13.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/14/17 01:30:10.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:30:12.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/15/17 01:31:07.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/16/17 01:30:11.780 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/16/17 01:30:39.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/17/17 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4 04/17/17 01:30:39.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1 04/18/17 01:30:13.820 - 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Client Report Submitted - Client Report Submitted for Q1 2017



### Government Ethics Commission

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

July 6, 2017

Secretary of State
Attn: Dennis Richardson
900 Court Street
Salem OR 97301

Dear Secretary Richardson:

On May 25, 2017, the Oregon Government Ethics Commission received your request to reconsider the Letter of Education issued on April 21, 2017 regarding a failure to timely file a Client/Employer Expenditure report for the 4<sup>th</sup> quarter, 2016, reporting period. It appears that we failed to notify you that your request would be placed on the June 2, 2017 meeting's agenda for Commission review.

Given our failure to notify you of the June 2<sup>nd</sup> meeting, we are placing the request on the July 14, 2017 meeting agenda. The meeting will be held on Friday, July 14, 2017, at 9:00 a.m. The Commission will consider the matter at that time. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

You will be notified of the outcome in writing after the meeting. Feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

cc: Debra Royal via email



### **Government Ethics Commission**

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105 (

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 2, 2017

Secretary of State Attn: Dennis Richardson 900 Court Street Salem OR 97301

### Dear Secretary Richardson:

At its meeting on June 2, 2017, the Oregon Government Ethics Commission (Commission) reviewed your request to rescind the Letter of Education that was issued on April 21, 2017 as a sanction for the late filing of the Client/Employer expenditure report for the 4<sup>th</sup> quarter of 2016.

Following discussion and careful review of the matter, the Commission elected to not remove the sanction.

If you have questions about the decision, you may request and review the audio minutes recorded during the June 2, 2017 meeting, or contact the commission staff.

Sincerely,

Dan Golden

Commission Chair

859

May 21, 2017

Chairman Don Golden
Government Ethics Commission
3218 Pringle Road SE #220

Salem, OR 97302—1544

Dennis Richardson SECRETARY OF STATE

MAY 25 2017

OREGON GOVERNMENT ETHICS COMMISSION

RE: Letter of Education dated April 21, 2017

Dear Mr. Chairman,

Throughout January, my Special Assistant Eric Jorgensen was in contact with Kathy Daniel of your staff, and my Chief of Staff Debra Royal was in contact with Laura Hutchins formerly of Secretary of State Jeanne Atkins' staff and currently of Governor Brown's staff.

Mr. Jorgensen reached out to Ms. Daniel well before the January 15 deadline to inquire about the 4Q lobbying report. Ms. Daniel informed him that there was no need for our office to provide the filing as it fell under the prior administration's tenure. Additionally, for several days after the deadline expired, Ms. Daniel told Mr. Jorgensen to disregard your office's computer generated "Failure to File Quarterly Reports" email notices as your computer program was generating inaccurate notices. However, five days after the deadline, Ms. Daniel, again, contacted Mr. Jorgensen with conflicting instructions. The new procedure was that this office was responsible for the previous auministration's filing and that Ms. Daniel would provide us with a new password to file the required report. This password reset took several days because Ms. Daniel was forwarding the notifications to the wrong email address. Once this was realized, Ms. Daniel changed the default email address in your system and Mr. Jorgensen received the password reset information. (See attached email thread.)

On January 9, Ms. Royal became aware of a computer generated email from your office addressed to Ms. Hutchins that came into the generic Secretary of State email box. Ms. Royal immediately forwarded the email to Ms. Hutchins asking for guidance. Ms. Hutchins responded, "I did not removed myself as the 'jurisdictional contact' for the office of SoS intentionally, so that I could complete the 2016 4Q reporting on behalf of the office...." (See attached email thread.)

As soon as it became clear that Ms. Daniel had given my staff inaccurate information and that Ms. Hutchins had failed to follow-through on submitting Secretary Atkins' 4Q filing as she said was her responsibility, my office submitted the required documents.

In conclusion, it appears the problem stemmed from the brief period of transition. Regardless as to who is to blame, the matter has been resolved and it will not reoccur. After you have had time to review this letter and its attachments, I am hopeful that you will grant my request to rescind the Letter of Education.

Thank you for your time and consideration.

Sennes Richardson

Dennis Richardson

Attachments



April 21, 2017

### **Government Ethics Commission**

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

LETTER OF EDUCATION

Secretary of State Attn: Dennis Richardson 900 Court Street Salem OR 97301

Re: Client/Employer Late Filing Penalty

Dear Mr. Richardson:

This letter is being issued to educate you regarding the requirements of ORS 171.750 and 171.752 because of your failure to timely file a Client/Employer Expenditure Report with the Oregon Government Ethics Commission.

Your organization is listed as a client or employer of a lobbyist who has registered with the Oregon Government Ethics Commission to represent you. Statute requires that you submit a lobbying expenditure report by the 15<sup>th</sup> of the month following each quarter, even if there were no expenses to report, and for any portion of a reporting period prior to the filing of a termination form.

A valid lobbying registration was on file for your organization during the 4th quarter reporting period of October 1 through December 31, 2016, which required the filing of an expenditure report. You were notified by email through the Electronic Filing System that your report was due by January 15, 2017. After the required filing date passed, you were again notified by email that penalties had begun to accrue. You violated ORS 171.745 and 171.752 by failing to submit your report by the due date.

The Commission may impose a civil penalty for this violation or it may issue a letter of education in lieu of a civil penalty as provided in 171.992(5). This letter of education is the sanction chosen by the Commission in this matter because we found no previous record of delinquent report filing and because of the proactive measures you have taken by accepting responsibility for the error and taking steps to avoid future problems. Additional violations, however, may carry increased penalties. If you have questions about any of the processes, please contact commission staff.

Sincerely,

Dan Golden

Commission Chair



### Government Ethics Commission

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

April 5, 2017

Secretary of State Attn: Eric Jorgensen 900 Court St. NE, Room 136 Salem OR 97301

Dear Mr. Jorgensen:

The written explanation of why the Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, April 21, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the penalties will be extended to coincide with the April 21st meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

RECEIVED

MAR 1 4 2017

OREGON GOVERNMENT ETHICS COMMISSION

March 14, 2017

Oregon Government Ethics Commission Re: Quarterly Report Filing Penalty

Dear Commissioners,

I thank you for the opportunity to explain the reasons as to why we were late in our 4<sup>th</sup> Quarter 2016 quarterly report filings. I am hopeful that once it is clear as to why this happened, you will agree that removing the penalty is the appropriate course of action.

When we began receiving notifications informing us we needed to file a quarterly report, we were new to the Secretary of State's office and didn't have any employees from our staff that had worked in the office during the 4<sup>th</sup> quarter of 2016 and didn't think it was applicable.

We continued receiving the notifications and then I received a generic email informing us that there was a computer glitch and to ignore the penalty notification emails.

A couple days later I spoke with someone from the OGEC that informed me that we did need to fill out the 4<sup>th</sup> quarter report, but it took us a few days to get the password reset issue resolved, because we didn't have access to the email address where the password reset emails were being sent.

Once we finally were able to login, we saw the two names of SOS staff from the previous administration that we needed to report on, and so I reached out to Laura Hutchings, former Executive Assistant for Sec. Atkins. Laura provided me with an update on the lobbyist actions of the two former employees, one of which was no longer with the SOS Office 4<sup>th</sup> quarter of 2016. We then were able to file accurate information for the 4<sup>th</sup> quarter filing report.

It was a perfect storm of misunderstanding, miscommunication, and mishap that delayed our completion of the 4<sup>th</sup> quarter report, and had nothing to do with a lack of desire for transparency nor a lackadaisical attitude toward OGEC.

We are very committed to the mission of OGEC and will be prompt in all of our filings from here on out.

Thank you again for your understanding and patience.

Eric Jorgensen

Special Assistant to the Secretary of State

# BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of	, )	
	)	STIPULATED FINAL ORDER
GRANT KNOLL	,	
GIVILI MUCEL	. , ′	CASE NO. 16-124XMS
	)	
	)	

- 1. <u>PURPOSE</u>: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Grant Knoll.
- 2. <u>JURISDICTION</u>: At all material times, Mr. Knoll was a member of the Board of Directors (Board) for the Klamath Irrigation District (District). As the governing body of a public body, the members of the board of directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 to ORS 192.685.

### 3. <u>STIPULATED FACTS</u>:

- A. ORS 192.660 lists specific reasons why a governing body may convene an executive session. A governing body may hold an executive session during a regular, special or emergency meeting, after the presiding officer has identified the authorization under ORS 192.610-192.690 for holding the executive session. ORS 192.660(1).
- B. Mr. Knoll participated in an executive session held by the board of directors on

- 2/9/16. The stated reason for the executive session was ORS 192.660(2) (d), to discuss labor negotiations.
- C. ORS 192.660(2)(d) permits the governing body of a public body to hold an executive session to conduct deliberations with persons designated by the governing body to carry on labor negotiations. Labor negotiations shall be held in open session unless negotiators for both sides request that negotiations be conducted in executive session. ORS 192.660(3).
- D. The purpose of the executive session was to consider placing two employees of the Klamath Irrigation District, the District Manager and the District Office Manager, on administrative leave.
- E. Mr. Knoll participated in an executive session held by the board of directors on 2/29/16. The stated purpose of the meeting was ORS 192.660(2), "to discuss legal matters."
- F. ORS 192.660(2) does not contain a provision permitting a governing body to hold an executive session "to discuss legal matters." ORS 192.660(2)(h) allows the governing body of a public body to convene an executive session to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed.
- G. Bill Ganong, the District's attorney, was present at the meeting, but he was not providing legal counsel concerning pending litigation or litigation likely to be filed. During the executive session, Mr. Ganong twice notified the Board that they were discussing topics that were not permitted by the executive session provisions of ORS 192.660.
- H. At the executive session, the Board discussed retaining an attorney to represent the district in negotiations with the Bureau of Reclamation and an attorney to participate with a board member in meetings concerning the Klamath Hydroelectric Settlement Agreement (KHSA) / Klamath Basin Restoration Agreement (KBRA). Neither of these attorneys were present at the meeting. The Board also discussed an upcoming ballot initiative and the effect of its outcome on rates, as well as the current state of the KHSA negotiations.
- I. Mr. Knoll participated in an executive session held by the board of directors on 3/10/16. The stated purpose of the executive session was ORS 192.660(2)(h), "to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed." The public body's attorney Bill Ganong was present at the meeting, but was not providing legal counsel concerning pending litigation or litigation likely to be filed.
- J. ORS 192.660(2)(h) allows the governing body of a public body to convene an executive session to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed.

- K. During the executive session on 3/10/16, the Board discussed, among other topics, the district's involvement in and the current status of the KHSA and KBRA, including a letter sent to other parties of the KHSA by an attorney who was not present at the meeting. While the executive session was ongoing, attorney Bill Ganong informed the Board that they had entered executive session under the wrong subsection because they were not discussing litigation.
- L. Mr. Knoll participated in an executive session held by the board of directors on 4/19/16. The posted and/or stated purposes for the executive session were ORS 192.660(2)(a), "to interview candidates for the District Manager" and ORS 192.660(2)(f), "to consider information or records that are exempt by law from public inspection."
- M. An executive session may be held by the governing body of a public body to consider the employment of an employee under ORS 192.660(2)(a), if the requirements of ORS 192.660(7)(d) are completed prior to convening the executive session. ORS 192.660(7)(d) requires that the public body must have advertised the vacancy, adopted regular hiring procedures, and, in the case of an officer, provided the public the opportunity to comment on the employment of the officer. ORS 192.660(2) does not allow discussion of the officer's compensation to be conducted in executive session.
- N. ORS 192.660(2)(f) permits the governing body of a public body to hold an executive session to consider information or records exempt by law from public inspection.
- O. During this executive session, the Board interviewed and discussed candidates for the position of district manager, including compensation, and discussed various other issues, including a previously-signed contract with an attorney, what the attorney was trying to accomplish with regard to the KHSA, and a privacy and communications policy.
- P. ORS 244.350(2)(a) authorizes the Commission to assess civil penalties of up to \$1,000 for each of the aforementioned violations of ORS 192.660(2).

