

DANIEL Kathy * OGEC

From: Beth Timmons <beth.timmons@gmail.com>
Sent: Tuesday, May 23, 2017 9:58 AM
To: OGEC Mail * OGEC
Subject: Late filing status

RECEIVED

MAY 23 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners -

Please accept my apologies for the late filing of my SEI. I am asking for a one-time waiver of the fees. I normally do not have timely computer access to receive items of this nature and have missed the deadline. Kathy has informed me of the process and helped me to access and complete my filing. Since this is an entirely electronic process I will make arrangements for the future to prevent this from happening again. I am now aware of the March 15th due date to complete this process.

Thank you for your time.

Beth Timmons



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 23, 2017

Susan Richman
18100 SW Mendenhall Rd
Willamina OR 97396

Re: 2017 SEI Penalty

Dear Ms. Richman:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 6058
User Name: Peaches
Email: bellemarefarm@yahoo.com

2017 Filing: 5/22/2017
2017 Penalty: \$90.00

Susan Richman
18100 SW Mendenhall Rd
Willamina, OR 97396

2017 Offices Held

WILLAMINA (City), PLANNING COMMISSION - Appointed 01/10/17

Activity Log - 2017 to Date

05/13/17 07:24:23.830 - User Profile Created - User profile created for Susan Richman
05/13/17 07:24:25.880 - User Profile Created - A new user profile was created.
05/13/17 07:24:26.113 - SEI Assigned to Seat - SEI Assigned to Seat
05/14/17 01:30:14.033 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:16.003 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 07:40:39.927 - User Successfully Authenticated -
05/16/17 01:30:13.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:14.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:14.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:17.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:37.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:16.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 09:44:13.277 - User Successfully Authenticated -
05/22/17 09:50:37.987 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

*Letter sent
PO*

DANIEL Kathy * OGEC

From: Susan Richman <bellemarefarm@gmail.com>
Sent: Monday, May 22, 2017 9:59 PM
To: OGEC Mail * OGEC
Subject: filed report

RECEIVED

MAY 23 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Hello,

My apologies for the late report. This is my first time serving in a public role. I had no idea this was required of me. I am not sure why this wasn't mentioned during the training I attended before serving on the planning commission. In addition, I've only just returned from Seattle where I spent a week with my daughter and her new baby due to complications. I did not access my email during that time. Thanks to your helpful staff I know what is expected now. I can surely get reports filed on time. I surely hope serving my town will not cost me \$90.

Sincerely,

Susan Richman
Belle Mare Farm
Willamina, OR
971-237-5671

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 23, 2017

Luke Wever
171 NE Pierce St.
Estacada OR 97023

Re: 2017 SEI Penalty

Dear Mr. Wever:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$130 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2017

SEI Code: 5250
User Name: LukeWever
Email: lukeweaver@cityofestacada.org

2017 Filing: 5/22/2017
2017 Penalty: \$130.00

Luke Wever
171 Ne pierce St
Estacada, OR 97023

2017 Offices Held

ESTACADA (City), COUNCIL - Appointed 01/01/17

Activity Log - 2017 to Date

01/14/17 09:11:40.523 - User Profile Created - User profile created for Luke Wever
01/14/17 09:11:49.527 - User Profile Created - A new user profile was created.
01/14/17 09:11:49.720 - SEI Assigned to Seat - SEI Assigned to Seat
04/17/17 11:09:32.200 - User Failed to Authenticate -
04/17/17 11:13:38.957 - User Failed to Authenticate -
04/17/17 11:13:52.450 - User Failed to Authenticate -
04/17/17 11:14:03.860 - User Failed to Authenticate -
04/17/17 11:14:53.593 - User Failed to Authenticate -
04/17/17 11:15:02.847 - User Failed to Authenticate -
04/17/17 11:16:44.080 - User Successfully Authenticated -
04/25/17 10:23:55.550 - User Failed to Authenticate -
05/04/17 03:38:22.580 - User Profile Updated - The user's main profile was updated.
05/04/17 03:38:32.203 - User Profile Updated - User profile updated for Luke Wever
05/07/17 01:30:43.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:18.017 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:17.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:35.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:14.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 04:16:23.213 - User Failed to Authenticate -
05/22/17 04:17:22.017 - User Failed to Authenticate -
05/22/17 04:17:28.920 - User Failed to Authenticate -
05/22/17 04:17:36.307 - User Failed to Authenticate -
05/22/17 04:18:45.740 - User Failed to Authenticate -
05/22/17 04:18:57.617 - User Successfully Authenticated -
05/22/17 04:36:41.033 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter & ED
(B)

HUNTER David * OGEC

From: Luke Wever <LukeWever@cityofestacada.org>
Sent: Monday, May 22, 2017 4:44 PM
To: OGEC Mail * OGEC
Subject: ethics commission

RECEIVED

MAY 23 2017

OREGON GOVERNMENT
ETHICS COMMISSION

To whom it may concern:

I just spoke with David at the Oregon Ethics commission. He sought I should email in due to the penalties in my account. I am asking the commission to waive the penalties fees. I already filed a SEI months ago. I was unaware that it wasn't accepted or didn't complete the transaction as I am not computer savvy. I just logged on today to see fees. If you could please excuse my last notice in emailing, I own my own business and this is my busy season of work. Look forward to hearing back from you.

Luke Wever
City of Estacada Council - Volunteer

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 23, 2017

Martha Brooks
17355 SW Sugar Plum Lane
Beaverton OR 97007

Re: 2017 SEI Penalty

Dear Ms. Brooks:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$130 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 3450
User Name: mbrooks
Email: marthabrooks15@gmail.com

2017 Filing: 5/22/2017
2017 Penalty: \$130.00

Martha Brooks
17355 SW Sugar Plum Lane
Beaverton, OR 97007

2017 Offices Held


No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:37.527 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:14.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:14.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:25.623 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:14.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 04:15:49.753 - User Successfully Authenticated -
05/22/17 04:21:26.827 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed.


RECEIVED

MAY 23 2017

OREGON GOVERNMENT
ETHICS COMMISSION

May 20, 2017

Oregon Government Ethics Commission
3218 Pringle Road SE, Room 220
Salem, OR 97302-1544

To Whom It May Concern:

I am writing to request that you waive the \$130 penalty that has accrued on my account for failing to file my Annual Verified Statement of Economic Interest. As a member of the Oregon Early Learning Council, I am required to do so. As a volunteer on this Council, I receive no financial reimbursement for my time and commitment. The penalty will need to be paid by me personally.

I would ask forgiveness of the penalty due to circumstances within my family and out of my control. 2017 has been an extremely challenging year as my husband was in the hospital for 22 days in January and then 3 plus months in rehab. Our family almost lost my husband and we are thankful that we did not. He came home in mid April only to go back in to the hospital a short time later for another week. He is now home and my focus has been on his rehab and care to get him back on his feet and independent. This rehab and care has changed our daily routine as well as our lives. With this change, I have not followed my emails as closely as I should have and, yes, missed the email notifications and deadlines. For this I apologize.

Should you require verification of the medical condition and situation of my husband please let me know and I will provide it.

Thank you for your consideration.

Martha Brooks
17355 SW Sugar Plum Lane
Beaverton, OR 97007
503-577-4715 - Cell

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 23, 2017

Brandon Hammond
PO Box 229
Boardman OR 97818

Re: 2017 SEI Penalty

Dear Mr. Hammond:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2017

SEI Code:	759	2017 Filing:	5/18/2017
User Name:	Brandon Hammond	2017 Penalty:	\$90.00
Email:	hammondb@cityofboardman.com		

Brandon Hammond
Po Box 229
Boardman, OR 97818

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

03/14/17 04:03:32.353 - Date Appointed Updated - Date Appointed Updated
05/07/17 01:30:35.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:12.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:12.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 09:13:30.313 - User Profile Updated - The user's main profile was updated..
05/11/17 09:13:40.060 - User Profile Updated - User profile updated for Brandon Hammond
05/12/17 02:20:00.840 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:10.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:11.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:11.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:11.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 03:39:41.007 - User Profile Updated - The user's main profile was updated.
05/18/17 03:39:51.577 - User Profile Updated - User profile updated for Brandon Hammond
05/18/17 04:46:06.607 - User Failed to Authenticate -
05/18/17 04:46:11.897 - User Failed to Authenticate -
05/18/17 04:46:18.120 - User Failed to Authenticate -
05/18/17 04:46:25.830 - User Successfully Authenticated -
05/18/17 04:49:18.860 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed


HUNTER David * OGEC

From: Brandon Hammond <hammondfamily3@hotmail.com>
Sent: Friday, May 19, 2017 3:41 PM
To: OGEC Mail * OGEC
Subject: Fee Waiver

RECEIVED

MAY 22 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners:

My name is Brandon Hammond and am a city councilman for Boardman, OR. I am asking for a fee waiver of \$90 for filing late. This was an oversight on my part and will not happen again. I greatly appreciate the support from the OGEC in helping me to file and resolve this concern.

Sincerely,

Brandon Hammond

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 23, 2017

Richard Johnson
PO Box 839
Depoe Bay OR 97341

Re: 2017 SEI Penalty

Dear Mr. Johnson:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$110 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 2888
User Name: Richard Alvin Johnson
Email: wcove@aol.com

2017 Filing: 5/20/2017
2017 Penalty: \$110.00

Richard Johnson
PO Box 839
Depoe Bay, OR 97341

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/04/17 09:49:46.597 - User Profile Updated - The user's main profile was updated.
05/04/17 09:49:55.990 - User Profile Updated - User profile updated for Richard Johnson
05/07/17 01:30:36.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:13.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:11.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.340 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:24.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 10:43:49.033 - User Successfully Authenticated -
05/20/17 10:53:02.290 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
(initials)

HUNTER David * OGEC

From: WCove@aol.com
Sent: Saturday, May 20, 2017 11:46 AM
To: OGEC Mail * OGEC
Subject: Statement of Economic Interest

RECEIVED

MAY 22 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Oregon Government Ethics Commission,

I just received your e-mail noting I was late on filing my SEI. I have filed my SEIs on time for about the last 20 years and am confused as to how I became delinquent this year. I don't remember and did not see the notices you sent on 3/15/2017 nor the one on 4/21/2017. Also, I wasn't reminded by the county office as in previous years.

