

OREGON GOVERNMENT ETHICS COMMISSION
MEETING MINUTES
April 21, 2017
9:00 a.m.

(:01)

Chair Dan Golden called the meeting to order at 9:00 a.m. The meeting was held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Other Commissioners present were Alison Kean, Chuck Lee, Marilyn Cover, Richard Burke, and Nathan Sosa. Mary Kremer joined by telephone. Staff present were OGEC Counsel Amy Alpaugh, Executive Director Ron Bersin, Program Manager Virginia Lutz, Compliance and Training Coordinator Marie Scheffers, Investigator Diane Gould, Investigator Michael Thornicroft and Administrative Specialist Kathy Daniel.

(:10)

AGENDA ITEM 1, Approval of the minutes of the March 10, 2017 Commission meeting. Chair Golden called for approval of the minutes of the March 10, 2017 Commission meeting. The minutes were approved without correction.

CONSENT CALENDAR

(1:12)

Bersin requested removal of Addendum Items 5-A, 5-B.

Kean requested removal of Addendum Items 5C, 10-A – 10-D for discussion.

After discussion, Burke moved for the approval of the balance of the Addendum Consent Calendar items and the staff recommendation on each as follows:

Lobbyist Penalty Correspondence

AGENDA ITEM 5-C, Travis Miller, 1st qtr 2017, Letter of Education

Lobbyist Client Penalty Correspondence

AGENDA ITEM 10-A, Coquille Tribe, 1st qtr 2017, Letter of Education

AGENDA ITEM 10-B, Borland Neighborhood Assn, 1st qtr 2017, Letter of Education

AGENDA ITEM 10-C, Trillium Charter School, 1st qtr 2017, Letter of Education

AGENDA ITEM 10-D, OR Municipal Electric Utilities, 1st qtr 2017, Letter of Education

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <http://www.oregon.gov/OGEC/Pages/comissionmeet.aspx>.

Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

(6:23)

Lee moved for the approval of the Consent Calendar items 2 – 10 and the staff recommendation on each as follows:

Reports of Investigation

None.

Lobbyist Penalty Correspondence

AGENDA ITEM 2, Carter Smith, 4th qtr 2016, Letter of Education

AGENDA ITEM 3, Holly Mercer, 4th qtr 2016, Letter of Education

AGENDA ITEM 4, Rob Wagner 4th qtr 2016, Letter of Education

AGENDA ITEM 5, Jeff Heatherington, 4th qtr 2016, Letter of Education

Lobbyist Client Penalty Correspondence

AGENDA ITEM 6, Confederated Tribes of the Umatilla Indian Reservation, 4th qtr 2016, Letter of Education

AGENDA ITEM 7, Lane County Medical Society, 4th qtr 2016, Letter of Education

AGENDA ITEM 8, Secretary of State, 4th qtr 2016, Letter of Education

AGENDA ITEM 9, Portland Community College, 4th qtr 2016, Letter of Education

AGENDA ITEM 10, Oregon Council of Presidents, WOU, 4th qtr 2016, Letter of Education

Statement of Economic Interest Correspondence

None.

Trust Fund Penalty Correspondence

None.

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Regular Agenda Consent Calendar roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

(7:35)

Cover moved for the approval of the Addendum Consent Calendar items 13-A – 13-C, 14-A and the staff recommendation on each as follows:

Lobbyist Penalty Correspondence

AGENDA ITEM 13-A, Jeff Rhoades, 1st qtr 2017, No Action

AGENDA ITEM 13-B, Debi Joy Farr, 1st qtr 2017, No Action

AGENDA ITEM 13-C, Bob Oleson, 1st qtr 2017, No Action

Lobbyist Client Penalty Correspondence

AGENDA ITEM 14-A, Johnson & Johnson, 1st qtr 2017, No Action

Addendum Consent Calendar roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

End of Consent Calendar

Lobbyist Penalty Correspondence

(10:25)

AGENDA ITEM 11, Sarah Lukin, 3rd qtr 2016, Letter of Education. 4th qtr 2016, \$350 penalty. Bersin reviewed the recommendation. Kean moved to accept staff recommendation. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

(13:00)

AGENDA ITEM 12, John Wykoff, 1st, 2nd, 3rd, 4th qtrs. 2016, no recommendation.

AGENDA ITEM 13, Andrea Henderson, 1st, 2nd, 3rd, 4th qtrs. 2016, no recommendation.

AGENDA ITEM 14, Oregon Community College Association, 1st – 4th qtrs. 2016, no recommendation.

Bersin reviewed the cases. The Commission generally discussed the matters. Burke moved to assess penalties of \$250 per person and client. Roll call vote was taken as follows: Kremer, aye; Cover, nay; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed 6 to 1.

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Trust Fund Penalty Correspondence

(22:40)

AGENDA ITEM 15, John Skipper, Williams Legal Expense Trust Fund, 4th qtr 2016, no recommendation. The Commission generally discussed the matter. Cover moved to issue a Letter of Education. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

STIPULATED FINAL ORDERS

(27:54)

AGENDA ITEM 16, 17-111SDG, Eric R. Epperson, Gearhart Planning Commission. Mr. Epperson did not address the Commission. Scheffers summarized the case. Cover moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the Chairperson be authorized to sign it as such. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

DEFAULT FINAL ORDERS

None.

CONTESTED CASE PROPOSED FINAL ORDERS

None.

LOBBYIST OR CLIENT FAIL TO PAY PENALTIES

(29:15)

AGENDA ITEM 17, 17-151LMS, Alan Tresidder, Lobbyist. Mr. Tresidder did not address the Commission. Scheffers summarized the case. The Commission generally discussed the matter. Burke moved to find a preliminary violation of ORS Chapter 171.745 for 3rd qtr 2014 and 1st qtr 2015. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

Lobbyist Penalty Correspondence

(33:00)

AGENDA ITEM 5-A, Chris Pair, 1st qtr 2017, Letter of Education
AGENDA ITEM 5-B, Bryan Hockaday, 1st qtr 2017, Letter of Education

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Bersin reviewed the matters and recommended No Action for qtr 4, 2016 and Letter of Education for qtr 1, 2017. Burke moved to accept staff recommendation of No Action for qtr 4, 2016 and Letter of Education for qtr 1, 2017. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

REPORTS OF INVESTIGATION

None.

ADVISORY OPINIONS

(37:00)

AGENDA ITEM 19, No. 17-003A, Request for extension of time to complete advisory opinion. Gould reviewed the status of the Advisory Opinion. The Commission generally discussed the matter. Cover moved to approve a 60-day extension. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

(39:05)

AGENDA ITEM 18, No. 17-002A, Benjamin Souede, Office of the Governor, re prohibition of Lobbying for former legislative officials. Bersin summarized the opinion. The Commission generally discussed the opinion with Counsel Alpaugh. Kean moved that the Commission adopt the advisory opinion as presented. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

CORRESPONDENCE RECEIVED/RESPONSES

Staff Opinions
(non-action items)

None.

Staff Advice
(non-action, informational only items)

None.

Other Correspondence
(non-action, informational only items)

None.

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The Commission recessed at 9:50 a.m. for a break.

The Commission resumed regular session then convened into executive session at 9:58 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d) and to consider possible own motion reviews pursuant to ORS 171.778(2)(d).

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review

None.

End of Executive Session Consent Calendar.

OTHER ITEMS

Reports of Preliminary Review (removed from consent calendar)

(47:00)

AGENDA ITEM 22, 17-135EMT, Doug Breidenthal, former Jackson County Commissioner. Mr. Breidenthal did not address the Commission. Cover moved that the Commission find that there is a substantial, objective basis for believing that Doug Breidenthal may have violated ORS 244.040, 244.120, and 244.025 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

(53:00)

AGENDA ITEM 23, 17-136EMS, Bruce Neil, Cove School District Superintendent. Neil did not address the Commission. Burke moved that the Commission find that the complaint and information before the Commission do not indicate a violation of ORS Chapter 244 or warrant further review and that this complaint be dismissed. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

(55:30)

AGENDA ITEM 24, 17-138SDG, James Copfer, former City of Sherwood Planning Commission Member. Mr. Copfer did not address the Commission. Cover moved that the Commission find that there is a substantial, objective basis for believing that Doug Breidenthal may have violated ORS 244.060 and that the Commission should investigate accordingly. Roll call vote was taken as follows: Kremer, aye; Cover, aye; Kean, aye; Lee, aye; Burke, aye; Sosa, aye; Golden, aye. Motion passed unanimously.

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Preliminary Review Stipulated Final Orders
(discussion only, action in open session)

None.

Own Motion Reviews

(57:30)

AGENDA ITEM 25, Possible Own Motion Review re Lita Colligan, Lobbyist. Ms. Colligan did not address the Commission. No action was taken by the Commission.

Other Items

(non-action informational only items)

(1:03:00)

AGENDA ITEM 26, Summary of Pending Cases. The Commission reviewed the list of pending cases without comment.

The Commission reconvened into regular session at 10:15 a.m.

MISCELLANEOUS ITEMS

(1:03:45)

AGENDA ITEM 20, Trainer's Report. Hedrick summarized the training activities. The OGEC website is under redesign.

(1:09:01)

AGENDA ITEM 21, Director's Report.

Bersin discussed the status of:

- Reporting System: SEI season is going smoothly, with a few system changes under review. HB 2577 passed through the House and is now awaiting a hearing.
- Bersin demonstrated the technology for remote conferencing on the newly installed system and large monitor in the conference room.
- Budget: Bersin reviewed the Budget report handout, updated from February's report. The monies saved this biennium will move into the 17-19 biennium.
- Legislation: Bersin reviewed the pertinent pending legislation.

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- Case Management System: Phase 2 of the CMS launched on time. Phase 3 planning is underway while we still have services of project manager.
- Other: New Commissioner David Fiskum, Governor's appointee, will join the Commission at its June 2 meeting.

Governor's hiring freeze will affect OGEC's vacant Trainer position. Interviews may begin in June.

Statewide Employee Recognition Award will be presented to Virginia Lutz by the Governor at an event May 10.

Dan Golden reviewed his "day job" as Director of Klamath County Juvenile Dept. and how it relates to lobbying efforts, noting it is not a direct conflict of interest with his OGEC Chair position. The Commission briefly discussed.

Kean will not be available for the June and July Commission meetings.

Cover will not be attending the July meeting.

Chair Golden adjourned the meeting at 10:52 p.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, June 2, 2017, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, Suite 220, in Salem, Oregon.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Peter Tromba
Chief Education Office
775 Court St. NE
Salem OR 97301

Dear Mr. Tromba:

The written explanation of why the fourth quarter 2016 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q3 2016 (\$10) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q4 2016

Lobbyist Code: 609
User Name: petertromba
Email: peter.tromba@state.or.us

Q4 2016 Filing: 1/16/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$10.00

Peter Tromba
775 Court St NE
Salem, OR 97301

Activity Log - Q4 2016 to Date

10/07/16 11:33:28.257 - User Successfully Authenticated -
10/07/16 11:34:52.857 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2016
01/15/17 04:42:33.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:10:52.687 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:33:52.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:36:05.483 - User Successfully Authenticated -
01/16/17 01:37:45.027 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/17/17 01:32:57.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/11/17 03:18:14.577 - User Successfully Authenticated -
04/11/17 03:20:46.977 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

VIOLATIONS: NONE

RECOMMENDATION:

Letter L E J
(EJ)

DANIEL Kathy * OGEC

From: TROMBA Peter - CEEdO <peter.tromba@state.or.us>
Sent: Tuesday, April 11, 2017 3:27 PM
To: OGEC Mail * OGEC
Subject: Letter of Explanation for Late Filing

RECEIVED
APR 26 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To Whom it May Concern:

After successfully filing quarterly lobbying reports on-time in every case, I was late for my January 2017 report. My explanation is simply that I was interrupted in the course of filling out my form and neglected to ensure that the final report was submitted.

I ask for a reduction in fees because I have been historically on time and I was only one day late to file.

Thank you for your consideration.