### DISPUTED FACTS:

Q. The Commission contends that each of the four executive session meetings described in paragraphs A through P constituted a distinct violation of ORS 192.660.

Mr. Knoll contends that the four executive session meetings described in paragraphs A through P did not constitute violations of ORS 192.660.

R. The Commission contends that the results of its investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 192.660(2).

Mr. Knoll contends that the results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would not establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 192.660(2).

# 4. <u>TERMS OF SETTLEMENT</u>:

The parties agree as follows:

- A. On March 10, 2017, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The March 10, 2017 action was a preliminary finding of violations of ORS 192.660, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of ORS 192.660 by Grant Knoll. Through his attorney, Grant Knoll indicated that he desired to negotiate a settlement of this matter. To conclude this matter, Mr. Knoll agrees to the terms and conditions described in this final order.
- B. Mr. Knoll will receive a letter of education, as authorized by ORS 244.350, to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Grant Knoll within the scope of the above-referenced proceedings.
- D. Grant Knoll will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

# REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

### 6. <u>EFFECT</u>:

This agreement is subject to the final approval of the Commission. Once

approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Grant Knoll agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Grant Knoll agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

Date

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

D. : I.T. Oaldam, Chair	Date
Daniel T. Golden, Chair Oregon Government Ethics Commission	Dato

KNOLL STIPULATED FINAL ORDER - Page 6

W. Wall

Grant Knoll

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## BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of	)	
	)	STIPULATED FINAL ORDER
KENNETH E. SMITH		)
	)	CASE NO. 16-125XMS
	)	
	)	

- 1. <u>PURPOSE</u>: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Kenneth E. Smith.
- 2. <u>JURISDICTION</u>: At all material times, Mr. Smith was a member of the Board of Directors (Board) for the Klamath Irrigation District (District). As the governing body of a public body, the members of the board of directors are subject to the executive session provisions of Oregon Public Meetings law as set forth in ORS 192.660 to ORS 192.685.

### STIPULATED FACTS:

A. ORS 192.660 lists specific reasons why a governing body may convene an executive session. A governing body may hold an executive session during a regular, special or emergency meeting, after the presiding officer has identified the authorization under ORS 192.610-192.690 for holding the executive session. ORS 192.660(1).

- B. Mr. Smith participated in an executive session held by the board of directors on 2/9/16. The stated reason for the executive session was ORS 192.660(2)(d), to discuss labor negotiations.
- C. ORS 192.660(2)(d) permits the governing body of a public body to hold an executive session to conduct deliberations with persons designated by the governing body to carry on labor negotiations. Labor negotiations shall be held in open session unless negotiators for both sides request that negotiations be conducted in executive session. ORS 192.660(3).
- D. The purpose of the executive session was to consider placing two employees of the Klamath Irrigation District, the District Manager and the District Office Manager, on administrative leave.
- E. Mr. Smith participated in an executive session held by the board of directors on 2/29/16. The stated purpose of the meeting was ORS 192.660(2), "to discuss legal matters."
- F. ORS 192.660(2) does not contain a provision permitting a governing body to hold an executive session "to discuss legal matters." ORS 192.660(2)(h) allows the governing body of a public body to convene an executive session to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed.
- G. Bill Ganong, the District's attorney, was present at the meeting, but he was not providing legal counsel concerning pending litigation or litigation likely to be filed. During the executive session, Mr. Ganong twice notified the Board that they were discussing topics that were not permitted by the executive session provisions of ORS 192.660.

- H. At the executive session, the Board discussed retaining an attorney to represent the district in negotiations with the Bureau of Reclamation and an attorney to participate with a board member in meetings concerning the Klamath Hydroelectric Settlement Agreement (KHSA) / Klamath Basin Restoration Agreement (KBRA). Neither of these attorneys were present at the meeting. The Board also discussed an upcoming ballot initiative and the effect of its outcome on rates, as well as the current state of the KHSA negotiations.
- I. Mr. Smith participated in an executive session held by the board of directors on 3/10/16. The stated purpose of the executive session was ORS 192.660(2)(h), "to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed." The public body's attorney Bill Ganong was present at the meeting, but was not providing legal counsel concerning pending litigation or litigation likely to be filed.
- J. ORS 192.660(2)(h) allows the governing body of a public body to convene an executive session to consult with counsel concerning the legal rights and duties of a public body with regard to current litigation or litigation likely to be filed.
- K. During the executive session on 3/10/16, the Board discussed, among other topics, the district's involvement in and the current status of the KHSA and KBRA, including a letter sent to other parties of the KHSA by an attorney who was not present at the meeting. While the executive session was ongoing, attorney Bill Ganong informed the Board that they had entered executive session under the wrong subsection because they were not discussing litigation.
- L. Mr. Smith participated in an executive session held by the board of

directors on 4/19/16. The posted and/or stated purposes for the executive session were ORS 192.660(2)(a), "to interview candidates for the District Manager" and ORS 192.660(2)(f), "to consider information or records that are exempt by law from public inspection."

- M. An executive session may be held by the governing body of a public body to consider the employment of an employee under ORS 192.660(2)(a), if the requirements of ORS 192.660(7)(d) are completed prior to convening the executive session. ORS 192.660(7)(d) requires that the public body must have advertised the vacancy, adopted regular hiring procedures, and, in the case of an officer, provided the public the opportunity to comment on the employment of the officer. ORS 192.660(2) does not allow discussion of the officer's compensation to be conducted in executive session.
- N. ORS 192.660(2)(f) permits the governing body of a public body to hold an executive session to consider information or records exempt by law from public inspection.
- O. During this executive session, the Board interviewed and discussed candidates for the position of district manager, including compensation, and discussed various other issues, including a previously-signed contract with an attorney, what the attorney was trying to accomplish with regard to the KHSA, and a privacy and communications policy.
- P. ORS 244.350(2)(a) authorizes the Commission to assess civil penalties of up to \$1,000 for each of the aforementioned violations of ORS 192.660(2).

**DISPUTED FACTS:** 

- Q. The Commission contends that each of the four executive session meetings described in paragraphs A through P constituted a distinct violation of ORS 192.660.
  - Mr. Smith contends that the four executive session meetings described in paragraphs A through P did not constitute violations of ORS 192.660.
- R. The Commission contends that the results of its investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 192.660(2).

Mr. Smith contends that the results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would not establish a preponderance of evidence in support of a post-hearing order to find violations of ORS 192.660(2).

### 4. TERMS OF SETTLEMENT:

The parties agree as follows:

A. On March 10, 2017, the Commission acted to find violation, bring the investigative phase to a close and move to a negotiated settlement or a contested case hearing. The March 10, 2017 action was a preliminary finding of violations of ORS 192.660, as a prelude to a stipulated settlement or a contested case hearing, and not a final conclusion regarding a violation of ORS 192.660 by Kenneth E. Smith. Through his attorney, Kenneth E. Smith indicated that he desired to negotiate a settlement of this matter. To conclude this matter, Mr. Smith agrees to the terms and conditions described in this final order.

- B. Mr. Smith will receive a letter of education, as authorized by ORS 244.350, to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Kenneth E. Smith within the scope of the above-referenced proceedings.
- D. Kenneth E. Smith will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

### 5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

#### 6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Kenneth E. Smith agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Kenneth E. Smith agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

order on the dates set forth below.	
Kenneth E. Smith	May 19-2017 Date
Daniel T. Golden, Chair Oregon Government Ethics Commission	Date

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final

-270-

### BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of	)	
	)	STIPULATED FINAL ORDER
KNUTE BUEHLER	)	CACE NO. 47 4500880
	)	CASE NO. 17-152EMS
	)	

- 1. <u>PURPOSE</u>: The purpose of this stipulated final order is to settle any and all claims, allegations and charges by the Oregon Government Ethics Commission (Commission) against Knute Buehler.
- JURISDICTION: At all material times, Knute Buehler was a candidate for the position of Oregon State Representative. As a candidate for state office, Dr. Buehler was subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

#### 3. <u>STIPULATED FACTS</u>:

- A. As a candidate for the position of State Representative, Knute Buehler was required by ORS 244.050(1)(c) to file an annual verified Statement of Economic Interest (SEI) on April 15, 2014.
- B. The 2014 SEI required reporting of the economic interests of the candidate for the preceding calendar year, 2013.
- C. Dr. Buehler timely filed his 2014 SEI on April 8, 2014. The 2014 SEI that Dr. Buehler filed did not report income he had received as a paid member of the Board of Directors of the St. Charles Health System.
- D. Dr. Buehler amended his 2014 SEI on April 27, 2017 to include the payments from St. Charles Health System and on June 1, 2017 to add items

to the questions on Business Office or Directorship (Questions 1 & 2), Income of \$1000 or More (Question 6), and various business investments over \$1000 (Question 8).

- E. ORS 244.070(3) requires reporting of each person for whom the public official or candidate filing the SEI performed services for a fee in excess of \$1000.
- F. Failure to list the payments from St. Charles Health System constituted a violation of ORS 244.070(3).
- G. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5000 as a result of this violation.
- H. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 244.070(3).

### 4. <u>TERMS OF SETTLEMENT</u>:

The parties agree as follows:

- A. Knute Buehler will accept a letter of education, as authorized by ORS 244.350, in lieu of a civil penalty, in order to settle and compromise this matter.
- B. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Knute Buehler within the scope of the above-referenced proceedings.
- C. Knute Buehler will initiate no claims, litigation or other action against the BUEHLER STIPULATED FINAL ORDER Page 2

Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the

advice of counsel in comparing and reviewing this agreement.

6. <u>EFFECT</u>:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be

binding upon all parties.

By signing this agreement, Knute Buehler agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall

become part of the record.

By signing this agreement, Knute Buehler agrees to waive his right to obtain

judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final

order on the dates set forth below.

Knute Buehler

115/2017

Daniel T. Golden, Chairperson
Oregon Government Ethics Commission

Date

**BUEHLER STIPULATED FINAL ORDER - Page 3** 

-274-



### **Government Ethics Commission**

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

#### **MEMORANDUM**

DATE:

July 6, 2017

TO:

Ronald A. Bersin

**Executive Director** 

FROM:

Diane Gould

Investigator

RE:

Respondent: Ted Ross

Case No. 15-114EDG

Respondent: Fred Clausen

Case No. 15-115EDG

The purpose of this memo is to request a 30 day extension to the investigatory period for the above cited cases, as allowed by statute.

These cases were suspended due to criminal investigations being conducted by the Oregon Department of Justice (DOJ) concerning the same issues. The cases cited above were suspended by the Commission on 8/26/15, with 62 days remaining in the investigatory phase.

On 7/6/17, the DOJ notified our office that they have ended their investigation.

ORS 244.260(6)(c)(B) provides that the Commission may extend an investigatory phase for up to 30 days for additional fact finding.