I immediately filed my new SEI after getting your e-mail today, 5/20/2017. Please accept my apology and waive the \$110 penalty you noted.

Sincerely,
Richard Alvin Johnson



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 19, 2017

Jim Rue
635 Capitol Street NE
Salem OR 97301

Re: 2017 SEI Penalty

Dear Mr. Rue:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$70 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2017

SEI Code: 642
User Name: jimrue
Email: jim.rue@state.or.us

2017 Filing: 5/16/2017
2017 Penalty: \$70.00

Jim Rue
635 Capitol Street NE
Salem, OR 97301

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

01/15/17 04:52:52.920 - User Failed to Authenticate -
01/15/17 04:52:59.797 - User Failed to Authenticate -
01/15/17 04:53:07.410 - User Successfully Authenticated -
01/17/17 06:24:40.263 - User Failed to Authenticate -
01/17/17 06:24:52.553 - User Successfully Authenticated -
04/04/17 01:05:09.013 - User Successfully Authenticated -
05/07/17 01:30:34.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:11.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:12.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:00.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:09.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:13.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 10:45:31.170 - User Profile Updated - The user's main profile was updated.
05/15/17 10:45:42.293 - User Profile Updated - User profile updated for Jim Rue
05/16/17 01:30:11.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 07:52:27.413 - User Failed to Authenticate -
05/16/17 07:52:48.660 - User Successfully Authenticated -
05/16/17 02:17:33.500 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
(R)

DANIEL Kathy * OGEC

From: Abbott, Amie <amie.abbott@state.or.us>
Sent: Friday, May 19, 2017 11:33 AM
To: OGEC Mail * OGEC
Subject: Fee Waiver Request

RECEIVED

MAY 19 2017

OREGON GOVERNMENT
ETHICS COMMISSION

I am writing today on behalf of Jim Rue, the director of the Department of Land Conservation and Development.

It was brought to our attention from David Hunter that Jim failed to file the Statement of Economic Interest for 2016. Jim, nor myself have record of receiving an email requesting this report. Due to Jim's eight year history of complying with this requirement, I respectfully request a waiver of the fee.

The department's IT department has been notified of the delivery failure.

Thank you in advance for considering this request.
Amie Abbott

Amie Abbott | Executive Assistant to the Director & Commission
Director's Office
Oregon Dept. of Land Conservation and Development
635 Capitol Street NE, Suite 150 | Salem, OR 97301-2540
Direct: (503) 934-0045 | Cell: (503) 383-8911 | Main: (503) 373-0050
amie.abbott@state.or.us | <http://www.oregon.gov/LCD>

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 19, 2017

Les Poole
18340 Cornell Place
Gladstone OR 97027

Re: 2017 SEI Penalty

Dear Mr. Poole:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$100 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 5049
User Name: ClackaLes
Email: elpee5440@gmail.com

2017 Filing: 5/19/2017
2017 Penalty: \$100.00

Les Poole
18340 Cornell Place
Gladstone, OR 97027

2017 Offices Held


No data to report.

Activity Log - 2017 to Date

05/05/17 03:20:55.273 - User Profile Updated - User profile updated for Les Poole
05/05/17 03:21:06.140 - User Profile Updated - The user's main profile was updated.
05/07/17 01:30:42.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:14.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:17.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 04:50:06.557 - Admin User Reset Initiated - An admin user reset was initiated.
05/17/17 01:30:13.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:16.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 02:25:58.673 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed


DANIEL Kathy * OGEC

m: les poole <elpee5440@gmail.com>
Sent: Friday, May 19, 2017 4:07 PM
To: DANIEL Kathy * OGEC
Subject: SEI filing appeal

RECEIVED
MAY 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

May 19, 2017

Dear Commissioners,

I serve on the Gladstone Planning Commission, and intended to resign at the end of April. I enjoy volunteering, but there has been much turmoil in our City. As someone who never quits, I was prepared to.

I changed my mind while visiting a sick family friend in Northern California. Unfortunately there was no internet service there, and I did not leave home until May 14th. I called the Commission office on the 15th because I couldn't access the system. David sent a password reset, but I was unable to make it work. I called again today, and Kathy helped me quickly resolve the issue.

I realize that I should have updated my filing earlier, regardless of the uncertainty about my future on the Planning Commission. I would appreciate the Commission eliminating the \$100 fine, and will be sure to file on time in the future.

Thank you,
Les Poole
Gladstone, Or.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 19, 2017

Eric Quaempts
74817 Old Meacham Creek Rd
Adams OR 97810

Re: 2017 SEI Penalty

Dear Mr. Quaempts:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2017

SEI Code: 6004
User Name: EQuaempts
Email: ejquaempts@gmail.com

2017 Filing: 5/18/2017
2017 Penalty: \$90.00

Eric Quaempts
74817 Old Meacham Creek Road
Adams, OR 97810

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

04/18/17 10:48:25.947 - User Profile Created - User profile created for Eric Quaempts
04/18/17 10:48:36.560 - User Profile Created - A new user profile was created.
04/18/17 10:48:36.593 - SEI Assigned to Seat - SEI Assigned to Seat
04/18/17 11:07:22.397 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017
05/07/17 01:30:44.593 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/07/17 02:34:20.167 - User Successfully Authenticated -
05/09/17 01:30:15.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:18.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:04.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.797 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:14.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:14.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:02:15.997 - User Successfully Authenticated -
05/18/17 01:23:41.750 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

John AED
PO

DANIEL Kathy * OGEC

From: Eric Quaempts <ejquaempts@gmail.com>
Sent: Thursday, May 18, 2017 1:42 PM
To: OGEC Mail * OGEC
Subject: Fees for Late Filing of SEI

RECEIVED
MAY 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Good afternoon. As per email below, I have a late filing fee accrued for my statement of economic interest. I tried at least three times over the last two weeks to complete and submit the report I had started, and which was pending. I was unable to interact with the form to edit and submit it, even with ad blocker software disabled. I do not know why my browser wouldn't interact with it, but I finally called the office had with assistance was able to complete the filing.

I would appreciate it if my fines could be waived or at least reduced.

Thank you for considering this request.

Eric J. Quaempts
74817 Old Meacham Creek Rd
Adams, OR 97810
541-566-9055

Date: Thu, May 18, 2017 at 1:23 PM
Subject: Statement of Economic Interest Receipt of Late Filing
To: ejquaempts@gmail.com

Dear Eric James Quaempts:

Oregon law, pursuant to ORS 244.050, requires specified public officials who hold office on April 15 of each year to complete an Annual Verified Statement of Economic Interest (SEI) with the Oregon Government Ethics Commission (Commission). An email was sent to you on 3/15/2017 stating that your required report was due to be filed with the Commission no later than April 15, 2017. You were also reminded on 4/21/2017 that you had until no later than to submit your report without penalty.

Your late filing was received on 5/18/2017. Accordingly, you have accrued a penalty of \$90.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 6/17/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by e-mail to ogec.mail@oregon.gov.

Please remember to visit the Electronic Filing System at <http://apps.oregon.gov/OGEC/EFS/Home/SignIn> to update our profile if you have changes to your email address or other personal information. If you have any



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 19, 2017

Peny Wallace
PO Box 605
Mosier OR 97040

Re: 2017 SEI Penalty

Dear Ms. Wallace:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code:	5110	2017 Filing:	5/18/2017
User Name:	penywallace	2017 Penalty:	\$90.00
Email:	peny.wallace@cityofmosier.com		

Peny Wallace
PO Box 605
Mosier, OR 97040

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/04/17 04:00:03.550 - User Profile Updated - The user's main profile was updated.
05/04/17 04:00:13.140 - User Profile Updated - User profile updated for Peny Wallace.
05/07/17 01:30:42.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:17.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 04:40:33.793 - User Successfully Authenticated -
05/18/17 01:30:13.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 07:10:51.547 - User Successfully Authenticated -
05/18/17 08:39:22.780 - User Failed to Authenticate -
05/18/17 04:18:20.817 - User Successfully Authenticated -
05/18/17 04:25:02.967 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017
05/18/17 04:29:02.210 - User Successfully Authenticated -
05/18/17 04:31:24.037 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter filed
[Signature]

DANIEL Kathy * OGEC

From: Peny Wallace <asherart98@gorge.net>
Sent: Thursday, May 18, 2017 9:42 PM
To: OGEC Mail * OGEC
Cc: kfitzz77@gmail.com
Subject: SEI Filing

RECEIVED

MAY 19 2017

**OREGON GOVERNMENT
ETHICS COMMISSION**

Dear Commission,

My name is Peny Wallace and I am a member of Mosier City Council. This email is to request a delinquent fee be waived due to a problem getting my online filing correctly sent.

I thought I had filed, confused because I did not get a confirmation, so naively I assumed all was well. I then had problems checking the City Council Website for Council News due to website complications so did not receive any emails regarding delinquent notices. When the computer problem was solved I found that I had messages that said I had not filed ! Yikes I called the Ethics Committee immediately and spoke to David. he was very helpful. I found out the problem and the solution, to not use Google Chrome, but use another browser, for which I did ! I immediately filed - Confirmation # A8XA0TW. My City Council has not offered training in updates for Electronic filing and I will let all know on Mosier Council of the Browser incompatibility.

With this email I request a waiver of any fees incurred in my name. I have filed successfully for 10 years, first on paper, sending it and now I am wiser with the electronic filing.

You have a Board Meeting in early June, please let me know that fees have been waived....