Sincerely,

Peter Tromba

Peter Tromba, Interagency Policy Research Director
Chief Education Office

cell: 971.239.6912 | office: 503.373.1283 | 775 Court Street NE, Salem, OR 97301 | peter.tromba@state.or.us

<http://education.oregon.gov>





Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Lisa Pinheiro
Oregon Dept of Education, Early Learning Division
775 Summer Street NE
Salem OR 97301

Dear Ms. Pinheiro:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$110) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 1235
User Name: Lisapin
Email: lisa.plnheiro@state.or.us

Q1 2017 Filing: 4/26/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$110.00

Lisa Pinheiro
775 Summer Street NE
Salem, OR 97301

Activity Log - Q1 2017 to Date

03/24/17 12:38:06.137 - User Profile Created - User profile created for Lisa Pinheiro
03/24/17 12:38:16.627 - User Profile Created - A new user profile was created.
03/24/17 12:38:16.627 - Lobbyist Profile Created - A new lobbyist profile was created.
03/28/17 01:52:04.107 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
04/03/17 01:25:23.550 - User Failed to Authenticate -
04/03/17 01:25:39.663 - User Failed to Authenticate -
04/03/17 01:37:58.600 - User Profile Created - A new user profile was created.
04/03/17 01:37:58.600 - Lobbyist Profile Created - A new lobbyist profile was created.
04/03/17 01:38:04.773 - User Profile Created - User profile created for Lisa Pinheiro
04/15/17 01:31:05.030 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:37.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:37.867 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:23.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:21.280 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:20.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:15.670 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:14.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:15.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:15.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:16.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 08:31:09.977 - User Successfully Authenticated -
04/26/17 08:38:48.027 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

KathyD April 27th, 2017 at 12:30 PM

4/26/2017 I spoke with Lisa and explained how the system works for lobbyists. I merged her two accounts and walked her through a training in her account. She filed her Q1 2017 and stopped penalty accrual. She will send in a Letter of Explanation asking for mitigation.

Letter of Explanation received.

Letter of Acknowledgement sent.

PAST VIOLATIONS: NONE

RECOMMENDATION:

*Letter of Ed
[Signature]*

DANIEL Kathy * OGEC

From: PINHEIRO Lisa - ELD <lisa.pinheiro@state.or.us>
Sent: Wednesday, April 26, 2017 9:26 AM
To: DANIEL Kathy * OGEC
Subject: Request for Mitigation

RECEIVED

APR 26 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Date: April 26, 2017
To: Oregon Government Ethics Commission
From: Lisa Pinheiro
RE: Request for Mitigation

On April 26, 2017, I was notified by Commission staff of various discrepancies in my lobby registration and in addition to the discrepancies, I was delinquent in filing quarterly expenditure reports for the first quarter of 2017.

I am requesting mitigation of sanctions and penalties as extenuating circumstances led to a late filing of the report.

First, the registration I filed on April 3, 2017 apparently was never accepted by the client/employer (Oregon Department of Education).

Second, the electronic system apparently had been sending emails to one of two state email addresses assigned to me, however I received no emails notifying me of the filing period opening nor did I receive an email notifying me of late filing.

Finally, this is my first attempt to use the electronic filing system. I have been registered with OGEC in the past for former employers and have filed quarterly expenditure reports consistently and on time for nearly 13 years without a late filing.

I have reviewed the electronic filing instructions to ensure future filings are on time and in the proper format.

I sincerely regret the situation and respectfully request the Commission remove and forgive the penalty and any sanctions on my record.

Respectfully,

Lisa Pinheiro

Lisa Pinheiro | Early Learning Policy Specialist
EARLY LEARNING DIVISION | OREGON DEPARTMENT OF EDUCATION
Phone 503.910-8135 | lisa.pinheiro@ode.state.or.us



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Samantha Shepherd
8518 N Allegheny Ave.
Portland OR 97203

Dear Ms. Shepherd:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$110) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 1091
User Name: Samantha Shepherd
Email: sammiorganizes@gmail.com

Q1 2017 Filing: 4/26/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$110.00

Samantha Shepherd
8518 N Allegheny Ave.
Portland, OR 97203

Activity Log - Q1 2017 to Date

01/18/17 12:50:33.547 - User Profile Created - User profile created for Samantha Shepherd
01/18/17 12:50:43.137 - User Profile Created - A new user profile was created.
01/18/17 12:50:43.137 - Lobbyist Profile Created - A new lobbyist profile was created.
01/19/17 11:01:00.667 - User Successfully Authenticated -
02/28/17 01:41:13.160 - User Successfully Authenticated -
04/15/17 01:31:00.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:34.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:34.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:22.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:20.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:19.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:15.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:14.440 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:15.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:14.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:16.693 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 02:44:59.533 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017
04/27/17 09:29:58.063 - User Profile Updated - User profile updated for Samantha Shepherd
04/27/17 09:30:08.770 - User Profile Updated - The user's main profile was updated.
04/27/17 09:30:46.300 - Admin User Reset Initiated - An admin user reset was initiated.
04/27/17 09:31:34.237 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/27/17 09:31:55.377 - User Successfully Authenticated -
04/27/17 09:32:15.870 - User Profile Updated - User profile updated for Samantha Shepherd
04/27/17 09:32:26.580 - User Profile Updated - The user's main profile was updated.

KathyD April 27th, 2017 at 9:39 AM

4/27/17 I received the Letter of Explanation for Sam as a lobbyist as well as contact information for the client Upstream. I also updated her profile information for mailing address and email address.

Letter of Acknowledgement sent.

KathyD April 26th, 2017 at 2:58 PM

4/26/17 I spoke with Sam. Client Upstream terminated lobbyists and didn't update any contact information. No notifications were going out to her. She's writing a letter of explanation.


KathyD April 26th, 2017 at 10:32 AM

4/25/17 Her number does not work and her client, Upstream is no longer in existence. They have terminated all their lobbyists.

Past Violations: NONE

Recommendations:

04/27/2017

Letter to Ed


Page 1 of 1

DANIEL Kathy * OGEC

From: Samantha Shepherd <sammiorganizes@gmail.com>
Sent: Wednesday, April 26, 2017 6:42 PM
To: DANIEL Kathy * OGEC
Subject: Letter of explanation to forgive fees

RECEIVED
APR 27 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Hi Kathy,

Thank you for your call and for passing on my letter of explanation to the Board of Commissioners.

This letter is to request forgiveness of fines accrued due to not filing a Q1 lobbying report until today.

My former employer, Upstream Public Health, closed its doors and laid off all staff as of February 28, 2017. I am no longer an employee of Upstream or lobbying.

What could be submitted before my last day was and all notices since then went to an email address to which I no longer have access. And, I was not able to fill out first quarter reporting forms *before* I lost my job, because the quarter was not yet over.

I am happy to answer any questions and hope you can understand how this error happened.

Thank you,
Samantha

--
Samantha Shepherd
928-699-1343
sammiorganizes@gmail.com

--
Samantha Shepherd
928-699-1343
sammiorganizes@gmail.com

DANIEL Kathy * OGEC

From: Samantha Shepherd <sammiorganizes@gmail.com>
Sent: Wednesday, April 26, 2017 7:03 PM
To: DANIEL Kathy * OGEC
Subject: Fwd: Oregon Government Ethics Commission

RECEIVED
APR 27 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Hi Kathy,

Thanks again for your call and you help. As we discussed, I am no longer working for Upstream as of February 28, 2017. I stepped in as ED at Upstream in late December 2016, and quickly identified significant administrative issues that had overtime severed the organization's ability to remain independent. I quickly alerted the board and with governance decisions in-hand, effectively shut down all operations, laying off all staff including myself, within the next five weeks.

Below is the chain of emails as documented by my team member, Kasandra, who delegated follow-up with the Ethics Commission to an Upstream board member.

Here is that board member's contact information, which you may use for further inquiry:

Jimmy Unger

james.e.unger@kp.org

(541)-913-9251 = *Not a working #*

You could also call Rede Group - that's the for-profit entity that bought the Upstream brand. Here's their number: (503) 764-9696 *Jill or Rob*

If you have questions or need further documentation, please let me know.

Thank you again, Kathy, for your help.

Begin forwarded message:

From: <jimedunger@gmail.com>
Date: March 1, 2017 at 8:35:56 AM PST
To: Kasandra Griffin <kasandra@upstreampublichealth.org>, Samantha Shepherd <samantha@upstreampublichealth.org>
Subject: RE: Oregon Government Ethics Commission

Got it .

It's on my calendar,

Thanks, Kasandra

Heartfelt wishes for best of luck in your career's next chapter,

Jimmy

Sent from Mail for Windows 10

From: Kasandra Griffin
Sent: Tuesday, February 28, 2017 3:55 PM
To: Samantha Shepherd
Cc: Jimmy Unger
Subject: Re: Oregon Government Ethics Commission

I got into the system easily with those logins and passwords and successfully terminated the lobbyist registrations for myself and Samantha. The one for Mel had previously been terminated. See attached screenshots.

Unfortunately, the system would not let me file quarterly reports early. "No new reports are due at this time," it insisted.

The next and final reports that will be due will be for the first quarter of the year, or Jan-March. Historically, we have received the notification, instructions, and link on the first day of the next quarter, even if it's not a business day (see sample below). They give fifteen days to file. I recommend that Jimmy set a reminder on his calendar to log in to this electronic system early in April and fill out the forms; that will save him from needing to worry about missing the email notice.

(I also wrote to the address given in the email attached below, asking if they had a mechanism to let us file early; I did not hear back from them and I haven't had a chance to call.)

Jimmy, When you do file, the answer to all of the questions is no/nothing.

----- Forwarded message -----

From: <ogec.notification@egov.com>

Date: Sun, Jan 1, 2017 at 1:31 AM

Subject: Lobbyist Quarterly Report Available

To: kasandra@upstreampublichealth.org

Dear Kasandra Griffin,

Our records indicate that you were registered with the Oregon Government Ethics Commission as a lobbyist during the 2016 Q4 reporting period. As such, you are required to submit a quarterly expenditure report by 1/15/2017.

Please do not disregard this notice. If you fail to submit your quarterly expenditure report by the due date, penalties will begin to accrue until the filing is made. There is no grace period or allowance for extensions, so please be sure to file by the due date.

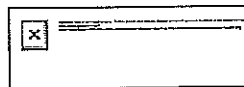
Please access the Electronic Filing System by visiting: <https://apps.oregon.gov/OGEC/EFS/Home/SignIn>

Please remember to update your profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at [503-378-5105](tel:503-378-5105) or by email at ogec.mail@oregon.gov.

Please do not reply to this email as replies will not be delivered to the Commission.

Thank you,

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544



Kasandra Griffin, Policy Manager, Food and School Health

kasandra@upstreampublichealth.org | office [503-284-6390](tel:503-284-6390) | mobile [503-238-1799](tel:503-238-1799)
240 North Broadway, Portland OR 97227 [map](#)

Follow Upstream Public Health on [Facebook](#) or [Twitter](#)

On Wed, Feb 22, 2017 at 10:41 AM, Samantha Shepherd
<samantha@upstreampublichealth.org> wrote:

Hi Kasandra,

I've cc'd Jimmy Unger on this email who will be heading up state agency type stuff after all us lovely staff folks are gone.

Below are the logins for the Govt Ethics Commission. Can you please login and report/shut down all that you can? Then, can you please provide any next steps to Jimmy?

<https://apps.oregon.gov/OGEC/EFS/>

Jimmy, this is site where lobbyists and advocacy organizations register and report. So, there are 3 affected accounts for Upstream: the organizational account, Kasandra's account, and my account (as she and I are the 2 registered lobbyists for the org).

I know we were slated to provide a quarterly report in april but perhaps that can be submitted now, we can shut down the account, and there are no next steps for Jimmy :)

Thanks you two!

----- Forwarded message -----

From: **Amanda Kanter** <amanda@upstreampublichealth.org>

Date: Thu, Jan 19, 2017 at 11:04 AM

Subject: Oregon Government Ethics Commission

To: Upstream Shepherd <samantha@upstreampublichealth.org>

Your Login:

Samantha Shepherd

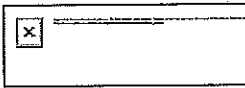
Mollydog1#

Upstream Public Health

Mollydog1#

Kasandra Griffin

Jst4Lumpy!