If the Commission grants this 30 day extension, the cases may be considered at the 10/6/17 Commission meeting, rather than the 8/26/17 meeting.

### OREGON GOVERNMENT ETHICS COMMISSION

### INVESTIGATION

CASE NO:

16-149EMT

DATE:

June 29, 2017

RESPONDENT:

GRAHAM, Anne, Redmond City Councilor

COMPLAINANT:

FITZPATRICK, Kevin

**RECOMMENDED ACTION:** 

Make a Preliminary Finding of (1) Violation of ORS 244.040 and (1) Violation of ORS 244.120

SYNOPSIS: Anne Graham was a City Councilor for the City of Redmond (City) when the
 events relevant to this case occurred. The focus of this investigation was to determine if
 there was a preponderance of evidence to indicate that Ms. Graham committed violations
 of the use of office and conflict of interest provisions of ORS Chapter 244.

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6 There appears to be a preponderance of evidence to indicate that Ms. Graham, in her

official capacity, attempted to use her position as a public official to stop Mr. Fitzpatrick

from building a house similar to Ms. Graham's in close proximity to where Ms. Graham

lives, which Ms. Graham believed would lower the value of her home, in violation of ORS

10 244,040.

11

12

Additionally, there appears to be a preponderance of evidence that Ms. Graham failed to

13 comply with the conflict of interest disclosure and disposition requirements of ORS

14 244.120(2)(b) on these occasions.

15 ///

16 ///

.7 ///

1	RELEVANT STATUTES: The following Oregon Revised Statutes are applicable to the
2	issues addressed herein:
3	
4	244.020 "Definitions. As used in this chapter, unless the context requires
5	otherwise:
6	
7	244.020(1) 'Actual conflict of interest' means any action or any decision or
8	recommendation by a person acting in a capacity as a public official, the effect of
9	which would be to the private pecuniary benefit or detriment of the person or the
10	person's relative or any business with which the person or a relative of the person
11	is associated unless the pecuniary benefit or detriment arises out of circumstances
12	described in subsection (12) of this section."
13	
14	244.020(13) "'Potential conflict of interest' means any action or any decision or
15	recommendation by a person acting in a capacity as a public official, the effect of
16	which could be to the private pecuniary benefit or detriment of the person or the
17	person's relative, or a business with which the person or the person's relative is
18	associated."
19	
20	244.020 (15) "Public official' means the First Spouse and any person who, when
21	an alleged violation of this chapter occurs, is serving the State of Oregon or any of
22	its political subdivisions or any other public body as defined in ORS 174.109 as an
23	elected official, appointed official, employee or agent, irrespective of whether the
24	person is compensated for the services."
25	
26	244.040 "Prohibited use of official position or office; exceptions; other
27	prohibited actions. (1) Except as provided in subsection (2) of this section, a
28	public official may not use or attempt to use official position or office to obtain
29	financial gain or avoidance of financial detriment for the public official, a relative or
30	member of the household of the public official, or any business with which the

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public official or a relative or member of the household of the public official is

associated, if the financial gain or avoidance of financial detriment would not otherwise be available but for the public official's holding of the official position or 2 office." 3 4 244.040(7) "The provisions of this section apply regardless of whether actual 5 conflicts of interest or potential conflicts of interest are announced or disclosed 6 under ORS 244.120." 7 8 244.120 "Methods of handling conflicts; Legislative Assembly; judges; 9 appointed officials; other elected officials or members of boards. 10 11 (2) An elected public official, other than a member of the Legislative Assembly, or 12 an appointed public official serving on a board or commission, shall: 13 14 (a) When met with a potential conflict of interest, announce publicly the 15 nature of the potential conflict prior to taking any action thereon in the 6 capacity of a public official; or 17 18 (b) When met with an actual conflict of interest, announce publicly the 19 nature of the actual conflict and: 20 21 (A) Except as provided in subparagraph (B) of this paragraph, refrain 22 from participating as a public official in any discussion or debate on 23 the issue out of which the actual conflict arises or from voting on the 24 issue. 25 26 **INVESTIGATION:** The Oregon Government Ethics Commission (Commission) initiated 27 a preliminary review based on information in a signed complaint from Kevin Fitzpatrick 28 (Mr. Fitzpatrick) on 11/6/16 (#PR1). Mr. Fitzpatrick alleged that Ms. Graham may have 29 violated provisions of Oregon Government Ethics law by using her position as a public 30 official to stop Mr. Fitzpatrick from building a house similar to Ms. Graham's in close 31

1	proximity to where Ms. Graham lives, which she believed would lower the value of her
2	home when she sold it in the future. The Commission found cause to investigate on
3	1/27/17 after considering the information developed in the preliminary review.
4	
5	The focus of the investigation was to determine if there is sufficient evidence to indicate
6	that Ms. Graham may have violated the prohibited use of office and conflict of interest
7	provisions of Oregon Government Ethics law.
8	
9	Ms. Graham, along with Mr. Fitzgerald, have been notified of the Commission actions in
10	this matter. They have been invited to provide any information which would assist the
11	Commission in conducting this investigation.
12	
13	The matters being investigated in this case concern the following two issues:
14	
15	1) Whether Ms. Graham, by attempting to stop construction of a house in her
16	neighborhood, used her office for her own financial gain;
17	O) Mill the Blood of the control of the conflict of interest proceedures when
18	2) Whether Ms. Graham properly followed the conflict of interest procedures when
19	she took the actions above.
20	DACKCDOIND
21	<u>BACKGROUND</u>
22	May Continue a resident of the City of Dedmand and former City Planning Commission
23	Ms. Graham, a resident of the City of Redmond and former City Planning Commission
24	member, was elected to the office of City Councilor in November of 2014.
25	Tuel de la Eddie and Carrell Burnedower versived by Anno Crohom
26	Training on Ethics and Council Procedures received by Anne Graham
27	On 40/40/0044 in advance of Ma. Craham's uncoming othics training and orientation for
28	On 12/12/2014, in advance of Ms. Graham's upcoming ethics training and orientation for
29	new council members, Kelly Morse, the City Recorder for the City of Redmond, emailed  Ms. Graham the "New Councilor Orientation Packet" (packet). The packet contained the
30	- MS Granamine New Councilor Unentation Packet (Dacket). The Dacket Contained the

fifty (50) page "Guide for Public Officials" that is published by the Oregon Government

1 Ethics Commission. This guide provides detailed instruction regarding Use of Office and

2 Conflicts of Interest. In addition, the packet also contained a seven (7) page memorandum

3 prepared by the law firm of Bryant, Emerson & Fitch; the memorandum provides an

analysis of the conflict of interest rules contained in Chapter 244. #INV4

5 On 12/19/2014, Ms. Graham participated in the New Councilor Orientation program.

Included in this training were Mayor George Endicott, the City Attorney and the City

Manager. Mayor Endicott, in his presentation to the new councilors, stresses that council

members are not just average citizens and that they need to be aware of the influence

they possess. Mayor Endicott, in response to the Commission's request for detailed

information about the training, states,

"I point out that city elected officials are always 'on duty' - that is, we are recognized by both citizens and other city representatives (Committee and Commission members, and city staff) as holding the office for which we were elected. I remind them that we proactively sought this seat, and it is incumbent upon us to realize that we are no longer just a 'regular citizen.'

I go on to speak to both 'actual' and 'potential' conflicts of interest, and the steps we are to take to avoid these. In meetings we must declare either, and I encourage a Councilor to physically leave the room if they have and [sic] actual conflict of interest. They must at least leave the dais and not participate in the discussion or the vote. Out of meetings, we must avoid trying to influence staff where we might either benefit or be hurt from a decision from the staff." #INV4

Mayor Endicott stresses that as an elected official, any contact with staff influences them. Staff is very deferential to any elected official who approaches them, even at social events such as annual picnics or luncheons. According to Mayor Endicott, "This is the main reason we have adopted a policy for Council Members to work through the City Manager. He must manage staff and balance workload, which would be impossible if staff were reprioritizing their work based on new tasks, or perceived tasks, from City Council members." Mayor Endicott emphasizes that the City Manager is the only employee of the

1 City Council and that all other staff work for the City Manager. Councilors cannot generate 2 work for staff without going through the City Manager. #INV4

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- Ms. Graham does not dispute the fact that she received ethics training; in fact, Ms.
- 5 Graham pointed out to the Commission that she had received ethics training every year,
- 6 for three years, while on the planning commission. However, Ms. Graham takes issue
- 7 with having to go through the City Manager. She writes,

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"I would like to point out that had I done so, I would see that as a use of office. Public official must have a private unrecorded meeting with the City Manager... but a private citizen goes to the provided counter and talks to staff?? [sic] This sounds to me like a protective shield for the public official...that would prevent a citizen from knowing 'who' or 'the motive' of someone who files a complaint like mine. This has only been an 'oral' instruction in my town...but there is interest in formalizing it. I argue against for the reasons above. If Commissioners could weigh in on whether this is a prudent practice it would really help my city and many others I am sure." #INV5

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### Ms. Graham's 2015 Interaction with Planning Staff

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- Starting on 2/10/2015, Ms. Graham, using a personal email address (<u>Tallannie@aol.com</u>) and not her City issued account, began emailing former Community Development Director Heather Richards and Planning Manager Deborah McMahon. Ms. Graham's complaint was that Mr. Fitzpatrick (a Central Oregon home-builder) was building a house in close proximity to hers that was too similar to her own home in design and would have a negative impact on the value of her home when she decided to sell it in the future.
- 27 #INV1
- 28 ///
- 29 ///
- 30 ///
- 31 ///

In her email complaint, subject line reading: "complaint regarding similar appearing home design," Ms. Graham wrote,

"I am very unhappy that the City review of this submission did not appear to me to meet the intent of the design codes we worked so hard on in Planning Commission. I just saw a list of items that supposedly will make this home look substantially different than my home at 2220 SW 41<sup>st</sup>...but none of those listed items will accomplish that in my opinion...As a private citizen [] I would like to ask the City what we might be able to do at this point about the home currently half built..?..[sic] and then, more importantly, perhaps with my Councilor hat on, what can be done to [e]nsure the codes about this are more carefully enforced." #INV1

Ms. Richards, in response to Ms. Graham's 2/10/2015 email, wrote to Ms. McMahon asking for clarification and to make sure she understood all the facts before she responded to Ms. Graham. On 2/20/2015, after not having received an answer from Ms. Richards or Ms. McMahon, Ms. Graham wrote,

"I would appreciate a progress update here. The home's roof trusses went on this week and it looks ever more like my home. I understand there was a meeting. I would like to know the outcome. I also would like to [e]nsure that someone at the City has actually come out to look at these two houses...Please update me." #INV1

On 2/20/2015, Ms. McMahon indicated in an email to Ms. Graham that the Community Development Department (CDD) was preparing a comparison analysis for Ms. Graham. Still not having received a response regarding her original complaint, Ms. Graham, on 2/25/2015 wrote to Ms. Richards,

"It has now been more than 2 weeks since I first registered this complaint in writing. No one has reached out to respond to me. I have reached out to your staff twice now for an update but still have not received one...This house clearly violates the intent of the similar home spacing language we developed in the Planning

Commission...I believe this copy home will have a negative impact on the value of my home when I come to sell it someday...I find it hard to believe that this slow of a response is normal...The opportunity to impact what is being built is passing. Please help me." #INV1

On 2/26/2015, Ms. Graham was furnished the report concerning the home that was being constructed and the City's interpretation of the code, essentially allowing the home to be built. In a 2/26/2015 email to Ms. Richards and Ms. McMahon, Ms. Graham wrote,

"I see that the City has developed a rationalization to allow this...but I am not happy with this reliance on wordplay to allow this house to be constructed essentially as a copy of my house. THIS IS PATENTLY NOT WHAT WE WANTED IN PLANNING COMMISSION OR COUNCIL...I AM SURE [emphasis in the original] You say staff is going to look at this again and I appreciate that...and I ask that you do it soon as this builder could start home #3 as soon as he finishes home #2...and find the language that will allow you to prevent this from happening to other people in the future." #INV1

Mr. Fitzpatrick, whose construction was already approved and going as planned, was notified in February of 2015 about a complaint received from Ms. Graham. Following Ms. Graham's involvement, Mr. Fitzpatrick alleges he was required to make changes that were not part of his original plans. Mr. Fitzpatrick contends that these changes were the result of Ms. Graham's involvement in the process and that he agreed to make the changes to satisfy her complaint. Specifically,

"They stopped my job until I agreed to make some changes in which I did by adding a shed roof over the garage doors and then I had to remove the garage doors and have wood trim put around the edges and across the middle...They got real sticky with me on everything and said I needed to make my garage door look like the picture on the plans, this never happens as all plans are drawn with a generic garage door and everybody puts whatever door meets there [sic] budget or

customer taste. I spent about 3000.00 to add the shed roof over garage door, put cedar on garage door and more stone on the front...She stated that what I was doing was hurting the value of her home."