Sincerely, Peny Wallace



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 19, 2017

Carol Rager
PO Box 191
Maupin OR 97037

Re: 2017 SEI Penalty

Dear Ms. Rager:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$100 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 2997
User Name: junrag
Email: junrag@hotmail.com

2017 Filing: 5/19/2017
2017 Penalty: \$100.00

Carol Rager
PO Box 191
Maupin, OR 970370191

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/05/17 02:40:11.473 - User Profile Updated - User profile updated for Carol Rager
05/05/17 02:40:21.893 - User Profile Updated - The user's main profile was updated.
05/07/17 01:30:37.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:13.210 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:14.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:11.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:12.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:12.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:14.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 08:55:59.973 - User Failed to Authenticate -
05/19/17 08:57:53.773 - User Successfully Authenticated -
05/19/17 09:05:46.397 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(PR)

DANIEL Kathy * OGEC

From: Carol Rager <junrag@hotmail.com>
Sent: Friday, May 19, 2017 9:13 AM
To: DANIEL Kathy * OGEC
Subject: late filing

RECEIVED
MAY 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

I apologize for my late filing. I have no really great excuse. I see that I have received several emails, unfortunately before today they were going to my junk mail. Also I was in NC caring for grand dad during his the last month of his life, distracted and without internet I was not very good about checking my email. Thank you Kathy for your help this morning and I hope you will consider dismissing my late penalties. Thank you for you time!
Carol Rager



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 24, 2017

Marla Stephenson
355 W. M St.
Benicia CA 94510

Re: 2017 SEI Penalty

Dear Ms. Stephenson:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$180 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2017

SEI Code: 4779
User Name: Marla Stephenson
Email: marlas1228@gmail.com

2017 Filing: 5/23/2017
2017 Penalty: \$180.00

Marla Stephenson
355 W M St.
Benicia, CA 94510

2017 Offices Held


No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:40.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:14.317 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:15.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:02.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:12.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:14.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:12.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/18/17 01:30:13.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/19/17 01:30:15.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/20/17 01:30:30.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/21/17 01:30:13.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 01:30:15.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/22/17 06:48:25.137 - User Failed to Authenticate -
05/22/17 06:48:39.560 - User Failed to Authenticate -
05/23/17 01:30:16.140 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017
05/23/17 03:59:59.847 - Admin User Reset Initiated - An admin user reset was initiated.
05/23/17 04:03:04.353 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/23/17 04:03:27.080 - User Successfully Authenticated -
05/23/17 04:10:47.183 - Late SEI Report Filed - SEI Report Filed Late for year 2017
05/23/17 04:15:35.387 - User Password Reset - The user's password was reset from the "My Profile" page.
05/23/17 04:15:35.497 - User Profile Updated - The user's main profile was updated.
05/23/17 04:15:46.873 - User Profile Updated - User profile updated for Marla Stephenson
05/23/17 04:18:07.237 - Seat Vacated - Seat Vacated

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed


DANIEL Kathy * OGEC

From: Marla Stephenson <stephensonm@estacada.k12.or.us>
Sent: Tuesday, May 23, 2017 4:28 PM
To: OGEC Mail * OGEC
Subject: 2017 Ethics filing penalty

RECEIVED
MAY 24 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commisioners,

I served as the superintendent of Estacada School District from July, 2014 through April, 2017. I have accrued \$180.00 in penalties because I did not file a report until 5/23/17. Due to health issues I had to step down from my position at the end of April, 2017, and as such, I do not have regular access to District email. I did file promptly once discovered that I still needed to file. I am asking that you waive the \$180.00 penalty.

Thank you for you attention to this matter.

Sincerely,

Marla Stephenson

"Our mission is to equip every student with the skills necessary to be competent, resourceful, and successful." - Estacada School District



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 24, 2017

Percy Jensen
305 Pine Ave.
Hood River OR 97031

Re: 2017 SEI Penalty

Dear Mr. Jensen:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$80 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 5081
User Name: JENSEN
Email: jensenpd2@aol.com

2017 Filing: 5/17/2017
2017 Penalty: \$80.00

Percy Jensen
305 Pine Avenue
Hood River, OR 97031

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:42.227 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:15.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/11/17 01:30:17.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/12/17 02:20:03.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/14/17 01:30:13.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/15/17 01:30:15.397 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/16/17 01:30:13.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/17/17 02:50:53.133 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter AEd
(AEd)

Oregon Government Ethics Commission
3218 Pringle Rd SE #220
Salem Or 97302-1544

May 17, 2017

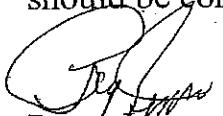
Dear Commisioners:

After our phone conversation of 5-16-2017 I received an email (copy attached) stating that I submit a check for \$80.00 as penalty for not for late filing.

We did not receive prior emails of 3-15-2017 and 4-21-2017 . We were in Arizona at an RV park at that time and could not receive emails. We did receive an email on 5-16-2017 about failure to complete an Annual Verified Statement of Economic Interest. A e-filing #CW8DW35 dated 5-16-2017 stating I, Percy Jensen, no longer held an office in Hospital Facility Authority In Hood River. This information is on file in your office and explains in detail that I no longer hold this office. This is proof enough that my name should be taken off your files and this notification that we received on 5-16-2017 should never have been sent. Your files should be cleared of my involvement with the Health Authority and the lack of me filing for 2017 should be voided.

I am enclosing a check for \$80.00 as requested. The Ethics Commission must review this case and return the check to me in full. I have complied with all correspondence when received for all the years and you can look through your records and see this is true

I served on this committee as a volunteer without pay for all those years and should be congratulated for the hours spent and not penalized by this notification.


Percy Jensen

(

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Casey Daline
11740 SW 68th Pkwy
Portland OR 97223

Dear Ms. Daline:

The written explanation of why the third quarter 2016 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q3 2016 (\$5,000) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2016

Lobbyist Code: 407
User Name: caseydaline
Email: casey@eames-consulting.com

Q3 2016 Filing: 4/26/2017
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$5,000.00

Casey Daline
11740 SW 68th Pkwy
Portland, OR 97223

Activity Log - Q3 2016 to Date

07/11/16 01:24:55.120 - User Successfully Authenticated -
07/11/16 01:29:20.950 - Lobbyist Report Submitted - Lobbyist Report Submitted
07/11/16 01:30:33.493 - User Security Questions Reset - The user's security questions were reset from the "My Profile" page.
07/11/16 01:30:33.527 - User Profile Updated - User profile updated for Casey Daline
07/11/16 01:30:33.557 - User Profile Updated - The user's main profile was updated.
10/16/16 01:30:12.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:12.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:13.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/20/16 01:30:13.797 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/21/16 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/22/16 01:30:11.390 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/23/16 01:30:11.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/24/16 01:30:14.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/25/16 01:30:11.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/26/16 01:30:16.150 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/27/16 01:30:17.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/28/16 01:30:17.487 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/29/16 01:30:17.207 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/30/16 01:30:17.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/31/16 01:30:15.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/01/16 01:30:15.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/02/16 01:30:15.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/03/16 01:30:16.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/04/16 01:30:15.000 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/05/16 01:30:16.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/06/16 01:30:45.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/07/16 01:30:15.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/08/16 01:30:14.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/09/16 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/10/16 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/11/16 01:30:14.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/12/16 01:30:14.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/13/16 01:30:14.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/14/16 01:30:15.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/15/16 01:30:13.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/16/16 01:30:12.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/17/16 01:30:12.253 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/18/16 01:30:12.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/19/16 01:30:15.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/20/16 01:30:13.933 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/21/16 01:30:12.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/22/16 01:30:15.070 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/23/16 01:30:12.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/24/16 01:30:14.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/25/16 01:30:12.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/26/16 01:30:12.620 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/27/16 01:30:12.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/28/16 01:30:14.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/29/16 01:30:14.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/30/16 01:30:11.303 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
12/01/16 01:30:12.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
12/02/16 01:30:12.287 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
12/03/16 01:30:12.423 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
12/04/16 01:30:13.603 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
12/05/16 01:30:19.940 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3

Lobbyist Activity
Q3 2016

Lobbyist Code: 407
User Name: caseydaline
Email: casey@eames-consulting.com

Q3 2016 Filing: 4/26/2017
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$5,000.00

Casey Daline
11740 SW 68th Pkwy
Portland, OR 97223

01/30/17 01:30:12.443 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
01/31/17 01:30:15.193 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
02/01/17 01:30:17.757 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
02/02/17 01:30:12.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
02/03/17 01:30:16.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
02/04/17 01:30:15.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
04/26/17 10:41:09.080 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016

KathyD April 27th, 2017 at 12:23 PM

4/26/2017 I spoke with Casey. She term'd in May 2016 however termination was not registered in the system until July, which required a Q3 report. I assisted her with this filing and she will be writing a Letter of Explanation. She is no longer a lobbyist since May 2016.

Received Letter of Explanation.

Letter of Acknowledgement sent.

PAST VIOLATIONS: Q1 2015, paid in full \$100

RECOMMENDATION:

Second violation 12/16 \$500

DANIEL Kathy * OGEC

From: Casey Daline <caseydaline@gmail.com>
Sent: Wednesday, April 26, 2017 11:16 AM
To: DANIEL Kathy * OGEC
Cc: jon@eames.consulting
Subject: Casey Daline: Letter of Explanation

RECEIVED
APR 26 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear commissioners,

This morning I received a phone call from Kathy Daniel informing me that I needed to file a 2016 Q3 spending report as a registered lobbyist. While my last day as a lobbyist was May 26th, 2016 (my last day at Eames Consulting), I understand my status was not terminated in the system until July 11, several days into Q3. I understand the missing form has been accumulating fines since that point. Kathy filed the form on my behalf today over the phone.

My last day at Eames Consulting as a lobbyist was May 26th, 2016. My email address at the company was terminated shortly thereafter. Therefore, I did not receive any notices of lateness or delinquency, or notice of fees owed to the Oregon Government Ethics Commission. As I mentioned, this was brought to my attention for the first time today (4/26/2017) by Kathy's phone call.

I would like to request mitigation of the resultant fees, as I was not aware that my termination of status was not registered in the system, and I was not aware of the missing form or the fees. I had not been contacted by phone prior to today that I am aware of.

I should note that one other time in my approximately 20 months as a lobbyist, I was late on filing my spending report. I believe this was in 2015, but I do not recall the specific date. The form was filed and the late fee was paid in person at the OGEC office.