Amanda Kanter, MPH | Office Coordinator | [Upstream Public Health](http://UpstreamPublicHealth.org)

amanda@upstreampublichealth.org | office 503-284-6390 |



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Adam Meyer
133 SW 2nd, Suite 200
Portland OR 97204

Dear Mr. Meyer:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$110) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 893
User Name: adam@olcv.org
Email: adam.k.meyer@gmail.com

Q1 2017 Filing: 4/26/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$110.00

Adam Meyer
133 SW 2nd, Suite 200
Portland, OR 97204

Activity Log - Q1 2017 to Date

01/03/17 02:20:25.497 - User Successfully Authenticated -
01/03/17 02:21:24.327 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/05/17 01:01:26.940 - User Successfully Authenticated -
01/16/17 01:35:17.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 10:02:44.470 - User Successfully Authenticated -
01/17/17 01:33:40.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:30:48.393 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:27.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:28.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:20.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:18.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:17.687 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:14.407 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:13.677 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:14.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:14.290 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:15.883 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:11.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 11:26:57.113 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/17 11:27:47.877 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/17 11:28:29.983 - User Successfully Authenticated -
04/26/17 11:29:20.943 - User Profile Updated - The user's main profile was updated.
04/26/17 11:29:29.790 - User Profile Updated - User profile updated for Adam Meyer
04/26/17 11:29:52.600 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/17 12:14:40.390 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/17 12:14:54.490 - User Successfully Authenticated -
04/26/17 12:16:03.113 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

KathyD April 28th, 2017 at 10:04 AM

4/28/17 LM for Adam explaining that he has to terminate himself as a lobbyist in the EFS. His written explanation asking for mitigation for Q1 2017 says he terminated in Feb 2017, however his registration does not reflect that.

Emailed "In your electronic account, I see you are still an active lobbyist. Your explanation below says you left your job in February, however you did not terminate your registration. This still needs to be done. Since we are in Q2 2017, you are still going to be required to file another expenditure report. Please call me to clarify. Thank you, Kathy Daniel. 503-378-2170"

David H April 26th, 2017 at 11:26 AM

Received a call from Malena at Adam Meyer's prior lobby firm.

Contacted Adam at above phone number. He no longer works for lobby firm since February.

Sent Admin Reset for password and he will file Q1 and send in Letter of Explanation to mitigate penalty fees.

KathyD April 26th, 2017 at 10:28 AM

4/25/17 LM. Called Client as well, OR Conservation Network, LM.

VIOLATIONS: NONE

RECOMMENDATION:

*Letter to ED
AD*

HUNTER David * OGEC

From: Adam Meyer <adam.k.meyer@gmail.com>
Sent: Wednesday, April 26, 2017 12:24 PM
To: OGEC Mail * OGEC
Subject: Lobbyist quarterly reports

RECEIVED

APR 26 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Good afternoon,

I spoke with an individual at the Oregon Government Ethics Commission today about my lobbyist quarterly report. I left my job in February and have not had access to the email or log-in information. My quarterly report for the first part of 2017 was subsequently not filed and I was notified yesterday by phone that there have been fees piling up on the account.

I was told to reset my password (done), file the quarterly report (done), and then send this general email to the general email (here) to ask to have the fines removed from the account.

Please let me know if I can provide any further information.

Adam

--

Adam Meyer
(971) 404-6501



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 5, 2017

Molly Woon
317 NE Monroe St.
Portland OR 97212

Dear Ms. Woon:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q4 2016 and Q1 2017 (\$110) penalties will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 990
User Name: mollywoon
Email: molly.woon@state.or.us

Molly Woon
900 Court Street NE
Salem, OR 97301

Q1 2017 Filing: 4/25/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$90.00

Activity Log - Q1 2017 to Date

01/15/17 04:42:34.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:08.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:35:47.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:06.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:56.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:19:04.853 - User Successfully Authenticated -
01/17/17 01:22:56.880 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
04/15/17 01:30:57.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:32.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:32.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:22.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:20.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:19.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:15.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:14.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:14.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:14.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:16.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 04:24:34.593 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

KathyD May 5th, 2017 at 10:52 AM

5/3/2017 Letter of Explanation received.

5/5/2017 Letter of Acknowledgement sent.

Letter of Ed
RD

KathyD April 25th, 2017 at 4:31 PM

4/25/2017 I spoke with Molly. She had no idea that she had penalties. She worked for Secretary of State and in the transition, is out of employment and had no information about this account to access. It was done administratively for her. Her termination was 1/4/2017. She will write a letter of explanation for mitigation. I assisted her in filing her Q1 2017 report to stop penalty accrual.

VIOLATIONS: None

RECOMMENDATION:

Lobbyist Activity

Q4 2016

Lobbyist Code: 990
User Name: mollywoon
Email: molly.woon@state.or.us

Q4 2016 Filing: 1/17/2017
Q4 2016 Expended: \$0.00
Q4 2016 Penalty: \$20.00

Molly Woon
900 Court Street NE
Salem, OR 97301

Activity Log - Q4 2016 to Date

10/14/16 10:19:19.307 - Lobbyist Profile Created - A new lobbyist profile was created.
10/14/16 10:19:19.307 - User Profile Created - A new user profile was created.
10/14/16 10:19:20.690 - User Profile Created - User profile created for Molly Woon
10/14/16 10:40:38.783 - User Successfully Authenticated -
01/15/17 04:42:34.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:08.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:35:47.550 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 06:20:06.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:56.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:19:04.853 - User Successfully Authenticated -
01/17/17 01:22:56.880 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
04/15/17 01:30:57.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:32.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:32.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:22.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:20.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:19.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:15.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:14.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:14.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:14.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:16.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 04:24:34.593 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

RECEIVED

MAY 03 2017

OREGON GOVERNMENT (
ETHICS COMMISSION

Request for Mitigation

Dear Members of the Oregon Government Ethics Committee,

I respectfully submit this letter of explanation for fines accrued while I was registered as a lobbyist for the Oregon Secretary of State's office in 2016.

As you are probably aware, the Secretary of State's Office went through a leadership transition at the beginning of 2017. The outgoing executive assistant that I worked with submitted my termination paperwork on the first day that the incoming Secretary assumed office, January 4th. However, we were unaware that submitting this paperwork after January 1st, 2017 would effectively make me a registered lobbyist for the first three days of 2017.

Not knowing that I was a registered lobbyist for any portion of 2017, I did not submit a quarterly statement report and I accrued fines from 4/15/17 to 4/25/17 -- on which date Kathy Daniel from your agency contacted me. My email on file (molly.woon@state.or.us) was not active following my departure on 1/4/17 from state employment so I was not receiving notifications about the filing requirements or late fees.

Given these unusual circumstances, I respectfully ask that you dismiss these fines. We were not aware that filing my termination paperwork for 2016 after January 1, 2017 would require a disclosure for 2017. Additionally, I did not receive notice of these requirements until contacted by your office as my contact email was disabled at the conclusion of my state employment. (

I will gladly answer any questions you may have.

Sincerely,

Molly Woon
317 NE Monroe Street
Portland OR
molly.woon@gmail.com
503 367 4327



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Oregon Chapter Sierra Club
Attn: Rhett Lawrence
1821 SE Ankeny St.
Portland OR 97214

Dear Mr. Lawrence:

The written explanation of why your Lobbyist and Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalties (\$10 each) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 190
User Name: rhettlawrence
Email: rhett.lawrence@sierraclub.org

Rhett Lawrence
1821 SE Ankeny St
Portland, OR 97214

Q1 2017 Filing: 4/17/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$10.00

Activity Log - Q1 2017 to Date

01/13/17 03:08:31.853 - User Successfully Authenticated -
01/13/17 03:11:26.803 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/15/17 06:37:39.927 - User Successfully Authenticated -
01/16/17 01:31:30.713 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:31:41.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:30:18.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:14.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:18.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 11:25:32.797 - User Successfully Authenticated -
04/17/17 11:27:18.667 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
(B)



SIERRA
CLUB
FOUNDED 1893

OREGON CHAPTER SIERRA CLUB
1821 SE ANKENY ST • PORTLAND, OR 97214
PHONE (503) 238-0442 • FAX (503) 238-6281
OREGON.CHAPTER@SIERRACLUB.ORG
WWW.OREGON.SIERRACLUB.ORG

RECEIVED

MAY 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

May 5, 2017

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

Re: Letter of explanation for late filing of quarterly lobbying reports

Dear Members of the Commission,

I write today to provide explanation of my late filings in April 2017 and to seek a waiver of the fines accrued against me. As the staffer for the Oregon Chapter of the Sierra Club that also functions as our lobbyist, I am responsible for filing reports both for myself and for the organization as a whole. In other words, I essentially have to function as both client and employer for the purposes of filing the reports with the Commission.

In about 4 years of lobbying for the Sierra Club, I have never once had a lobbying expense to file with the Commission for myself as a lobbyist. And when I'm filing the report to the Commission on the lobbying time the organization has spent in the quarter, I have to request a timesheet report from our national headquarters in Oakland, California. Typically I try to request that report at least a week before your filing deadline, though sometimes that process is slowed down by the failure of some staff (including myself on occasion) to get their timesheets in.

In April of this year, two things conspired to cause me to file both reports late. First, I mistakenly assumed that when the filing deadline fell on a weekend, the due date would be the first following workday. As you know, April 15 was a Saturday and, perhaps caught up with tax season deadlines, I just assumed I did not need to get the report in until that Monday.

I sent my request to our Oakland HQ on April 11 for the timesheet summary and then followed up on April 13 when I had not heard back from them. Unfortunately, in that April 13 message, I also told our HR department that the report wasn't due to the Commission until Monday (April 17) and asked could they please have the report to me by then. And that, of course, is exactly what happened. By the time I started getting the e-mails from OGEC on Saturday, April 15, that my filing was late and fines were

accruing, our HR staff was out of the office and I was not able to get the report before Monday even if I wanted to.

However, when I did indeed receive the report from Oakland on Monday, April 17, I immediately filed both reports with the Commission. Of course they were technically two days late at that point, resulting in a \$40 fine. And while most organizations and lobbying firms would laugh at a fine of that size, every dollar counts at our small nonprofit. Even though we are a branch of a large national organization, our state chapter budget is all our own and we receive little money from the national group.

Obviously we can and will pay the fine if we have to, but we would certainly prefer to put our members' dollars to better use than paying for an honest mistake that I made. And maybe I'm the only one who made the erroneous assumption that we had until Monday, April 17, to file the reports, but I'd be surprised if so. In any event, the lesson has been learned and I will not make that mistake again. However, I do hope you will accept my explanation for the late filing and decide you can forgive us the fines.

Please accept my apology for the mistake and do not hesitate to let me know if I can answer further questions you may have. Thank you for considering my request and I look forward to hearing your response.

Sincerely,

A handwritten signature in black ink, appearing to read "Rhett Lawrence", with a long horizontal flourish extending to the right.

Rhett Lawrence
Conservation Director



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 15, 2017

Scott West
319 SW Washington, Suite 700
Portland OR 97204

Dear Mr. West:

The written explanation of why the first quarter 2017 lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 (\$30) penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2017

Lobbyist Code: 810
User Name: scott@traveloregon.com
Email: scott@traveloregon.com

Q1 2017 Filing: 4/19/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$30.00

Scott West
319 SW Washington, Suite 700
portland, OR 97204

Activity Log - Q1 2017 to Date

01/04/17 02:58:26.263 - User Successfully Authenticated -
01/04/17 02:59:30.600 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2016
01/16/17 01:34:56.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:33:29.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:30:44.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:25.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:26.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:19.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 10:22:06.400 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/17 01:30:18.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1.
04/19/17 02:36:49.993 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/17 02:39:28.410 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/17 02:40:01.550 - User Successfully Authenticated -
04/19/17 02:41:23.430 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
ED

HUNTER David * OGEC

From: Nastassja Olson <staj@traveloregon.com>
Sent: Monday, May 22, 2017 1:40 PM
To: OGEC Mail * OGEC
Subject: Late Quarterly Report - letter of explanation for lateness

RECEIVED

MAY 22 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Lobbyist

Hello,

I am wishing to file a letter of explanation for our missed quarterly report for Scott West and Travel Oregon. I am Scott's Executive Assistant who has his login and handles the reporting. My mother suddenly passed away in a tragic car accident in CO on April 11, 2017. I had to immediately drop everything and return to CO and handle affairs, the service, mourn, etc. I was unavailable and Scott West wasn't able to complete the report without my assistance. I am back from Bereavement now and can handle is reports in the future.