Mr. Fitzpatrick eventually completed the home in 2015 after making the additional changes required by the City. #INV2

In her response to the Commission, Ms. Graham stated that she did have contact with City staff regarding Mr. Fitzpatrick's construction. She went to the planning counter to look at Mr. Fitzpatrick's plans, and wrote to Ms. Richards expressing her concerns regarding the construction. Ms. Graham specifically complained to Ms. Richards because,

"[a]s the Community Development Director, [Ms. Richards] is the code-specified person who adjudicates what is similar appearing or not...right there in this code paragraph. This is why I wrote to her. Also she had a clear history of dealing with citizen and councilor issues. That was how things really got done...vs going to the city manager...Mr. Fitzpatrick's permit package for the house in 2015 had a photograph of my house included. ! [sic] It was crystal clear the new home would look exactly like mine...not just similar." #INV5

As part of this investigation, the Commission spoke with Ms. Richards, the former Community Development Director for the City. Ms. Richards stated she remembered receiving the complaint from Graham and followed up with her staff. The section of code that they were working with was a recent addition to the building codes so she, Ms. Richards, wanted to make sure they got it right. Richards said that she and her staff treated Ms. Graham just as they would any other citizen and did not give her special treatment; however, Richards acknowledged that, "Anne is a very strong personality, so we (staff) had a conversation as to how the information should be delivered to Anne." Richards said the 2015 code interpretation was a learning experience for not only herself, but her department, as well. #INV6

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Ms.	Graham's	2016	Interaction	with	Planning	Staff
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On 6/30/2016, after completing the first home for which Ms. Graham lodged a complaint,
Mr. Fitzpatrick submitted a plan for a new home next to Ms. Graham's house. He received
emails stating that his plan was being reviewed. In early July, the City asked Fitzpatrick if
he wanted to defer his system development costs, a fee of \$12,300 that would otherwise
be payable upfront but could be deferred to the end of construction. He chose to do so
and considered it a sign his plans were on track to being approved. #INV3

On 7/18/2016, allegedly a City staff member accidently hit the approval button in the computer system used for approving plans; as a result, an email was automatically generated informing Mr. Fitzpatrick his plans had been approved. At this time, Mr. Fitzpatrick began to set his plan for construction in motion.

However, several days later, on 8/1/2016, planning staff instructed the Building Department to not issue a building permit since it was still under review. Mr. Fitzpatrick was subsequently notified by the City that his plans had been approved by accident and that he would need to make changes to his existing plans in order to receive a building permit from the City. #INV3

Noticing that construction was about to start near her home, Ms. Graham went to the City and asked to see the building plans Mr. Fitzpatrick had submitted. Ms. Graham went to CDD to look at the plans sometime between 8/1/2016 and 8/19/2016. Ms. Graham did not speak with anyone, but did review the plans. Ms. Graham, who had gone to the CDD in 2015 to review plans regarding Mr. Fitzpatrick's previous construction, explained to the Commission that

"[t]he second approach I made to...[the] Planning counter in 2016 was similarly polite and brief and I clearly saw that that [sic] this home would not be similar appearing re: this code. It is a substantially different appearance in my judgement.., and I am pretty sure I said so. I said 'thank you' and walked away expecting to see

the house built. Whatever the city did after that was in no way requested, suggested or interpretable as being asked for by me. I was completely surprised to be accused of all this at Council some weeks later. I cannot speak to why the city apparently took him to task again." #INV5

Mr. Fitzpatrick continued to receive requested changes to his existing plans and delays in 2016. On 8/15/2016, Mr. Fitzpatrick met with CDD staff after his permit had been denied. Mr. Fitzpatrick was contesting the decision. Present at that meeting was Ms. McMahon, Redmond Planning Manager. Ms. McMahon explained what the problem was with his plans and told Mr. Fitzpatrick that the staff, "needs to keep the councilors happy." Mr. Fitzpatrick took this statement as a sign that Graham had caused his permit to be denied. #INV3

In early September of 2016, Mr. Fitzpatrick reached out to City Manager Keith Witcosky for clarification about what had happened with his plans being approved then denied. Mr. Witcosky, in an email exchange, relayed that Ms. Graham had come in, requested to see the plans (which anyone from the public can do) reviewed them, said nothing, and left the building. Mr. Witcosky did not believe there was any additional follow up with staff either, and Mr. Fitzpatrick's 2016 plans did not receive formal review from a Council member. Ms. Graham simply looked at the plans, and that was the extent of her involvement. #INV3

According to Mr. Witcosky, Ms. Graham, as any member of the public can, asked to see the plans. As for the actions of CDD regarding Mr. Fitzpatrick's building plans, Mr. Witcosky wrote:

"The City Attorney reviewed the requirements of Development Code section 8.0141(5)(B)(1), reviewed the elevations of your proposed home and another home located within two lots, reviewed the city staff's analysis, and concluded that staff had correctly applied the requirements of Development Code section 8.0141(5)(B)(1). #INV3

 1 On 9/13/2016, Mr. Fitzpatrick took his complaint and concerns to the City Council

- 2 Meeting. (As part of its investigation, the Commission viewed the video and audio
- 3 recording of that meeting.) At that meeting, City Attorney Steve Bryant stated that it was
- 4 his understanding that Ms. Graham did ask to see Mr. Fitzpatrick's plans, but she did not
- 5 otherwise talk to staff members.
- 6 Mr. Bryant informed the Council that he looked at the code provisions in place, along with
- 7 the staff's analysis of Mr. Fitzpatrick's plans and said that the staff got it right from his
- 8 perspective. #INV3

Ms. Graham asked the Council and Mr. Bryant why she, not as a City Councilor but as a private citizen, could not go look at plans on a house being built next to hers. Mr. Bryant stated that just being present can influence staff. Directing his comments to Ms. Graham, he stated, "You all carry weight with the staff, even if you just show up and do not say anything, even if they know the City Manager is their boss, you carry weight." Mr. Bryant explained that a Council member does not lose his or her rights as a private citizen just because he or she is on the City council, but the correct approach is to have that conversation first with the City Manager. Bryant said that Council members needed to consider how their participation relates to staff and how it is interpreted in the community. Council Member Jay Patrick stressed to Ms. Graham that "just showing up, and you don't have to say anything, is the power that you have" and as a councilor she needed to go to

**CONCLUSIONS:** Anne Graham, Redmond City Councilor, was a public official as defined in ORS 244.020(15), subject to Oregon Government Ethics law, during the period relevant to this investigation.

As such, Ms. Graham appears to have used her office in 2015 for her own financial gain and failed to properly dispose of any conflict of interest. Ms. Graham's conduct in 2015 was an attempt to avoid a financial detriment and appears to be in violation of Government Ethics law, which Ms. Graham received training on, before taking office as a City Councilor.

the City Manager if she wanted to look at plans.

Ms. Graham's interactions with City staff in 2016 regarding Mr. Fitzpatrick's home construction did not appear to violate Government Ethics law as her actions were limited to her approaching the counter at CDD and asking to see Mr. Fitzpatrick's plans. There is no evidence to suggest she took any further action.

#### **USE OF OFFICE**

ORS 244.040(1) prohibits a public official from using or attempting to use their official position to obtain a financial benefit or avoid a financial detriment for themselves, a relative or household member, or a business with which they or a relative or household member are associated, if the financial benefit would not otherwise be available to them but for holding their official position.

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Ms. Graham's conduct in 2015 appears to violate this provision—she attempted to avoid a personal financial detriment in a way that would not appear to be available to her if not for being a public official. Specifically, it is clear that Ms. Graham was attempting to avoid financial detriment by her own admission that she believed Mr. Fitzpatrick's 2015 construction would hurt the value of her home if permitted.

Furthermore, in 2015, although Ms. Graham used a private email address to communicate with City staff regarding the incident in question, she also mentioned her position as a City Councilor and pointed out that she was a former member of the Planning Commission in these emails. City staff were already well aware of Ms. Graham's position as a City Councilor, a primary reason that Ms. Graham was trained to go through the City Manager and not contact City staff directly. The goal of this policy was to avoid the potential of City Council members influencing the actions of City staff due to their status as an elected City official.

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Moreover, her writing that she would consider the matter with her "Councilor hat on" to see "what can be done to [e]nsure the codes about this are more carefully enforced" might be interpreted by City staff as an attempt to use her position on the City Council to get

- staff to comply with her wishes. At a minimum, she was saying that, as a City councilor,
- she believed that the City was not properly enforcing the code with respect to the home
- 3 and intended to take official action to ensure that the "codes about this are more carefully
- 4 enforced."

- 6 These were not statements made purely in her capacity as a private citizen. The
- 5 statements appear to be intended to use her City Council position to influence City action
- 8 that she believed would cause her financial detriment.

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- In a later email in which Ms. Graham expressed dissatisfaction with the City's approval of
- 11 the home's design, she wrote "THIS IS PATENTLY NOT WHAT WE WANTED IN
- 12 PLANNING COMMISSION OR COUNCIL...I AM SURE[.]" She followed that statement
- with a request that the City expedite their reconsideration of the home's design. In other
- words, Ms. Graham was telling the City staff that, in her opinion as a City Councilor, their
- actions with respect to the home were clearly wrong and should be reconsidered. Again,
- the statements were not made purely in her capacity as a private citizen. Rather, she was
- 17 attempting to use her position as a City Councilor to influence the City's action. She would
- not have had the ability to attempt to exert that influence but for her position as a City
- 19 Councilor. And, again, the statements suggest that they were intended to influence City
- 20 action that she believed would cause her financial detriment.

21

- 22 Ms. Graham's limited actions in 2016, despite the setbacks Mr. Fitzpatrick encountered
- during the permit process, do not appear to have influenced the CDD. The City, along
- with Ms. Graham and Ms. Richards, all agree that Ms. Graham's involvement in 2016 was
- limited to going to the counter at CDD and viewing Mr. Fitzpatrick's plans.
- 26 ///
- 27 ///
- 28 ///
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- 30 ///
- 31 ///

#### CONFLICT OF INTEREST

The above mentioned use of office violation in 2015 also appears to be a conflict of interest violation.