I greatly appreciate your consideration and your understanding. Please feel free to contact me at this email, at my phone number (503-358-5545), or at my personal address (615 SE 52nd Ave, Portland, OR 97215). I have copied Jon Eames, president of Eames Consulting and my former employer, on this email should you have any questions about my employment or need documentation.

Thanks,
Casey

--

Casey Daline
503-358-5545



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Lena Spadacene
1975 NW Everett St. #503
Portland OR 97209

Dear Ms. Spadacene:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$90) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 808
User Name: Lena Spadacene
Email: lspadacene@gmail.com

Q1 2017 Filing: 4/25/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$90.00

Lena Spadacene
1975 NW Everett St. #503
Portland, OR 97209

Activity Log - Q1 2017 to Date

01/15/17 04:42:33.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:10:59.987 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:34:55.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:01.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:29.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:30.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:25.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:26.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 11:09:14.577 - User Failed to Authenticate -
01/20/17 11:10:49.883 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/20/17 11:12:59.680 - User Profile Updated - User profile updated for Lena Spadacene
01/20/17 11:13:07.990 - User Profile Updated - The user's main profile was updated.
01/20/17 11:14:48.333 - User Profile Updated - User profile updated for Lena Spadacene
01/20/17 11:14:56.753 - User Profile Updated - The user's main profile was updated.
01/20/17 11:19:57.783 - User Successfully Authenticated -
04/15/17 01:30:42.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:25.380 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:25.667 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:18.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:17.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:17.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:14.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:13.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:14.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:14.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:15.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:11:25.710 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/25/17 01:12:51.733 - Admin User Reset Initiated - An admin user reset was initiated.
04/25/17 01:14:11.173 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/25/17 01:14:35.927 - User Successfully Authenticated -
04/25/17 01:15:23.970 - User Profile Updated - User profile updated for Lena Spadacene
04/25/17 01:15:34.380 - User Password Reset - The user's password was reset from the "My Profile" page.
04/25/17 01:15:34.583 - User Profile Updated - The user's main profile was updated.
04/25/17 01:15:52.273 - Admin User Reset Initiated - An admin user reset was initiated.

KathyD April 28th, 2017 at 8:58 AM

4/27/17 Letter of Explanation received. Letter of Acknowledgement sent.

KathyD April 25th, 2017 at 1:23 PM

4/25/2017 I spoke with Lena and assisted her with password reset and change of email address. She has not been getting emails after quitting the client. She filed Q1 2017 and will write letter of explanation.

KathyD April 25th, 2017 at 1:19 PM

1/20/17 Letter of Explanation received.

1/25/17 Letter of Acknowledgement sent.

3/10/2017 Letter of Education issued after Commission vote.

KathyD January 20th, 2017 at 11:14 AM

1/20/17 Lena called and explained she doesn't have access to the email address in the account since she no longer works for them. With her permission, I assisted in filing her Q4 report. She will send in a Letter of Explanation.

VIOLATIONS: Q4 2016 report Letter of Education \$50 waived

RECOMMENDATION:

\$50⁰⁰ 

HUNTER David * OGEC

From: Lena S <lspadacene@gmail.com>
Sent: Thursday, April 27, 2017 4:04 PM
To: OGEC Mail * OGEC
Subject: Request for fees waived

RECEIVED

APR 27 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Hello OEC,

I am writing as a follow up to communications we have exchanged regarding the termination of my lobbyist status.

In November 2016 I left my position at Oregon Wild. In January 2018 notices of lobby reports due were sent to an email address I no longer had access to. With your help I was able to explain the situation, have my lobby account terminated, and receive leniency for the fee.

This conclusion of events led me to believe I was officially absolved, assuming November 19 was now catalogued in your system.

That turns out not to be the case, as Kathy informed me this week. Since the system actually catalogued my termination date as January 20, there have been notices being sent once again to an email address I do not have access to regarding a LQ! for 2017.

With Kathy's help, my last lobby report has been submitted and, as I understand, my account is truly closed.

I am writing this all to request that the fees accrued during this time be waived.

Thank you,
Lena

3-10-17 - Letter of Ed \$50

Q1 = Filed 4/25 = \$90

Client terminated 1-20-17
Notification states requirement to
file a Q1 2017.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Oregon Cannabis Association
Attn: Amy Margolis
111 SW 5th Ave
US Bancorp Tower, Suite 2080
Portland OR 97204

Dear Ms. Margolis:

The written explanation of why your Lobbyist and Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the penalties, \$840 Lobbyist and \$570 Client, will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Amy Margolis, Lobbyist

KathyD April 27th, 2017 at 3:33 PM

4/24/17 Letter of Explanation received.

4/27/17 Letter of Acknowledgement sent.

KathyD January 26th, 2017 at 11:48 AM

1/26/17 Spoke with assistant Angele and trained her on the system. She will manage accounts for Amy.

KathyD January 25th, 2017 at 4:15 PM

1/25/17 Amy sent an email asking why she's getting so many notices...

My reply: I just left you a message regarding this as well...

You are getting notices because you have not filed your Q4 client expenditure report which was due Jan 15, 2017.

You have one client account, User Name is cannabis. This account owes \$560. Q2 late penalty \$110; Q3 late penalty \$350; Q4 late penalty as of today, \$100.

This account has one active lobbyist—you.

Your lobbyist account, user name orcannabis, owes \$840. Q2 \$110; Q3 \$650; Q4 \$80.

Call me so we can get this taken care of please.

KathyD November 9th, 2016 at 4:42 PM

11/9/16 She successfully filed today. I emailed her to submit a letter of explanation to the Commission if she'd like.

KathyD November 7th, 2016 at 1:09 PM

11/7/16 Email from Amy saying she's still having problems and the link is asking for registration code. Bill I was able to troubleshoot and I sent Amy a new password with the recommendation to change as soon as she gets into her account.

KathyD November 3th, 2016 at 10:56 AM

11/3/16 I corrected her email address and sent her confirmation of account names and asked her to reset password. I let her know she can send a Letter of Explanation or pay the accrued penalties.

KathyD November 3th, 2016 at 10:08 AM

11/3/16 I spoke with Amy and brought to her attention that the exp rpts have not been filed for Q2 and Q3. She has not managed her account profile so the emails have been going into an obsolete mailbox. I sent password resets for all three accounts.

PAST VIOLATIONS: Q2, Q3, Q4 2016

RECOMMENDATION:

No recommendation

Lobbyist Activity

Q2 2016

Lobbyist Code: 620
User Name: orcannabis
Email: amy.margolis@gmlaw.com

Q2 2016 Filing: 7/26/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$110.00

Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080, Portland, OR 97204
Portland, OR 97204

Activity Log - Q2 2016 to Date

05/10/16 09:54:35.127 - User Failed to Authenticate -
05/10/16 11:36:43.127 - User Failed to Authenticate -
05/10/16 11:46:23.763 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/16 02:13:27.957 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/16 02:14:45.947 - User Failed to Authenticate -
05/10/16 02:15:06.037 - User Failed to Authenticate -
05/10/16 02:15:29.220 - User Failed to Authenticate -
05/10/16 02:22:08.303 - User Failed to Authenticate -
05/10/16 02:22:15.217 - User Failed to Authenticate -
05/10/16 02:22:22.657 - User Failed to Authenticate -
05/10/16 02:23:47.310 - User Failed to Authenticate -
05/10/16 02:30:31.177 - Admin User Reset Initiated - An admin user reset was initiated.
05/12/16 02:28:48.947 - User Failed to Authenticate -
05/12/16 02:28:55.430 - User Failed to Authenticate -
05/12/16 02:28:59.110 - User Failed to Authenticate -
05/12/16 02:29:04.133 - User Failed to Authenticate -
05/16/16 07:44:08.113 - User Account Unlocked - The user's account was unlocked.
05/16/16 07:44:14.197 - Admin User Reset Initiated - An admin user reset was initiated.
05/18/16 10:03:34.510 - Admin User Reset Initiated - An admin user reset was initiated.
05/18/16 11:30:33.370 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/18/16 11:30:47.350 - User Successfully Authenticated -
05/18/16 11:52:08.330 - Lobbyist Report Submitted - Lobbyist Report Submitted
07/16/16 01:30:27.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:26.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:24.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:27.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:31.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:30.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:27.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:49.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:28.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 03:10:36.107 - User Successfully Authenticated -
07/26/16 01:30:30.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 11:25:24.100 - User Successfully Authenticated -
07/26/16 11:26:26.570 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2016
07/26/16 11:38:06.383 - User Successfully Authenticated -
07/26/16 03:55:25.817 - User Successfully Authenticated -
10/16/16 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:12.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:13.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/20/16 01:30:13.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/21/16 01:30:13.613 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/22/16 01:30:11.437 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/23/16 01:30:11.493 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/24/16 01:30:14.937 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/25/16 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/26/16 01:30:11.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/27/16 01:30:17.950 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/28/16 01:30:18.420 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/29/16 01:30:18.093 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/30/16 01:30:18.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/31/16 01:30:16.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/01/16 01:30:16.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/02/16 01:30:16.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3

Lobbyist Activity

Q2 2016

Lobbyist Code: 620
User Name: orcannabis
Email: amy.margolis@gmlaw.com

Q2 2016 Filing: 7/26/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$110.00

Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080, Portland, OR 97204
Portland, OR 97204