Due to these unforeseen, terrible chain of events, I am hoping your commission may be able to waive our late payment fee for the quarterly report that was due in April 2017 for both Scott West, lobbyist, and Travel Oregon, the client.

Please let me know how the Commission responds to this letter of explanation.

Thank you for your time and consideration.

Sincerely,
Nastassja

Nastassja Olson | Executive Assistant, Strategy & Public Affairs

Travel Oregon/Oregon Tourism Commission
19 SW Washington Street, Suite 700 | Portland, OR 97204
Direct: 971.717.6203 | Main: 503.967.1560
Email: Staj@TravelOregon.com
TravelOregon.com | Industry.TravelOregon.com

*****CONFIDENTIALITY NOTICE*****

This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply email, keep the contents confidential, and immediately delete the message and any attachments from your system.

-----Original Message-----

From: ogec.notification@egov.com [mailto:ogec.notification@egov.com]
Sent: Wednesday, April 19, 2017 5:01 PM
To: Scott West <scott@traveloregon.com>
Cc: Nastassja Olson <staj@traveloregon.com>
Subject: Receipt of Late Quarterly Report

Dear Scott West at Travel Oregon:

Thank you for filing your quarterly report as required. You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 4/1/2017 that the reporting window for the 2017 Q1 reporting period was open and you were reminded again on 4/10/2017 and 4/15/2017.

Your late filing was received on 4/19/2017. Accordingly, you have accrued a penalty of \$50.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 5/20/2017.



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Motion Picture Exhibitors of WA, AK, and OR
Attn: Bruce Gardiner
PO Box 3134
Kirkland WA 98083

Dear Mr. Gardiner:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$40) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 694
User Name: BruceGardiner
Email: bruce@GardinerLaw.com

Q1 2017 Filing: 4/19/2017
Q1 2017 Expended: \$6,000.00
Q1 2017 Penalty: \$40.00

Motion Picture Exhibitors of WA, AK & OR
Attn: Bruce Gardiner
PO Box 3134
Kirkland, WA 98083-3134

Activity Log - Q1 2017 to Date

01/04/17 11:54:09.483 - User Successfully Authenticated -
01/04/17 11:56:19.740 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:37:35.307 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:36:59.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 11:47:34.617 - User Successfully Authenticated -
04/01/17 02:26:46.767 - User Successfully Authenticated -
04/15/17 01:31:18.607 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:44.337 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:44.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:26.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:21.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 12:08:16.540 - User Successfully Authenticated -
04/19/17 12:25:14.497 - Client Report Submitted - Client Report Submitted for Q1 2017

KathyD April 27th, 2017 at 3:01 PM

4/21/2017 Bruce called with desire to request penalty waiver. I suggested he send in a letter of explanation for the June 2 meeting.

Letter of explanation received.

4/27/17 Letter of Acknowledgement sent.

PAST VIOLATIONS: NONE

RECOMMENDATION:

letter of Ed.

Motion Picture Exhibitors of Washington, Alaska and Oregon

PO Box 3134
KIRKLAND, WA 98083
(425) 823-9456 • Fax (425) 823-2022
e-mail: bruce@PacificNWTheatreOwners.com

RECEIVED
APR 21 2017
OREGON GOVERNMENT
ETHICS COMMISSION

D. BRUCE GARDINER

Dear Folks:

The Motion Picture Exhibitors of Washington, Alaska and Oregon use a legislative reporting service in Oregon, and, therefore, we file a lobbying report with your organization.

We filed our report for the 4th Quarter of 2016 in January, 2017. Thereafter, we received a number of emails from you stating that we were deficit in filing our report. I complained that I had file my report, and found that apparently you had a glitch in your system and it sent a number of these deficiency emails erroneously.

I thought I had file the 1st Qtr 2017 report on April 1st. then I started getting those same emails again saying that I was deficient in filing my report. I thought they were just more glitch emails.

After getting several of these, on April 18th, I emailed your office stating I had filed my report, and attached a copy of what I believed I had filed. Your office replied and said, no, I had not filed my report, and yes, the email notices were correct, and penalties were accruing. They gave me a phone number to call (unfortunately it was mistyped), which I called and could not connect. I also went online to check my reports, and filed my first quarter report (again).

At this point, I was four days late, and had incurred \$40 in penalties.

Would you please waive the penalties? I have faithfully filed my quarterly reports for several yéars, and the delay this year was only because I though the emails were more glitches like in January.

Sincerely yours,

/D. Bruce Gardiner/

D. Bruce Gardiner,
Executive Director

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Life Flight Network
Attn: Michael Griffiths
22285 Yellow Gate Lane
Aurora OR 97002

Dear Mr. Griffiths:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$20) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 1249
User Name: flyingmike
Email: mgriffiths@lifeflight.org

Q1 2017 Filing: 4/18/2017
Q1 2017 Expended: \$1,500.00
Q1 2017 Penalty: \$20.00


Life Flight Network
Attn: Michael Griffiths
22285 Yellow Gate Lane
Aurora, OR 97002

Activity Log - Q1 2017 to Date

01/05/17 06:06:55.400 - User Failed to Authenticate -
01/05/17 06:08:06.220 - User Successfully Authenticated -
01/12/17 05:43:19.147 - User Failed to Authenticate -
01/12/17 05:43:37.967 - User Successfully Authenticated -
01/14/17 09:10:55.507 - User Failed to Authenticate -
01/14/17 09:11:03.360 - User Failed to Authenticate -
01/14/17 09:12:45.573 - User Successfully Authenticated -
01/14/17 09:15:06.783 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:40:11.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:40:08.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/11/17 05:11:35.057 - User Failed to Authenticate -
04/11/17 05:12:46.643 - User Failed to Authenticate -
04/11/17 05:14:30.463 - User Failed to Authenticate -
04/11/17 05:14:40.003 - User Successfully Authenticated -
04/14/17 10:36:16.973 - User Failed to Authenticate -
04/14/17 10:36:44.940 - User Successfully Authenticated -
04/14/17 10:37:21.923 - Client Report Draft Canceled - Client Report Draft Canceled for Q4 2016
04/14/17 10:37:54.170 - Client Report Saved - Client Report Saved for Q1 2017
04/14/17 10:38:08.923 - Client Report Draft Canceled - Client Report Draft Canceled for Q4 2016
04/15/17 01:31:43.077 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:57.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:57.347 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:33.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 11:56:57.430 - User Successfully Authenticated -
04/18/17 11:57:54.757 - User Successfully Authenticated -
04/18/17 11:59:28.480 - Client Report Submitted - Client Report Submitted for Q1 2017

VIOLATIONS: NONE

RECOMMENDATION:

Letter to End.


DANIEL Kathy * OGEC

From: Tiffany Harrison <tharrison@lifeflight.org>
Sent: Tuesday, April 18, 2017 4:58 PM
To: OGEC Mail * OGEC
Subject: Late filing

RECEIVED
APR 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Hello,

Life Flight Network filed its 2017 Q1 Oregon Ethics report on April 14, 2017, prior to the due date of April 15, 2017. On April 16, 2017, we were notified by the Oregon Government Ethics Commission the report was late. Upon inquiry, it was determined our internet browser (Google Chrome) did not support the signature portion of the form. Therefore, while the report appeared to be filed, it was still in a pending status. Upon receiving this information, the correct internet browser was used and the report was immediately filed.

We are requesting a one-time waiver of the \$30 late fee.

Thank you for your consideration.
Tiffany

Tiffany Harrison, CPA | Chief Financial Officer
Office 503.678.4364x4102 | Mobile 503.730.0557 | Fax 503.678.4369 |
Life Flight Network | 22285 Yellow Gate Lane, Ste 102 | Aurora, OR 97002



Client

IMPORTANT NOTICE: This communication, including any attachment, contains information that may be confidential or privileged, and is intended solely for the entity or individual to whom it is addressed. If you are not the intended recipient, you should contact the sender and delete the message. Any unauthorized disclosure, copying, or distribution of this message is strictly prohibited. Nothing in this email, including any attachment, is intended to be a legally binding signature. This email may be privileged and protected under applicable law as related to quality assurance.

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

American Fisheries Society, Oregon Chapter
Attn: Ben Clemens
ODFW Corvallis Fish Research
28655 Hwy 34
Corvallis OR 97333

Dear Mr. Clemens:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$40) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 1290
User Name: Ben.Clemens@oregonstate.edu
Email: bclemens1976@hotmail.com

Q1 2017 Filing: 4/19/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$40.00


American Fisheries Society, Oregon Chapter
Attn: Ben Clemens
ODFW Corvallis Fish Research, 28655 Hwy 34
Corvallis, OR 97333

Activity Log - Q1 2017 to Date

01/04/17 10:53:27.737 - User Successfully Authenticated -
01/04/17 10:58:01.757 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:40:17.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:40:15.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:23:19.373 - User Successfully Authenticated -
04/15/17 01:31:45.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:59.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:59.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:33.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:29.930 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 08:20:25.083 - User Failed to Authenticate -
04/19/17 08:20:41.927 - User Successfully Authenticated -
04/19/17 08:48:31.087 - Client Report Submitted - Client Report Submitted for Q1 2017

VIOLATIONS: NONE

RECOMMENDATION:

Let's it Ed


HUNTER David * OGEC

From: Benjamin Clemens <bcclemens1976@hotmail.com>
Sent: Wednesday, April 19, 2017 7:55 PM
To: OGEC Mail * OGEC
Subject: Fw: Receipt of Late Quarterly Report

Client

RECEIVED
APR 19 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Hello,

Please accept my apologies for filing our quarterly report late (see receipt below).

I am new to filing these quarterly reports and the notices I received were being filtered out by my personal email into a subfolder, hence I missed them until now. Given the aforementioned circumstances, I respectfully ask you to please consider waiving the \$50.00 late fee that has accrued to our account. I thank you for your time in considering my request.

Sincerely,

Benjamin J. Clemens, Ph.D.
Oregon Chapter of the American Fisheries Society

From: ogec.notification@egov.com <ogec.notification@egov.com>
Sent: Wednesday, April 19, 2017 8:48 AM
To: bcclemens1976@hotmail.com
Subject: Receipt of Late Quarterly Report

Dear Ben Clemens at American Fisheries Society, Oregon Chapter:

Thank you for filing your quarterly report as required. You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 4/1/2017 that the reporting window for the 2017 Q1 reporting period was open and you were reminded again on 4/10/2017 and 4/15/2017.

Your late filing was received on 4/19/2017. Accordingly, you have accrued a penalty of \$50.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 5/19/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.

Please remember to visit the Electronic Filing System at <https://apps.oregon.gov/OGEC/EFS/Home/SignIn> to update our profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

Electronic Filing System Oregon Government Ethics ...



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Oregon Chapter Sierra Club
Attn: Rhett Lawrence
1821 SE Ankeny St.
Portland OR 97214

Dear Mr. Lawrence:

The written explanation of why your Lobbyist and Client expenditure reports were filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalties (\$10 each) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity
Q1 2017

Client Code: 297
User Name: rhettlawrence1
Email: rhett.lawrence@sierraclub.org

Q1 2017 Filing: 4/17/2017
Q1 2017 Expended: \$6,226.05
Q1 2017 Penalty: \$10.00

Sierra Club of Oregon
Attn: Rhett Lawrence
1821 SE Ankeny St
Portland, OR 97217

Activity Log - Q1 2017 to Date

01/13/17 03:11:50.613 - User Successfully Authenticated -
01/13/17 03:15:08.537 - Client Report Submitted - Client Report Submitted for Q4 2016
01/15/17 06:38:30.697 - User Failed to Authenticate -
01/15/17 06:38:43.013 - User Failed to Authenticate -
01/15/17 06:39:04.890 - User Successfully Authenticated -
01/16/17 01:37:34.603 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:36:57.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:31:17.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:43.880 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:44.040 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 11:27:42.547 - User Successfully Authenticated -
04/17/17 11:31:09.967 - Client Report Submitted - Client Report Submitted for Q1 2017

PAST VIOLATIONS: NONE

RECOMMENDATION: *Letter to ES*
PP



OREGON CHAPTER SIERRA CLUB
1821 SE ANKENY ST • PORTLAND, OR 97214
PHONE (503) 238-0442 • FAX (503) 238-6281
OREGON.CHAPTER@SIERRACLUB.ORG
WWW.OREGON.SIERRACLUB.ORG

RECEIVED
MAY 08 2017
OREGON GOVERNMENT
ETHICS COMMISSION

May 5, 2017

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

Re: Letter of explanation for late filing of quarterly lobbying reports

Dear Members of the Commission,

I write today to provide explanation of my late filings in April 2017 and to seek a waiver of the fines accrued against me. As the staffer for the Oregon Chapter of the Sierra Club that also functions as our lobbyist, I am responsible for filing reports both for myself and for the organization as a whole. In other words, I essentially have to function as both client and employer for the purposes of filing the reports with the Commission.