An actual conflict of interest means any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which would be to the private pecuniary benefit or detriment of the person. ORS 244.020(1)

A potential conflict of interest means any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which could be to the private pecuniary benefit or detriment of the person. ORS 244.020(13)

ORS 244.120(2)(b) requires that when met with an actual conflict of interest, a conflicted public official must announce publicly the nature of the conflict and refrain from participating as a public official in any discussion or debate on the issue out of which the actual conflict arises. For a potential conflict of interest, a public official shall announce publicly the nature of the potential conflict prior to taking any action thereon in the capacity of a public official. ORS 244.120(2)(a)

In an email exchange with CDD, Ms. Graham took on the role of a City Councilor regarding a personal matter. She wrote, "perhaps with my Councilor hat on, what can be done to [e]nsure the codes about this are more carefully enforced." Ms. Graham appears to have been acting as a City Councilor by communicating with City staff regarding official matters pertaining to ensuring compliance with City codes. Similarly, when Ms. Graham wrote, in all caps, "THIS IS PATENTLY NOT WHAT WE WANTED IN PLANNING COMMISSION OR COUNCIL...I AM SURE," she was acting in an official capacity by responding as a public official who participated in deciding these codes. She was attempting to communicate her first-hand knowledge about how she and other members of City Council and Planning Commission intended for the code to apply.

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- Ms. Graham's stated purpose for contacting CDD in 2015 was that she was concerned 1
- that Mr. Fitzpatrick's home would hurt the value of her home when she went to sell it in 2
- the future. The harm to Ms. Graham was not immediate, and speculative at best. 3
- Therefore, at a minimum, it would appear to be a potential conflict of interest. When met 4
- with a conflict of interest, Ms. Graham was required to announce publicly the nature of 5
- the conflict. There is no record of her publicly declaring her conflict. ORS 244.120(2)(a) 6

- RECOMMENDATIONS: The Oregon Government Ethics Commission should make a 8
- preliminary finding that Anne Graham committed violations of ORS 244.120(2)(a) and 9
- ORS 244.040(1). [Motion 10] 10

11 12

#### ASSOCIATED DOCUMENTS:

- Complaint signed by Kevin Fitzpatrick, 11/16/2016. #PR1 13
- Response from Anne Graham, 12/1/2016 #PR2 14
- Emails from Anne Graham to CDD staff #INV1 15
- Investigation Response from Kevin Fitzpatrick, 6/13/2016 16 #INV2
- Investigation Response from Mayor George Endicott, 6/16/2017 #INV3 17
- City Councilor training Information from City Manager Keith Witcosky #INV4 18
- #INV5 Investigation Response from Anne Graham, 6/9/2017 19
- Interview with Heather Richards, 6/14/2017 #INV6 20

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Investigator

APPROVED BY

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**Executive Director** 

**REVIEWED BY** 

Assistant Attorney General

#### THORNICROFT Michael \* OGEC

Fro Anne Graham < Anne.Graham@ci.redmond.or.us>

Sei. Friday, June 09, 2017 1:23 PM
To: THORNICROFT Michael \* OGEC

Subject: Re: 16-149EMT

Mr. Thornicroft,

Understand ...). I decided to just go ahead and reply today. I will use this email to list some points that have occurred to me in the interim since our last exchange that I hope are relevant and helpful.

I have been educated on the language of ORS 244.040 an 244.020 which I believe are the statutes you are investigating me against.

I see 3 conditions there that appear as central to being found in violation... namely:

1. That I "used or attempted to use my office"

I believe Ms. Richards and Mr. Cook can address this. In this context I assume that would be interpreted as using 'position power' or threats, etc. I did not do so ... I used my private email and never used any process other than what private citizens would use. I was strong but polite ....those two folks would surely have knowledge of my behaviors.

Regarding the claim that I did not 'go thru the City Manager..'.. I would like to point out that had I done so, I would see that as a use of office. Public official must have a private unrecorded meeting with the City Manager... but a private citizen goes to the provided counter and talks to staff?? This sounds to me like a protective shield for the public official ... that would prevent a citizen from knowing 'who' or 'the motive' of someone who files a complaint like mine. This has only been an "oral" instruction in my town... but there is interest in formalizing it. I argue against for the reasons above. If Commissioners could weigh in on whether this is a prudent practice it would really help my city .. and many others I am sure.

2. ...AND...Was my motive 'private pecuniary avoidance of loss"?

Couple of points... the basis of the original code is to prevent 'tract like appearances' that result in lowered property values around them. This can be verified in the tapes of the various public Planning and Council meetings prior to this becoming law. Therefore the avoidance of loss is not private. It affects the neighborhood and importantly it affects the City property tax base. We live and die by property tax of course and this is why we wrote that language about similar appearance. Ms. Richards again should be able to recall that as well as she was the staff support person.

Also I submit my motive was not financial. I may be a nerd but I care about equal application of code and that is why I wrote. I had just spent three years writing code and I care about it ,, :). Also I only mention the property value effect after two frustrating weeks of not getting a response from the City either Yes or No to my complaint. Thus the comment is only pointing out a consequence of their inaction ( past the time the roof trusses go on and lock in the appearance) and not my motive. I surely wish I had said;".... value of my house, the neighborhood houses, and the City property tax base"..., which is what I meant as a reminder as to the intent of the code. Had I observed 2 similar homes going up side by side far removed from my home ( no Impact to me) I would most certainly have made and pursued the same complaint.

3. ...AND ...it has to be true that the avoidance of loss "was not otherwise available to me except for the fact that I held this office".

At least two ways to see this.,: Certainly I hope it is beyond obvious that anyone.. any citizen... can ask the City to properly apply and enforce local law (which is all I did) and thus expect the same avoidance of loss. Hence #3 is not satisfied.

Second interpretation would be that the city might only have responded to correct their (admitted) oversight because I am a councilor. That is insulting to their professionalism and did not happen... but again I believe Ms. Richards can tolk to these points as the group manager.

I read that 1,2,3 must ALL be true to be in violation. I submit none of them are true.



Some other miscellaneous thoughts:

- -- Ms. Richards, as the Community Development Director, is the code-specified person who adjudicates what is similar appearing or not.... right there in this code paragraph. This is why I wrote to her. Also she had a clear history of dealing with citizen and councilor issues. That was how things really got done...vs going to the city manager.
- -- Mr. Fitzpatrick's permit package for the house in 2015 had a photograph of my house included. 1 It was crystal clear the new home would look exactly like mine... not just similar.
- arms reach away from the planning counter was a vertical file holder with information for builders on various codes. This code was one such handout there explaining with pictures to illustrate the spacing. The City and Mr. Fitzpatrick should have ... should have... connected about this at plan submission time if everyone was doing their job right.
- -- Mr. Fitzpatrick had built this home 4 times already by 2011 when we purchased our place. "It is my best performing home plan". We had him over in 2011 and that came up in a friendly conversation.
- I have never had any negative impression of or issue with Kevin. I can understand how he might see what happened as some kind of deliberate antagonism from me... but he is simply wrong. What I did was complain to the city about their lack of proper code enforcement one time in 2015. Period. The city agreed and then pursued correcting it...and that then sparked his delays or cost issues... not me.
- the second approach I made to to Planning counter in 2016 was similarly polite and brief and I clearly saw that that this home would not be similar appearing re: this code. It is a substantially different appearance in my judgement.., and I am pretty sure I said so. I said 'thank you' and walked away expecting to see the house built. Whatever the city did after that was in no way requested, suggested or interpretable as being asked for by me. I was completely surprised to be accused of all this at Council some weeks later. I cannot speak to why the city apparently took him to task again.
- -- I cannot help but note that this very public accusation and this OGEC complaint...centered around a sentence I wrote almost 2 years prior... came up in the dead center of my run for Mayor of Redmond and significantly affected my perception in the community. I am going to leave that one right there.

Regards and I look forward to your call,

Anne Graham 541-699-7707

#### Sent from my iPhone

- > On Jun 9, 2017, at 9:47 AM, THORNICROFT Michael \* OGEC < Michael. THORNICROFT@oregon.gov > wrote:
- > Dear Ms. Graham,
- > Yes, it will be by phone. I would like to handle each one of my investigations, person to person, but we just don't have it in our budget.
- > I have Heather on my list of people I will be contacting. Please feel free to submit whatever you think is relevant and I will be on the lookout for it. Submit via email if you can. Once I have read it, I will call you with questions and discuss the matter in greater detail.
- > Respectfully,

>

- > Michael Thornicroft
- > ——Original Message----

### **OREGON GOVERNMENT ETHICS COMMISSION**

### INVESTIGATION

CASE NO:

16-152EMS

DATE:

July 6, 2017

RESPONDENT:

RAUSSEN, James, former Executive Director, Oregon Educators

Benefit Board

**COMPLAINANT:** 

Oregon Government Ethics Commission

**RECOMMENDED ACTION:** 

Make Preliminary Findings of Four (4) Violations

of ORS 244.040(1), Four (4) Violations of ORS 244.120(1)(c), and Five (5) Violations of ORS

244,025

1 SYNOPSIS: James Raussen was the Executive Director of the Oregon Educators

2 Benefit Board (OEBB) during the period relevant to this investigation. The focus of this

investigation was to determine if there is a preponderance of the evidence to make

preliminary findings that Mr. Raussen committed violations of the prohibited use of office

for personal financial gain, conflict of interest, and gift provisions of ORS Chapter 244.

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A review of available information indicates that Mr. Raussen used a state-owned vehicle

to drive out of his way to wine tastings, stayed an extra night in Las Vegas at the expense

of the State of Oregon, and accepted meals and entertainment in excess of the \$50 gift

limit from vendors contracted with or interested in contracting with his employer, the

11 OEBB.

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13 The available evidence indicates that Mr. Raussen took action in his official capacity as

a public official in order to use a state-owned vehicle for private purposes and to stay an

extra night in Las Vegas. Because these trips were made at state expense, he avoided the financial detriment of paying for his own travel. He also took time off without properly accounting for the time on his timesheet, thereby avoiding the financial detriment of using his leave time for that vacation. These actions constituted use of his official position for prohibited financial gain under ORS 244.040(1). When he took those actions, which were to his personal financial benefit, he also failed to follow the conflict of interest procedures laid out in ORS Chapter 244.

Mr. Raussen accepted gifts in excess of the limitations in ORS 244.025 from insurance companies and a consulting company that had contracts or were interested in soliciting contracts from the OEBB. These companies appear to have had a legislative or administrative interest, as defined in ORS 244.020(10) in Mr. Raussen's decision-making as a public official.

There is sufficient evidence to indicate that James Raussen committed five [5] violations of ORS 244.025 by accepting gifts from sources with a legislative or administrative interest in his decision-making as a public official, that he committed four [4] violations of ORS 244.040(1) and four [4] violations of ORS 244.120(1)(c) by using state resources for personal travel and failing properly report his time off.

**RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the issues addressed herein:

244.020 Definitions. As used in this chapter, unless the context requires otherwise:

(1) "Actual conflict of interest" means any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which would be to the private pecuniary benefit or detriment of the person or the person's relative or any business with which the person or a relative of the person is associated unless the pecuniary benefit or detriment arises out of circumstances described in subsection (13) of this section.