11/03/16 01:30:17.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/03/16 10:08:53.847 - Admin User Reset Initiated - An admin user reset was initiated.
11/03/16 10:19:32.307 - Admin User Password Reset Completed - A user reset their password using an admin reset.
11/03/16 10:21:03.163 - User Successfully Authenticated -
11/03/16 10:21:48.477 - User Password Reset - The user's password was reset from the "My Profile" page.
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11/05/16 01:30:17.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/06/16 01:30:46.120 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/07/16 01:30:16.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
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01/15/17 06:10:54.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:33:55.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:19:58.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:32:59.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:27.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:22.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:23.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/21/17 01:30:22.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/22/17 01:30:28.153 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/23/17 01:30:13.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/23/17 07:31:05.613 - User Failed to Authenticate -
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01/23/17 07:33:57.627 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/26/17 11:23:32.493 - Admin User Reset Initiated - An admin user reset was initiated.
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01/26/17 11:51:03.337 - User Successfully Authenticated -
01/26/17 11:52:24.060 - User Profile Updated - The user's main profile was updated.
01/26/17 11:52:28.333 - User Profile Updated - User profile updated for Amy Margolis
04/04/17 03:16:52.220 - User Successfully Authenticated -
04/04/17 03:23:25.573 - User Successfully Authenticated -
04/04/17 03:29:13.780 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/04/17 03:52:01.497 - User Successfully Authenticated -
04/04/17 03:53:29.657 - User Successfully Authenticated -
04/04/17 03:57:51.477 - User Successfully Authenticated -
04/04/17 03:58:53.300 - User Profile Updated - User profile updated for Amy Margolis
04/04/17 03:59:03.290 - User Profile Updated - The user's main profile was updated.
04/11/17 04:34:14.570 - User Successfully Authenticated -
04/11/17 04:46:14.933 - User Successfully Authenticated -
04/11/17 04:48:39.547 - User Successfully Authenticated -

Lobbyist Activity

Q3 2016

Lobbyist Code: 620
User Name: orcannabis
Email: amy.margolis@gmlaw.com

Q3 2016 Filing: 11/9/2016
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$650.00

Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080, Portland, OR 97204
Portland, OR 97204

Activity Log - Q3 2016 to Date

07/16/16 01:30:27.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:26.803 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:24.707 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:27.863 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:26.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:31.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:30.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:27.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:49.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:28.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 03:10:36.107 - User Successfully Authenticated -
07/26/16 01:30:30.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 11:25:24.100 - User Successfully Authenticated -
07/26/16 11:26:26.570 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2016
07/26/16 11:38:06.383 - User Successfully Authenticated -
07/26/16 03:55:25.817 - User Successfully Authenticated -
10/16/16 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:12.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:13.660 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/20/16 01:30:13.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
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10/25/16 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/26/16 01:30:17.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
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11/03/16 10:08:53.847 - Admin User Reset Initiated - An admin user reset was initiated.
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Lobbyist Activity

Q3 2016

Lobbyist Code: 620
User Name: orcannabis
Email: amy.margolis@gmlaw.com

Q3 2016 Filing: 11/9/2016
Q3 2016 Expended: \$0.00
Q3 2016 Penalty: \$650.00

Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080, Portland, OR 97204
Portland, OR 97204

11/09/16 04:30:55.887 - User Successfully Authenticated -
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01/15/17 04:42:33.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
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Lobbyist Activity

Q4 2016

Lobbyist Code: 620
User Name: orcannabis
Email: amy.margolis@gmlaw.com

Q4 2016 Filing: 1/23/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$80.00

Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080, Portland, OR 97204
Portland, OR 97204

Activity Log - Q4 2016 to Date

10/16/16 01:30:12.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:12.410 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
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01/23/17 07:33:57.627 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016

Lobbyist Activity

Q4 2016

Lobbyist Code: 620
User Name: orcannabis
Email: amy.margolis@gmlaw.com

Q4 2016 Filing: 1/23/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$80.00

Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080, Portland, OR 97204
Portland, OR 97204

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04/11/17 04:48:39.547 - User Successfully Authenticated -

April 13, 2017

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544
Via email to: OGEC.mail@oregon.gov

RECEIVED
APR 24 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners,

I am writing this letter to you to address two accounts registered in my name with the State of Oregon. These accounts are for the Oregon Cannabis Association and Amy T. Margolis registered lobbyist client/employer. During the calendar year of 2016 I was delinquent in filing my quarterly reports. I was not receiving email reminders of due dates and trusted an untrained employee to file such reports on my behalf. All 2016 filings were completed, though not on time.

Q2, Q3, Q4
#570

Q2, Q3, Q4 2016
#840

Due to an email hiccup, I was out of the loop on all communication from your office until January 23rd, 2017. On that date I, along with my new administrative assistant, called your office to sort out the accounts in question. In addition to updating my information I added a failsafe email to which reminders are now sent, and myself and my employee received training from a helpful representative from your office; Kathy Daniel. Under her direction we have implemented a new system to ensure that the reports are filed, and have filed the 2017 Q1 reports appropriately and early.

Ms. Daniel directed me to write a letter to the OGEC to take accountability for the late filings in 2016, and to see if the commission would consider dismissal or a reduction of penalties on both accounts given the training and management previously described.

Please let me know if you require more information to make your decision.

Sincerely,

Amy T. Margolis



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Kasandra Griffin
1718 SE 34th Ave.
Portland OR 97214

Dear Ms. Griffin:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$110) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 167
User Name: Kasandra Griffin
Email: kasandra.griffin@gmail.com

Q1 2017 Filing: 4/26/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$110.00

Kasandra Griffin
1718 SE 34th Ave.
Portland, OR 97214

Activity Log - Q1 2017 to Date

01/10/17 01:59:25.143 - User Successfully Authenticated -
01/10/17 02:02:13.420 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/16/17 01:31:21.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:31:30.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 11:02:47.390 - User Failed to Authenticate -
01/19/17 11:02:52.533 - User Successfully Authenticated -
01/19/17 11:04:27.223 - User Profile Updated - User profile updated for Kasandra Griffin
01/19/17 11:04:35.543 - User Password Reset - The user's password was reset from the "My Profile" page.
01/19/17 11:04:35.663 - User Profile Updated - The user's main profile was updated.
02/28/17 01:35:17.477 - User Successfully Authenticated -
04/15/17 01:30:17.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:13.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:16.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:14.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:14.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:14.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:12.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:12.100 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:12.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:12.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:14.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:10.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 04:04:41.617 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/26/17 04:07:58.407 - User Profile Updated - The user's main profile was updated.
04/26/17 04:08:05.407 - User Profile Updated - User profile updated for Kasandra Griffin
04/26/17 04:08:57.417 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/17 04:09:46.587 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/17 04:10:06.233 - User Successfully Authenticated -
04/26/17 04:10:40.257 - User Password Reset - The user's password was reset from the "My Profile" page.
04/26/17 04:10:40.320 - User Profile Updated - The user's main profile was updated.
04/26/17 04:10:49.203 - User Profile Updated - User profile updated for Kasandra Griffin
04/27/17 09:49:21.423 - User Profile Updated - User profile updated for Kasandra Griffin
04/27/17 09:49:32.127 - User Profile Updated - The user's main profile was updated.

KathyD April 27th, 2017 at 9:50 AM

4/26/17 I spoke with Kasandra. I assisted her with filing her Q1. She will submit a letter of explanation. I also changed her email address so she can receive notifications.

Letter of Explanation received.

Letter of Acknowledgement sent.

Past Violations: Q3 2016
\$30 Letter of Education

Recommendations:

No recommendations

KathyD April 25th, 2017 at 11:19 AM

4/25/2017 Her # is disconnected. I called the client, Sam with Upstream, and left a message for contact info on Kasandra.

KathyD March 2th, 2017 at 2:36 PM

3/2/17 Email from Kasandra saying Upstream is now out of business as of today. Her email bounced back. I called and left a message for her to update her email address in the system so she will be notified from EFS when she can file Q1 2017 report.

DANIEL Kathy * OGEC

om: Kasandra Griffin <kasandra.griffin@gmail.com>
Sent: Wednesday, April 26, 2017 4:18 PM
To: DANIEL Kathy * OGEC
Cc: Samantha Shepherd
Subject: Request for fee forgiveness

RECEIVED

APR 26 2017

OREGON GOVERNMENT
ETHICS COMMISSION

To the Oregon Government Ethics Commissioners:

I am writing to request forgiveness of fees that accrued due to late filing of my first quarter lobby reporting form.

The organization where I worked for five years, and on whose behalf I lobbied (Upstream Public Health) closed its doors and laid off all staff at the end of February, 2017. I am currently unemployed and not lobbying.

I was not able to fill out first quarter reporting forms *before* I lost my job, because the quarter was not yet over.

I left clear and detailed instructions to a board member asking him to file first quarter lobby reporting forms for myself, my colleague Samantha Shepherd, and for the organization, when the forms became available in April. He seems not to have succeeded in doing that task, unfortunately. I did not receive any of the reminder or late notices because I no longer have access to my former Upstream email address.

I hope that you can understand that I tried to get the forms filed correctly and on time, and that you will forgive these fees.

Thank you for the consideration and please do not hesitate to contact me directly if you have any questions.

Kasandra Griffin
503.238.1799



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 15, 2017

Michael Nemeroff
Sidley Austin LLP
1501 K Street, NW
Washington DC 20005

Re: Ken Glazer, Lobbyist

Dear Mr. Nemeroff:

The written explanation of why Ken Glazer's quarter four 2016, and quarter one 2017 lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q4 2016 and Q1 2017 (\$5,550) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 772
User Name: Ken_Glazer
Email: kglazer@sidley.com

Q1 2017 Filing: 5/8/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$550.00

Ken Glazer
1501 K Street NW
Washington, DC 20005-1403

04/26/17 01:30:10.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/26/17 01:30:11.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:09.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/27/17 01:30:10.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:09.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/28/17 01:30:10.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:09.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/29/17 01:30:10.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/30/17 01:30:11.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:12.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/01/17 01:30:13.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/02/17 01:30:10.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:10.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/03/17 01:30:11.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:09.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/04/17 01:30:10.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:09.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/05/17 01:30:11.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:09.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/06/17 01:30:10.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:30.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/07/17 01:30:31.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:12.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 06:59:12.493 - User Successfully Authenticated -
05/08/17 07:00:28.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
05/08/17 07:01:11.427 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

Lobbyist Activity

Q4 2016

Lobbyist Code: 772
User Name: Ken_Glazer
Email: kglazer@sidley.com

Q4 2016 Filing: 5/8/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$5,000.00