In about 4 years of lobbying for the Sierra Club, I have never once had a lobbying expense to file with the Commission for myself as a lobbyist. And when I'm filing the report to the Commission on the lobbying time the organization has spent in the quarter, I have to request a timesheet report from our national headquarters in Oakland, California. Typically I try to request that report at least a week before your filing deadline, though sometimes that process is slowed down by the failure of some staff (including myself on occasion) to get their timesheets in.

In April of this year, two things conspired to cause me to file both reports late. First, I mistakenly assumed that when the filing deadline fell on a weekend, the due date would be the first following workday. As you know, April 15 was a Saturday and, perhaps caught up with tax season deadlines, I just assumed I did not need to get the report in until that Monday.

I sent my request to our Oakland HQ on April 11 for the timesheet summary and then followed up on April 13 when I had not heard back from them. Unfortunately, in that April 13 message, I also told our HR department that the report wasn't due to the Commission until Monday (April 17) and asked could they please have the report to me by then. And that, of course, is exactly what happened. By the time I started getting the e-mails from OGEC on Saturday, April 15, that my filing was late and fines were

accruing, our HR staff was out of the office and I was not able to get the report before Monday even if I wanted to.

However, when I did indeed receive the report from Oakland on Monday, April 17, I immediately filed both reports with the Commission. Of course they were technically two days late at that point, resulting in a \$40 fine. And while most organizations and lobbying firms would laugh at a fine of that size, every dollar counts at our small nonprofit. Even though we are a branch of a large national organization, our state chapter budget is all our own and we receive little money from the national group.

Obviously we can and will pay the fine if we have to, but we would certainly prefer to put our members' dollars to better use than paying for an honest mistake that I made. And maybe I'm the only one who made the erroneous assumption that we had until Monday, April 17, to file the reports, but I'd be surprised if so. In any event, the lesson has been learned and I will not make that mistake again. However, I do hope you will accept my explanation for the late filing and decide you can forgive us the fines.

Please accept my apology for the mistake and do not hesitate to let me know if I can answer further questions you may have. Thank you for considering my request and I look forward to hearing your response.

Sincerely,

A handwritten signature in black ink, appearing to read "Rhett Lawrence", with a long horizontal flourish extending to the right.

Rhett Lawrence
Conservation Director



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Direct Action Partners Inc.
Attn: Michael Selvaggio
434 NW Sixth Ave.
Portland OR 97209

Dear Mr. Selvaggio:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$250) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 1355
User Name: DirectActionPartners
Email: mike@directctionpartners.com

Q1 2017 Filing: 5/2/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$250.00

Direct Action Partners Inc.
Attn: Michael Selvaggio
434 NW Sixth Avenue
Portland, OR 97209

Activity Log - Q1 2017 to Date

01/10/17 09:25:25.390 - User Profile Created - User profile created for Michael Selvaggio
01/10/17 09:25:33.727 - User Profile Created - A new user profile was created.
01/10/17 09:25:33.840 - Client Profile Created - The client profile was created.
04/15/17 01:31:50.243 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:31:03.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:31:02.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:35.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:31.143 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:28.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:19.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:17.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:19.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:18.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:20.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:12.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.887 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:12.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:11.170 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 04:40:40.653 - User Failed to Authenticate -
05/02/17 04:40:46.217 - User Failed to Authenticate -
05/02/17 04:40:53.173 - User Failed to Authenticate -
05/02/17 04:40:57.363 - Admin User Reset Initiated - An admin user reset was initiated.
05/02/17 04:40:58.813 - User Failed to Authenticate -
05/02/17 04:41:03.370 - User Failed to Authenticate -
05/02/17 04:46:33.077 - User Successfully Authenticated -
05/02/17 04:47:45.417 - Client Report Submitted - Client Report Submitted for Q1 2017
05/02/17 04:50:29.637 - User Profile Updated - User profile updated for Michael Selvaggio
05/02/17 04:50:40.500 - User Profile Updated - The user's main profile was updated.
05/08/17 07:34:34.930 - User Successfully Authenticated -

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(M)

C

DANIEL Kathy * OGEC

From: Michael Selvaggio <michael@prospectpdx.com>
Sent: Monday, May 08, 2017 8:39 AM
To: OGEC Mail * OGEC
Subject: Request for Leniency - Late Filing
Attachments: OGEC_request-for-leniency_05-08-2017.pdf

RECEIVED
MAY 08 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To whom it may concern:

Please accept and submit this letter of explanation (and request for leniency) regarding a late Client Expenditure Report filing for the first quarter of 2017. The report was to be made on behalf of the client "Direct Action Partners."

Please also advise whether payment of any fines should be remitted before or after the Commission has considered this letter.

Thank you.

-Mike Selvaggio
(503) 739-3629

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Holland Partner Group
Attn: Mike Kingsella
1111 Main St. #700
Vancouver WA 98660

Dear Mr. Kingsella:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$550) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 1377
User Name: Hollandoregon2016B
Email: mkingsella@hollandpartnergroup.com

Q1 2017 Filing: 5/8/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$550.00

Holland Partner Group
Attn: Mike Kingsella
1111 Main St #700
Vancouver, WA 98660

Activity Log - Q1 2017 to Date

01/15/17 04:42:37.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/15/17 06:11:59.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 01:39:43.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/16/17 08:09:22.720 - User Successfully Authenticated -
01/16/17 08:14:10.830 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 08:19:06.370 - User Successfully Authenticated -
01/17/17 01:39:25.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 09:08:23.167 - User Successfully Authenticated -
01/17/17 09:08:40.303 - User Successfully Authenticated -
04/15/17 01:31:51.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:31:03.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:31:03.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:36.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 01:30:31.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/20/17 01:30:28.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/21/17 01:30:19.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/22/17 01:30:18.000 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/23/17 01:30:19.320 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/24/17 01:30:18.980 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/25/17 01:30:20.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/26/17 01:30:12.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/27/17 01:30:10.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/28/17 01:30:10.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/29/17 01:30:10.877 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/30/17 01:30:12.193 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
05/01/17 01:30:13.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/02/17 01:30:11.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/03/17 01:30:11.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/04/17 01:30:10.927 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/05/17 01:30:11.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/06/17 01:30:10.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/07/17 01:30:31.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 01:30:12.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2017 Q1
05/08/17 11:37:43.193 - User Successfully Authenticated -
05/08/17 11:39:48.680 - Client Report Submitted - Client Report Submitted for Q1 2017
05/10/17 11:31:49.797 - User Profile Updated - The user's main profile was updated.
05/10/17 11:31:59.573 - User Profile Updated - User profile updated for Mike Kingsella
05/10/17 11:32:18.910 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/17 11:33:27.397 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/17 11:33:49.233 - User Successfully Authenticated -
05/10/17 11:34:08.123 - User Profile Updated - User profile updated for Mike Kingsella
05/10/17 11:34:18.940 - User Password Reset - The user's password was reset from the "My Profile" page.
05/10/17 11:34:19.087 - User Profile Updated - The user's main profile was updated.
05/10/17 11:34:21.023 - Admin User Reset Initiated - An admin user reset was initiated.

PAST VIOLATIONS: NONE

RECOMMENDATION: 

Leban et Eol

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DANIEL Kathy * OGEC

From: Mike Kingsella <mkingseila@hollandpartnergroup.com>
Sent: Monday, May 08, 2017 11:46 AM
To: DANIEL Kathy * OGEC
Cc: Julie Flint
Subject: Q1 2017 Filing for Dennis Allen, Holland Partner Group

RECEIVED
MAY 08 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Good afternoon Kathy,

I hope you are doing well. I am writing to let you know that our HR group at Holland recently reached out regarding Dennis Allen's delinquent filing with the Oregon Government Ethics Commission for Q1 2017. I believe that you have been in contact with Julie Flint regarding this matter.

Dennis left Holland Partner Group in Q1 2017, and as a result did not complete his filing.

I am now Holland's point person on Policy & Government Affairs. I got ahold of Dennis and was able to access his account to file for first quarter activity. Confirmation of filing is below. I would like to see if you might be willing to waive the \$550 penalty given Dennis' on-time historic filing history, as well as given that his departure is the reason that he did not complete this filing on a timely basis.

Report Successfully Filed

Thank you, your **Oregon Government Ethics Commission E-Filing Report for 2017, Q1** has been successfully submitted.

- Your confirmation number is: **AMDB40B**
- An email receipt has been sent to the email address provided.
- You can also print this receipt for your records.

Mike R. Kingsella | Development Manager, Transformative Development | Holland Partner Group
1111 Main Street, Suite 700, Vancouver, Washington 98660
Direct 360-989-3399 | Cell 917-327-3800 | mkingseila@hollandpartnergroup.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 23, 2017

Travel Oregon
Attn: Scott West
319 SW Washington St, Suite 700
Portland OR 97204

Dear Mr. West:

The written explanation of why your Client expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the Q1 2017 penalty (\$30) will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2017

Client Code: 1095
User Name: Scott West
Email: scott@traveloregon.com

Travel Oregon
Attn: Scott West
319 SW Washington Street, Suite 700
Portland, OR 97204

Q1 2017 Filing: 4/19/2017
Q1 2017 Expended: \$0.00
Q1 2017 Penalty: \$30.00

Activity Log - Q1 2017 to Date

01/04/17 02:58:16.963 - User Failed to Authenticate -
01/04/17 03:02:11.923 - User Successfully Authenticated -
01/04/17 03:02:42.523 - Client Report Submitted - Client Report Submitted for Q4 2016
01/16/17 01:39:48.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
01/17/17 01:39:30.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2016 Q4
04/15/17 01:31:39.357 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/16/17 01:30:55.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/17/17 01:30:54.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 01:30:31.670 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/18/17 10:22:25.533 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/17 01:30:27.723 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017 Q1
04/19/17 02:37:05.770 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/17 02:44:14.013 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/17 05:00:13.817 - User Successfully Authenticated -
04/19/17 05:00:51.537 - Client Report Submitted - Client Report Submitted for Q1 2017

PREVIOUS VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed
PA

From: Nastassja Olson <staj@traveloregon.com>
Sent: Monday, May 22, 2017 1:40 PM
To: OGEC Mail * OGEC
Subject: Late Quarterly Report - letter of explanation for lateness

RECEIVED
MAY 22 2017
OREGON GOVERNMENT
ETHICS COMMISSION
Client

Hello,

I am wishing to file a letter of explanation for our missed quarterly report for Scott West and Travel Oregon. I am Scott's Executive Assistant who has his login and handles the reporting. My mother suddenly passed away in a tragic car accident in CO on April 11, 2017. I had to immediately drop everything and return to CO and handle affairs, the service, mourn, etc. I was unavailable and Scott West wasn't able to complete the report without my assistance. I am back from Bereavement now and can handle is reports in the future.

Due to these unforeseen, terrible chain of events, I am hoping your commission may be able to waive our late payment fee for the quarterly report that was due in April 2017 for both Scott West, lobbyist, and Travel Oregon, the client.

Please let me know how the Commission responds to this letter of explanation.

Thank you for your time and consideration.

Sincerely,
Nastassja

Nastassja Olson | Executive Assistant, Strategy & Public Affairs

Travel Oregon/Oregon Tourism Commission
19 SW Washington Street, Suite 700 | Portland, OR 97204
Direct: 971.717.6203 | Main: 503.967.1560
Email: Staj@TravelOregon.com
TravelOregon.com | Industry.TravelOregon.com

*****CONFIDENTIALITY NOTICE*****

This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply email, keep the contents confidential, and immediately delete the message and any attachments from your system.