(7)(a) "Gift" means something of economic value given to a public official, a candidate or a relative or member of the household of the public official or candidate:

- (A) Without valuable consideration of equivalent value, including the full or partial forgiveness of indebtedness, which is not extended to others who are not public officials or candidates or the relatives or members of the household of public officials or candidates on the same terms and conditions; or
- (B) For valuable consideration less than that required from others who are not public officials or candidates.
- (b) "Gift" does not mean:
  - (A) Contributions as defined in ORS 260.005.
  - (B) Gifts from relatives or members of the household of the public official or candidate.
  - (C) An unsolicited token or award of appreciation in the form of a plaque, trophy, desk item, wall memento or similar item, with a resale value reasonably expected to be less than \$25.
  - (D) Informational or program material, publications or subscriptions related to the recipient's performance of official duties.
  - (E) Admission provided to or the cost of food or beverage consumed by a public official, a relative of the public official accompanying the public official, a member of the household of the public official accompanying the public official or a staff member of the public official accompanying the public official, at a reception, meal or meeting held by an organization when the public official represents state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117.
  - (F) Reasonable expenses paid by any unit of the federal government, a state or local government, a Native American tribe that is recognized by federal law or formally acknowledged by a state, a membership organization to which a public body as defined in ORS 174.109 pays membership dues

or a not-for-profit corporation that is tax exempt under section 501(c)(3) of the Internal Revenue Code, for attendance at a convention, fact-finding mission or trip, conference or other meeting if the public official is scheduled to deliver a speech, make a presentation, participate on a panel or represent state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117.

- (G) Contributions made to a legal expense trust fund established under ORS 244.209 for the benefit of the public official.
- (H) Reasonable food, travel or lodging expenses provided to a public official, a relative of the public official accompanying the public official, a member of the household of the public official accompanying the public official or a staff member of the public official accompanying the public official, when the public official is representing state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117:
  - (i) On an officially sanctioned trade-promotion or fact-finding mission; or
  - (ii) In officially designated negotiations, or economic development activities, where receipt of the expenses is approved in advance.
- (I) Food or beverage consumed by a public official acting in an official capacity:
  - (i) In association with the review, approval, execution of documents or closing of a borrowing, investment or other financial transaction, including any business agreement between state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117 and a private entity or public body as defined in ORS 174.109;
  - (ii) While engaged in due diligence research or presentations by the office of the State Treasurer related to an existing or proposed investment or borrowing; or

- (iii) While engaged in a meeting of an advisory, governance or policy-making body of a corporation, partnership or other entity in which the office of the State Treasurer has invested moneys.
- (J) Waiver or discount of registration expenses or materials provided to a public official or candidate at a continuing education event that the public official or candidate may attend to satisfy a professional licensing requirement.
- (K) Expenses provided by one public official to another public official for travel inside this state to or from an event that bears a relationship to the receiving public official's office and at which the official participates in an official capacity.
- (L) Food or beverage consumed by a public official or candidate at a reception where the food or beverage is provided as an incidental part of the reception and no cost is placed on the food or beverage.
- (M) Entertainment provided to a public official or candidate or a relative or member of the household of the public official or candidate that is incidental to the main purpose of another event.
- (N) Entertainment provided to a public official or a relative or member of the household of the public official where the public official is acting in an official capacity while representing state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117 for a ceremonial purpose.
- (O) Anything of economic value offered to or solicited or received by a public official or candidate, or a relative or member of the household of the public official or candidate:
  - (i) As part of the usual and customary practice of the person's private business, or the person's employment or position as a volunteer with a private business, corporation, partnership, proprietorship, firm, enterprise, franchise, association, organization, not-for-profit corporation or other legal entity operated for economic value; and

subdivisions or any other public body as defined in ORS 174.109 as an elected official, appointed official, employee or agent, irrespective of whether the person is compensated for the services.

(17) "Statement of economic interest" means a statement as described by ORS 244.060 or 244.070.

244.025 Gift limit. (1) During a calendar year, a public official, a candidate or a relative or member of the household of the public official or candidate may not solicit or receive, directly or indirectly, any gift or gifts with an aggregate value in excess of \$50 from any single source that could reasonably be known to have a legislative or administrative interest.

244.040 Prohibited use of official position or office; exceptions; other prohibited actions. (1) Except as provided in subsection (2) of this section, a public official may not use or attempt to use official position or office to obtain financial gain or avoidance of financial detriment for the public official, a relative or member of the household of the public official, or any business with which the public official or a relative or member of the household of the public official is associated, if the financial gain or avoidance of financial detriment would not otherwise be available but for the public official's holding of the official position or office.

(2) Subsection (1) of this section does not apply to:

 (a) Any part of an official compensation package as determined by the public body that the public official serves.

(b) The receipt by a public official or a relative or member of the household of the public official of an honorarium or any other item allowed under ORS 244.042.

(c) Reimbursement of expenses.

(d) An unsolicited award for professional achievement.

 (e) Gifts that do not exceed the limits specified in ORS 244.025 received by a public official or a relative or member of the household of the public official from a

- source that could reasonably be known to have a legislative or administrative interest.
  - (f) Gifts received by a public official or a relative or member of the household of the public official from a source that could not reasonably be known to have a legislative or administrative interest.
  - (g) The receipt by a public official or a relative or member of the household of the public official of any item, regardless of value, that is expressly excluded from the definition of "gift" in ORS 244.020.
  - (h) Contributions made to a legal expense trust fund established under ORS 244.209 for the benefit of the public official.
  - (7) The provisions of this section apply regardless of whether actual conflicts of interest or potential conflicts of interest are announced or disclosed under ORS 244.120.

INVESTIGATION: The Oregon Government Ethics Commission (Commission) opened a preliminary review on its own motion on 12/16/16 based on news articles concerning James Raussen (#PR1). The news articles alleged that James Raussen, former Executive Director of the Oregon Educators Benefit Board (OEBB), might have used or attempted to use his official position for financial gain, might have failed to declare one or more conflicts of interest, and might have accepted gifts in excess of the limitations in ORS Chapter 244. The Commission found cause to investigate on 1/27/17 after considering the information developed in the preliminary review. The investigation focused on whether there is enough information to find by a preponderance of the evidence that Mr. Raussen violated Oregon Government Ethics law. Mr. Raussen was notified of the Commission's actions in this matter, and was invited to provide any information that would assist the Commission in conducting this investigation.

According to a news article published by the Salem Statesman Journal on 11/28/16, investigators from the Oregon Department of Justice identified various alleged policy violations that Mr. Raussen may have committed while he was Executive Director of the OEBB. His resignation was announced on November 10, 2016, after less than a year in

the position. #PR1. The allegations were discussed more fully in a draft report of an OEBB investigation performed by a Department of Justice investigator. The draft report was provided to the Commission by the Oregon Health Authority (OHA), which oversees the OEBB, during the preliminary review. The email accompanying this draft report noted that the draft may contain inaccuracies or incomplete information because the investigation was never finished, due to Mr. Raussen's resignation before the completion of the investigation. #PR2.

#### Allegations of Use of State Resources and Time -

Employees of OEBB describe three separate occasions when Mr. Raussen used state resources for personal purposes:

1. In April of 2016, Mr. Raussen and two other OEBB employees, Heidi Williams and Glenn Baly, attended a meeting of the eastern Oregon school districts in John Day, Oregon. Mr. Raussen obtained a State of Oregon vehicle to drive to this meeting. When they left John Day to return to Salem, Mr. Raussen insisted on driving through Hood River, where they stopped at one or two wineries. Mr. Raussen engaged in wine tasting in Hood River before the group drove back to Salem. This detour took place during working hours, according to the staff in the car. HINV3, #INV19.

 In May of 2016, Mr. Raussen and Ms. Williams attended a meeting of school districts in Pendleton, Oregon. Mr. Raussen obtained a State of Oregon vehicle to drive to this meeting. The meeting was in the evening. Before the meeting. Mr. Raussen and Ms. Williams went to a winery. Mr. Raussen and

<sup>1</sup> The DOJ report described this trip as driving 90 miles out of the way. A search on Google maps lists the John Day-Salem itinerary as 264 miles and the John Day-Hood River-Salem itinerary as 321 miles, a difference of 57 miles. It is not clear whether DOJ had additional information about the location of the wineries that may have changed the calculation, but the difference in mileage does not affect the analysis regarding personal use of a state vehicle.

Ms. Williams spent the night, at State of Oregon expense, in a hotel in Walla Walla, Washington. In the morning, during working hours, Mr. Raussen and Ms. Williams went to two wineries, where Mr. Raussen participated in two wine tastings.<sup>2</sup> #INV19, #INV24.

3. In June of 2016, Mr. Raussen, Ms. Williams and another staff person, Linda Freeze, attended a conference in Las Vegas. The conference ended on Friday at noon. Ms. Freeze flew back to Oregon that day. Mr. Raussen and Ms. Williams stayed an extra night in Las Vegas and left on Saturday. Mr. Raussen claimed that he was staying an extra night for a meeting with Providence. #INV5, #INV20, #INV21. Ms. Williams claimed to be unaware of the proposed meeting, which she apparently did not attend. #INV19.

The Providence representative with whom Mr. Raussen said he met in Las Vegas denied ever having met him. #INV21. An OEBB staff person told the DOJ investigator that Mr. Raussen had her add the meeting with Providence to the calendar after the trip and print it off to submit with his expense report, because he did not have a business card to submit. #INV5.

In his interview with the DOJ investigator, Mr. Raussen also said that he met with an account manager for Accenture on Friday afternoon around 4:00 pm. #INV21.

According to the DOJ report, Mr. Raussen's extra night in Las Vegas cost the State of Oregon of \$293.

<sup>2</sup> The DOJ report describes this as driving a state vehicle 140 miles out of the way. A search on Google maps lists the Pendleton-Salem itinerary as 254 miles and the Pendleton-Walla Walla-Salem itinerary as 327 miles, a difference of 73 miles. It is not clear whether DOJ had additional information about the location of the wineries that may have changed the calculation of mileage, but the difference in mileage does not affect the analysis regarding personal use of a state vehicle.

According to Rose Mann, Mr. Raussen's executive assistant, Mr. Raussen also took 40 1 hours of time off without accounting for the time on his timesheet, therefore not using any 2 vacation time. Ms. Mann told the DOJ investigator that Mr. Raussen listed "JTR out" on 3 his calendar in March and talked about taking his kids back east to Virginia and 4 Washington, D.C. on their spring break. He was out for a week in March, but there was 5 no time off reflected on the payroll sheet. #INV4. Mr. Raussen confirmed that he was in 6 D.C. that week. #INV21. His timesheets, which were part of the DOJ investigation, did not 7 reflect any time off. #INV24. Neither Mr. Raussen's supervisor, Leslie Clement at the 8 Oregon Health Authority, nor the two Board members who were interviewed as part of 9 the DOJ investigation knew of any arrangement with Mr. Raussen for him to be given 10 extra time off. #INV1, #INV17, #INV18. 11

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#### Gift Allegations -

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Mr. Raussen allowed contractors, consultants, and prospective contractors to pay for meals and sporting event tickets in excess of the \$50 limitation in ORS 244.025 as follows:

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 The Standard – Mr. Raussen permitted representatives of the Standard Insurance to pay for his meals on at least two occasions in calendar year 2016, totaling \$277.42:3

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 On 1/29/16, Mr. Raussen and Ms. Williams attended a dinner at Oswego Grill, together with three other people. The Standard paid for the meal, which totaled \$439.00, including tip, for an average of \$87.80 per person.

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 On 2/25/16, Mr. Raussen and Ms. Williams attended a dinner at El Gaucho, together with two other people. The Standard paid for the meal, which totaled \$758.50, including tip, for an average of \$189.62 per person.