Ken Glazer
1501 K Street NW
Washington, DC 20005-1403

Activity Log - Q4 2016 to Date

10/05/16 11:40:51.043 - User Failed to Authenticate -
10/05/16 11:40:59.400 - User Failed to Authenticate -
10/05/16 11:41:05.627 - User Successfully Authenticated -
10/05/16 11:43:21.743 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
01/15/17 04:42:33.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:10:59.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:34:44.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:01.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:23.527 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:30.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:25.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:26.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/21/17 01:30:25.230 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/22/17 01:30:30.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/23/17 01:30:21.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/24/17 01:30:15.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/25/17 01:30:16.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/26/17 01:30:14.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/27/17 01:30:21.810 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/28/17 01:30:18.997 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/29/17 01:30:19.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/30/17 01:30:18.650 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/31/17 01:30:19.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/01/17 01:30:23.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/02/17 01:30:15.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/03/17 01:30:19.717 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/04/17 01:30:19.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/05/17 01:30:18.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/06/17 01:30:22.147 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/07/17 01:30:14.987 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/08/17 01:30:16.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/09/17 01:30:18.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/10/17 01:30:20.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/11/17 01:30:16.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/12/17 01:30:12.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/13/17 01:30:12.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/14/17 01:30:11.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/15/17 01:30:16.453 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/16/17 01:30:18.990 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/17/17 01:30:18.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/18/17 01:30:18.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/19/17 01:30:12.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/20/17 01:30:15.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/21/17 01:30:11.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/22/17 01:30:13.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/23/17 01:30:16.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/24/17 01:30:12.163 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/25/17 01:30:19.063 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/26/17 01:30:16.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/27/17 01:30:15.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
02/28/17 01:30:12.013 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
03/01/17 01:30:12.463 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
03/02/17 01:30:12.010 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
03/03/17 01:30:11.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
03/04/17 01:30:22.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
03/05/17 01:30:11.387 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4

Lobbyist Activity

Q4 2016

Lobbyist Code: 772
User Name: Ken_Glazer
Email: kglazer@sidley.com

Q4 2016 Filing: 5/8/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$5,000.00

Ken Glazer
1501 K Street NW
Washington, DC 20005-1403

04/24/17 01:30:11.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/24/17 01:30:13.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:12.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/25/17 01:30:15.423 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:10.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/26/17 01:30:11.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:09.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/27/17 01:30:10.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:09.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/28/17 01:30:10.367 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:09.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/29/17 01:30:10.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
04/30/17 01:30:11.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:12.557 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/01/17 01:30:13.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/02/17 01:30:10.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:10.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/03/17 01:30:11.023 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:09.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/04/17 01:30:10.427 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:09.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/05/17 01:30:11.077 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:09.520 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/06/17 01:30:10.080 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:30.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q4
05/07/17 01:30:31.503 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:12.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 06:59:12.493 - User Successfully Authenticated -
05/08/17 07:00:28.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
05/08/17 07:01:11.427 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

No recommendation (RR)

KathyD May 16th, 2017 at 11:01 AM

5/11/17 Letter of Explanation received.

5/15/17 Letter of Acknowledgement sent.

KathyD May 16th, 2017 at 11:01 AM

4/25/2017 Called number on file. Recording says he's retired. I left a message for Michael (?) to call me regarding unfinished business for Ken.

KathyD May 5th, 2017 at 12:21 PM

5/5/17 I received a call from Mike Nimeroff. Very concerned and interested in making this right. He will r
esearch and call back on Monday, May 8.



SIDLEY AUSTIN LLP
 1501 K STREET, N.W.
 WASHINGTON, D.C. 20005
 +1 202 736 8000
 +1 202 736 8711 FAX

mnemeroff@sidley.com
 +1 202 736 8235

BEIJING	HONG KONG	SAN FRANCISCO
BOSTON	HOUSTON	SHANGHAI
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CENTURY CITY	LOS ANGELES	SYDNEY
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DALLAS	NEW YORK	WASHINGTON, D.C.
GENEVA	PALO ALTO	

FOUNDED 1866

RECEIVED

MAY 11 2017

OREGON GOVERNMENT
 ETHICS COMMISSION

May 11, 2017

By Email

Mr. Dan Golden
 Oregon Government Ethics Commission
 3218 Pringle Road SE, Suite 220
 Salem, OR 97302-1544

Re: Ken Glazer's Failure to File Lobbyist Expenditure Reports

Dear Mr. Golden:

I am writing to apologize sincerely for Ken Glazer's failure to file timely lobbyist expenditure reports for the fourth quarter of 2016 and first quarter of 2017 and to request mitigation of the penalties, which total \$5,500.00 imposed on him by the Oregon Government Ethics Commission ("OGE"). Mr. Glazer has accrued these penalties because of his failure to terminate his lobbying registration and to file the required reports. We understand that after a report is more than 14 days late, the daily fine increases from \$10 per day to \$50 per day. Under firm policy, Mr. Glazer is responsible for these penalties even though they will be paid in the first instance by the firm. Mr. Glazer regrets his failure to comply with the OGE's regulations and appreciates this opportunity to explain to the Commission the circumstances that led to this failure to comply with Oregon law.

Mr. Glazer was a partner at Sidley Austin LLP ("Sidley") from April 2013 to December 2016, when he retired from the practice of law after 31 years. He has been for his entire career an antitrust lawyer – for example, he was senior antitrust counsel for The Coca-Cola Company from 1996 through 2003, and from 2003 through 2006 he was the Deputy Director of the Federal Trade Commission's Bureau of Competition. At Sidley, he was a senior member of the firm's antitrust practice group. He was hired by Johnson & Johnson ("J&J") in 2014 in connection with a federal antitrust investigation relating to that Company's contact lens business. At around the same time, several state legislatures, including Oregon's, considered adopting legislation that would adversely affect J&J's contact lens business. J&J asked Mr. Glazer, as an antitrust expert, to speak with lawmakers in several states to explain why from an antitrust-law perspective the proposed legislation was unnecessary. As part of that project, Mr. Glazer met with Oregon legislators once in 2015 and once on or about February 3, 2016. Collectively those meetings lasted less than a day. Shortly after that second visit to Oregon, J&J changed its business strategy such that it would no longer be affected by the proposed legislation and therefore no longer needed Mr. Glazer's assistance on the state legislative front, in Oregon or any other state.

Sidley Austin (DC) LLP is a Delaware limited liability partnership doing business as Sidley Austin LLP and practicing in affiliation with other Sidley Austin partnerships.

Mr. Dan Golden
Oregon Government Ethics Commission
May 11, 2017
Page 2

Mr. Glazer did not intend to lobby again in Oregon or other jurisdictions. And as mentioned, he retired from the firm, and the practice of law at the end of last year. He failed to file reports or formally terminate his status as an Oregon lobbyist because he was not aware that he needed to do anything formal to terminate his registration, as opposed to letting it lapse. He assumed he would never be involved in lobbying in Oregon ever again, and given that he is retired from the practice of law, that is a certainty.

I am the firm partner responsible for lobbying compliance. On May 5, 2017, I learned that the Commission had contacted a staff person at the firm on May 1, 2017, to make us aware of the needed reports and the outstanding penalties. On Friday, May 5, I contacted Mr. Glazer to verify the status of his filings and to determine whether he had done any lobbying in Oregon in the fourth quarter of 2016 and the first quarter of 2017. I also contacted the associate who worked on this project with Mr. Glazer and learned that no lobbying had been conducted during this period. The termination reports were filed on the following Monday, May 8, after I was able to speak to the associate in person and review firm records. To the best of my knowledge, no one at our firm, other than Mr. Glazer, has been a registered lobbyist in Oregon in the past, and because Sidley does not have an office in Oregon and lobbying is not a major practice for our firm, it seems unlikely that we will lobby in Oregon in the future.

As of December 31, 2016, Mr. Glazer became a retired partner of Sidley, and although he had access to his email account, he did not use it after leaving the firm. However, his email address remained associated with Mr. Glazer's account on OGEC's electronic filing system. Because Mr. Glazer was not using his Sidley email account, he did not receive either the original notifications that his lobbyist expenditure reports were available or any of the reminders from OGEC. We recognize that before his departure from Sidley, Mr. Glazer should have terminated his active status as a lobbyist prior to his retirement from the practice of law.

Upon learning of Mr. Glazer's failure to file these reports, Sidley, on Mr. Glazer's behalf, promptly rectified Mr. Glazer's oversights and filed the missing expenditure reports. Mr. Glazer has never incurred any reportable expenditures in Oregon, nor does he plan to engage in any lobbying in Oregon in the future. Effective May 8, 2017, Mr. Glazer has terminated his status as an active lobbyist with the state of Oregon, but because Mr. Glazer was "active" for a portion of the second quarter of 2017, Sidley understands that an expenditure report for this quarter will be due between July 1 – 15, 2017. I will personally make sure that this report is filed on time.



Mr. Dan Golden
Oregon Government Ethics Commission
May 11, 2017
Page 3

On behalf of Mr. Glazer, and based on the circumstances described above, I would like to request that OGEC consider waiving in whole or in part the penalties that Mr. Glazer has accrued. Thank you for your attention to this mitigation request and please do not hesitate to contact me at 202-736-8235 if there is any additional information I can provide to assist the OGEC in its consideration of this matter.

Sincerely,

A handwritten signature in cursive script that reads "Michael Nemeroff".