-----Original Message-----

From: ogec.notification@egov.com [mailto:ogec.notification@egov.com]
Sent: Wednesday, April 19, 2017 5:01 PM
To: Scott West <scott@traveloregon.com>
Cc: Nastassja Olson <staj@traveloregon.com>
Subject: Receipt of Late Quarterly Report

Dear Scott West at Travel Oregon:

Thank you for filing your quarterly report as required. You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 4/1/2017 that the reporting window for the 2017 Q1 reporting period was open and you were reminded again on 4/10/2017 and 4/15/2017.

Your late filing was received on 4/19/2017. Accordingly, you have accrued a penalty of \$50.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 5/20/2017.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.

Please remember to visit the Electronic Filing System at <https://apps.oregon.gov/OGEC/EFS/Home/SignIn> to update our profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

Please do not reply to this email as replies will not be delivered to the Commission.

Thank you,

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Cindy Deck
150 1st Street East
Huntington OR 97907

Re: 2016 SEI Penalty

Dear Ms. Deck:

The written explanation of why your 2016 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$600 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2016

SEI Code: 4633
User Name: Cindy Andrews-Deck
Email: capadeck69@gmail.com

2016 Filing: 4/15/2017
2016 Penalty: \$600.00

Cindy Deck
150 1st Street East
Huntington, OR 97907

2016 Offices Held

HUNTINGTON (City), COUNCIL - Appointed 03/08/16

Activity Log - 2016 to Date

04/22/16 02:05:56.623 - User Profile Created - User profile created for Cindy Deck
04/22/16 02:05:56.733 - User Profile Created - A new user profile was created.
04/22/16 02:05:56.750 - SEI Assigned to Seat - SEI Assigned to Seat
06/14/16 01:30:27.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/15/16 01:30:27.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/16/16 01:30:27.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/17/16 01:30:29.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/18/16 01:30:28.173 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/19/16 01:30:27.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/20/16 01:30:25.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/21/16 01:30:26.763 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/25/16 01:30:30.867 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/26/16 01:30:27.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/27/16 01:30:26.380 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/27/16 10:15:04.187 - Admin User Reset Initiated - An admin user reset was initiated.
06/28/16 01:30:29.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
06/28/16 02:48:15.083 - User Failed to Authenticate -
06/28/16 02:58:29.527 - User Successfully Authenticated -
06/28/16 03:09:42.190 - Late SEI Report Filed - SEI Report Filed Late for year 2016
03/20/17 07:42:53.897 - Admin User Reset Initiated - An admin user reset was initiated.
03/20/17 07:44:21.060 - Admin User Password Reset Completed - A user reset their password using an admin reset.
03/20/17 07:44:55.903 - User Successfully Authenticated -
03/20/17 07:45:55.197 - User Profile Updated - The user's main profile was updated.
03/20/17 07:46:02.620 - User Profile Updated - User profile updated for Cindy Deck
04/15/17 07:41:50.480 - User Successfully Authenticated -
04/15/17 07:47:29.067 - SEI Report Filed - SEI Report Filed for year 2017


KathyD April 27th, 2017 at 3:15 PM

4/25/17 Letter of Explanation received.

4/27/17 Letter of Acknowledgement sent.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter of ED


HUNTER David * OGEC

From: capadeck69 <capadeck69@gmail.com>
Sent: Tuesday, April 25, 2017 8:04 AM
To: OGEC Mail * OGEC
Subject: Penalty for late file

My name is Cindy deck and I am a Huntington city councilor. Last year I file my OGEC late due to the inability to use technology. With the help from my children I have improved and I am trying hard to keep up. I am asking if it is possible to waive the penalty for my tardiness. Thank you for your time

Sent from my Verizon, Samsung Galaxy Tablet

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Greg Evers
St. Paul Planning Commission
PO Box 187
St Paul OR 97137

Re: 2016 SEI Penalty

Dear Mr. Evers:

The written explanation of why your 2016 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$4,700 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2016

SEI Code: 5176
User Name: gevers
Email: stpaulemt@gmail.com

2016 Filing: 4/25/2017
2016 Penalty: \$4,700.00

Greg Evers
PO box 187
St Paul, OR 97137

2016 Offices Held

ST PAUL (City), PLANNING COMMISSION - Appointed 01/01/16

Activity Log - 2016 to Date

07/01/16 10:12:01.650 - User Profile Created - User profile created for Greg Evers
07/01/16 10:12:01.837 - User Profile Created - A new user profile was created.
07/01/16 10:12:01.853 - SEI Assigned to Seat - SEI Assigned to Seat
07/11/16 01:30:32.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/12/16 01:30:31.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/13/16 01:30:26.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/14/16 01:30:30.770 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/15/16 01:30:35.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/16/16 01:30:30.340 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/17/16 01:30:30.127 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/18/16 01:30:27.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/19/16 01:30:30.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/20/16 01:30:28.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/21/16 01:30:34.273 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/22/16 01:30:32.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/23/16 01:30:30.263 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/24/16 01:30:52.553 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/25/16 01:30:30.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/26/16 01:30:32.880 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/03/16 01:30:13.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/04/16 01:30:13.967 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/05/16 01:30:12.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/06/16 01:30:14.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/07/16 01:30:17.187 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/08/16 01:30:10.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/09/16 01:30:14.870 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/10/16 01:30:14.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/11/16 01:30:18.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/12/16 01:30:12.887 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/13/16 01:30:12.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/14/16 01:30:12.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/15/16 01:30:13.430 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/16/16 01:30:13.297 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/17/16 01:30:13.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/18/16 01:30:35.100 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/19/16 01:30:13.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/20/16 01:30:13.377 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/21/16 01:30:12.910 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/22/16 01:30:14.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/23/16 01:30:12.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/24/16 01:30:12.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/25/16 01:30:12.577 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/26/16 01:30:13.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/27/16 01:30:12.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/28/16 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/29/16 01:30:12.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/30/16 01:30:12.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/31/16 01:30:15.223 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/01/16 01:30:13.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/02/16 01:30:12.093 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/03/16 01:30:12.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/04/16 01:30:13.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0

SEI Activity
2016

SEI Code: 5176
User Name: gevers
Email: stpaulemt@gmail.com

2016 Filing: 4/25/2017
2016 Penalty: \$4,700.00

Greg Evers
PO box 187
St Paul, OR 97137

09/05/16 01:30:12.980 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/06/16 01:30:13.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/06/16 11:25:29.580 - Admin User Reset Initiated - An admin user reset was Initiated.
09/06/16 11:26:30.713 - Admin User Password Reset Completed - A user reset their password using an admin reset.
09/06/16 11:26:54.473 - User Successfully Authenticated -
09/06/16 11:27:26.907 - User Password Reset - The user's password was reset from the "My Profile" page.
09/06/16 11:27:27.013 - User Profile Updated - The user's main profile was updated.
09/06/16 11:27:27.017 - User Profile Updated - User profile updated for Greg Evers
09/06/16 04:53:23.203 - User Failed to Authenticate -
09/06/16 04:54:32.600 - User Failed to Authenticate -
09/06/16 04:55:35.137 - User Failed to Authenticate -
09/06/16 05:03:47.280 - User Failed to Authenticate -
09/07/16 01:30:14.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/07/16 07:36:14.167 - User Failed to Authenticate -
09/08/16 01:30:14.277 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/09/16 01:30:13.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/10/16 01:30:12.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/11/16 01:30:12.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/12/16 01:30:14.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/13/16 01:30:15.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/14/16 10:23:26.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/15/16 01:30:13.600 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/16/16 01:30:14.190 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/17/16 01:30:14.437 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/18/16 01:30:16.963 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/19/16 01:30:13.260 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/20/16 01:30:13.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/21/16 01:30:14.567 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/22/16 01:30:17.627 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/23/16 01:30:34.570 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/24/16 01:30:13.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/25/16 01:30:35.207 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/26/16 01:30:14.293 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/27/16 01:30:15.253 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
09/27/16 01:23:01.423 - Late SEI Report Filed - SEI Report Filed Late for year 2016
04/23/17 06:55:31.137 - User Failed to Authenticate -
04/23/17 06:58:16.507 - User Successfully Authenticated -
04/25/17 12:43:52.500 - User Successfully Authenticated -
04/25/17 12:59:08.737 - SEI Report Filed - SEI Report Filed for year 2017

PAST FILINGS: 5/4/12; 6/7/13; 5/6/14; 4/17/15

RECOMMENDATION:

Letter dls
(signature)

Tammy Hedrick

JetskiOR01 *April 24th, 2017 at 12:10 PM*

4/24/17 spoke with Mr. Evers today regarding accrued penalty for failure to timely file the 2016 SEI. I provide information regarding the mitigation regarding failure to file timely. Per Mr. Evers request I emailed him contact information for OGEC. Mr. Evers indicated that he had not received email correspondence regarding the filing requirements of the EFS. I included screen shots to show email address on file in relation to Mr. Evers EFS personal profile.

KathyD *September 27th, 2016 at 1:18 PM*

9/27/16 Received his paper SEI via USPS. I input into his account.

KathyD *September 9th, 2016 at 9:01 AM*

9/9/16 Still haven't heard from him. I mailed a paper form to him today.

KathyD *August 30th, 2016 at 11:47 AM*

8/30/16 Called, no answer, no machine. I called and spoke with JC. She said he's still on the commission. Our contact info in the system is the same as City has on file. She'll ask the Planning Chair to let Mr Evers know at the next meeting Sept. 6 to contact us.

KathyD *August 30th, 2016 at 11:34 AM*

5/16/16 Email sent with registration code and filing requirements reminder.

6/22/16 Email sent as reminder to file, call for help.

KathyD *August 24th, 2016 at 4:40 PM*

8/24/16 Called, no answer, no answering machine. Called JC and left a message for Lorrie.

KathyD *August 24th, 2016 at 4:09 PM*

7/1/16 Michelle created account as filer had not created for self.

HUNTER David * OGEC

From: stpauemt@gmail.com
Sent: Tuesday, April 25, 2017 2:46 PM
To: OGEC Mail * OGEC
Subject: Re: economic interest penalty and fees

RECEIVED
APR 25 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To whom this may concern

My name is Greg Evers. I currently hold a volunteer position on the St. Paul Planning Commission. I'm also a volunteer Firefighter/EMT with the St. Paul Fire District. I'm writing to you today to ask you to remove all and any penalties and fees due to confusion in your transition from paper to electronic economic interests filing. When I went to file my 2017 economic interests on-line this year I found out there was a substantial sum of penalties and fees accrued from a delay in filing due to your records not having my correct email address.

St. Paul Oregon prides its self on its strong volunteer presence. My only interests in the St. Paul Planning Commission is to help my community thrive. I'm a commercial construction worker and have no economic interests what's so ever as you can see in your records.

Thanks for your consideration
Greg P. Evers

Sent from my iPhone



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

April 27, 2017

Baltazar Gonzalez
55 Juniper Ave.
Gervais OR 97026

Re: 2016 SEI Penalty

Dear Mr. Gonzalez:

The written explanation of why your 2016 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$2,400 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2016

SEI Code: 5165
User Name: bgonzalez
Email: jr.gonzalez24@gmail.com

2016 Filing: 4/14/2017
2016 Penalty: \$2,400.00

Baltazar Gonzalez
55 Juniper Avenue
Gervais, OR 97026

2016 Offices Held

GERVAIS (City), COUNCIL - Appointed 04/07/16

Activity Log - 2016 to Date

07/01/16 07:59:35.030 - User Profile Created - User profile created for Baltazar Gonzalez
07/01/16 07:59:35.137 - User Profile Created - A new user profile was created.
07/01/16 07:59:35.153 - SEI Assigned to Seat - SEI Assigned to Seat
07/11/16 01:30:32.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/12/16 01:30:31.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/13/16 01:30:26.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/14/16 01:30:30.613 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/15/16 01:30:35.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/16/16 01:30:30.183 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/17/16 01:30:29.877 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/18/16 01:30:27.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/19/16 01:30:30.267 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/20/16 01:30:28.487 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/21/16 01:30:34.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/22/16 01:30:32.903 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/23/16 01:30:30.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/24/16 01:30:52.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/25/16 01:30:30.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
07/26/16 01:30:32.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/03/16 01:30:12.947 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/04/16 01:30:13.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/05/16 01:30:12.363 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/06/16 01:30:14.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/07/16 01:30:17.047 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/08/16 01:30:10.533 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/09/16 01:30:14.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/10/16 01:30:14.157 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/11/16 01:30:18.250 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/12/16 01:30:12.730 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/13/16 01:30:12.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/14/16 01:30:12.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/15/16 01:30:13.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/16/16 01:30:13.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/17/16 01:30:13.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/18/16 01:30:34.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/19/16 01:30:13.693 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/20/16 01:30:13.237 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/21/16 01:30:12.753 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/22/16 01:30:14.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/23/16 01:30:12.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/24/16 01:30:12.217 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/24/16 04:13:59.443 - User Profile Updated - User profile updated for Baltazar Gonzalez
08/24/16 04:13:59.463 - User Profile Updated - The user's main profile was updated.
08/25/16 01:30:12.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/26/16 01:30:13.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2016 Q0
08/26/16 02:15:25.703 - User Profile Updated - The user's main profile was updated.
08/26/16 02:15:26.557 - User Profile Updated - User profile updated for Baltazar Gonzalez
08/26/16 02:27:55.050 - Late SEI Report Filed - SEI Report Filed Late for year 2016
04/14/17 04:54:22.500 - Admin User Reset Initiated - An admin user reset was initiated.
04/14/17 04:58:27.057 - SEI Report Filed - SEI Report Filed for year 2017

KathyD April 28th, 2017 at 9:44 AM

4/21/17 Letter of Explanation received.