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<sup>3</sup> The calculations by DOJ in their original report sometimes differ from these calculations, which are averages. It is not clear how DOJ reached their totals, but the difference does not affect whether the gift amounts were over or under \$50 in calendar year 2016.

1		The Standard has a contract with OEBB to provide life and disability coverage to
2		OEBB members. #INV22.
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4	2.	Kaiser Permanente – Mr. Raussen permitted Kaiser Permanente representatives
5		to pay for his meals on at least four occasions in calendar year 2016, totaling
6		\$74.93:
7		a way was an of three poorle in attendance at a meal
8		On 1/22/16, Mr. Raussen was one of three people in attendance at a meal
9		at the Sassy Onion. Kaiser paid the bill, which totaled \$59.85, including tip,
0		or an average of \$19.95 per person.
11		<ul> <li>On 2/22/16, Mr. Raussen was one of three people in attendance at a meal</li> </ul>
12		at La Provence, where Kaiser paid the bill of \$54.80, including tip, or an
13		average of \$13.70 per person.
14		<ul> <li>On 8/18/16, Mr. Raussen and Ms. Williams were among five people in</li> </ul>
15		attendance at a meal at Altabira, where Kaiser paid the \$99.75 bill, including
16		tip, or an average of \$19.95 per person.
17		<ul> <li>On 8/29/16, Mr. Raussen and Ms. Williams were among the six people in</li> </ul>
18		attendance at a meal at Altabira. Kaiser paid the \$128 bill, including tip,
19		which averaged \$21.33 per person.
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21		Kaiser Permanente offers health insurance coverage through a contract with
22		OEBB. #INV23.
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24	3	. MODA – Mr. Raussen permitted Moda representatives to pay for his meals on at
25		least three occasions in calendar year 2016, totaling \$120.93:
26		a 44440 No Develop was one of three months in attendance at
27		<ul> <li>On 1/14/16, Mr. Raussen was one of three people in attendance at Bentley's Restaurant. Moda paid the total bill which, including tip, was</li> </ul>
28		Denuey's Restaurant, woda palu the total bill which, including up, was

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- On 6/14/16, Mr. Raussen was one of three people in attendance at Oswego Grill. Moda paid the bill which, including tip, was \$191.75, averaging \$63.92 per person. #INV16.
- On 6/15/16, Mr. Raussen and Ms. Williams were among the four people in attendance at a meal at Tableau in Las Vegas. Moda paid the whole bill which, including tip, was \$142.05, averaging \$35.51 per person. The other OEBB staff person present at that meal, Ms. Freeze, later contacted Moda and reimbursed them for her portion of the meal. #INV16.

Mr. Raussen also attended a Portland TrailBlazers game on 4/25/16 in the Moda suite, at Moda's expense. The studio suite seats four people, with a dinner buffet and alcohol included. According to a Moda representative, when asked about reimbursement, they have previously given people the amount of \$157.00 as the reimbursement value. #INV16.

Based on documents included with the draft report, it appears that Mr. Raussen later provided to DOJ a copy of a check indicating that he may have reimbursed MODA for \$75 of the value of attendance at the Blazers game. After a search of their records, MODA was unable to verify that they had received any check from Mr. Raussen, any check with that check number, or any check in that amount during the relevant time period. #INV16. Even if Mr. Raussen did reimburse Moda \$75 for the Blazers game, he still received gifts over \$50 from Moda in calendar year 2016.

Moda had a contract with OEBB during calendar year 2016. When the RFP was released in June 2016, OEBB staff assumed that Moda would submit a new proposal. #INV19.

4. Providence – Mr. Raussen permitted representatives of Providence to pay for his meals on at least two occasions in calendar year 2016, totaling \$137.42:

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 On 4/27/16, Mr. Raussen was one of four people in attendance at the MAC in Portland, where Providence paid a \$423 tab for their dinner, averaging \$105.75 per person.

 On 5/16/16, Mr. Raussen and Ms. Williams attended a dinner with four other people at Table 508. Providence paid the tab. The total amount is not legible, but the bill before tip was \$190.00, averaging \$31.67 per person.

Providence did not, in 2016, have a contract with OEBB. They did have such a contract in the past and intended to bid on the RFP that was released in 2016. #INV19.

- 5. Willis Towers Watson Mr. Raussen permitted Willis Towers Watson to pay for his meals on at least four occasions, totaling \$139.39:
  - On 1/4/16, Mr. Raussen and Ms. Williams attended a meal at Da Vinci with three other people. The total bill was \$283.20, which Willis Towers Watson paid, for an average of \$56.64 per person. #INV6, #INV13.
  - On 6/29/16, Mr. Raussen and Ms. Williams attended a meal at Jefe with four other people. The total bill was \$120, which Willis Towers Watson paid, for an average of \$20 per person. #INV7, #INV13.
  - On 9/6/16, Mr. Raussen was one of two attendees at a meal at Oswego Grill. Willis Towers Watson paid the bill. The total bill including tip was \$88.50, for an average of \$44.25 per person. #INV13, #INV24.
  - On 9/7/16, Mr. Raussen and Ms. Williams, together with two representatives of Willis Towers Watson, had lunch at the Sassy Onion. Willis Towers Watson paid for the meal, which totaled \$74, including tip. This is an average of \$18.50 per person. #INV13, #INV24.

Larry Helmke, a representative of Willis Towers Watson, also purchased a ticket to a wine tasting dinner for Mr. Raussen for a value of \$100-125. Mr. Raussen agreed to attend but did not appear for the dinner. #INV7.

Willis Towers Watson had a consulting contract with OEBB that included financial penalties for non-performance. #INV19. They were responsible for scoring parts of the RFP for contractors to provide health and other benefits through OEBB. #INV18.

It appears that Mr. Raussen also asked a representative of another contractor, redacted in the DOJ documentation, to pay for wine and appetizers at Oswego Grill on 5/19/16, for a total of \$80.85 for the two of them before tip. Because this contractor did not permit their staff to pay using a business card, the representative personally bore the cost. The total cost on that occasion did not average \$50 per person. #INV14.

The report from the OEBB investigation contained additional allegations which do not relate to Oregon Government Ethics law, such as inappropriate comments to staff and dishonesty. #PR2.

**CONCLUSIONS:** James Raussen was the Executive Director of the OEBB at the time these events occurred. As a state employee, he was a public official under ORS 244.020(15).

Public officials are prohibited by ORS 244.040(1) from using or attempting to use their official position or office to obtain financial gain or avoidance of financial detriment for the public official, a relative or member of household, or any business with which the public official or a relative or member of the household of the public official is associated, if the financial gain or avoidance of financial detriment would not otherwise be available but for the public official's holding of the official position or office. These provisions apply regardless of whether actual or potential conflicts of interest are announced or disclosed. ORS 244.040(7).

In this case, the available information indicates that Mr. Raussen used State of Oregon

resources on three occasions to attend personal events and to avoid the expense of providing his own hotel and/or transportation, in violation of ORS 244.040(1). The three incidents included a detour from John Day to Hood River to participate in wine tasting during working hours, a detour from Pendleton to Walla Walla, Washington to participate in wine tasting during working hours, and an extra night in Las Vegas after he attended a conference there. The evidence also indicates that he provided inaccurate information on his timesheet to avoid using his vacation time. There is no indication that Mr. Raussen followed the conflict of interest procedures, as described below, when taking these actions. Each of these instances also constitutes a violation of the conflict of interest provisions of ORS 244.120(1)(c). #PR2.

Actual and potential conflicts of interest are defined in ORS 244.020(1) and (13). A public official has an actual or potential conflict of interest when participating, in his or her capacity as a public official, in any action, decision, or recommendation the effect of which would or could be to the private pecuniary benefit or detriment of the public official, a relative, or any business with which the public official or a relative are associated. ORS 244.120(1)(c) describes the method by which an appointed official shall handle a conflict. When met with a conflict of interest, the public official shall notify in writing the person who appointed the public official to office of the nature of the conflict, and request that the appointing authority dispose of the matter giving rise to the conflict.

Oregon Government Ethics law limits gifts that a public official may accept. A gift is defined as something of economic value given to a public official without valuable consideration of equivalent value, which is not extended to others who are not public officials on the same terms and conditions. ORS 244.020(7)(a). A public official may not solicit or receive, directly or indirectly, any gift or gifts with an aggregate value in excess of \$50 from any single source that could reasonably be known to have a legislative or administrative interest; that is, from any single source that could reasonably be known to have an economic interest, distinct from that of the general public, in any matter subject to the decision or vote of the public official acting in their capacity as a public official. ORS 244.025, 244.020(10).

The available information indicates that Mr. Raussen accepted gifts in excess of the limitations in ORS 244.025 from at least five sources that were contractors, prospective contractors, or consultants with the OEBB and, therefore, had a legislative or administrative interest in Mr. Raussen's decision-making as a public official. One of these sources, Providence, was a prospective contractor that intended to bid on a request for proposals with the OEBB. #PR2. The interviews performed by the DOJ investigator repeatedly touch on whether Mr. Raussen had a role in scoring the RFP. It appears that he was not expected to have a role in the scoring, but one OEBB board member noted that he appeared to believe that he would have a role in the interviews as part of the RFP. #INV18.

Whether or not he had the opportunity to actively participate in the OEBB RFP in 2016, Mr. Raussen's decision-making as a public official while he served as Executive Director at OEBB could be reasonably expected to have an economic impact on the contractors, prospective contractors, and consultants who worked with the Board. For example, there is discussion in the DOJ investigation about opt-out rates for school districts – i.e., that some school districts have many employees who choose to take extra money instead of using the OEBB benefits. Mr. Raussen was involved in meetings about how to reduce the opt-out rate. An OEBB policy reducing opt-out rates would have an economic impact on the health insurance companies contracted through OEBB because it would increase their customer base. #INV18. As another example, Mr. Raussen appears to have been looking into the performance guarantees in the contract with Willis Towers Watson and considering whether to pursue financial penalties based on failure to meet those performance guarantees. #INV19.

There is sufficient evidence to indicate that James Raussen violated ORS 244.025 by accepting gifts over \$50 in a calendar year from five sources with a legislative or administrative interest in his decision-making as a public official, that he violated ORS 244.040(1) by using state resources for personal travel and failing properly report his time off, and that he violated ORS 244.120(1)(c) by using state resources for personal travel

- and failing to properly report his time off without following the conflict of interest procedures required by statute.
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- 4 RECOMMENDATIONS: The Oregon Government Ethics Commission should make
- 5 preliminary findings of five [5] violations of ORS 244.025, four [4] violations of ORS
- 6 244.040(1), and four [4] violations of ORS 244.120(1)(c) by James Raussen.