Michael A. Nemeroff

MAN:an

cc: Virginia A. Seitz, General Counsel
Ken Glazer



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Web Site: www.oregon.gov/ogec

May 15, 2017

Tyler Alexander
1321 Capitol St NE, Ste 200
Salem OR 87301

Dear Mr. Alexander:

The written explanation of why the quarters two and four 2016, and first quarter 2017 lobbyist expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q2 and Q4 2016 and Q1 2017 (\$1040) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 302
User Name: tyleroregonfb
Email: tyler@oregonfb.org

Q1 2017 Filing: 5/15/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$890.00

Tyler Alexander
1321 Capitol ST NE Suite 200
Salem, OR 97301

Activity Log - Q1 2017 to Date

01/06/17 11:13:42.597 - User Successfully Authenticated -
01/10/17 08:09:13.013 - User Successfully Authenticated -
01/10/17 08:09:54.800 - Lobbyist Report Saved - Lobbyist Report Saved for Q4 2016
01/15/17 04:42:32.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:10:40.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:32:13.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 12:43:40.660 - User Successfully Authenticated -
01/16/17 06:19:49.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:32:08.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:23.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:20.183 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:21.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 08:41:09.707 - User Successfully Authenticated -
01/20/17 08:42:37.560 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
04/15/17 01:30:22.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:15.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:19.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:15.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:15.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:15.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:13.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
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04/23/17 01:30:13.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:13.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:14.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:10.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:09.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:09.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:09.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:12.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:10.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:10.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:10.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:09.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:31.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/09/17 01:30:09.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/10/17 01:30:09.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/11/17 01:30:09.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/12/17 01:30:09.330 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/13/17 01:30:08.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/14/17 01:30:07.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 01:30:11.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 08:34:26.933 - User Successfully Authenticated -
05/15/17 09:50:24.020 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

Lobbyist Activity

Q4 2016

Lobbyist Code: 302
User Name: tyleroregonfb
Email: tyler@oregonfb.org

Q4 2016 Filing: 1/20/2017
Q4 2016 Expended: \$40.00
Q4 2016 Penalty: \$50.00

Tyler Alexander
1321 Capitol ST NE Suite 200
Salem, OR 97301

Activity Log - Q4 2016 to Date

10/05/16 08:40:09.827 - User Successfully Authenticated -
10/05/16 08:41:46.850 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
10/31/16 12:21:00.593 - User Successfully Authenticated -
10/31/16 12:21:01.810 - User Successfully Authenticated -
10/31/16 03:01:45.567 - User Successfully Authenticated -
01/06/17 11:13:42.597 - User Successfully Authenticated -
01/10/17 08:09:13.013 - User Successfully Authenticated -
01/10/17 08:09:54.800 - Lobbyist Report Saved - Lobbyist Report Saved for Q4 2016
01/15/17 04:42:32.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:10:40.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:32:13.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 12:43:40.660 - User Successfully Authenticated -
01/16/17 06:19:49.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:32:08.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
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01/20/17 01:30:21.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 08:41:09.707 - User Successfully Authenticated -
01/20/17 08:42:37.560 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
04/15/17 01:30:22.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:15.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
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05/01/17 01:30:12.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
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05/08/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
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05/10/17 01:30:09.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
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05/14/17 01:30:07.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 01:30:11.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 08:34:26.933 - User Successfully Authenticated -
05/15/17 09:50:24.020 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

Lobbyist Activity

Q2 2016

Lobbyist Code: 302
User Name: tyleroregonfb
Email: tyler@oregonfb.org

Q2 2016 Filing: 7/16/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$10.00

Tyler Alexander
1321 Capitol ST NE Suite 200
Salem, OR 97301

Activity Log - Q2 2016 to Date

04/07/16 08:17:22.287 - User Successfully Authenticated -
04/07/16 08:22:10.707 - Lobbyist Report Submitted - Lobbyist Report Submitted
07/16/16 01:30:27.047 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/16/16 01:48:39.530 - User Successfully Authenticated -
07/16/16 01:49:22.647 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2016
10/05/16 08:40:09.827 - User Successfully Authenticated -
10/05/16 08:41:46.850 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
10/31/16 12:21:00.593 - User Successfully Authenticated -
10/31/16 12:21:01.810 - User Successfully Authenticated -
10/31/16 03:01:45.567 - User Successfully Authenticated -
01/06/17 11:13:42.597 - User Successfully Authenticated -
01/10/17 08:09:13.013 - User Successfully Authenticated -
01/10/17 08:09:54.800 - Lobbyist Report Saved - Lobbyist Report Saved for Q4 2016
01/15/17 04:42:32.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:10:40.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:32:13.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 12:43:40.660 - User Successfully Authenticated -
01/16/17 06:19:49.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:32:08.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:23.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:20.183 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:21.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 08:41:09.707 - User Successfully Authenticated -
01/20/17 08:42:37.560 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
04/15/17 01:30:22.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:15.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:19.083 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:15.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:13.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:15.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:13.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:12.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
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04/24/17 01:30:13.293 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:14.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
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04/27/17 01:30:09.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:09.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:09.897 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:12.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:10.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:10.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:10.403 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:09.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:31.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:11.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
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05/14/17 01:30:07.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 01:30:11.227 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/15/17 08:34:26.933 - User Successfully Authenticated -

Lobbyist Activity

Q2 2016

Lobbyist Code: 302
User Name: tyleroregonfb
Email: tyler@oregonfb.org

Tyler Alexander
1321 Capitol ST NE Suite 200
Salem, OR 97301

Q2 2016 Filing: 7/16/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$10.00

05/15/17 09:50:24.020 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

OWES FOR: 2016, Q2 \$10 , Q4, \$50 2017, Q1 \$890 = \$1,040

RECOMMENDATION:

No rec. (B)

KathyD May 16th, 2017 at 10:59 AM
5/15/17 Letter of Explanation received.
5/15/17 Letter of Acknowledgement sent.

KathyD April 25th, 2017 at 11:27 AM
4/25/2017 LM on generic voice mail.

DANIEL Kathy * OGEC

From: Tyler Alexander <Tyler@oregonfb.org>
Sent: Monday, May 15, 2017 8:34 AM
To: OGEC Mail * OGEC
Subject: Filing Penalties

RECEIVED

MAY 15 2017

OREGON GOVERNMENT
ETHICS COMMISSION

OGEC,

I am writing to ask that the penalties assessed for my failure to file a quarterly disclosure report is waived. Between April 1st-May 10th I was receiving treatment in a medical facility, and was without access to phone/internet for the duration of my stay. If your office needs any documentation of my stay, please do not hesitate to ask.

Best regards,
Tyler Alexander
Oregon Farm Bureau



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Oregon SunGrown Growers Guild
Attn: Lorianne Carey
PO Box 431
Williams OR 97544

Dear Ms. Carey:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$50) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code:	414	Q1 2017 Filing:	4/20/2017
User Name:	oregonsungrown	Q1 2017 Expended:	\$4,000.00
Email:	lorianne@oregonsungrown.org	Q1 2017 Penalty:	\$50.00

Oregon SunGrown Growers Guild
Attn: Lorianne Carey
PO Box 431
Williams, OR 97544

Activity Log - Q1 2017 to Date

01/06/17 10:06:20.097 - User Successfully Authenticated -
01/13/17 11:03:03.787 - User Successfully Authenticated -
01/15/17 11:24:02.680 - User Successfully Authenticated -
01/15/17 12:58:13.193 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:39:37.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:39:20.093 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:31:36.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:53.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:53.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:30.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:26.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:24.393 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 03:35:02.607 - User Profile Updated - User profile updated for Lorianne Karey
04/20/17 03:35:13.013 - User Profile Updated - The user's main profile was updated.
04/20/17 03:39:25.713 - Admin User Reset Initiated - An admin user reset was initiated.
04/20/17 03:41:40.523 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/20/17 03:42:08.287 - User Successfully Authenticated -
04/20/17 03:42:27.293 - User Profile Updated - User profile updated for Lorianne Karey
04/20/17 03:42:37.760 - User Profile Updated - The user's main profile was updated.
04/20/17 03:43:25.627 - Admin User Reset Initiated - An admin user reset was initiated.
04/20/17 03:51:07.557 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/20/17 04:16:15.013 - User Profile Updated - User profile updated for Lorianne Carey
04/20/17 04:16:25.353 - User Profile Updated - The user's main profile was updated.
04/20/17 04:16:50.647 - Admin User Reset Initiated - An admin user reset was initiated.
04/20/17 04:17:34.333 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/20/17 04:18:37.950 - User Successfully Authenticated -
04/20/17 04:44:04.613 - User Failed to Authenticate -
04/20/17 04:44:22.280 - User Successfully Authenticated -
04/20/17 04:46:52.563 - Client Report Submitted - Client Report Submitted for Q1 2017
04/21/17 10:10:35.367 - Client Report Submitted - Client Report Submitted for Q1 2017
04/28/17 08:31:17.853 - Admin User Reset Initiated - An admin user reset was initiated.

VIOLATIONS: Q1 2016 Commission reduced penalty to \$50, paid by check

RECOMMENDATION:

*recommended no charge
2nd violation \$50*

HUNTER David * OGEC

From: Lorianne OSGG <lorianne@oregonsungrown.org>
Sent: Thursday, April 20, 2017 5:08 PM
To: OGEC Mail * OGEC
Subject: OREGONSUNGROWN refund

RECEIVED
APR 21 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom it May Concern,

I am writing to ask politely for you to consider offering our non-profit organization a refund of the \$60 late-fees we accrued with our 2017, Q1 report. The reminder emails went to an employee who is no longer working for our organization and therefore we never received your notices. As soon as we were made aware of the delinquency (by our paid Lobbyist), we rectified the situation IMMEDIATELY. We apologize for our oversight and lack of organization.

We are an all volunteer Board of Directors and when our paid employee left her job, she did not notify you or us that she was not going to be in-charge of the reporting. Therefore your emails continued to go to her defunct email account with no forward to us.

Please consider offering us a refund. We are now on-track and will not allow this to happen again! Thank you very sincerely for your time and consideration!

Very Sincerely~

Lorianne E. Carey
secretary OSGG

*Q1 2016 - Reduced penalty to \$50 pd
Q1 2017 - \$50 filed 4/20/17*

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 5, 2017

DaVita HealthCare Partners
Attn: Kim Martin
518 Howard Ave NE
Olympia WA 98506

Dear Ms. Martin:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$250) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



KathyD May 5th, 2017 at 10:47 AM

5/2/17 Letter of Explanation received.

5/5/17 Letter of Acknowledgement sent.

KathyD May 2th, 2017 at 10:19 AM

"LaCosta Wix is no longer with DaVita. Please update your files to include me as your point of contact for any correspondence related to filing for DaVita. My contact information is below for your records. Thanks for your help today! Kim"


I changed the contact name and email address so Kim can access the system and file.

KathyD May 2th, 2017 at 10:02 AM

5/2/17 New contact just called and will send me an email requesting name and email change. I provided some training on the system so she can keep in compliance.

VIOLATIONS: Q2 2016, 3 days late, paid in full by check.