4/27/17 Letter of Acknowledgement sent.

KathyD August 26th, 2016 at 2:53 PM

8/26/16 Baltazar called. When I spoke with him earlier in the week, he had to get from the city his date of appointment to verify filing requirement. He was sworn in on April 12, 2016. He was unaware of this filing requirement. His account was set up for him by MV on 7/1/16. His computer is not working and was not receiving his EFS communications. I sent him a password reset and he said he'd go to the library to retrieve and reset his account. With his permission, I filed his SEI from his dictation over the phone. He will e-mail permission along with a letter of explanation.

VIOLATIONS: Late 2016, filed timely 2017

RECOMMENDATION:

Letter of ED
PA

Baltazar Gonzalez JR.
P.O. Box 111
55 Juniper Av.
Gervais, OR 97026

Oregon Government Ethics Commission
3218 Pringle Rd. SE #220
Salem, OR 97302-1544

RECEIVED
APR 21 2017
OREGON GOVERNMENT
ETHICS COMMISSION

April 14, 2017

Dear Commission Members,

Our Mayor was assisting me in filing my SEI report for 2016, as I do not have access to a computer. In doing so, I spoke with a member of the OGEC, and found that I have an outstanding fine. I was appointed to office in March of 2015, to complete the vacated position of another councilor. At that time I had not taken any classes, nor was fully aware of the responsibilities in regards to the annual SEI reporting expectations. In speaking with your representative, she suggested I write you a letter and ask that you litigate my fine due to being a first time elected official.

I am writing to ask that you review, and take into consideration the possibility of forgiving the \$2400.00 fine that is currently waged against my account for filing my SEI report late in August of 2016. I was at that time new to public office, and learning what is expected of my service.

I am a retired Marine veteran with disabilities, and have been encompassed with medical concerns, both my own as well as family members. While, in no way is this an excuse for filing late, this past year has been a challenge with death in the family, and illness. Without direct contact to a computer, I simply overlooked any notifications sent to me from the commission, and by the time it was brought to my attention, my filing as a first time filer was indeed late.

I apologize deeply and ask that all late fees be forgiven, as it will cause great cumbersome expense and burden to our family. Due to the death in our family, we have moved my elderly mother-in-law in with us, which was necessary for her on-going medical needs and care. This comes with greater responsibility and expense as we have to weekly travel to medical appointments in the Portland area.

I am receiving my email notifications through my phone service at this time. I will respond on a timely basis in the following years of my term. I am learning as I go, and taking classes to better serve my community. I have since taken the OGEC ethics training, which our city co-sponsored with the city of Donald for new and existing members of council in our city and surrounding cities.

I would like to thank you for your consideration in this matter, and ask that you forgive this debt of fine through your litigation process, with promise that I will not let it happen again.

Sincerely,



Baltazar Gonzalez JR.
Councilor
City of Gervais, Oregon

SEI



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Tom Geil
16470 Trailview Drive
Oregon City OR 97045

Re: 2017 SEI Penalty

Dear Mr. Geil:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 3464
User Name: Tgeil
Email: tom.geil@rocketmail.com

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

Tom Geil
16470 Trailview Drive
Oregon City, OR 97045

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:37.560 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 12:18:52.367 - Admin User Reset Initiated - An admin user reset was initiated.
05/08/17 12:24:35.333 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/08/17 12:24:48.577 - User Failed to Authenticate -
05/08/17 12:25:00.117 - User Failed to Authenticate -
05/08/17 12:25:29.820 - User Successfully Authenticated -
05/08/17 12:30:19.877 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Ltbr & Ed
R

5
DANIEL Kathy * OGEC

From: Tom Geil <tom.geil@rocketmail.com>
Sent: Monday, May 08, 2017 12:33 PM
To: OGEC Mail * OGEC
Subject: OGEC Report late filing

Follow Up Flag: Follow up
Flag Status: Flagged

RECEIVED

MAY 09 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Greetings, I have just filed my OGEC filing. I was unaware that this was due because I have been locked out of my email account used for Oregon City government duties. Due to a well known hacking into Yahoo accounts, my password was changed and I have been unable to recover my account until today. I request that the \$10 penalty fee be removed. I only found out about this today by calling our City Recorder and she provided me the information to report the reasoning why I was late. Thank you,
Tom Geil
Planning Commission

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Eli Spevak
4757 NE Going St.
Portland OR 97218

Re: 2017 SEI Penalty

Dear Mr. Spevak:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 2156
User Name: elispevak
Email: eli@aracnet.com

2017 Filing: 5/7/2017
2017 Penalty: \$10.00

Eli Spevak
4757 NE Going St
Portland, OR 97218

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

04/21/17 09:31:52.850 - User Failed to Authenticate -
04/21/17 09:31:57.330 - User Failed to Authenticate -
04/21/17 09:32:49.803 - User Successfully Authenticated -
05/05/17 09:52:09.547 - User Profile Updated - User profile updated for Eli Spevak
05/05/17 09:52:20.323 - User Profile Updated - The user's main profile was updated.
05/07/17 01:30:36.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017.
05/07/17 08:25:00.887 - User Failed to Authenticate -
05/07/17 08:26:13.163 - User Successfully Authenticated -
05/07/17 08:29:58.250 - Late SEI Report Filed - SEI Report Filed Late for year 2017
05/08/17 03:00:53.950 - User Failed to Authenticate -
05/08/17 03:00:59.160 - User Successfully Authenticated -
05/08/17 03:18:36.160 - SEI Report Draft Saved - SEI Report Draft Saved for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
RD

DANIEL Kathy * OGEC

From: Eli Spevak <eli@aracnet.com>
Sent: Sunday, May 07, 2017 8:47 PM
To: OGEC Mail * OGEC
Subject: Fwd: SEI report

RECEIVED
MAY 08 2017
OREGON GOVERNMENT
ETHICS COMMISSION

Hello,

I spent a bunch of time filling in the SEI report back on April 21st., but got stuck on a couple questions I wasn't sure about how to answer. So I sent an e-mail (pasted below) to my primary staff contact associated with my role on Portland's Planning and Sustainability Commission. I never did get a response back to this e-mail (likely fell through the cracks). And unfortunately, I failed to follow up myself. Since I'd filled out every other part of the form and moved on to other things in work & life, I frankly had it in my head (incorrectly) that it was all done.

I think these forms are important, hence the effort I put into filling it out as best I was able and following up with when I needed assistance on a couple questions. That said, my role on the planning commission is a substantial, totally volunteer position. Coupled with all the other things I have going on, things sometimes fall through the cracks. And this was one of them. In that context, it seems that dinging volunteers with a late filing fee seems kind of harsh.

Thanks for considering,
- Eli

PS - Since I never did get an answer to my question, I filled it out as best I could based on my own interpretation. Better to do my best with the information I have than face daily fines...

Eli Spevak
Orange Splot LLC
4751 NE Going St.
Portland, OR 97218
(503) 422-2607

Begin forwarded message:

From: Eli Spevak <eli@aracnet.com>
Subject: SEI report
Date: April 21, 2017 at 10:05:41 AM PDT
To: "Wood, Sandra" <Sandra.Wood@portlandoregon.gov>

Hi Sandra,

Who best to speak with to figure out whether I need to answer this question in the lobbyist form with the state?

"Respond only if you or a member of your household had a personal, beneficial interest or investment in a business of more than \$1,000 during **2016**, if the investment involved an individual or business that did business with or reasonably could be expected to do business with, or had a legislative or administrative interest in the public body you serve."

As a developer, Orange Splot is impacted by zoning code changes. But I'm not sure if that means there's a 'legislative or administrative interest' in the PSC.

There's also a question about whether anyone I owe money too has such an interest. I have several loans from private individuals. I suspect they don't have 'legislative or administrative interest' in the PSC, but am not exactly sure what that clause means so can't be sure.

Thanks,
- Eli



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

John Orr
PO Box 354
Astoria OR 97103

Re: 2017 SEI Penalty

Dear Judge Orr:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 4168
User Name: Johnnyo
Email: realjohnnyo@gmail.com

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

John Orr
PO Box 354
Astoria, OR 97103

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:39.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 12:14:57.450 - User Successfully Authenticated -
05/08/17 12:41:09.717 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter of ED
(R)

DANIEL Kathy * OGEC

S

From: John Orr <realjohnnyo@gmail.com>
Sent: Monday, May 08, 2017 12:56 PM
To: OGEC Mail * OGEC
Subject: Late filing mitigation letter

RECEIVED

MAY 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Good Day,

Please accept this letter of mitigation for the \$10.00 penalty waiver on the following grounds:

1. I no longer have a secretary to assist me.
2. It was an oversight due to the inundation of emails to my email address. I went back and found that the notification letter had not been opened before today's date, May 8, 2017
3. I believe this is my first offense.
4. I responded promptly upon notification of the proposed penalty.

I apologize for the inconvenience.

Judge John Orr
Municipal Court, Gearhart, Oregon

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Steve Bloom
PO Box 987
Manzanita OR 97130

Re: 2017 SEI Penalty

Dear Mr. Bloom:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 233
User Name: christeve63
Email: manzanitabloom@nehalem.tel.net

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

Steve Bloom
P.O. Box 987
Manzanita, OR 97130

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:34.787 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 10:47:04.863 - User Failed to Authenticate -
05/08/17 10:48:13.227 - User Failed to Authenticate -
05/08/17 10:49:17.587 - User Successfully Authenticated -
05/08/17 11:39:41.010 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(AB)

S

DANIEL Kathy * OGEC

From: Steven and Chris Bloom <manzanitabloom@nehalem.tel.net>
Sent: Monday, May 08, 2017 12:09 PM
To: OGEC Mail * OGEC
Subject: Requested Mitigation

RECEIVED

MAY 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

To Whom it may concern,

I am requesting mitigation of the penalty or sanction of \$10.00 for failure to file annual SEI report for 2016. I'm at a loss as to why I would have not seen the request for filing before May 6. The only explanation I can offer is that previous requests for filing of March 15, and April 21 may have been delivered to our grey mail and unfortunately were deleted.

Thank you for your consideration,

Steven Bloom



Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Robb Corbett
501 Dorion
Pendleton OR 97801

Re: 2017 SEI Penalty

Dear Mr. Corbett:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 3031
User Name: rwcobett
Email: robb.corbett@cl.pendleton.or.us

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

Robb Corbett
501 SW Dorian
Pendleton, OR 97801

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:37.263 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 10:55:38.843 - User Failed to Authenticate -
05/08/17 10:55:52.203 - User Failed to Authenticate -
05/08/17 11:28:48.173 - Admin User Reset Initiated - An admin user reset was initiated.
05/08/17 11:30:13.657 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/08/17 11:30:27.787 - User Successfully Authenticated -
05/08/17 11:35:08.007 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to Ed
(PAB)

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DANIEL Kathy * OGEC

From: Robb Corbett <Robb.Corbett@ci.pendleton.or.us>
Sent: Monday, May 08, 2017 11:40 AM
To: OGEC Mail * OGEC
Cc: Donna Biggerstaff; Linda Carter
Subject: Appeal of Late Penalty

RECEIVED

MAY 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Please consider this email request as an appeal of the \$10 penalty for late filing of my annual SIE report.