1	<u>ASSOCIAT</u>	ED DOCUMENTS:
2	#PR1	Letter to J. Raussen from OGEC dated 12/5/16 and accompanying news
3		articles.
4	#PR2	Email from K. West, Oregon Health Authority, with attachments: 11/8/16
5		draft of investigation regarding J. Raussen, witness interviews, assorted
6		documents.
7	#INV1	Transcript of interview of Geoff Brown, OEBB Board Member, 9/26/16.
8	#INV2	Transcript of interview of Rosie Chernishoff, Financial Coordinator, OEBB,
9		9/29/16.
10	#INV3	Transcript of interviews of Glenn Baly, Operations and Policy Analyst,
11		OEBB, 9/21/16, 9/22/16.
12	#INV4	Transcript of interviews of Rose Mann, Executive Assistant to Executive
13		Director of OEBB, 9/22/16, 11/7/16.
14	#INV5	Transcript of interview of Xia Ly, Administrative Assistant, OEBB, 9/22/16.
15	#INV6	Investigator notes on interview with Kim Hickman, Senior Consultant,
16		Willis Towers Watson, 11/8/16.
17	#INV7	Transcript of interview of Larry Helmke, Client Relationship Director, Willis
18		Towers Watson, 10/27/16.
19	#INV8	Transcript of interview of Jenny Marks, Senior Benefits Consultant, Willis
20		Towers Watson, 10/24/16.
21	#INV9	Notes and documents related to interviews with representatives of the
22		Standard.
23	#INV10	Emails and documents related to Kaiser Permanente.
24	#INV11	Transcript of interview of Brad Garrigues, Chief Sales and Marketing
25		Officer, Providence Health Plan, 10/21/16, and attached documents.
26	#iNV12	Additional emails and documents related to Kaiser Permanente.
27	#INV13	Carlson (Willis Towers Watson) receipts.
28	#INV14	Notes and documents re. redacted contractor, 10/25/16.
29	#INV15	Transcript of interview with Brian Olson, Contracts Coordinator,
30		OEBB/PEBB, 9/21/16.
31	#INV16	Moda interview notes, emails, and documents.

#INV17	Transcript of interview with Leslie Clements, Division Director, Oregon	(
	Health Authority, 10/11/16.	
#INV18	Transcript of interview with Nancy MacMorris-Adix, OEBB Board Chair,	
	9/20/16.	
#INV19	Transcript of interviews with Heidi Williams, Chief Operating Officer,	
	OEBB, 11/1/16.	
#INV20	Transcript of interviews with Linda Freeze, Benefits Manager, OEBB,	
	9/21/16, 9/22/16, 11/7/16.	
#INV21	Transcript of interview with James Raussen, former Executive Director,	
	OEBB, 11/4/16	
#INV22	Life and Disability Coverage from the Standard, printed from OEBB	
	website.	
#INV23	Summary of Medical and Pharmacy Benefits, 2017-2018 Plan Year,	
	containing plans from Kaiser Permanente and Moda, printed from OEBB	
	website.	
#INV24	Email and attachments from Dian Rubanoff, forwarded to OGEC by Brena	(
	Lopez, DOJ.	
	#INV18 #INV19 #INV20 #INV21 #INV22 #INV23	#INV18 Transcript of interview with Nancy MacMorris-Adix, OEBB Board Chair, 9/20/16.  #INV19 Transcript of interviews with Heidi Williams, Chief Operating Officer, OEBB, 11/1/16.  #INV20 Transcript of interviews with Linda Freeze, Benefits Manager, OEBB, 9/21/16, 9/22/16, 11/7/16.  #INV21 Transcript of interview with James Raussen, former Executive Director, OEBB, 11/4/16  #INV22 Life and Disability Coverage from the Standard, printed from OEBB website.  #INV23 Summary of Medical and Pharmacy Benefits, 2017-2018 Plan Year, containing plans from Kaiser Permanente and Moda, printed from OEBB website.  #INV24 Email and attachments from Dian Rubanoff, forwarded to OGEC by Brena

PREPARED BY

Marie Scheffers Investigator

APPROVED BY

Ronald A. Bersin **Executive Director** 

**REVIEWED BY** 

Assistant Attorney General



#### **Government Ethics Commission**

3218 Pringle Rd SE, Ste 220 Salem, OR 97302-1544 Telephone: 503-378-5105

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E-mail: ogec.mail@oregon.gov Website: www.oregon.gov/ogec

June 20, 2017

TO:

**Ethics Commission Members** 

Richard Burke
Marilyn Cover
David Fiskum
Alison Kean
Chuck Lee
Daniel Mason
Nathan Sosa
Charles Starr

Dan Golden, Chair

FROM:

Ron Bersin

**Executive Director** 

B

SUBJECT: Meeting Schedule for 2018.

The following is a list of proposed meeting dates that have been scheduled for 2018. The dates we have proposed allow the investigators the greatest amount of time to complete their investigations within the 135-day (lobby cases) and 180-day investigative periods, and to avoid holidays.

Friday, January 5, 2018
Friday, February 16, 2018
Friday, March 30, 2018
Friday, May 11, 2018
Friday, June 29, 2018
Friday, August 10, 2018
Friday, September 21, 2018
Friday, November 2, 2018
Friday, December 14, 2018

We understand that you all have very busy lives, and it is our hope that you all can be at every meeting, but it may be difficult to accommodate everyone's schedule. Please remember that if you are not able to join any of the meetings in person, we can make arrangements for you to participate by telephone.

The schedule will be an agenda item at the July 14, 2018 meeting.

Thank you.





# Holidays

# January

SUN	MON	TUE	WED	THU	FRI	SAT
	X	2	3	4	5	6
7	8	9	10	11	12	13
14	X	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

# **February**

SUN	MON	TUE	WED	THU	FRI	SAT
				1	2	3
4	5	6	7	A	9	10
11	12	13	14	15	16	17
18	X	20	21	22	23	24
25	26	27	28			

# March

SUN	MON	TUE	WED	THU	FRI	SAT
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	ex	23	24
25	26	27	28	29	30	31

# **April**

ŠUN	MON	TUE	WED	THU	FRI	SAT
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

# May-

SUN	MON	TUE	WED	THU	FRI	SAT		
		1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	19		
20	21	22	23	24	25	26		
27	溪	29	30	31				

# June

SUN	MON	TUE-	WED	THU	FRI	SAT
	,				1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	A	22	23
24	25	26	27	28	29	30

# July

SUN	MON	TUE	WED	THU	FRI	SAT
1	2	3	X	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

# **August**

SUN	MON	TUE	WED	THU	FRI	SAT
			1	A	3	4
5	6	7	8	9	10	11
12	13	14	15	16	·17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

# September

SUN	MON	TUE	WED	THU	FRI	SAT
						1
2		4	5	6	7	8
9	10	11	12	A	14	15.
16	17	18	19	20	21	22
23 30	24	25	26	27	28	29

## October

SUN	MON	TUE	WED	THU	FRI	SAT
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	A	26	27
28	29	30	31			

# November

SUN	MON	TUE	WED	THU	FRI	SAT
				1	2	3
4	5	6	7	8	9	10
11		13	14	15	16	17
18	19	20	21		溪	24
25	26	27	28	29	30	

# December

SUN	MON	TUE	WED	THU	FRI	SAT
						1
2	3.	4	5	A	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22,
23 /30	24 31	X	26	27	28	29

oregon,gov/das/printmail

### Trainers' Report July 14, 2017

This report covers the time period of June 2<sup>nd</sup>, 2017, through July 14<sup>th</sup>, 2017.

### Completion of training:

- DAS Foundational Training ORS 244 (Salem)
- Oregon Health Authority, New Employee Orientation ORS 244 (Portland)
- DAS New to Public Management ORS 244 (Salem)
- Employment Relations Board ORS 244 (Salem)
- Oregon State Police Academy ORS 244 (Salem)

### **Upcoming Trainings:**

<u>Date</u>	Time	Public Body (Topic)	Address
7/17/17	10:00 — 11:00 AM	Department of Fish and Wildlife (ORS 244)	4034 Fairview Industrial Dr SE, Salem OR 97302, Commission Room, 1 <sup>st</sup> floor
7/18/17	2:00 – 3:30 PM	Oregon Health Authority New Employee Orientation (ORS 244)	DHS Training Center 3414 Cherry Avenue, Suite 150, Keizer, OR 97303
7/26/17	3:45 – 5:00 PM	Oregon Assoc. School Business Officials (ORS 244)	Riverhouse on the Deschutes Convention Center, Center Highway 97 & Mt. Washington Dr. Bend OR 97703
8/10/17	2:30 – 4:00 PM	DAS Foundational Program (ORS 244)	800 NE Oregon St. Portland OR 97232 Room 1D
8/22/17	2:00 – 3:30 PM	Oregon Health Authority New Employee Orientation (ORS 244)	Portland State Office Building 800 NE Oregon St, Portland OR 97232
8/23/17	8:30 – 10:00 AM	DAS Foundational Program (ORS 244)	CCBI 626 High St NE Room 203

### **Upcoming Conferences:**

7/26/2017	3:45 – 5:00 PM	Oregon Association of School Business Officials (OASBA) (ORS 244)	Riverhouse on the Deschutes Convention Center Center Highway 97 & Mt. Washington Drive Bend, OR 97703
9/11/2017	10:00 – 11:30 AM	Association of County Treasurers & Finance Officers (ORS 244)	5500 Running Y Road Klamath Falls, OR 97601
10/19/2017	TBD	Oregon Institute of Technology (ORS 244)	Oregon Institute of Technology 3201 Campus Drive College Union Building Mt. Mazama Room Klamath Falls, OR 97601-8801
10/26/17	TBD	Oregon Fire Directors Association (ORS 244)	Ashland Hills Hotel & Suites 2525 Ashland St Ashland, OR 97520

Training Staff:

Tammy Hedrick Hayley Weedn 503-378-6802 503-378-8066 tammy.r.hedrick@oregon.gov hayley.weedn@oregon.gov



August 2017

Oregon Government Ethics Commission AdobeConnect Webinar Training Calendar

28	21	14	7	Monday
29	22 Gifts 10:00 – 11:00 AM	15	8	Tuesday  1  New Employees: you're a public official, now what! 2:00 – 3:00 PM
30 Executive Session 2:00 –3:00 PM	23	16 Lobby law 2:00 – 3:00 PM	9	Wednesday 2
Email <u>ogec.train</u> to register f	Conflicts of Interest 10:00 – 11:00 AM	17	10 Use of Position/Office 10:00 — 11:00 AM	Thursday 3
Email ogec.training@oregon.gov to register for a webinar.	25 COMMISSION MEETING	18	11	Friday 4 ·

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### **Executive Director's Report**

July 14, 2017

#### Reporting System

- Late filers continue to request mitigation for late filing. Only 3 clients and 2 lobbyists remain unfiled from first quarter.
- Second quarter reporting for Lobbyists and Clients opened.

#### Budget

- o 2015-17 biennial budget
  - Agency completed audit for 2017-19 budget
  - Fund 4150, General Fund
    - Spending average of \$78,183.46 with \$267,133.46 to spend per month. A difference of \$188,950.00.
  - Fund 4160 Electronic Reporting System
    - Moneys in this fund have been spent in accordance with financial plan. The agency will not spend any other moneys in this fund.
  - Fund 4170 Case Management System
    - Spending an average of \$7,931.17 with \$62,659.12 to spend per month. A difference of \$54,727.95.
  - Fund 4180 Case Management System, Professional Services
    - \$310.75 surplus projected through 6/30/17.
- o 2017-19 biennial budget
  - Passed through both House and Senate.
  - Budget signed by Governor 5/18.
  - Current versions of HB 2577 and SB 43 have fiscal impacts of approximately \$150,000. If passed and signed, will add to 2017-19 budget.

#### Legislation

- o HB 2298 signed by Governor
- o SB 44 awaiting Governor's signature
- o SB 43 work session completed in House Rules with an A-3 amendment
- A-3 amendment includes language from HB 2577. It also includes increased filings during Legislative sessions, and an audit requirement of lobby and client reports filed.

### Case Management System

- o Phase 2 deployed.
- o Developing Phase 3, development on time for launch date.

#### Other

- o All new Commissioners confirmed. Marilyn's position up for appointment in September legislative days.
- Added laptop/tablet to hardware used by office.
- O Website redesign project continues with inventory of current website use.

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