RECOMMENDATION:

ASO ^{cc} 

Client/Employer Activity

Q1 2017

Client Code: 210
User Name: jvanhaselen
Email: kimberly.martin1@davita.com

Q1 2017 Filing: 5/2/2017
Q1 2017 Expended: \$33,900.00
Q1 2017 Penalty: \$250.00

Davita HealthCare Partners
Attn: Kim Martin
518 Howard Avenue NE
Olympia, WA 98506

Activity Log - Q1 2017 to Date

01/15/17 03:41:37.593 - User Successfully Authenticated -
01/15/17 03:50:06.827 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:37:15.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:36:22.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:31:12.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:42.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:42.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:25.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:24.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:22.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:16.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:15.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:16.590 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:16.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:18.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.683 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:11.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:10.920 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 10:14:35.280 - User Profile Updated - User profile updated for Kim Martin
05/02/17 10:14:46.107 - User Profile Updated - The user's main profile was updated.
05/02/17 10:15:03.110 - Admin User Reset Initiated - An admin user reset was initiated.
05/02/17 10:16:06.297 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/02/17 10:16:26.433 - User Successfully Authenticated -
05/02/17 10:17:20.133 - User Password Reset - The user's password was reset from the "My Profile" page.
05/02/17 10:17:21.223 - User Profile Updated - The user's main profile was updated.
05/02/17 10:17:30.333 - User Profile Updated - User profile updated for Kim Martin
05/02/17 10:18:00.193 - Admin User Reset Initiated - An admin user reset was initiated.
05/02/17 10:22:07.187 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/02/17 10:41:41.800 - Admin User Reset Initiated - An admin user reset was initiated.
05/02/17 10:43:44.803 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/02/17 10:45:03.840 - User Successfully Authenticated -
05/02/17 10:49:31.867 - User Profile Updated - The user's main profile was updated.
05/02/17 10:49:41.043 - User Profile Updated - User profile updated for Kim Martin
05/02/17 10:54:09.633 - Client Report Submitted - Client Report Submitted for Q1 2017

DANIEL Kathy * OGEC

From: Kimberly Martin <Kimberly.Martin1@davita.com>
Sent: Tuesday, May 02, 2017 11:04 AM
To: OGEC Mail * OGEC
Cc: Jeremy Van Haselen
Subject: Letter of explanation

RECEIVED
MAY 02 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Members of the Commission:

My name is Kim Martin and I started employment with DaVita Health Care Partners on February 6th, 2017. Notification of Q1 reporting period being open was sent to LaCosta Wix who is no longer with DaVita. I was made aware of the need to update files with my email address for reporting purposes today and did so immediately. I respectfully request that the late fee of \$250 assigned to DaVita be forgiven based on our pass history of timely filing. Please be assured that our files have now been updated and I will take responsibility to ensure compliance in the State of Oregon. I appreciate your consideration.

Kim Martin
Director, State Government Affairs
DaVita
360-791-3778

-----Original Message-----

From: ogec.notification@egov.com [mailto:ogec.notification@egov.com]
Sent: Tuesday, May 2, 2017 10:54 AM
To: Kimberly Martin <Kimberly.Martin1@davita.com>
Subject: Receipt of Late Quarterly Report

WARNING: This email originated outside of DaVita.

DO NOT CLICK links or attachments unless you recognize the sender and know the content is safe.

Dear Kim Martin at Davita HealthCare Partners:

Thank you for filing your quarterly report as required. You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 4/1/2017 that the reporting window for the 2017 Q1 reporting period was open and you were reminded again on 4/10/2017 and 4/15/2017.

Your late filing was received on 5/2/2017. Accordingly, you have accrued a penalty of \$250.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 6/1/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.

Please remember to visit the Electronic Filing System at <https://apps.oregon.gov/OGEC/EFS/Home/SignIn> to update or profile if you have changes to your email address or other personal information. If you have any questions regarding



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Oregon Cannabis Association
Attn: Amy Margolis
111 SW 5th Ave
US Bancorp Tower, Suite 2080
Portland OR 97204

Dear Ms. Margolis:

The written explanation of why your Lobbyist and Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the penalties, \$840 Lobbyist and \$570 Client, will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



OR Cannabis Assoc.
Amy Margolis, client

KathyD April 27th, 2017 at 4:10 PM
4/24/17 Letter of Explanation received for both client and lobbyist accounts.

4/27/17 Letter of Acknowledgement sent.

KathyD January 26th, 2017 at 11:47 AM

1/26/17 Spoke with assistant Angele and trained her on the system. She will file today.

PAST VIOLATIONS: Q2, Q3, Q4 2016

RECOMMENDATION:

no recommend Amr

Client/Employer Activity

Q2 2016

Client Code: 1275
User Name: cannabis
Email: amy.margolis@gmlaw.com

Q2 2016 Filing: 7/27/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$110.00

Oregon Cannabis Association
Attn: Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080
Portland, OR 97204

Activity Log - Q2 2016 to Date

05/10/16 11:45:51.900 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/16 11:46:06.427 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/16 02:14:00.517 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/16 02:14:17.880 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/16 02:16:15.710 - User Successfully Authenticated -
05/10/16 02:23:08.230 - User Successfully Authenticated -
05/12/16 02:20:45.907 - User Successfully Authenticated -
05/12/16 02:33:25.853 - User Successfully Authenticated -
05/18/16 11:54:38.997 - User Successfully Authenticated -
05/18/16 12:00:33.363 - Client Report Submitted - Client Report Submitted
05/18/16 12:00:44.393 - User Successfully Authenticated -
05/18/16 12:01:10.183 - Client Report Submitted - Client Report Submitted
07/16/16 01:30:28.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/16/16 01:30:28.983 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:28.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/17/16 01:30:28.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:26.203 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/18/16 01:30:33.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:28.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/19/16 01:30:29.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:27.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/20/16 01:30:27.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:32.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/21/16 01:30:33.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:31.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/22/16 01:30:31.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:28.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/23/16 01:30:29.107 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:50.807 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/24/16 01:30:51.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:29.547 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/25/16 01:30:29.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:31.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 01:30:31.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q2
07/26/16 11:21:33.887 - User Failed to Authenticate -
07/26/16 11:21:43.590 - User Successfully Authenticated -
07/26/16 11:24:00.097 - Client Report Submitted - Client Report Submitted for Q2 2016
07/26/16 11:26:36.267 - User Successfully Authenticated -
07/26/16 11:34:47.540 - User Successfully Authenticated -
07/26/16 11:37:42.947 - User Successfully Authenticated -
07/26/16 11:51:19.923 - User Successfully Authenticated -
07/26/16 01:26:00.020 - User Successfully Authenticated -
07/26/16 04:03:02.717 - User Successfully Authenticated -
07/26/16 04:06:37.133 - Client Report Submitted - Client Report Submitted for Q1 2016
07/26/16 04:07:53.977 - Client Report Submitted - Client Report Submitted for Q2 2016
07/27/16 10:11:14.997 - User Successfully Authenticated -
07/27/16 10:12:11.423 - Client Report Submitted - Client Report Submitted for Q2 2016
10/16/16 01:30:13.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/16/16 01:30:13.760 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:13.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/17/16 01:30:13.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:13.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/18/16 01:30:13.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:14.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/19/16 01:30:14.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3

Client/Employer Activity

Q2 2016

Client Code: 1275
User Name: cannabis
Email: amy.margolis@gmlaw.com

Q2 2016 Filing: 7/27/2016
Q2 2016 Expended: \$0.00
Q2 2016 Penalty: \$110.00

Oregon Cannabis Association
Attn: Amy Margolis
111 SW 5th Ave., US Bancorp Tower, Suite 2080
Portland, OR 97204

10/20/16 01:30:14.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/20/16 01:30:14.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/21/16 01:30:14.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/21/16 01:30:14.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/22/16 01:30:11.763 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/22/16 01:30:11.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/23/16 01:30:11.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/23/16 01:30:11.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/24/16 01:30:15.233 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/24/16 01:30:15.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/25/16 01:30:12.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/25/16 01:30:12.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/26/16 01:30:22.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/26/16 01:30:22.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/27/16 01:30:23.053 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/27/16 01:30:23.507 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/28/16 01:30:23.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/28/16 01:30:24.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/29/16 01:30:22.463 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/29/16 01:30:22.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/30/16 01:30:25.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/30/16 01:30:26.187 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q3
10/31/16 01:30:21.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
10/31/16 01:30:22.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/01/16 01:30:21.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/01/16 01:30:21.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/02/16 01:30:21.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/02/16 01:30:21.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/03/16 01:30:21.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/03/16 01:30:21.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q3
11/03/16 10:09:16.743 - Admin User Reset Initiated - An admin user reset was initiated.
11/03/16 10:09:41.180 - Admin User Reset Initiated - An admin user reset was initiated.
11/03/16 10:24:15.330 - Admin User Password Reset Completed - A user reset their password using an admin reset.
11/03/16 10:24:32.623 - User Successfully Authenticated -
11/03/16 10:25:00.643 - User Password Reset - The user's password was reset from the "My Profile" page.
11/03/16 10:25:00.737 - User Profile Updated - The user's main profile was updated.
11/03/16 10:25:04.820 - User Profile Updated - User profile updated for amy margolis
11/03/16 10:42:58.917 - User Profile Updated - The user's main profile was updated.
11/03/16 10:43:03.117 - User Profile Updated - User profile updated for Amy Margolis
11/03/16 10:46:20.067 - User Failed to Authenticate -
11/03/16 10:47:45.230 - Admin User Reset Initiated - An admin user reset was initiated.
11/03/16 05:16:22.130 - Admin User Password Reset Completed - A user reset their password using an admin reset.
11/03/16 05:16:46.813 - User Successfully Authenticated -
11/03/16 05:20:54.223 - Client Report Submitted - Client Report Submitted for Q3 2016
01/15/17 04:42:37.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:57.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:40:15.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:34.250 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:40:12.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/18/17 01:30:56.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/19/17 01:30:38.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/20/17 01:30:41.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/21/17 01:30:33.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/22/17 01:30:41.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/23/17 01:30:16.943 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/24/17 01:30:18.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/25/17 01:30:21.930 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4