Due to the overwhelming amount of email I receive, I have asked my secretary to help me to monitor an incoming email folder which segregates emails that come from irregular sources. Neither of us were able to identify these emails important and necessary for me to respond to. I have now identified this account to be sent the appropriate folder to insure I will not miss them in the future.

Thank you for your consideration.

Robb Corbett



City Manager
City of Pendleton
500 SW Dorion Ave., Pendleton OR 97801
Phone: 541-966-0201 Fax: 541-966-0231
www.pendleton.or.us
"Working every day to be the premier city in Eastern Oregon"

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Richard Ridout
860 Beach Drive
Seaside OR 97138

Re: 2017 SEI Penalty

Dear Mr. Ridout:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 4515
User Name: RBRidout
Email: rridout@iinet.com

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

Richard Ridout
860 Beach Drive
Seaside, OR 97138

2017 Offices Held


No data to report.

Activity Log - 2017 to Date

05/05/17 02:09:39.653 - User Profile Updated - User profile updated for Richard Ridout
05/05/17 02:09:50.493 - User Profile Updated - The user's main profile was updated.
05/07/17 01:30:39.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 04:30:43.810 - Admin User Reset Initiated - An admin user reset was initiated.
05/08/17 04:41:09.560 - Late SEI Report Filed - SEI Report Filed Late for year 2017
05/08/17 04:45:40.537 - User Profile Updated - User profile updated for Richard Ridout
05/08/17 04:45:51.570 - User Profile Updated - The user's main profile was updated.

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter of Ed


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Dick Ridout

From: Dick Ridout [rbridout@gmail.com]
Sent: Monday, May 08, 2017 5:06 PM
To: 'ogec.mail@oregon.gov.'
Subject: Request to waive the \$10 late penalty

RECEIVED

MAY 08 2017

GOVERNMENT
ETHICS COMMISSION

Commissioners:

I did not receive the various emails sent telling me to file the 2017 Economic Interest Forms.

Apparently they went directly into my Junk Email folder.

I believe it has now been filed with the help of David Hunter.

Sincerely, Richard Ridout

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

William Gaar
16872 Quail Court
Lake Oswego OR 97035

Re: 2017 SEI Penalty

Dear Mr. Gaar:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 2439
User Name: weg@buckley-law.com
Email: weg@buckley-law.com

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

William Gaar
16872 Quail Court
Lake Oswego, OR 97035

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

04/17/17 03:30:06.223 - User Failed to Authenticate -
04/17/17 03:30:14.317 - User Failed to Authenticate -
04/17/17 03:30:22.253 - User Failed to Authenticate -
04/17/17 03:30:27.233 - User Failed to Authenticate -
04/17/17 03:30:33.477 - User Failed to Authenticate -
05/07/17 01:30:36.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 08:14:56.507 - User Failed to Authenticate -
05/08/17 08:18:28.257 - Admin User Reset Initiated - An admin user reset was initiated.
05/08/17 08:19:53.900 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/08/17 08:20:15.950 - User Successfully Authenticated -
05/08/17 08:24:55.763 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

*Letter of ED
@*

DANIEL Kathy * OGEC

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From: William Gaar <weg@buckley-law.com>
Sent: Monday, May 08, 2017 8:27 AM
To: OGEC Mail * OGEC
Subject: Late Filer Penalty

RECEIVED

MAY 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Please waive the \$10 late fee.

Thank you.

Sincerely,

Bill Gaar

William E. Gaar

William E. Gaar, Attorney SPHR
Buckley Law P.C. |

5300 Meadows Road, Suite 200
Lake Oswego, OR 97035
503.620.8900 | 503.620.4878 fax | 503.709.6724 cell
weg@buckley-law.com | www.buckley-law.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Dustin Swenson
PO Box 220
Dundee OR 97115

Re: 2017 SEI Penalty

Dear Mr. Swenson:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 1444
User Name: Dustincswenson
Email: dustincswenson@gmail.com

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

Dustin Swenson
P. O. Box 220
Dundee, OR 97115

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/05/17 09:04:34.550 - User Profile Updated - User profile updated for Dustin Swenson
05/05/17 09:04:45.440 - User Profile Updated - The user's main profile was updated.
05/07/17 01:30:35.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 07:42:48.723 - User Successfully Authenticated -
05/08/17 07:50:04.063 - User Successfully Authenticated -
05/08/17 07:56:52.483 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter A Ed
(RD)

DANIEL Kathy * OGEC

From: Dustin C <dustincswenson@gmail.com>
Sent: Monday, May 08, 2017 8:09 AM
To: OGEC Mail * OGEC
Subject: Dustin Swenson's Delinquency Penalty
Attachments: IMG_1295.JPG; ATT00001.txt

RECEIVED
MAY 08 2017
OREGON GOVERNMENT
ETHICS COMMISSION

To whom it may concern,
I would like to contest the penalty for my tardiness. I am but a simple volunteer for my great little town. I work full time in one of my favorite wineries in Oregon. These are no excuses for my delinquency, but the real reason is attached. My wife and I are new parents and this new life can be quite exhausting. Hopefully the picture of our little Oregonian will pull the heart strings enough to wave the \$10 penalty.

Thanks for your consideration.

Cheers,
Dustin Swenson





Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Randy Shaw
3815 S 6th St., Ste 110
Klamath Falls OR 97603

Re: 2017 SEI Penalty

Dear Mr. Shaw:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code:	2753	2017 Filing:	5/7/2017
User Name:	riestate@kfalls.net	2017 Penalty:	\$10.00
Email:	riestate@kfalls.net		

Randy Shaw
3815 S 6th St, Ste 110
Klamath Falls, OR 97603

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/04/17 10:45:51.333 - User Profile Updated - The user's main profile was updated.
05/04/17 10:46:00.867 - User Profile Updated - User profile updated for Randy Shaw
05/07/17 01:30:36.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/07/17 09:37:55.593 - User Successfully Authenticated -
05/07/17 09:54:32.733 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter filed
(AD)

DANIEL Kathy * OGEC

5

From: Randy Shaw <rlestate@kfalls.net>
Sent: Sunday, May 07, 2017 10:08 PM
To: OGEC Mail * OGEC
Subject: Re: Letter of explanation for late SEI filing

RECEIVED

MAY 08 2017

OREGON GOVERNMENT
ETHICS COMMISSION

Dear Commissioners:

I really have no excuse but wanted to touch bases with you. I will gladly pay the \$10.00 but would appreciate your forgiveness in this matter. I have faithfully sat on the Klamath County Planning Commission for or 9 years. This is a volunteer position will little reward. I completely enjoy my position and take great pride in conducting my self with a professional and duty based attitude.

If you see fit to fine me then so be it,

Thank you for you attention in this matter,

Randy L. Shaw
Coldwell Banker Holman Premier Realty
Licensed Oregon Realtor ®
3815 South Sixth Suite 110
Klamath Falls, OR. 97603

(41) 891-0296
(41) 884-1343
(800) 347-1343
rlestate@kfalls.net
www.randylshaw.com
www.homesforsaleinklamathfalls.com

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Brandy Olmstead
1742 N. Grape St.
Coquille OR 97423

Re: 2017 SEI Penalty

Dear Ms. Olmstead:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 4392
User Name: brandyolmstead
Email: brandy@olmstead.com

2017 Filing: 5/8/2017
2017 Penalty: \$10.00

Brandy Olmstead
1742 N. Grape St.
Coquille, OR 97423

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

04/21/17 09:57:05.273 - User Successfully Authenticated -
04/21/17 10:00:16.177 - SEI Report Amended - SEI Report Amended for year 2016
05/07/17 01:30:39.583 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/08/17 02:56:50.643 - User Successfully Authenticated -
05/08/17 03:02:13.973 - Late SEI Report Filed - SEI Report Filed Late for year 2017
05/08/17 03:05:59.017 - User Successfully Authenticated -

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to ED
AR

DANIEL Kathy * OGEC

From: Brandy <brandy@olmstead.com>
Sent: Monday, May 08, 2017 3:11 PM
To: OGEC Mail * OGEC
Subject: Re: Statement of Economic Interest Receipt of Late Filing

Follow Up Flag: Follow up
Flag Status: Flagged

RECEIVED
MAY 09 2017
OREGON GOVERNMENT
ETHICS COMMISSION

I am requesting a waiver of the \$10 penalty due to me being an incompetent idiot that thought on 4/21/17 I was filing a new report and not amending my 2016 report. I know I was filing the report on my smart phone and I guess that it didn't make me any smarter?!?!

Thank you
:)

Brandy

> On May 8, 2017, at 3:02 PM, ogec.notification@egov.com wrote:

>

> Dear Brandy Olmstead:

>

> Oregon law, pursuant to ORS 244.050, requires specified public officials who hold office on April 15 of each year to complete an Annual Verified Statement of Economic Interest (SEI) with the Oregon Government Ethics Commission (Commission). An email was sent to you on 3/15/2017 stating that your required report was due to be filed with the Commission no later than April 15, 2017. You were also reminded on 4/21/2017 that you had until no later than to submit your report without penalty.

>

> Your late filing was received on 5/8/2017. Accordingly, you have accrued a penalty of \$10.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 6/7/2017.

>

> If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.

>

> Please remember to visit the Electronic Filing System at <http://apps.oregon.gov/OGEC/EFS/Home/SignIn> to update our profile if you have changes to your email address or other personal information. If you have any questions regarding this process, please contact the Oregon Government Ethics Commission office at 503-378-5105 or by email at ogec.mail@oregon.gov.

>

> Please do not reply to this email as replies will not be delivered to the Commission.

>

> Thank you,

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>

> Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
> Salem, OR 97302-1544

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Oregon

Kate Brown, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1544

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: ogec.mail@oregon.gov

Website: www.oregon.gov/ogec

May 10, 2017

Gregory James
457 Mountaingate Drive
Springfield OR 97478

Re: 2017 SEI Penalty

Dear Mr. James:

The written explanation of why your 2017 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2017 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$20 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2017

SEI Code: 987
User Name: GregoryAJames
Email: gjames@springfield-or.gov

2017 Filing: 5/9/2017
2017 Penalty: \$20.00

Gregory James
457 Mountaingate Drive
Springfield, OR 97478

2017 Offices Held

No data to report.

Activity Log - 2017 to Date

05/07/17 01:30:35.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 01:30:12.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2017
05/09/17 08:55:06.413 - User Failed to Authenticate -
05/09/17 08:55:24.117 - User Failed to Authenticate -
05/09/17 08:57:18.843 - User Successfully Authenticated -
05/09/17 09:09:02.907 - Late SEI Report Filed - SEI Report Filed Late for year 2017

PAST VIOLATIONS: NONE

RECOMMENDATION:

Letter to EJ
(R)

RECEIVED

MAY 09 2017

OREGON GOVERNMENT
ETHICS COMMISSION

DANIEL Kathy * OGEC

From: Greg James <gregajames@me.com>
 Sent: Tuesday, May 09, 2017 9:26 AM
 To: OGEC Mail * OGEC
 Subject: Oregon Government Ethics Commission - Request to Waive Penalty

Follow Up Flag: Follow up
 Flag Status: Flagged

Commisioners,

It is with great regret that I inform you of my delinquency in filing my Annual Statement of Economic Interest. Within the past several months, the i-pad that I was provided by the City of Springfield has had technical issues receiving e-mail sent to my city assigned e-mail address (gajames@springfield-or.gov). For this reason I have been utilizing a different e-mail address (gregajames@me.com).

I received no notices relating to the filing of my Annual Statement of Economic Interest, which I rely on has a reminder to file in a timely way. Once I did receive notification, I logged on immediately and filed.

Because of this technology glitch, I request that you waive the \$20.00 penalty for late filing. I can assure you that this will never occur again.

Thank you for your attention to this matter - I'll look forward to hearing back from you in relation to this communication.

Greg James
 City of Springfield Planning Commission
gregajames@me.com

